

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/  
Form 4  
March 02, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
HARRELL, HENRY H.  
1501 NORTH HAMILTON STREET  
RICHMOND, VA 23230  
USA
2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL CORPORATION  
UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
02/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
(X) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
(specify below)  
CHAIRMAN & CEO
7. Individual or Joint/Group Filing (Check Applicable Line)  
(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) |     | Price     | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|-----|-----------|--|
|                      |                     |         | Amount  | A/D |           |  |
| Common Stock         | 2/14/01             | M       | 24,088  | A   | \$33.25   |  |
| Common Stock         | 2/14/01             | S       | 21,800 (1)                                    | D   | \$36.68   |  |
| Common Stock         | 2/14/01             | S       | 1,072 (2)                                     | D   | \$36.68   | 1,216  |
| Common Stock         | 2/20/01             | M       | 43,766  | A   | \$35.3125 |  |
| Common Stock         | 2/20/01             | S       | 41,555 (1)                                    | D   | \$37.1919 |  |
| Common Stock         | 2/20/01             | S       | 1,035 (2)                                     | D   | \$37.1919 | 1,176  |
| Common Stock         |                     |         |   |     |           | 144,913  |

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

|              |  |  |  |  |  |  |       |         |  |
|--------------|--|--|--|--|--|--|-------|---------|--|
| Common Stock |  |  |  |  |  |  |       | 42,479  |  |
| Common Stock |  |  |  |  |  |  |       | 334     |  |
| Common Stock |  |  |  |  |  |  |       | 550     |  |
|              |  |  |  |  |  |  |       |         |  |
|              |  |  |  |  |  |  | Total | 190,668 |  |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------|
| Options to Buy Common Stock     | \$33.25  | 2/14/01             | M                   | 24,088   | D 6/15/99 12/4/01  | Common Stock (6)                             |                |
| Options to Buy Common Stock     | \$35.3125  | 2/20/01             | M                   | 43,766   | D 12/15/98 12/4/01                                       | Common Stock (6)                             |                |

Explanation of Responses:

- (1) Sale of shares to pay the exercise price on a cashless exercise
  - (2) Sale of shares to pay the taxes on a cashless exercise
  - (3) shares held in the Stock Purchase Plan
  - (4) number of share held in the Dividend Reinvestment Plan
  - (5) Custodian account for children
  - (6) shares issued under the Executive Stock Plan
- SIGNATURE OF REPORTING PERSON  
 Henry H. Harrell, signature on file, filed electronically  
 DATE  
 March 2, 2001