#### LSI INDUSTRIES INC

Form 4 January 05, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

Form filed by More than One Reporting

January 31, 2005

0.5

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**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KREIDER GARY P Issuer Symbol LSI INDUSTRIES INC [LYTS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner \_ Other (specify Officer (give title 1356-1 US 52 01/02/2015 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

### NEW RICHMOND, OH 45157

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (D) (Instr. 3,	4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	01/02/2015		A	1,450	A	\$ 6.55	14,129	D	
Common Shares							10,000	I	By IRA
Common Shares							680	I	Custodian for Grandchildren

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Person

SEC 1474 (9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. 6. Date Exercisals onNumber Expiration Date of (Month/Day/Yea Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 17.02					<u>(1)</u>	11/15/2015	Common Shares	1,500
Option to Buy	\$ 17.6					<u>(1)</u>	08/24/2016	Common Shares	2,500
Option to Buy	\$ 17.55					<u>(1)</u>	11/14/2016	Common Shares	1,500
Option to Buy	\$ 19.76					<u>(1)</u>	08/24/2017	Common Shares	2,500
Option to Buy	\$ 19.68					<u>(1)</u>	11/15/2017	Common Shares	1,500
Option to Buy	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500
Option to Buy	\$ 4.6					<u>(1)</u>	11/20/2018	Common Shares	1,500
Option to Buy	\$ 8.4					<u>(1)</u>	08/21/2019	Common Shares	3,500
Option to Buy	\$ 7.2					<u>(1)</u>	11/19/2019	Common Shares	1,500
Option to Buy	\$ 5.21					<u>(1)</u>	08/19/2020	Common Shares	2,500

8. Price Derivat Securit (Instr. 5

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(2)					
Option to Buy	\$ 8.92	<u>(1)</u>	11/18/2020	Common Shares	1,500
Option to Buy	\$ 6.05	<u>(1)</u>	11/17/2021	Common Shares	1,500
Option to Buy	\$ 6.58	<u>(1)</u>	08/15/2022	Common Shares	2,500
Option to Buy	\$ 6.28	<u>(1)</u>	11/15/2022	Common Shares	1,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
KREIDER GARY P							
1356-1 US 52	X						
NEW RICHMOND, OH 45157							

## **Signatures**

/s/ F. Mark Reuter, Attorney-in-Fact for Gary P. 01/05/2015 Kreider

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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