#### RANKIN BRUCE T

Form 4

January 08, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RANKIN BRUCE T

2. Issuer Name and Ticker or Trading Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 01/04/2019

5875 LANDERBROOK DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	01/04/2019		P	452 (1)	A	\$ 63.8527	42,922	I	Held by Trust for the benefit of Reporting Person.
Class A Common Stock	01/04/2019		P	1 (1)	A	\$ 63.06	553	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	01/04/2019		P	2 (1)	A	\$ 64.14 (3)	555	I	Proportionate interest in shares held by

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			Rankin Associates VI
Class A Common Stock	42,666	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P held in trust
Class A Common Stock	25,099	I	proportionate limited partnership interests in shares held by RA II, L.P., held in a trust
Class A Common Stock	46,182	I	proportionate limited partnership interest in shares of Rankin Associates IV, L.P held in trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	of Derivativ Securities Acquired (A) or Disposed of (D)	nNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed		7. Title and A Underlying S (Instr. 3 and 4	ecurities	8. Price of Derivative Security (Instr. 5)
		Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount	

				Number of Shares
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 747 Stock
Class B Common Stock	(4)	<u>(4)</u>	<u>(4)</u>	Class A Common 76,330 Stock
Class B Common Stock	( <u>4</u> )	<u>(4)</u>	<u>(4)</u>	Class A Common 25,099 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 73,166 Stock

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

RANKIN BRUCE T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact 01/08/2019

\*\*Signature of Reporting Person Date

Reporting Owners 3

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Jan-4 -Block 1 Weighted Average- Share Price represents average price between \$62.81 and \$63.77.
- (3) 2019-Jan-4 -Block 2 Weighted Average- Share Price represents average price between \$63.95 and \$64.20.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.