#### RANKIN CLAIBORNE R

Form 4

December 21, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RANKIN CLAIBORNE R

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

below)

(First)

(Street)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director

10% Owner Officer (give title \_\_X\_ Other (specify below)

5875 LANDERBROOK DRIVE

12/19/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

Member of a Group

Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

MAYFIELD HEIGHTS, OH 44124

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	12/19/2018		P	Amount 1 (1)	(D)	\$ 61.06 (2)	65	I	interest in shares held by RAV held by Rankin Management, Inc. ("RMI")
Class A Common Stock	12/19/2018		P	4 (1)	A	\$ 61.06 (2)	547	I	Interest in Shares held by Rankin Associates V
Class A Common	12/19/2018		P	64 (1)	A	\$ 61.06	24,441	I	Serves as Trustee of

Stock					(2)			GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/19/2018	P	64 (1)	A	\$ 61.06 (2)	24,441	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/19/2018	P	65 <u>(1)</u>	A	\$ 61.06 (2)	24,441	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
Class A Common Stock	12/19/2018	P	1 (1)	A	\$ 61.06 (2)	77	I	interest in shares held by RAVI held by Rankin Management, Inc. ("RMI")
Class A Common Stock	12/19/2018	P	111 <u>(1)</u>	A	\$ 61.06 (2)	24,552	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/19/2018	P	111 <u>(1)</u>	A	\$ 61.06 (2)	24,552	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/19/2018	P	111 <u>(1)</u>	A	\$ 61.06 (2)	24,552	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
Class A Common Stock	12/19/2018	P	2 (1)	A	\$ 61.06 (2)	226	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common	12/19/2018	P	5 (1)	A	\$ 61.06	490	I	Spouse's proportionate

Stock					(2)			interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	P	3 (1)	A	\$ 58.92 (3)	550	I	Interest in Shares held by Rankin Associates V
Class A Common Stock	12/20/2018	P	52 (1)	A	\$ 58.92 (3)	24,604	I	Serves as Trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	12/20/2018	P	52 (1)	A	\$ 58.92	24,604	I	Serves as Trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	12/20/2018	P	52 (1)	A	\$ 58.92 (3)	24,604	I	Serves as Trustee of the GSTs for the benefit of Julia R. Kuipers
Class A Common Stock						35,857	I	Spouse's proportionate interests in shares held by Rankin Associates I.
Class A Common Stock						2,116	I	spouse's proportionate limited partnership interest in shares held by Rankin Associates II LP (4)
Class A Common Stock						2,283	I	Held in trust fbo Reporting Person's spouse. (4)
						19	I	

Class A Common Stock			Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person as general partner
Class A Common Stock	0	D	
Class A Common Stock	10,631	I	Childs trusts proportionate interests in shares held by Rankin Assoc II. Father is co-trustee (4)
Class A Common Stock	769	I	Trustee of trust for the benefit of Reporting Person's child (4)
Class A Common Stock	14,567	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P
Class A Common Stock	35,628	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	65,824	I	proportionate limited partnership interest in shares held by Rankin

			Associates IV, L.P
Class A Common Stock	1,975	I	proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock	132,073	I	Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin
Class A Common Stock	13,631	I	Childs trusts proportionate interests in shares held by Rankin Assoc II. Father is co-trustee (4)
Class A Common Stock	10,242	I	Co-Trustee for the benefit of Reporting Person's child (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)		4. Transaction	5. onNumber of	6. Date Exerc Expiration Da (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivati Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	` ,	1 cm /	(mou. 5 und	')	(Instr. 5)
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of	

Shares Class B Class A (5) (5) Common <u>(5)</u> Common 64,143 Stock Stock Class B Class A (5) (5) Common <u>(5)</u> Common 2,116 Stock Stock Class B Class A Common (5) (5) Common 2,783 <u>(5)</u> Stock Stock Class B Class A Common (5) (5) Common 31 <u>(5)</u> Stock Stock Class B Class A (5) (5) Common <u>(5)</u> Common 10,631 Stock Stock Class B Class A (5) (5) Common Common <u>(5)</u> 10,027 Stock Stock Class B Class A (5) (5) Common Common 26,057 <u>(5)</u> Stock Stock <u>(5)</u> (5) (5) 35,628

Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common 104,28 Stock	36
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common 1,975 Stock	5
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 123,76 Stock	50
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 6,889 Stock	)
Class B Common Stock	<u>(5)</u>	(5)	<u>(5)</u>	Class A Common 6,889 Stock	)
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common 6,889 Stock	)

Class B Common Stock	(5)	<u>(5)</u> <u>(5</u>	Class A Common Stock	13,631
Class B Common Stock	<u>(5)</u>	<u>(5)</u> <u>(5</u>	Class A Common Stock	10,072

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN CLAIBORNE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

X

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/21/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-19-Weighted Average Share Price represents average price between \$60.86 and \$61.40.
- (3) 2018-Dec-20 -Block 1 Weighted Average- Share Price represents average price between \$58.34 and \$59.22.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A
- (6) proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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