

BEZOS JEFFREY P  
Form 4  
November 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BEZOS JEFFREY P

2. Issuer Name and Ticker or Trading Symbol  
AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/01/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chairman, CEO and President

P.O. BOX 81226  
  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SEATTLE, WA 98108-1226

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|
|  |                                      |  | Code                           | V   | Amount  | (D)  | Price                                      |
| Common Stock, par value \$0.01 per share | 11/01/2017                           |  | S <sup>(1)</sup>               |   | 5,100   | D  | \$ 1,099.7726                              |
|  |                                      |  |                                |   |   |  | (2)  |
| Common Stock, par value \$0.01 per share | 11/01/2017                           |  | S <sup>(1)</sup>               |   | 51,375  | D  | \$ 1,101.1047                              |
|  |                                      |  |                                |   |   |  | (3)  |
| Common Stock, par                        | 11/01/2017                           |  | S <sup>(1)</sup>               |   | 67,349  | D  | \$ 1,101.9458                              |
|  |                                      |  |                                |   |   |  | 79,772,709                                 |

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|                         |            |             |        |   |             |            |              |
|-------------------------|------------|-------------|--------|---|-------------|------------|--------------|
| value                   |            |             |        |   | <u>(4)</u>  |            |              |
| \$.01 per share         |            |             |        |   |             |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 79,121 | D | \$          | 1,102.8852 | 79,693,588 D |
| \$.01 per share         |            |             |        |   | <u>(5)</u>  |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 68,612 | D | \$          | 1,103.9384 | 79,624,976 D |
| \$.01 per share         |            |             |        |   | <u>(6)</u>  |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 65,110 | D | \$          | 1,104.9956 | 79,559,866 D |
| \$.01 per share         |            |             |        |   | <u>(7)</u>  |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 16,315 | D | \$          | 1,105.7533 | 79,543,551 D |
| \$.01 per share         |            |             |        |   | <u>(8)</u>  |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 24,481 | D | \$          | 1,106.9237 | 79,519,070 D |
| \$.01 per share         |            |             |        |   | <u>(9)</u>  |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 13,012 | D | \$          | 1,107.918  | 79,506,058 D |
| \$.01 per share         |            |             |        |   | <u>(10)</u> |            |              |
| Common Stock, par value | 11/01/2017 | <u>S(1)</u> | 635    | D | \$          | 1,108.7546 | 79,505,423 D |
| \$.01 per share         |            |             |        |   | <u>(11)</u> |            |              |
| Common Stock, par value | 11/02/2017 | <u>S(1)</u> | 3,473  | D | \$          | 1,087.8337 | 79,501,950 D |
| \$.01 per share         |            |             |        |   | <u>(12)</u> |            |              |
| Common Stock, par value | 11/02/2017 | <u>S(1)</u> | 13,157 | D | \$          |            | 79,488,793 D |
| value                   |            |             |        |   | <u>(13)</u> | 1,088.6783 |              |

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|                               |            |                        |        |   |                                 |            |   |
|-------------------------------|------------|------------------------|--------|---|---------------------------------|------------|---|
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 19,561 | D | \$<br>1,089.7586<br><u>(14)</u> | 79,469,232 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 11,122 | D | \$<br>1,090.9141<br><u>(15)</u> | 79,458,110 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 11,864 | D | \$<br>1,092.1637<br><u>(16)</u> | 79,446,246 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 15,214 | D | \$<br>1,093.3235<br><u>(17)</u> | 79,431,032 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 21,642 | D | \$<br>1,094.1041<br><u>(18)</u> | 79,409,390 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 69,445 | D | \$<br>1,095.2623<br><u>(19)</u> | 79,339,945 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 61,495 | D | \$<br>1,096.0773<br><u>(20)</u> | 79,278,450 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 44,413 | D | \$<br>1,097.1839<br><u>(21)</u> | 79,234,037 | D |
| Common<br>Stock, par<br>value | 11/02/2017 | <u>S<sup>(1)</sup></u> | 64,209 | D | \$<br>1,098.1703<br><u>(22)</u> | 79,169,828 | D |

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share

Common  
Stock, par  
value 11/02/2017 S<sup>(1)</sup> 35,784 D \$ 1,099.1325 79,134,044 D  
\$.01 per  
share (23)

Common  
Stock, par  
value 11/02/2017 S<sup>(1)</sup> 14,517 D \$ 1,100.3021 79,119,527 D  
\$.01 per  
share (24)

