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EAST WEST BANCORP INC

Form 3

March 03, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement EAST WEST BANCORP INC [EWBC] CAI-LEE WENDY H (Month/Day/Year) 02/23/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 135 N. LOS ROBLES AVE 7TH (Check all applicable) **FLR** (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting **Executive Vice President** Person PASADENA, CAÂ 91101 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 43,510 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Month/Day/Year)		Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Ratable-Vesting Restricted Stock Units	(1)	03/07/2016	Common Stock	2,311	\$ <u>(2)</u>	D	Â
Cliff-Vesting Restricted Stock Units	(3)	03/07/2017	Common Stock	6,784	\$ <u>(2)</u>	D	Â
Cliff-Vesting Restricted Stock Units	(4)	02/27/2018	Common Stock	6,508	\$ <u>(2)</u>	D	Â
Cliff-Vesting Restricted Stock Units	(5)	08/10/2018	Common Stock	21,926	\$ (2)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
Fg	Director	10% Owner	Officer	Other	
CAI-LEE WENDY H 135 N. LOS ROBLES AVE 7TH FLR PASADENA, CA 91101	Â	Â	Executive Vice President	Â	

Signatures

/s/ Felicia Yu, as
Attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units ("RSU") granted on March 7, 2013 will vest in three equal annual installments on March 7, 2014, March 7, 2015 and March 7, 2016.
- (2) Each RSU represents the right to receive, at settlement, one share of common stock.
- (3) RSU granted on March 7, 2014 fully vest after three years on March 7, 2017.
- (4) RSU granted on February 27, 2015 fully vest after three years on February 27, 2018.
- (5) RSU granted on August 10, 2015 fully vest after three years on August 10, 2018.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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