

RANKIN CLAIBORNE R  
Form 4  
December 21, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN CLAIBORNE R

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/19/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Class A Common Stock            | 12/19/2018                           |  | P                              | 1 <sup>(1)</sup> A  | \$ 61.06 <sup>(2)</sup> 65  | I  | interest in shares held by RAV held by Rankin Management, Inc. ("RMI") |
| Class A Common Stock            | 12/19/2018                           |  | P                              | 4 <sup>(1)</sup> A  | \$ 61.06 <sup>(2)</sup> 547   | I  | Interest in Shares held by Rankin Associates V                         |
| Class A Common                  | 12/19/2018                           |  | P                              | 64 <sup>(1)</sup> A   | \$ 61.06 24,441   | I  | Serves as Trustee of   |

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| Stock                |            |   |                |   | <u>(2)</u>          |        |   |   |
|----------------------|------------|---|----------------|---|---------------------|--------|---|---|
|                      |            |   |                |   |                     |        |   | GSTs for the benefit of Chloe R. Seelbach                               |
| Class A Common Stock | 12/19/2018 | P | 64 <u>(1)</u>  | A | \$ 61.06 <u>(2)</u> | 24,441 | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.       |
| Class A Common Stock | 12/19/2018 | P | 65 <u>(1)</u>  | A | \$ 61.06 <u>(2)</u> | 24,441 | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers       |
| Class A Common Stock | 12/19/2018 | P | 1 <u>(1)</u>   | A | \$ 61.06 <u>(2)</u> | 77     | I | interest in shares held by RAVI held by Rankin Management, Inc. ("RMI") |
| Class A Common Stock | 12/19/2018 | P | 111 <u>(1)</u> | A | \$ 61.06 <u>(2)</u> | 24,552 | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach          |
| Class A Common Stock | 12/19/2018 | P | 111 <u>(1)</u> | A | \$ 61.06 <u>(2)</u> | 24,552 | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.       |
| Class A Common Stock | 12/19/2018 | P | 111 <u>(1)</u> | A | \$ 61.06 <u>(2)</u> | 24,552 | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers       |
| Class A Common Stock | 12/19/2018 | P | 2 <u>(1)</u>   | A | \$ 61.06 <u>(2)</u> | 226    | I | Proportionate interest in shares held by Rankin Associates VI           |
| Class A Common       | 12/19/2018 | P | 5 <u>(1)</u>   | A | \$ 61.06            | 490    | I | Spouse's proportionate  |

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|                      |            |   |               |   |                     |        |  |   |  |
|----------------------|------------|---|---------------|---|---------------------|--------|--|---|--|
| Stock                |            |   |               |   | <u>(2)</u>          |        |  |   | interest in shares held by Rankin Associates VI  |
| Class A Common Stock | 12/20/2018 | P | 3 <u>(1)</u>  | A | \$ 58.92 <u>(3)</u> | 550    |  | I | Interest in Shares held by Rankin Associates V   |
| Class A Common Stock | 12/20/2018 | P | 52 <u>(1)</u> | A | \$ 58.92 <u>(3)</u> | 24,604 |  | I | Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach   |
| Class A Common Stock | 12/20/2018 | P | 52 <u>(1)</u> | A | \$ 58.92 <u>(3)</u> | 24,604 |  | I | Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.  |
| Class A Common Stock | 12/20/2018 | P | 52 <u>(1)</u> | A | \$ 58.92 <u>(3)</u> | 24,604 |  | I | Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers  |
| Class A Common Stock |            |   |               |   |                     | 35,857 |  | I | Spouse's proportionate interests in shares held by Rankin Associates I. <u>(4)</u>                       |
| Class A Common Stock |            |   |               |   |                     | 2,116  |  | I | spouse's proportionate limited partnership interest in shares held by Rankin Associates II LP <u>(4)</u> |
| Class A Common Stock |            |   |               |   |                     | 2,283  |  | I | Held in trust fbo Reporting Person's spouse. <u>(4)</u>  |
|                      |            |   |               |   |                     | 19     |  | I |  |

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|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock |        |   | Rankin<br>Associates,<br>IV, L.P. held<br>by the Trust<br>for the benefit<br>of Reporting<br>Person as<br>general<br>partner   |
| Class A<br>Common<br>Stock | 0      | D |  |
| Class A<br>Common<br>Stock | 10,631 | I | Childs trusts<br>proportionate<br>interests in<br>shares held by<br>Rankin Assoc<br>II. Father is<br>co-trustee <sup>(4)</sup> |
| Class A<br>Common<br>Stock | 769    | I | Trustee of<br>trust for the<br>benefit of<br>Reporting<br>Person's child<br><sup>(4)</sup>                                     |
| Class A<br>Common<br>Stock | 14,567 | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates I,<br>L.P                     |
| Class A<br>Common<br>Stock | 35,628 | I | proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P                   |
| Class A<br>Common<br>Stock | 65,824 | I | proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin   |

|                      |         |   |  |
|----------------------|---------|---|--|
| Class A Common Stock | 1,975   | I | Associates IV, L.P<br>proportionate interest in shares held by Rankin Management, Inc. ("RMI")               |
| Class A Common Stock | 132,073 | I | Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin                         |
| Class A Common Stock | 13,631  | I | Childs trusts proportionate interests in shares held by Rankin Assoc II. Father is co-trustee <sup>(4)</sup> |
| Class A Common Stock | 10,242  | I | Co-Trustee for the benefit of Reporting Person's child <sup>(4)</sup>  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                     |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of |

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|                            |            |            |            | Shares                     |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 64,143 |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 2,116  |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 2,783  |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 31     |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 10,631 |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 10,027 |
| Class B<br>Common<br>Stock | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> | Class A<br>Common<br>Stock | 26,057 |
|                            | <u>(5)</u> | <u>(5)</u> | <u>(5)</u> |                            | 35,628 |

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| Class B<br>Common<br>Stock |     |  |     | Class A<br>Common<br>Stock |         |
|----------------------------|-----|--|-----|----------------------------|---------|
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 104,286 |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 1,975   |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 123,766 |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 6,889   |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 6,889   |
| Class B<br>Common<br>Stock | (5) |  | (5) | Class A<br>Common<br>Stock | 6,889   |

