RANKIN CHLOE O

Form 4

December 27, 2018

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN CHLOE O

5. Relationship of Reporting Person(s) to Issuer

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

2. Issuer Name and Ticker or Trading

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director below)

10% Owner Officer (give title \_\_X\_ Other (specify

5875 LANDERBROOK DRIVE

12/26/2018

below) Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	12/26/2018		P	6 (1)	A	\$ 57.99 (2)	571	I	Spouse's Interest in Shares held by Rankin Associates V		
Class A Common Stock	12/26/2018		P	104 (1)	A	\$ 57.99 (2)	25,478	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach		
	12/26/2018		P	104 (1)	A		25,478	I			

Class A Common Stock					\$ 57.99 (2)			Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/26/2018	P	104 (1)	A	\$ 57.99 (2)	25,478	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	12/26/2018	P	4 (1)	A	\$ 58.58 (3)	25,482	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/26/2018	P	4 (1)	A	\$ 58.58 (3)	25,482	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/26/2018	P	4 (1)	A	\$ 58.58 (3)	25,482	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	12/26/2018	P	1 (1)	A	\$ 57.99 (2)	82	I	Spouse's interest in shares held by RA6 held by Rankin Management
Class A Common Stock	12/26/2018	P	183 (1)	A	\$ 57.99 (2)	25,665	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/26/2018	P	183 (1)	A	\$ 57.99 (2)	25,665	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
	12/26/2018	P	183 (1)	A		25,665	I	

Class A Common Stock					\$ 57.99 (2)			Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	12/26/2018	P	4 (1)	A	\$ 57.99 (2)	240	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	12/26/2018	P	8 (1)	A	\$ 57.99 (2)	520	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/26/2018	P	7 (1)	A	\$ 58.58 (3)	25,672	I	Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/26/2018	P	7 (1)	A	\$ 58.58 (3)	25,672	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/26/2018	P	7 (1)	A	\$ 58.58 (3)	25,672	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock						35,857	Ι	proportionate LP interest in shares held by RA1
Class A Common Stock						2,116	Ĭ	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common						2,283	I	serves as Trustee of a

Stock			Trust for the benefit of Chloe O. Rankin
Class A Common Stock	19	I	spouses proportionate GP interest in shares of RA IV (4)
Class A Common Stock	14,567	I	spouse proportionate limited partnership interest in shares held by RA I LP (4)
Class A Common Stock	35,628	I	spouse's proportionate interest in shares held by RAII (4)
Class A Common Stock	65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P (4)
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI) (4)
Class A Common Stock	67	I	Spouse's interest in shares held by RA5 held by Rankin Management
Class A Common Stock	0	I	By Spouse
Class A Common	132,073	I	spouse serves as Trustee of a

Stock

Trust for the benefit of Claiborne R. Rankin (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Price Derivati Security (Instr. 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	64,143
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	2,116
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Class A Common Stock	2,783
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	31

Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	26,057
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	35,628
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	104,286
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common Stock	1,975
Class B Common Stock	( <u>5</u> )	(5)	<u>(5)</u>	Class A Common Stock	123,760
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	6,889
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	6,889

 Class B
 Class A

 Common (5)
 (5)
 Common (5)
 Common (6,889)

 Stock
 Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CHLOE O 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/27/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-26 -Block 1 Weighted Average- Share Price represents average price between \$57.52 and \$58.44.
- (3) 2018-Dec-26 -Block 2 Weighted Average- Share Price represents average price between \$58.56 and \$58.65.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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