

Edgar Filing: PUBLIC SERVICE CO OF NEW MEXICO - Form 5

PUBLIC SERVICE CO OF NEW MEXICO

Form 5

January 29, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

() Form 3 Holdings Reported

() Form 4 Transactions Reported

1. Name and Address of Reporting Person

STERBA, JEFFRY E
ALVARADO SQUARE
M. S. 2824
ALBUQUERQUE, NM 87158
BERNALILLO

2. Issuer Name and Ticker or Trading Symbol

PNM RESOURCES, INC.
PNM

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12/31/2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

CHAIRMAN, PRESIDENT & CHIEF EXECUTIVE OFFICER

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D)		5. Amount of Securities Beneficially Owned at End of Year
			Amount	A/D Price	
COMMON STOCK	01/05/01	L	23.1192	A 25.9274	
COMMON STOCK	02/06/01	L	16.4103	A 24.3500	
COMMON STOCK	03/06/01	L	15.3318	A 26.0645	
COMMON STOCK	04/05/01	L	13.3846	A 29.8600	
COMMON STOCK	05/04/01	L	11.0238	A 36.2600	
COMMON STOCK	06/06/01	L	11.1103	A 35.9776	

Edgar Filing: PUBLIC SERVICE CO OF NEW MEXICO - Form 5

COMMON STOCK	07/06/01	L	18.4073	A	32.5707		
COMMON STOCK	08/06/01	L	13.5079	A	29.5872		
COMMON STOCK	09/06/01	L	13.8031	A	28.9541		
COMMON STOCK	10/04/01	L	16.1133	A	24.7992		
COMMON STOCK	11/06/01	L	16.2542	A	24.5840		
COMMON STOCK	12/05/01	L	22.5292	A	26.6071	(1) (2) 4,864	

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call
Phantom Stock Units	1 - for 1	12/31/01	A	777	(3) (3)	COMMON STOCK	777

Explanation of Responses:

(1) BETWEEN MAY 31, 2001 AND DECEMBER 31, 2001, THE REPORTING PERSON ACQUIRED 3,084 SHARES OF PNM RESOURCES, INC.'S COMMON STOCK UNDER THE PNM RESOURCES, INC.'S 401 (K) PLAN. THE INFORMATION IN THIS REPORT IS BASED ON A PLAN STATEMENT DATED AS OF DECEMBER 31, 2001

(2) INCLUDES 4.2759 SHARE ACQUIRED UNDER THE PNM RESOURCES, INC.'S DIVIDEND REINVESTMENT PLAN..

(3) THE REPORTED PHANTOM STOCK UNITS WERE ACQUIRED UNDER PNM RESOURCES, INC.'S EXECUTIVE SAVINGS PLAN AND WILL BE SETTLED UPON THE REPORTING PERSON'S RETIREMENT OR OTHER TERMINATION OF SERVICE.