

Ascent Solar Technologies, Inc.

Form 4

December 04, 2007

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Misra Mohan

(Last) (First) (Middle)

C/O ASCENT SOLAR  
TECHNOLOGIES, INC., 8120  
SHAFFER PARKWAY

(Street)

LITTLETON, CO 80127

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Ascent Solar Technologies, Inc.  
[ASTI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/03/2007

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chief Strategy Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	12/03/2007		S <sup>(1)</sup>		500	D \$ 16.17	419,500 <sup>(2)</sup>	D	
Common Stock	12/03/2007		S <sup>(1)</sup>		1,000	D \$ 16.18	418,500 <sup>(2)</sup>	D	
Common Stock	12/03/2007		S <sup>(1)</sup>		500	D \$ 16.19	418,000 <sup>(2)</sup>	D	
Common Stock	12/03/2007		S <sup>(1)</sup>		1,000	D \$ 16.2	417,000 <sup>(2)</sup>	D	
	12/03/2007		S <sup>(1)</sup>		500	D	416,500 <sup>(2)</sup>	D	

# Edgar Filing: Ascent Solar Technologies, Inc. - Form 4

Common Stock						\$ 16.21		
Common Stock	12/03/2007	S <sup>(1)</sup>	500	D		\$ 16.26	416,000 <sup>(2)</sup>	D
Common Stock	12/03/2007	S <sup>(1)</sup>	500	D		\$ 16.3	415,500 <sup>(2)</sup>	D
Common Stock	12/03/2007	S <sup>(1)</sup>	100	D		\$ 16.37	415,400 <sup>(2)</sup>	D
Common Stock	12/03/2007	S <sup>(1)</sup>	1,000	D		\$ 16.38	414,400 <sup>(2)</sup>	D
Common Stock	12/03/2007	S <sup>(1)</sup>	400	D		\$ 16.39	414,000 <sup>(2)</sup>	D
Common Stock	12/03/2007	S <sup>(1)</sup>	200	D		\$ 16.52	413,800 <sup>(2)</sup>	D
Common Stock							968,000	I
								By ITN Energy Systems, Inc. <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

# Edgar Filing: Ascent Solar Technologies, Inc. - Form 4

	Director	10% Owner	Officer	Other
Misra Mohan C/O ASCENT SOLAR TECHNOLOGIES, INC. 8120 SHAFFER PARKWAY LITTLETON, CO 80127	X	X	Chief Strategy Officer	

## Signatures

David C. Wang, as attorney-in-fact for Mohan Misra	12/04/2007
---	------------

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 26, 2007.
- (2) Dr. Misra also holds options to purchase 50,000 shares.
- (3) Dr. Misra indirectly owns 968,000 shares held by ITN Energy Systems, Inc., which is 100% owned by Inica, Inc., a Colorado corporation that is wholly owned by Dr. Misra and an immediate family member.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.