Edgar Filing: SURREY BANCORP - Form 4

SURREY B Form 4	ANCORP												
March 14, 2	2016												
FORM		STATES	SECU	RITIES	AND EX	XCH.	ANGE	E COMMISSI	ON	OMB	IB APP	ROVA	L
Check t					n, D.C. 2					Numbe		3235-0	
if no lor subject Section Form 4 Form 5	nger STATEN to STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Estimated average burden hours per			y 31, 2005 0.5	
obligation may con <i>See</i> Inst 1(b).	ons Section 17(ruction	a) of the l	Public U	Jtility Ho		ompai	ny Act	of 1935 or Se					
(Print or Type	Responses)												
	Address of Reporting DWARD C III	Person <u>*</u>	Symbol		nd Ticker		-	5. Relationsh Issuer	ip of F	Reporting	g Person	(s) to	
(Last)	(First) ((Middle) 3. Date of Earliest Transactiv					(Chec				ck all applicable)		
SURREY I 1227	3. Date of Earliest Transaction(Month/Day/Year)03/11/2016					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President & CEO							
	(Street)			endment, l onth/Day/Ye	Date Origin ear)	nal		6. Individual Applicable Lir _X_ Form file	ie) 1 by On	ie Reporti	ng Perso	n	
MOUNT A	AIRY, NC 27030							Form filed Person	ву мо	ore than O	ne Repoi	rting	
(City)	(State)	(Zip)	Tab	ole I - Non	-Derivativ	e Secu	irities A	Acquired, Dispos	ed of,	or Bene	ficially	Owned	ı
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Secur ionAcquired Disposed (Instr. 3,	d (A) of d of (D 4 and (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Owne Form Direct or Inc (I) (Instr	et (D) direct	7. Natu Indirec Owner (Instr. 4	t Benef ship	icial
Common Stock	03/14/2016	03/11/20	016	Р	850	A		82,355	D				
Common Stock								55,748	Ι		Held for M		
Common Stock								5,016	Ι		Held	by Wi	fe
Common Stock								939	Ι		Truste grand		
Common Stock								451	I		Truste grand		iter

Edgar Filing: SURREY BANCORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A 4.5% Convertible Cumulative Perpetural Preferred	<u>(3)</u>					<u>(1)</u>	(2)	Common Stock	11,684
Series D 5.0% Convertible Non-cumulative Perpetual Preferred	<u>(4)</u>					<u>(1)</u>	(2)	Common Stock	19,420

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
ASHBY EDWARD C III SURREY BANCORP P.O. BOX 1227 MOUNT AIRY, NC 27030	Х		President & CEO						
Signatures									
Mark H Towe POA for Edward Ashby, III	d C.	0	3/14/2016						
**Signature of Reporting Perso	n		Date						

8 I S ()

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convertible into common stock
- (2) Perpetual Preferred Stock
- (3) Series A 4.5% Convertible Non-cumulative Perpetual Preferred Stock is convertible into 2.2955 shares of common stock per share
- (4) Series A 5.0% Convertible Non-cumulative Perpetual Preferred Stock is convertible into 1.1000 shares of common stock per share

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.