Gramm Jefferson Form 4 January 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person * Gramm Jefferson

Symbol Rubicon Technology, Inc. [RBCN]

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

01/02/2018

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

OMB APPROVAL

10% Owner

Other (specify

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

C/O RUBICON TECHNOLOGY, INC.,, 900 EAST GREEN ST., UNIT

(State)

(First)

A

(Last)

(City)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X_ Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BENSENVILLE, IL 60106

1.Title of	2. Transaction Date	2A Deemed	3.	4. Securities	5. Amount of	6. Ownership	7 Nature of
Security	(Month/Day/Year)	Execution Date, if			Securities	Form: Direct	Indirect
Security	(Monul/Day/Tear)	Execution Date, ii	TransactionAcquired (A) or		Securities	Form. Direct	manect
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				()	Reported		
				(A)	Transaction(s)		
				or	(Instruction 2 and 4)		

Code V Amount (D) Price

Common 604 (1) A 01/02/2018 A \$0 604 D Stock

Common See 258,256 (2) I footnote (2) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41-	or Namelana		
						Exercisable	Date		Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Gramm Jefferson C/O RUBICON TECHNOLOGY, INC., 900 EAST GREEN ST., UNIT A BENSENVILLE, IL 60106

X

Signatures

/s/ Mardel A. Graffy, Attorney-in-Fact

01/04/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On January 2, 2018, the Reporting Person received shares of restricted stock under the Rubicon Technology, Inc. 2016 Stock Incentive **(1)** Plan, as compensation for service as a Director. Such shares will vest 30 days from the transaction date.
 - Consists of securities directly held by Bandera Master Fund L.P. ("Bandera Master Fund"). Bandera Master Fund has delegated to Bandera Partners LLC ("Bandera Partners"), its investment manager, the sole and exclusive authority to vote and dispose of the securities
- (2) held by Bandera Master Fund. Mr. Gramm, solely by virtue of his position as a Managing Partner, Managing Director and Portfolio Manager of Bandera Partners, may be deemed to beneficially own the securities held by Bandera Master Fund. Mr Gramm expressly disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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