

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II

Form NSAR-A

October 28, 2008

PAGE 1  
000 A000000 08/31/2008  
000 C000000 0001227857  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLGATE CONVERTIBLE & INCOME FD II  
001 B000000 811-21338  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-17227  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-17227  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
011 A000001 UBS SECURITIES LLC  
011 B000001 8-22651  
PAGE 2  
011 C010001 STAMFORD  
011 C020001 CT  
011 C030001 06901  
011 A000002 CITIGROUP GLOBAL MARKETS, INC.  
011 B000002 8-00541  
011 C010002 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

011 C020002 NY  
011 C030002 10022  
011 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.  
011 B000003 8-00962  
011 C010003 NEW YORK  
011 C020003 NY  
011 C030003 10080  
011 A000004 WACHOVIA CAPITAL MARKETS LLC  
011 B000004 8-02612  
011 C010004 CHARLOTTE  
011 C020004 NC  
011 C030004 28202  
011 A000005 LEGG MASON WOOD WALKER, INC.  
011 B000005 8-15939  
011 C010005 BALTIMORE  
011 C020005 MD  
011 C030005 21202  
011 C040005 1476  
011 A000006 RBC DAIN RAUSCHER, INC.  
011 B000006 8-45411  
011 C010006 MINNEAPOLIS  
011 C020006 MN  
011 C030006 55402  
011 A000007 WELLS FARGO SECURITIES LLC  
011 B000007 8-35225  
011 C010007 SAN FRANCISCO  
011 C020007 CA  
011 C030007 94104  
011 A000008 ADVEST, INC.  
011 B000008 8-04159  
011 C010008 HARTFORD  
011 C020008 CT  
011 C030008 06103  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000001 DRESDNER KLEINWORT SECURITIES LLC  
014 B000001 8-49647

PAGE 3

014 A000002 NICHOLAS-APPLGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000003 8-041811  
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000004 8-013630  
014 A000005 QUESTAR CAPITAL CORP.  
014 B000005 8-050174  
014 A000006 DELETE  
014 A000007 DELETE  
014 A000008 DELETE  
014 A000009 DELETE  
014 A000010 DELETE  
014 A000011 DELETE  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 78  
019 C000000 ALLIANZGLO  
020 A000001 INSTINET LLC  
020 B000001 13-2625132  
020 C000001 29  
020 A000002 LIQUIDNET, INC.  
020 B000002 13-4095933  
020 C000002 19  
020 A000003 LEHMAN BROTHERS HOLDINGS, INC.  
020 B000003 13-3216325  
020 C000003 18  
020 A000004 MERRILL LYNCH & CO., INC.  
020 B000004 13-2740599  
020 C000004 17  
020 A000005 CITIGROUP, INC.  
020 B000005 52-1568099  
020 C000005 11  
020 A000006 BANK OF AMERICA  
020 B000006 56-2058466  
020 C000006 10  
020 A000007 WACHOVIA CORP.  
020 B000007 56-0898180  
020 C000007 10  
020 A000008 GOLDMAN SACHS GROUP, INC.  
020 B000008 13-4019460  
020 C000008 9  
020 A000009 INVESTMENT TECHNOLOGY GROUP INC  
020 B000009 13-3757717  
020 C000009 8  
PAGE 4  
020 A000010 JP MORGAN CHASE & CO.  
020 B000010 13-2624428  
020 C000010 8  
021 000000 176  
022 A000001 CREDIT SUISSE GROUP  
022 B000001 13-5659485  
022 C000001 76110  
022 D000001 9493  
022 A000002 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000002 13-3216325  
022 C000002 55536  
022 D000002 12200  
022 A000003 WACHOVIA CORP.  
022 B000003 56-0898180  
022 C000003 43523  
022 D000003 388  
022 A000004 BANK OF AMERICA  
022 B000004 56-2058466  
022 C000004 34181  
022 D000004 5465  
022 A000005 GOLDMAN SACHS GROUP, INC.  
022 B000005 13-4019460  
022 C000005 19769  
022 D000005 11064  
022 A000006 MORGAN STANLEY  
022 B000006 36-3145972

