

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

AMERIPRISE FINANCIAL INC
Form 13F-HR
August 14, 2007

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13 (F) COVER PAGE

Report for the Calendar Year or Quarter Ended: June 29, 2007

Check here if Amendment ; Amendment Number: _____

This Amendment (Check only one.): is a restatement.
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Ameriprise Financial, Inc.
Address: 430 Ameriprise Financial Center
Minneapolis, MN 55474-0000

Form 13F File Number: 28-139

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Jeffrey Fox
Title: V.P. Investment Accounting
Phone: 612-671-6741

Signature, Place, and Date of Signing:

| | | |
|----------------------|------------------------|-----------------|
| /s/ Jeffrey Fox | Minneapolis, MN | August 14, 2007 |
| ----- [Signature] | ----- [City, State] | ----- [Date] |

Report Type (Check only one.):

- 13F HOLDINGS REPORT.
 13F NOTICE.
 13F COMBINATION REPORT.

FORM 13F
SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 9

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

Form 13F Information Table Entry Total: 9226

Form 13F Information Table Value Total: \$64558249
(thousands)

List of Other Included Managers:

| No. | 13F File Number | Name |
|-----|-----------------|--|
| 01 | 28-139 | Ameriprise Financial, Inc. |
| 02 | 28-10477 | RiverSource Investments, LLC |
| 03 | 28-1045 | Ameriprise Trust Company |
| 04 | 28-140 | Ameriprise Certificate Company |
| 05 | 28-143 | RiverSource Life Insurance Company |
| 06 | 28-1046 | RiverSource Life Insurance Company of New York |
| 10 | | Threadneedle Asset Management Limited |
| 14 | | Kenwood Capital Management LLC |
| 15 | | Securities America, Inc. |

