RANKIN VICTOIRE G Form 4/A

March 05, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

**SECURITIES** 

1(b).

(Last)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RANKIN VICTOIRE G

(First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year) 09/27/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

09/28/2018

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

Officer (give title \_\_X\_ Other (specify below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

27,134

below)

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zıp)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

712 (1) A

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect (I)

Ι

(Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

serves as Trustee of a

Trust for the benefit of Victoire G.

Rankin

Spouse's

Represents

175.278 Ι

> proportionate limited partnership interest in

Class A Common

Class A

Stock

Common 09/27/2018

Stock

			shares held by AMR Associates LP
Class A Common Stock	9,600	I	spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren
Class A Common Stock	14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse (2)
Class A Common Stock	103,235	I	spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr (2)
Class A Common Stock	10,271	I	Spouse's proportionate limited partnership interest in shares held by RA1 (2)
Class A Common Stock	951	I	Spouses's proportionate limited parternship interest in shares held by AMR RAII (2)
Class A Common Stock	19	I	Spouse's proporationate partnership interest in RAIV (2)
Class A Common Stock	1,087	I	Spouses proportionate limited partnership

			interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	145	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	191	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock	48	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
Class A Common Stock	50	I	spouse's proportionate interest in shares held by RAVI held by Rankin Management, Inc.
Class A Common Stock	18,625	I	spouse serves as Trustee of Trusts for the benefit of the Estate of

			Alfred M. Rankin, Sr (2)
Class A Common Stock	42,666	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1
Class A Common Stock	26,119	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proporationate interest in RAII (2)
Class A Common Stock	46,182	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 (2)
Class A Common Stock	145	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	5,150	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin (2)
Class A Common Stock	94,613	Ī	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5

Class A Common Stock	5,629	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA6
Class A Common Stock	1,710	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin
Class A Common Stock	14,915	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	14,915	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	145	I	Reporting person's proportionate interest in shares held by Rankin Associates VI

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	
	Derivative				Securities		
	Security				Acquired		
					(A) or		

8. Price Derivati Security (Instr. 5

Disposed of (D) (Instr. 3, 4, and 5)

				4, a	nd 5)				
		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(3)					<u>(3)</u>	(3)	Class A Common Stock	204,146
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	9,600
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	14,160
Class B Common Stock	(3)					<u>(3)</u>	<u>(3)</u>	Class A Common Stock	17,556
Class B Common Stock	(3)					(3)	(3)	Class A Common Stock	18,373
Class B Common	(3)					(3)	(3)	Class A Common	951

Stock	Stock
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Class B Common Stock	<u>(3)</u>	(3)	(3)	Class A Common Stock	31
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	1,721
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	1,975
Class B Common Stock	(3)	(3)	<u>(3)</u>	Class A Common Stock	18,625
Class B Common Stock	<u>(3)</u>	(3)	<u>(3)</u>	Class A Common Stock	76,330
Class B Common Stock	(3)	<u>(3)</u>	(3)	Class A Common Stock	26,119

Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	73,166
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	747
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	6,889
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	6,889
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	21,006

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

RANKIN VICTOIRE G 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 8

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/04/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A
  - BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's
- (4) spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 9