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

022 C000006 18139  
022 D000006 10418  
022 A000007 MIZUHO SECURITIES USA, INC.  
022 B000007 36-2880742  
022 C000007 24247  
022 D000007 0  
022 A000008 MERRILL LYNCH & CO., INC.  
022 B000008 13-2740599  
022 C000008 9893  
022 D000008 10867  
022 A000009 UBS AG  
022 B000009 13-3873456  
022 C000009 0  
022 D000009 19659  
022 A000010 JP MORGAN CHASE & CO.  
022 B000010 13-2624428  
022 C000010 12557  
022 D000010 5119  
023 C000000 329503  
023 D000000 131959  
024 000000 Y  
025 A000001 BANK OF AMERICA  
025 B000001 56-2058466  
025 C000001 E  
025 D000001 38348  
PAGE 5  
025 A000002 CREDIT SUISSE GROUP  
025 B000002 13-5659485  
025 C000002 E  
025 D000002 33460  
025 A000003 LEHMAN BROTHERS HOLDINGS, INC.  
025 B000003 13-3216325  
025 C000003 E  
025 D000003 30439  
025 A000004 WACHOVIA CORP.  
025 B000004 56-0898180  
025 C000004 E  
025 D000004 28223  
025 A000005 GOLDMAN SACHS GROUP, INC.  
025 B000005 13-4019460  
025 C000005 E  
025 D000005 17215  
025 A000006 BANK OF AMERICA  
025 B000006 56-2058466  
025 C000006 D  
025 D000006 15986  
025 A000007 CITIGROUP, INC.  
025 B000007 52-1568099  
025 C000007 E  
025 D000007 15921  
025 D000008 0  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
	PAGE 6	
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.700
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
	PAGE	7	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	N	
054	A000000	Y	
054	B000000	Y	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	Y	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	N	
054	O000000	N	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	Y	
062	B000000	0.0	
062	C000000	0.0	
062	D000000	0.0	
062	E000000	0.0	
062	F000000	0.0	
062	G000000	0.0	
062	H000000	0.0	
062	I000000	0.0	
062	J000000	2.4	
062	K000000	0.0	
062	L000000	0.0	
062	M000000	5.2	
062	N000000	0.0	
	PAGE	8	
062	O000000	0.0	
062	P000000	73.7	
062	Q000000	22.7	
062	R000000	71.3	

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

063	A000000	0
063	B000000	7.2
064	A000000	N
064	B000000	Y
065	000000	N
066	A000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	Y
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	N
070	M010000	N
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	367463
	PAGE	9
071	B000000	336416
071	C000000	1170247
071	D000000	29
072	A000000	6
072	B000000	32112
072	C000000	26920
072	D000000	0
072	E000000	413
072	F000000	4274
072	G000000	0
072	H000000	0
072	I000000	16
072	J000000	84
072	K000000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

072 L000000	91
072 M000000	51
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	36
072 S000000	15
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	689
072 X000000	5256
072 Y000000	0
072 Z000000	54189
072AA000000	0
072BB000000	50967
072CC010000	0
072CC020000	36495
072DD010000	42381
072DD020000	0
072EE000000	0
073 A010000	0.7125
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	64
074 B000000	0
074 C000000	15986
074 D000000	663917
074 E000000	465651
074 F000000	0
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	7244
074 K000000	0
PAGE 10	
074 L000000	19402
074 M000000	44
074 N000000	1172308
074 O000000	5554
074 P000000	689
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	7623
074 S000000	505000
074 T000000	653442
074 U010000	59587
074 U020000	0
074 V010000	10.97
074 V020000	0.00
074 W000000	0.0000
074 X000000	107
074 Y000000	0
075 A000000	0
075 B000000	706220
076 000000	10.85
077 A000000	Y
077 B000000	N



Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

077 C000000 Y  
077 D000000 N  
077 E000000 N  
077 F000000 N  
077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 C000000 0  
081 B000000 0  
082 B000000 0  
083 B000000 0  
084 B000000 0  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
PAGE 11  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 65370G109  
087 A030000 NCZ  
087 B010000 PREFERRED SHARES SERIES A  
087 B020000 65370G208  
087 C010000 PREFERRED SHARES SERIES B  
087 C020000 65370G307  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO