

Edgar Filing: UNIVERSAL CORP /VA/ - Form 5

UNIVERSAL CORP /VA/  
Form 5  
August 08, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

-----  
1. Name and Address of Reporting Person\*

|         |         |          |
|---------|---------|----------|
| Johnson | Thomas  | H        |
| -----   | -----   | -----    |
| (Last)  | (First) | (Middle) |

1501 North Hamilton Street

-----  
(Street)

|          |         |       |
|----------|---------|-------|
| Richmond | Va      | 23230 |
| -----    | -----   | ----- |
| (City)   | (State) | (Zip) |

-----  
2. Issuer Name and Ticker or Trading Symbol

Universal Corporation UVV

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

-----  
4. Statement for Month/Year

8/01

-----  
5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|                                                     |                                                |
|-----------------------------------------------------|------------------------------------------------|
| <input checked="" type="checkbox"/> Director        | <input type="checkbox"/> 10% Owner             |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

7. Individual or Joint/Group Filing  
(Check applicable line)

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

[illegible]

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)

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FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

[illegible]

### Explanation of Responses:

Signature on file, filed electronically

August 7, 2001

\*\*Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal

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Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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