

AMAZON COM INC
Form 4
November 17, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Zapolsky David

(Last) (First) (Middle)

PO BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Amount or Price		
Common Stock, par value \$0.01 per share	11/15/2015		M	A	573 \$ 0	D	
Common Stock, par value \$0.01 per share	11/15/2015		M	A	363 \$ 0	D	
Common Stock, par value \$0.01 per share	11/15/2015		M	A	27 \$ 0	D	

Edgar Filing: AMAZON COM INC - Form 4

value \$.01 per share							
Common Stock, par value \$.01 per share	11/15/2015	M	723	A	\$ 0	4,061	D
Common Stock, par value \$.01 per share	11/15/2015	M	637	A	\$ 0	4,698	D
Common Stock, par value \$.01 per share	11/16/2015	<u>S⁽¹⁾</u>	200	D	\$ <u>623.485</u> ⁽²⁾	4,498	D
Common Stock, par value \$.01 per share	11/16/2015	<u>S⁽¹⁾</u>	23	D	\$ 624.85	4,475	D
Common Stock, par value \$.01 per share	11/16/2015	<u>S⁽¹⁾</u>	300	D	\$ 626.7967 ⁽³⁾	4,175	D
Common Stock, par value \$.01 per share	11/16/2015	<u>S⁽¹⁾</u>	400	D	\$ 628.8876 ⁽⁴⁾	3,775	D
Common Stock, par value \$.01 per share	11/16/2015	<u>S⁽¹⁾</u>	300	D	\$ 629.9467 ⁽⁵⁾	3,475	D
Common Stock, par value \$.01 per share	11/16/2015	<u>S⁽¹⁾</u>	300	D	\$ 630.9267 ⁽⁶⁾	3,175	D
Common Stock, par value	11/16/2015	<u>S⁽¹⁾</u>	100	D	\$ 632.54	3,075	D

Edgar Filing: AMAZON COM INC - Form 4

\$.01 per share

Common Stock, par value \$0.01 per share

11/16/2015 S⁽¹⁾ 100 D \$ 634.18 2,975 D

Common Stock, par value \$0.01 per share

11/16/2015 S⁽¹⁾ 200 D \$ 635.97⁽⁷⁾ 2,775 D

Common Stock, par value \$0.01 per share

11/16/2015 S⁽¹⁾ 200 D \$ 637.325⁽⁸⁾ 2,575 D

Common Stock, par value \$0.01 per share

11/16/2015 S⁽¹⁾ 200 D \$ 638.985⁽⁹⁾ 2,375 D

Common Stock, par value \$0.01 per share

72.554 I

Held by the reporting person's Amazon.com 401(k) plan account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Title

						Expiration Date	Amount or Number of Shares
Restricted Stock Unit Award	\$ 0 ⁽¹⁰⁾	11/15/2015	M	573	05/15/2013 ⁽¹¹⁾	02/15/2018	Common Stock, par value \$.01 per share 573
Restricted Stock Unit Award	\$ 0 ⁽¹⁰⁾	11/15/2015	M	363	05/15/2014 ⁽¹²⁾	02/15/2017	Common Stock, par value \$.01 per share 363
Restricted Stock Unit Award	\$ 0 ⁽¹⁰⁾	11/15/2015	M	27	05/15/2014 ⁽¹³⁾	02/15/2017	Common Stock, par value \$.01 per share 27
Restricted Stock Unit Award	\$ 0 ⁽¹⁰⁾	11/15/2015	M	723	05/15/2014 ⁽¹⁴⁾	02/15/2016	Common Stock, par value \$.01 per share 723
Restricted Stock Unit Award	\$ 0 ⁽¹⁰⁾	11/15/2015	M	637	05/15/2015 ⁽¹⁵⁾	02/15/2020	Common Stock, par value \$.01 per share 637

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zapolsky David PO BOX 81226 SEATTLE, WA 98108-1226			Senior Vice President	

Signatures

/s/ David Zapolsky, Senior Vice President, General Counsel & Secretary

11/17/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$623.77 and the lowest price at which shares were sold was \$623.20.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$626.99 and the lowest price at which shares were sold was \$626.55.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$629.22 and the lowest price at which shares were sold was \$628.24.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$630.48 and the lowest price at which shares were sold was \$629.55.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$631.36 and the lowest price at which shares were sold was \$630.70.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$636.05 and the lowest price at which shares were sold was \$635.89.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$637.44 and the lowest price at which shares were sold was \$637.21.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$639.03 and the lowest price at which shares were sold was \$638.94.
- (10) Converts into Common Stock on a one-for-one basis.
- (11) This award vests upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 604 shares on each of May 15, 2013, August 15, 2013, and November 15, 2013; 603 shares on February 15, 2014; 866 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, and February 15, 2015; 573 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 451 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; 450 shares on February 15, 2017; 1,002 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; and 1,001 shares on February 15, 2018.
- (12) This award vests at the rate of: 305 shares on each of May 15, 2014, August 15, 2014, and November 15, 2014; 303 shares on February 15, 2015; 363 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 361 shares on February 15, 2016; 334 shares on each of May 15, 2016, August 15, 2016, and November 15, 2016; and 333 shares on February 15, 2017.
- (13) This award vests at the rate of: 17 shares on May 15, 2014; 16 shares on each of August 15, 2014, November 15, 2014, and February 15, 2015; 27 shares on each of May 15, 2015, August 15, 2015, and November 15, 2015; 26 shares on February 15, 2016; and 524 shares on each of May 15, 2016, August 15, 2016, November 15, 2016, and February 15, 2017.
- (14) This award vests at the rate of: 957 shares on May 15, 2014; 958 shares on each of August 15, 2014, November 15, 2014, and February 15, 2015; 722 shares on each of May 15, 2015 and August 15, 2015; and 723 shares on each of November 15, 2015 and February 15, 2016.
- (15) This award vests upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 637 shares on each of May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016; 710 shares on each of May 15, 2016 and August 15, 2016; 711 shares on each of November 15, 2016 and February 15, 2017; 753 shares on May 15, 2017; 754 shares on each of August 15, 2017, November 15, 2017, and February 15, 2018; 1,367 shares on each of May 15, 2018 and August 15, 2018; 1,368 shares on each of November 15, 2018 and February 15, 2019; and 1,051 shares on each of May 15, 2019, August 15, 2019, November 15, 2019, and February 15, 2020.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Exhibit 24

Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Edgar Filing: AMAZON COM INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.