

RANKIN CHLOE O
Form 4
October 29, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CHLOE O

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/25/2018

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount					
					(A) or (D)		Price			
Class A Common Stock	10/25/2018		P	2	(1) 60.52 (2)	A	216	\$ 60.52 (2)	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	10/25/2018		P	1	(1) 60.52 (2)	A	60	\$ 60.52 (2)	I	Spouse's interest in shares held by RA6 held by Rankin Management
	10/25/2018		P	111	(1)	A	12,791		I	

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Class A Common Stock					\$ 60.52 <u>(2)</u>			Spouse serves as trustee of GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	10/25/2018	P	111 <u>(1)</u>	A	\$ 60.52 <u>(2)</u>	12,791	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	10/25/2018	P	111 <u>(1)</u>	A	\$ 60.52 <u>(2)</u>	12,791	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	10/25/2018	P	2 <u>(1)</u>	A	\$ 60.52 <u>(2)</u>	176	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/25/2018	P	2 <u>(1)</u>	A	\$ 60.52 <u>(2)</u>	176	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/26/2018	P	1 <u>(1)</u>	A	\$ 59.78 <u>(3)</u>	55	I	Spouse's interest in shares held by RA5 held by Rankin Management
Class A Common Stock	10/26/2018	P	2 <u>(1)</u>	A	\$ 59.78 <u>(3)</u>	218	I	Spouse's Interest in Shares held by Rankin Associates V
Class A Common Stock	10/26/2018	P	1 <u>(1)</u>	A	\$ 59.78 <u>(3)</u>	61	I	Spouse's interest in shares held by RA6 held by Rankin Management
Class A Common	10/26/2018	P	167 <u>(1)</u>	A	\$ 59.78	12,958	I	Spouse serves as trustee of

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Stock					<u>(3)</u>			
								GSTs for the benefit of Chloe R. Seelbach
Class A Common Stock	10/26/2018	P	167 <u>(1)</u>	A	\$ <u>59.78</u> <u>(3)</u>	12,958	I	Spouse serves as trustee of GSTs for the benefit of Claiborne Rankin Jr.
Class A Common Stock	10/26/2018	P	167 <u>(1)</u>	A	\$ <u>59.78</u> <u>(3)</u>	12,958	I	Spouse serves as trustee of GSTs for the benefit of Julia Kuipers
Class A Common Stock	10/26/2018	P	3 <u>(1)</u>	A	\$ <u>59.78</u> <u>(3)</u>	179	I	Spouse's proportionate interest in shares held in Rankin Associates VI
Class A Common Stock	10/26/2018	P	3 <u>(1)</u>	A	\$ <u>59.78</u> <u>(3)</u>	179	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						35,857	I	proportionate LP interest in shares held by RA1
Class A Common Stock						2,116	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock						2,283	I	serves as Trustee of a Trust for the benefit of Chloe O. Rankin
Class A Common Stock						19	I	spouses proportionate GP interest in

Class A Common Stock				14,567	I	shares of RA IV ⁽⁴⁾ spouse proportionate limited partnership interest in shares held by RA I LP ⁽⁴⁾
Class A Common Stock				36,890	I	spouse's proportionate interest in shares held by RAII ⁽⁴⁾
Class A Common Stock				65,824	I	proportionate limited partnership interest in shares held by Rankin Associates IV, L.P ⁽⁴⁾
Class A Common Stock				1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI) ⁽⁴⁾
Class A Common Stock				132,073	I	spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Instr. 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
										(5)	(5)	Class A Common Stock	64,143
										(5)	(5)	Class A Common Stock	2,116
										(5)	(5)	Class A Common Stock	2,783
										(5)	(5)	Class A Common Stock	31
										(5)	(5)	Class A Common Stock	26,057
										(5)	(5)	Class A Common Stock	36,890
										(5)	(5)	Class A Common	104,286

Stock

Stock

Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	1,975
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	123,760
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	6,889
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	6,889
Class B Common Stock	(5)	(5)	(5)	Class A Common Stock	6,889

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CHLOE O 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

10/26/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-25 -Weighted Average- Share Price represents average price between \$59.86 and \$60.85.
- (3) 2018-Oct-26 -Weighted Average- Share Price represents average price between \$59.31 and \$59.99.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

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