Edgar Filing: LEHMAN WILLIAM DUDLEY - Form 4

LEHMAN WILLIAM DUDLEY

Form 4

February 15, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB 3235-0287

Number:

January 31,

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LEHMAN WILLIAM DUDLEY			2. Issuer Name and Ticker or Trading Symbol SNAP-ON Inc [SNA]				ding	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) SNAP-ON INCORPORATED, 2801 80TH STREET			3. Date of Earliest Transaction (Month/Day/Year) 02/14/2019					_X_ DirectorOfficer (give ti		Owner or (specify	
				ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
KENOSHA, WI 53143								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tak	ole I - Non	-Derivat	ive Sec	urities Acq	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any (Month/Da	Date, if	3. Transacti Code (Instr. 8)	omr Disp (Instr.	oosed of 3, 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/14/2019			A	845	A	(1)	9,996	D		
Common Stock	02/15/2019			S	387	D	\$ 157.190 (2)	6 9,609	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exer Expiration D		7. Title and A Underlying S		8. Price of Derivativ
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		(Instr. 3 and	4)	Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock	9,607	

Reporting Owners

Reporting Owner Name / Address	Relationships					
F • g • ··	Director	10% Owner	Officer	Other		
LEHMAN WILLIAM DUDLEY						
SNAP-ON INCORPORATED	X					
2801 80TH STREET	Λ					
KENOSHA, WI 53143						

Signatures

/s/ Ryan S. Lovitz under Power of Attorney for William Dudley Lehman

02/15/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock from the Company.
- This transaction was executed in multiple trades at prices ranging from \$157.18 to \$157.20. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the transaction was effectuated.
- (3) 1 for 1.
- (4) All restrictions lapse and the reporting person will receive the underlying shares upon the earliest of retirement from the Board, death or a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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