SunCoke Energy, Inc. Form 3 November 05, 2014

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SunCoke Energy, Inc. [SXC] Lausas Allison S (Month/Day/Year) 10/27/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1011 WARRENVILLE ROAD, (Check all applicable) **SUITE 600** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Vice President & Controller Person LISLE, ILÂ 60532 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 700 (1) Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and Expiration	3. Title and Amount of	4.	5.	6. Nature of
Security	Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable Expiration Date	Title	Derivative	Security:	
			Security	Direct (D)	

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				Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	02/15/2015(2)	02/15/2022	Common Stock	2,449	\$ 14.28	D	Â
Stock Options (Right to Buy)	02/20/2015(3)	02/20/2023	Common Stock	902	\$ 16.55	D	Â
Stock Options (Right to Buy)	02/26/2015(4)	02/26/2024	Common Stock	910	\$ 22.3	D	Â
RSU's 02/2012	(5)	02/15/2015(5)	Common Stock	210	\$ <u>(6)</u>	D	Â
RSU's 02/2013	(7)	02/20/2016(7)	Common Stock	872	\$ <u>(6)</u>	D	Â
RSU's 02/2014	(8)	02/26/2017(8)	Common Stock	1,184	\$ <u>(6)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Lausas Allison S 1011 WARRENVILLE ROAD, SUITE 600 LISLE, IL 60532	Â	Â	Vice President & Controller	Â	

### **Signatures**

/s/ Rita M. Slager, attorney-in-fact

\*\*Signature of Reporting Person

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 300 of these shares of SunCoke Enegy, Inc. common stock are held jointly with reporting person's spouse.
- (2) Grant of stock options (right to buy SunCoke Energy, Inc. common stock) awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3 and exercisable commencing on February 15, 2015.
- Grant of stock options (right to buy SunCoke Energy, Inc. common stock) awarded pursuant to the SunCoke Energy, Inc. Long-Term (3) Performance Enhancement Plan in a transaction exempt under Rule 16b-3 and exercisable in two annual installments commencing on February 20, 2015.
- Grant of stock options (right to buy SunCoke Energy, Inc. common stock) awarded pursuant to the SunCoke Energy, Inc. Long-Term

  (4) Performance Enhancement Plan in a transaction exempt under Rule 16b-3 and exercisable in three equal annual installments commencing on February 26, 2015.
- (5) Grant of restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. These restricted share units vest on February 15, 2015.
- (6) Not applicable.

**(7)** 

Reporting Owners 2

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Grant of restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. The restricted share units vest in two equal annual installments commencing on February 20, 2015.

(8) Grant of restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. The restricted share units vest in three equal annual installments commencing on February 26, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.