Rankin Lynne T Form 4 December 21, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

Rankin Lynne T

(Last)

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Month/Day/Year) 12/19/2018

5875 LANDERBROOK DRIVE

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	12/19/2018		P	5 (1)	A	\$ 61.06 (2)	490	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares	
Class A Common Stock	12/19/2018		P	5 (1)	A	\$ 61.06 (2)	490	I	Co-trustee of trust fbo nephew.	

								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	12/19/2018	P	4 (1)	A	\$ 61.06 (2)	413	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/19/2018	P	5 (1)	A	\$ 61.06 (2)	491	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	12/19/2018	P	4 (1)	A	\$ 61.06 (2)	488	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/19/2018	P	4 (1)	A	\$ 61.06 (2)	488	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	P	1 (1)	A	\$ 58.92 (4)	86	I	Spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	12/20/2018	P	4 (1)	A	\$ 58.92 (4)	494	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common	12/20/2018	P	4 (1)	A	\$ 58.92	494	I	Co-trustee of trust fbo

Stock					<u>(4)</u>			nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	12/20/2018	P	3 (1)	A	\$ 58.92 (4)	416	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	Р	4 (1)	A	\$ 58.92 (4)	495	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	12/20/2018	P	4 (1)	A	\$ 58.92 (4)	492	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	P	4 (1)	A	\$ 58.92 (4)	492	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	P	3 (1)	A	\$ 59.58 (5)	497	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	12/20/2018	P	3 (1)	A	\$ 59.58 (5)	497	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc

								VI shares
Class A Common Stock	12/20/2018	P	2 (1)	A	\$ 59.58 <u>(5)</u>	418	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	P	3 (1)	A	\$ 59.58 <u>(5)</u>	498	I	Reporting Person's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	12/20/2018	P	4 (1)	A	\$ 59.58 <u>(5)</u>	496	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/20/2018	P	4 (1)	A	\$ 59.58 (5)	496	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						569	I	child's proportionate limited partnership interest in shares held by Rankin Associates II
Class A Common Stock						9,562	I	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L.P (3)
Class A Common Stock						15,853	I	Shares held by Spouse. (3)

Class A Common Stock	563	D	
Class A Common Stock	862	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	761	I	Minor child?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,860	I	Spouse is co-trustee of Trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. (3)
Class A Common Stock	645	I	Spouse serves as co-trustee of a trust for the benefit of minor niece.
Class A Common Stock	1,712	I	Spouse is co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares (3)
Class A Common Stock	563	I	Reporting Person's Spouse is co-trustee of the trust of

minor nephew (3)

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	569	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	9,562	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	15,683	
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	563	

Class B Common Stock	<u>(6)</u>	(6)	<u>(6)</u>	Class A Common Stock	862
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	761
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,860
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	645
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,712
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	563

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners

Director 10% Owner Officer Other

Rankin Lynne T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/21/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-19-Weighted Average Share Price represents average price between \$60.86 and \$61.40.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2018-Dec-20 -Block 1 Weighted Average- Share Price represents average price between \$58.34 and \$59.22.
- (5) 2018-Dec-20 -Block 2 Weighted Average- Share Price represents average price between \$59.43 and \$59.90.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 8