FORM 13F INFORMATION TABLE

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DSCRETN | OTHER MANAGE |
|--------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------|-----------------|
| CNH GLOBAL N.V. | COM | 000029893 | 40851 | 800862 | SH | | DEFINED | 01 10 |
| AAB NATL CO COM | COM | 000303107 | 0 | 100 | SH | | DEFINED | 01 15 |
| AAB NATIONAL COMPANY (RESTRICT | COM | 000303990 | 0 | 354 | SH | | DEFINED | 01 15 |
| AAG HLDG INC GTD SR DEB | COM | 000336305 | 13 | 500 | SH | | DEFINED | 01 15 |
| AAON INC | COM | 000360206 | 160 | 5040 | SH | | DEFINED | 01 02 |
| AAR CORP | COM | 000361105 | 2918 | 88405 | SH | | DEFINED | 01 02 |
| ABB LTD-SPON ADR | COM | 000375204 | 99637 | 4408684 | SH | | DEFINED | 01 02 |
| ABB LTD-SPON ADR | COM | 000375204 | 407 | 17987 | SH | | DEFINED | 01 03 |
| ABB LTD-SPON ADR | COM | 000375204 | 181 | 8012 | SH | | DEFINED | 01 15 |
| A B WATLEY GRP INC | COM | 000788109 | 0 | 500 | SH | | DEFINED | 01 15 |
| ABX AIR INC | COM | 00080S101 | 23 | 2825 | SH | | DEFINED | 01 02 |
| ABX AIR INC | COM | 00080S101 | 4 | 500 | SH | | DEFINED | 01 15 |
| ABN AMRO CAP FDG TR VI NON | COM | 00080V203 | 9 | 385 | SH | | DEFINED | 01 15 |
| ACCO BRANDS CORP | COM | 00081T108 | 0 | 0 | SH | | DEFINED | 01 02 |
| ACCO BRANDS CORP | COM | 00081T108 | 20 | 863 | SH | | DEFINED | 01 15 |
| ACNB CORP | COM | 000868109 | 2 | 88 | SH | | DEFINED | 01 15 |
| ADC TELECOMMUNICATIONS INC | COM | 000886309 | 1696 | 92518 | SH | | DEFINED | 01 02 |
| ADC TELECOMMUNICATIONS INC | COM | 000886309 | 356 | 19432 | SH | | DEFINED | 01 03 |
| ADC TELECOMMUNICATIONS INC | COM | 000886309 | 25 | 1380 | SH | | DEFINED | 01 15 |
| A CLASSIFIED AD INC COM | COM | 00089Y109 | 0 | 100 | SH | | DEFINED | 01 15 |
| A CLASSIFIED AD INC (RESTRICTE | COM | 00089Y992 | 0 | 290 | SH | | DEFINED | 01 15 |
| ABN AMRO HLDG N V SPONSORED | COM | 000937102 | 107 | 2333 | SH | | DEFINED | 01 15 |
| AER ENERGY RES INC | COM | 000944108 | 0 | 11800 | SH | | DEFINED | 01 15 |
| ACM MANAGED DLR INC FU | COM | 000949107 | 8 | 1000 | SH | | DEFINED | 01 15 |
| ABM INDUSTRIES INC | COM | 000957100 | 7137 | 276525 | SH | | DEFINED | 01 02 |
| ABM INDUSTRIES INC | COM | 000957100 | 1052 | 40790 | SH | | DEFINED | 01 02 |
| ABM INDUSTRIES INC | COM | 000957100 | 366 | 14190 | SH | | DEFINED | 01 03 |
| ABM INDUSTRIES INC | COM | 000957100 | 3 | 106 | SH | | DEFINED | 01 15 |
| ADM TRONICS UNLTD INC | COM | 001004100 | 35 | 131390 | SH | | DEFINED | 01 15 |
| ADS MEDIA GROUP INC COM NEW | COM | 00100X205 | 0 | 74 | SH | | DEFINED | 01 15 |
| AEP INDUSTRIES INC | COM | 001031103 | 180 | 4003 | SH | | DEFINED | 01 02 |
| AFC ENTERPRISES | COM | 00104Q107 | 262 | 15149 | SH | | DEFINED | 01 02 |
| AFC ENTERPRISES | COM | 00104Q107 | 2 | 100 | SH | | DEFINED | 01 15 |
| AFLAC INC | COM | 001055102 | 74725 | 1453768 | SH | | DEFINED | 01 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|---------|----------|----|---------|----|----|
| AFLAC INC | COM | 001055102 | 4161 | 80945 | SH | DEFINED | 01 | 03 |
| AFLAC INC | COM | 001055102 | 2910 | 56616 | SH | DEFINED | 01 | 15 |
| AFV SOLUTIONS INC | COM | 00106X100 | 0 | 30 | SH | DEFINED | 01 | 15 |
| AGCO CORP | COM | 001084102 | 57911 | 1334057 | SH | DEFINED | 01 | 02 |
