

RANKIN CLARA L T
Form 4
November 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CLARA L T

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/31/2018		P	265 ⁽¹⁾ A	\$ 61.892 6,408	I	Reporting Person's son is trustee of a trust fbo Reporting Person.
Class A Common Stock	10/31/2018		P	412 ⁽¹⁾ A	\$ 61.07 ₍₂₎ 110,395	I	Son is Trustee of Trust fbo reporting person representing

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Class A Common Stock	10/31/2018	P	179 ⁽¹⁾	A	\$ <u>61.83</u> ₍₃₎	110,574	I	interest in shares held by RA V Son is Trustee of Trust fbo reporting person representing interest in shares held by RA V
Class A Common Stock	10/31/2018	P	326 ⁽¹⁾	A	\$ <u>62.97</u> ₍₄₎	110,900	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA V
Class A Common Stock	10/31/2018	P	40 ⁽¹⁾	A	\$ <u>61.07</u> ₍₂₎	7,166	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA VI
Class A Common Stock	10/31/2018	P	17 ⁽¹⁾	A	\$ <u>61.83</u> ₍₃₎	7,183	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA VI
Class A Common Stock	10/31/2018	P	32 ⁽¹⁾	A	\$ <u>62.97</u> ₍₄₎	7,215	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA VI

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Class A Common Stock	11/01/2018	P	265 <u>(1)</u>	A	\$ 61.746	6,673	I	Reporting Person's son is trustee of a trust fbo Reporting Person.
Class A Common Stock	11/01/2018	P	59 <u>(1)</u>	A	\$ 60.03 <u>(5)</u>	110,959	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA V
Class A Common Stock	11/01/2018	P	530 <u>(1)</u>	A	\$ 61.67 <u>(6)</u>	111,489	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA V
Class A Common Stock	11/01/2018	P	329 <u>(1)</u>	A	\$ 62.18 <u>(7)</u>	111,818	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA V
Class A Common Stock	11/01/2018	P	6 <u>(1)</u>	A	\$ 60.03 <u>(5)</u>	7,221	I	Son is Trustee of Trust fbo reporting person representing interest in shares held by RA VI
Class A Common Stock	11/01/2018	P	52 <u>(1)</u>	A	\$ 61.67 <u>(6)</u>	7,273	I	Son is Trustee of Trust fbo reporting person representing

Class A Common Stock	11/01/2018	P	32 ⁽¹⁾	A	\$ 62.18 ₍₇₎	7,305	I	interest in shares held by RA VI Son is Trustee of Trust fbo reporting person representing interest in shares held by RA VI
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

RANKIN CLARA L T
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Relationships

Director 10% Owner Officer Other

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.34.
- (3) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (4) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (5) 2018-Nov-1 -Block 1 Weighted Average- Share Price represents average price between \$60.00 and \$60.05.
- (6) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (7) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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