

ZINBERG STANLEY MD
Form 4

January 04, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ZINBERG STANLEY MD

(Last) (First) (Middle)

6140 STONERIDGE MALL DR.,
SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction
(Month/Day/Year)
01/04/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|--------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 01/04/2018 | | M | | 5,000 | A | \$ 13.08 | 14,377 | I | Stanley Zinberg Revocable Trust DTD 2/5/2015 |
| Common Stock | 01/04/2018 | | S | | 2,998 | D | \$ 224.23 ⁽¹⁾ | 11,379 | I | Stanley Zinberg Revocable Trust DTD 2/5/2015 |
| Common Stock | 01/04/2018 | | S | | 1,500 | D | \$ 225.02 | 9,879 | I | Stanley Zinberg |

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(2)

Revocable
Trust DTD
2/5/2015

Stanley
Zinberg
Revocable
Trust DTD
2/5/2015

Common Stock 01/04/2018⁽³⁾ S 502 D \$ 226.11 9,377 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount Underlying Security (Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|---|--|--|
|---|--|---|---|--------------------------------------|---|--|--|

| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|--|--|--|--|--|--|---------------------|--------------------|-------|--|
|--|--|--|--|--|--|---------------------|--------------------|-------|--|

| | | | | | | | | | |
|--|----------|------------|--|---|-------|------------|------------|-----------------|-------|
| Non-employee Director Stock Option (Right to Buy) | \$ 13.08 | 01/04/2018 | | M | 5,000 | 12/11/2009 | 12/10/2018 | Common Stock | 5,000 |
|--|----------|------------|--|---|-------|------------|------------|-----------------|-------|

| | | | | | | | | | |
|--|----------|--|--|--|--|------------|------------|-----------------|--|
| Non-employee Director Stock Option (Right to Buy) | \$ 13.08 | | | | | 12/11/2009 | 12/10/2018 | Common Stock | |
|--|----------|--|--|--|--|------------|------------|-----------------|--|

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

ZINBERG STANLEY MD
6140 STONERIDGE MALL DR., SUITE 590 X
PLEASANTON, CA 94588

Signatures

/s/ Stanley
Zinberg, MD

01/04/2018

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$223.52 to \$224.49.

(1) The reporting person undertakes to provide to The Cooper Companies, Inc., any security holder of The Cooper Companies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnotes to this Form 4.

(2) Sale price represents an average sale price between \$225.00 and \$225.10.

(3) Sale price represents an average sale price between \$226.00 and \$226.31.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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