Common  
Stock, par  
value 11/02/2017 S<sup>(1)</sup> 5,160 D \$ 1,101.017 79,114,367 D  
\$.01 per  
share (25)

Common  
Stock, par  
value 11/02/2017 S<sup>(1)</sup> 54 D \$ 1,101.93 79,114,313 D  
\$.01 per  
share

Common  
Stock, par  
value 11/03/2017 S<sup>(1)</sup> 14,129 D \$ 1,089.4376 79,100,184 D  
\$.01 per  
share (26)

Common  
Stock, par  
value 11/03/2017 S<sup>(1)</sup> 80,871 D \$ 1,090.2748 79,019,313 D  
\$.01 per  
share (27)

Common  
Stock, par  
value 11/03/2017 S<sup>(1)</sup> 66,202 D \$ 1,091.4497 78,953,111 D  
\$.01 per  
share (28)

Common  
Stock, par  
value 11/03/2017 S<sup>(1)</sup> 51,673 D \$ 1,092.333 78,901,438 D  
\$.01 per  
share (29)

Common  
Stock, par  
value 11/03/2017 S<sup>(1)</sup> 4,905 D \$ 1,093.4336 78,896,533 D  
\$.01 per  
share (30)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

**Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |                             |       |
|---|---------------|-----------|-----------------------------|-------|
|   | Director      | 10% Owner | Officer                     | Other |
| BEZOS JEFFREY P<br>P.O. BOX 81226<br>SEATTLE, WA 98108-1226 | X             | X         | Chairman, CEO and President |       |

**Signatures**

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief Executive Officer and President 11/03/2017

\_\_Signature of Reporting Person Date

**Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,100.26 and the lowest price at which shares were sold was \$1,099.30.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,101.36 and the lowest price at which shares were sold was \$1,100.40.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,102.40 and the lowest price at which shares were sold was \$1,101.41.
- (5)

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- Represents the weighted average sale price. The highest price at which shares were sold was \$1,103.40 and the lowest price at which shares were sold was \$1,102.41.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,104.40 and the lowest price at which shares were sold was \$1,103.41.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$1,105.41 and the lowest price at which shares were sold was \$1,104.42.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$1,106.39 and the lowest price at which shares were sold was \$1,105.42.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$1,107.35 and the lowest price at which shares were sold was \$1,106.43.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$1,108.45 and the lowest price at which shares were sold was \$1,107.55.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$1,108.92 and the lowest price at which shares were sold was \$1,108.71.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$1,088.26 and the lowest price at which shares were sold was \$1,087.35.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$1,089.23 and the lowest price at which shares were sold was \$1,088.37.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$1,090.45 and the lowest price at which shares were sold was \$1,089.46.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$1,091.39 and the lowest price at which shares were sold was \$1,090.46.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$1,092.50 and the lowest price at which shares were sold was \$1,091.63.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$1,093.65 and the lowest price at which shares were sold was \$1,092.67.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$1,094.65 and the lowest price at which shares were sold was \$1,093.67.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$1,095.64 and the lowest price at which shares were sold was \$1,094.67.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$1,096.66 and the lowest price at which shares were sold was \$1,095.68.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$1,097.67 and the lowest price at which shares were sold was \$1,096.69.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$1,098.67 and the lowest price at which shares were sold was \$1,097.70.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$1,099.65 and the lowest price at which shares were sold was \$1,098.70.
- (24) Represents the weighted average sale price. The highest price at which shares were sold was \$1,100.71 and the lowest price at which shares were sold was \$1,099.72.
- (25) Represents the weighted average sale price. The highest price at which shares were sold was \$1,101.64 and the lowest price at which shares were sold was \$1,100.73.
- (26) Represents the weighted average sale price. The highest price at which shares were sold was \$1,089.97 and the lowest price at which shares were sold was \$1,088.98.
- (27) Represents the weighted average sale price. The highest price at which shares were sold was \$1,090.94 and the lowest price at which shares were sold was \$1,089.98.
- (28) Represents the weighted average sale price. The highest price at which shares were sold was \$1,091.97 and the lowest price at which shares were sold was \$1,090.98.

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- (29) Represents the weighted average sale price. The highest price at which shares were sold was \$1,092.84 and the lowest price at which shares were sold was \$1,091.99.
- (30) Represents the weighted average sale price. The highest price at which shares were sold was \$1,093.84 and the lowest price at which shares were sold was \$1,093.02.

### **Remarks:**

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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