**CARNIVAL CORP** 

Form 4

October 08, 2004

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JAFASA CONTINUED IRREVOCABLE TRUST

> (Last) (First) (Middle)

C/O JMD DELAWARE, INC., AS TRUSTEE, 1201 MARKET STREET, 18TH FLOOR

(Street)

CARNIVAL CORP [CCL]

3. Date of Earliest Transaction

(Month/Day/Year)

10/06/2004

Symbol

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below) See footnote 1 below

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WILMINGTON, DE 19801

|                                      |   |   |   |   |        |  | reison   |   |  |
|--------------------------------------|---|---|---|---|--------|--|--|---|--|
| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                             | Derivative  | e Secu | rities Aco   | quired, Disposed   | of, or Benefic  | ially Owned  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      |   |   |   |   |        |  | 759,010  | I (1)   | By TAMMS<br>Investment<br>Company,<br>Limited<br>Partnership |
| Common<br>Stock                      | 10/06/2004                              |   | S                                       | 3,000<br>(2)  | D      | \$<br>48.89  | 3,275,550  | D (1)   |  |
| Common<br>Stock                      | 10/06/2004                              |   | S                                       | 2,900<br>(2)  | D      | \$<br>48.93  | 3,272,650  | D (1)   |  |
| Common                               | 10/06/2004                              |   | S                                       | 500 (2)   | D      | \$   | 3,272,150  | D (1)   |  |

#### Edgar Filing: CARNIVAL CORP - Form 4

| Stock           |            |   |              |   | 48.94       |           |       |
|-----------------|------------|---|--------------|---|-------------|-----------|-------|
| Common<br>Stock | 10/06/2004 | S | 1,600<br>(2) | D | \$<br>48.95 | 3,270,550 | D (1) |
| Common<br>Stock | 10/06/2004 | S | 3,000<br>(2) | D | \$<br>48.99 | 3,267,550 | D (1) |
| Common<br>Stock | 10/06/2004 | S | 5,000<br>(2) | D | \$ 49.1     | 3,262,550 | D (1) |
| Common<br>Stock | 10/06/2004 | S | 4,000<br>(2) | D | \$<br>49.11 | 3,258,550 | D (1) |
| Common<br>Stock | 10/07/2004 | S | 2,500<br>(2) | D | \$ 49       | 3,256,050 | D (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5            | ).         | 6. Date Exerc    | cisable and | 7. Titl | e and          | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|--------------|------------|------------------|-------------|---------|----------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transac   | ction        | Number     | Expiration Da    | ate         | Amou    | nt of          | Derivative  |
| Security    | or Exercise |                     | any                | Code      | C            | of         | (Month/Day/      | Year)       | Under   | lying          | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8 | 8) I         | Derivative |                  |             | Secur   | ities          | (Instr. 5)  |
|             | Derivative  |                     |                    |           | 5            | Securities |                  |             | (Instr. | 3 and 4)       |             |
|             | Security    |                     |                    |           | A            | Acquired   |                  |             |         |                |             |
|             |             |                     |                    |           | (            | (A) or     |                  |             |         |                |             |
|             |             |                     |                    |           | I            | Disposed   |                  |             |         |                |             |
|             |             |                     |                    |           | C            | of (D)     |                  |             |         |                |             |
|             |             |                     |                    |           | (            | Instr. 3,  |                  |             |         |                |             |
|             |             |                     |                    |           | 4            | 4, and 5)  |                  |             |         |                |             |
|             |             |                     |                    |           |              |            |                  |             |         | Amount         |             |
|             |             |                     |                    |           |              |            |                  |             |         | Amount         |             |
|             |             |                     |                    |           |              |            | Date             | Expiration  | T:41-   | or<br>Namelana |             |
|             |             |                     |                    |           |              |            | Exercisable Date |             | ritte   | Number         |             |
|             |             |                     |                    | C 1       | <b>3</b> 7 / | (A) (D)    |                  |             |         | of             |             |
|             |             |                     |                    | Code      | V (          | (A) (D)    |                  |             |         | Shares         |             |

# **Reporting Owners**

| Reporting Owner Name / Address     | Keiauonsnips |           |         |                |  |  |  |
|------------------------------------|--------------|-----------|---------|----------------|--|--|--|
|                                    | Director     | 10% Owner | Officer | Other          |  |  |  |
| JAFASA CONTINUED IRREVOCABLE TRUST |              |           |         |                |  |  |  |
| C/O JMD DELAWARE, INC.,AS TRUSTEE  |              |           |         | See footnote 1 |  |  |  |
| 1201 MARKET STREET, 18TH FLOOR     |              |           |         | below          |  |  |  |
| WILMINGTON DE 19801                |              |           |         |                |  |  |  |

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### **Signatures**

/s/ John J. O'Neil, Authorized Signatory, JMD Delaware, Inc.,
Trustee

10/08/2004

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival
  Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.
- (2) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated May 27, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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