Rankin Lauran Form 4 January 04, 2019

# FORM 4

Check this box

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Rankin Lauran

(Last)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** 

HANDLING, INC. [HY]

(First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 01/02/2019

5875 LANDERBROOK DRIVE, **SUITE 300** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Officer (give title \_\_X\_ Other (specify

below) below)

Family Member of Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CLEVELAND, OH 44124

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivativ                               | e Secı | ırities Ac         | quired, Disposed   | l of, or Benefi  | icially Owned   |
|--------------------------------------|---|---|--|---|--------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Di<br>(Instr. 3, | spose  | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                       |
| Class A<br>Common<br>Stock           | 01/02/2019                              |   | P                                      | 1 (1)                                   | A      | \$<br>61.89        | 204  | I  | Spouse's proportionate interests in shares held by Rankin Associates VI (3) |
| Class A<br>Common<br>Stock           | 01/02/2019                              |   | P                                      | 1 (1)                                   | A      | \$<br>61.89<br>(2) | 295  | I  | Reporting<br>Person?s<br>trust?s<br>proportionate                           |

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|                            |            |   |       |   |                    |     |   | interests in<br>shares held by<br>Rankin<br>Associates VI  |
|----------------------------|------------|---|-------|---|--------------------|-----|---|--|
| Class A<br>Common<br>Stock | 01/02/2019 | P | 2 (1) | A | \$<br>61.89<br>(2) | 540 | I | Child's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA VI                   |
| Class A<br>Common<br>Stock | 01/02/2019 | P | 1 (1) | A | \$<br>62.49        | 205 | I | Spouse's proportionate interests in shares held by Rankin Associates VI  |
| Class A<br>Common<br>Stock | 01/02/2019 | Р | 2 (1) | A | \$<br>62.49        | 297 | I | Reporting<br>Person?s<br>trust?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates VI |
| Class A<br>Common<br>Stock | 01/02/2019 | P | 4 (1) | A | \$<br>62.49        | 544 | I | Child's proportionate limited partnership interest in shares held by RA VI                                     |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 1 (1) | A | \$<br>61.74<br>(4) | 93  | I | Spouse's proportionate interest in shares held by Rankin Associates V  |
| Class A<br>Common<br>Stock | 01/03/2019 | P | 2 (1) | A | \$<br>61.74<br>(4) | 207 | I | Spouse's proportionate interests in shares held by Rankin Associates VI  |

| Class A<br>Common<br>Stock | 01/03/2019 | Р | 3 (1) | A | \$<br>61.74<br>(4)   | 300    | I | Reporting<br>Person?s<br>trust?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates VI |  |  |
|----------------------------|------------|---|-------|---|--|--------|---|--|--|--|
| Class A<br>Common<br>Stock | 01/03/2019 | P | 5 (1) | A | \$<br>61.74<br>(4)   | 549    | I | Child's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA VI                   |  |  |
| Class A<br>Common<br>Stock |            |   |       |   |  | 669    | I | Child's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA II LP                |  |  |
| Class A<br>Common<br>Stock |            |   |       |   |  | 10,895 | I | Spouse's proportionate interests in shares held by Rankin Associates II  |  |  |
| Class A<br>Common<br>Stock |            |   |       |   |  | 769    | I | Held by a Trust for the benefit of Reporting Person's Spouse (3)   |  |  |
|                            |            |   |       |   | Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. |        |   |  |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exercisable and | 7. Title and Amount of | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|------------|-------------------------|------------------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration Date         | Underlying Securities  | Derivative  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/Year)        | (Instr. 3 and 4)       | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                       |                        | (Instr. 5)  |

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|                            | Derivative<br>Security |      |   | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                     |                    |                            |                                     |
|----------------------------|------------------------|------|---|---|-----|---------------------|--------------------|----------------------------|-------------------------------------|
|                            |                        | Code | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |
| Class B<br>Common<br>Stock | <u>(5)</u>             |      |   |   |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 669                                 |
| Class B<br>Common<br>Stock | <u>(5)</u>             |      |   |   |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 10,895                              |
| Class B<br>Common<br>Stock | <u>(5)</u>             |      |   |   |     | <u>(5)</u>          | <u>(5)</u>         | Class A<br>Common<br>Stock | 10,027                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Lauran 5875 LANDERBROOK DRIVE, SUITE 300 CLEVELAND, OH 44124

Family Member of Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

01/04/2019

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan

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- (2) 2019-Jan-2 Weighted Average Share Price represents average price between \$61.18 and \$62.00.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2019-Jan-3 Weighted Average Share Price represents average price between \$61.46 and \$61.82.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.