### Edgar Filing: McFeely Scott - Form 4

Form 4											
December 2											
FORM	<b>14</b> UNITED S	STATES	S SECUR	TIES A	ND EX	СНА	NGE C	COMMISSION		PPROVAL	
<i>a</i>				shington,					Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 of Form 5 obligatio may com <i>See</i> Instr	ger o 16. or Filed pur tinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								January 31 2005 average rs per 0.5	
1(b).											
(Print or Type ]	Responses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [CIEN]				ng	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	(liddle)	(Chec				k all applicable)				
C/O CIENA RIDGE RD	A CORPORATIO	N, 7035	(Month/D 12/20/20	-				Director XOfficer (give below) SVP, Net			
				hth/Day/Year) Applicable Line) _X_ Form filed b			6. Individual or Jo Applicable Line) _X_ Form filed by O	ne Reporting Pe	rson		
HANOVER	R, MD 21076-1420	5						Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	med n Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or Di (Instr. 3, Amount	(A) or	d of (D)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/20/2018			F	221 <u>(1)</u>	D	\$ 32.91	98,993 <u>(2)</u>	D		
Common Stock	12/20/2018			F	134 <u>(3)</u>	D	\$ 32.91	98,859 <u>(2)</u>	D		
Common Stock	12/20/2018			F	165 <u>(4)</u>	D	\$ 32.91	98,694 <u>(2)</u>	D		
Common Stock	12/20/2018			F	706 (5)	D	\$ 32.91	97,988 <u>(2)</u>	D		
Common Stock	12/20/2018			F	199 <u>(6)</u>	D	\$ 32.91	97,789 <u>(2)</u>	D		

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Common Stock	12/20/2018	F	497 <u>(7)</u> D	\$ 32.91	97,292 <u>(2)</u>	D
Common Stock	12/20/2018	F	299 <u>(7)</u> D	\$ 32.91	96,993 <u>(2)</u>	D
Common Stock	12/20/2018	F	390 <u>(8)</u> D	\$ 32.91	96,603 <u>(2)</u>	D
Common Stock	12/20/2018	F	427 <u>(8)</u> D	\$ 32.91	96,176 <u>(2)</u>	D
Common Stock	12/20/2018	F	3,388 (9) D	\$ 32.91	92,788 <u>(2)</u>	D
Common Stock	12/20/2018	F	627 <u>(9)</u> D	\$ 32.91	92,161 <u>(2)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
. ,	Derivative			. ,	Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
											(insu
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
							•	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
McFeely Scott C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			SVP, Networking Platforms					

# Signatures

By: Michelle Rankin For: Scott McFeely

12/26/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2014. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 on 3/1/2017.
- (2) Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- (3) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 on 3/1/2017.
- (4) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 on 3/1/2017.
- (5) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- (6) Represents shares withheld to cover payment of the tax liabilities of the reporting person pursuant to restricted stock unit (RSU) award agreement dated 11/4/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 on 3/1/2017.
- (7) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/15/2015. The earning of the PSU was previously reported on Table I of reporting person's Form 3 on 3/1/2017.
- (8) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/14/2016. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/14/2017.
- (9) Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/12/2017. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.