| AGCO CORP | COM | 001084102 | 14406 | 332031 | SH | DEFINED | 01 | 10 |
| AGCO CORP | COM | 001084102 | 46 | 1065 | SH | DEFINED | 01 | 15 |
| AGL RESOURCES INC | COM | 001204106 | 5209 | 128680 | SH | DEFINED | 01 | 02 |
| AGL RESOURCES INC | COM | 001204106 | 81 | 1997 | SH | DEFINED | 01 | 15 |
| AES CORP | COM | 00130H105 | 24507 | 1120133 | SH | DEFINED | 01 | 02 |
| AES CORP | COM | 00130H105 | 2783 | 127209 | SH | DEFINED | 01 | 03 |
| AES CORP | COM | 00130H105 | 339 | 15495 | SH | DEFINED | 01 | 15 |
| AK STEEL HOLDING CORP | COM | 001547108 | 551 | 14728 | SH | DEFINED | 01 | 02 |
| AK STEEL HOLDING CORP | COM | 001547108 | 51 | 1367 | SH | DEFINED | 01 | 15 |
| ALJ REGL HLDGS INC COM | COM | 001627108 | 0 | 500 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| AMB PROPERTY CORP | COM | 00163T109 | 3676 | 69091 | SH | DEFINED | 01 | 02 |
| AMDL INC COM PAR 2006 | COM | 00167K500 | 0 | 1 | SH | DEFINED | 01 | 15 |
| AMICAS INC | COM | 001712108 | 443 | 125175 | SH | DEFINED | 01 | 02 |
| AML COMMUNICATIONS INC | COM | 001733104 | 0 | 340 | SH | DEFINED | 01 | 15 |
| AMN HEALTHCARE SERVICES INC | COM | 001744101 | 2139 | 97230 | SH | DEFINED | 01 | 02 |
| AMR CORP DEL | COM | 001765106 | 45830 | 1739142 | SH | DEFINED | 01 | 02 |
| AMR CORP DEL | COM | 001765106 | 241 | 9119 | SH | DEFINED | 01 | 03 |
| AMR CORP DEL | COM | 001765106 | 18450 | 701558 | SH | DEFINED | 01 | 10 |
| AMR CORP DEL | COM | 001765106 | 36 | 1369 | SH | DEFINED | 01 | 15 |
| ANC RENT CORP NO 100HOLD | COM | 001813104 | 0 | 55 | SH | DEFINED | 01 | 15 |
| AOI COAL CO COM | COM | 001832104 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| APS HLDGS CORP CL A NO 100HOLD | COM | 001937101 | 0 | 7500 | SH | DEFINED | 01 | 15 |
| A.S.V. INC | COM | 001963107 | 584 | 33790 | SH | DEFINED | 01 | 02 |
| A.S.V. INC | COM | 001963107 | 288 | 16693 | SH | DEFINED | 01 | 03 |
| A.S.V. INC | COM | 001963107 | 5 | 280 | SH | DEFINED | 01 | 15 |
| ARC ENERGY TR UNIT ISIN #CA00 | COM | 001986108 | 65 | 3145 | SH | DEFINED | 01 | 15 |
| ARC COMMUNICATIONS INC NJ EXC | COM | 00204P108 | 0 | 100000 | SH | DEFINED | 01 | 15 |
| A T & E CORP | COM | 002062107 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ATG INC NO 100HOLD | COM | 00206P106 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| AT&T INC | COM | 00206R102 | 1123683 | 27076728 | SH | DEFINED | 01 | 02 |
| AT&T INC | COM | 00206R102 | 44681 | 1076646 | SH | DEFINED | 01 | 03 |
| AT&T INC | COM | 00206R102 | 42752 | 1030174 | SH | DEFINED | 01 | 10 |
| AT&T INC | COM | 00206R102 | 18707 | 450767 | SH | DEFINED | 01 | 15 |
| AT & T 7.00% PUB INCOME NT | COM | 00206R201 | 48 | 1900 | SH | DEFINED | 01 | 15 |
| ATMI INC | COM | 00207R101 | 1699 | 56647 | SH | DEFINED | 01 | 02 |
| ATS MEDICAL INC | COM | 002083103 | 1 | 350 | SH | DEFINED | 01 | 15 |
| ATP OIL & GAS CORP | COM | 00208J108 | 150 | 3081 | SH | DEFINED | 01 | 15 |
| ASBC CAPITAL I | COM | 00209E207 | 8 | 300 | SH | DEFINED | 01 | 15 |
| A SUPER DEAL COM INCCOM | COM | 00210R106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| A SUPER DEAL INC RESTRICTED | COM | 00210R890 | 0 | 284 | SH | DEFINED | 01 | 15 |
| ATX COMMUNICATIONS INC COM N | COM | 002113108 | 0 | 10 | SH | DEFINED | 01 | 15 |
| AU OPTRONICS CORP | COM | 002255107 | 107 | 6197 | SH | DEFINED | 01 | 15 |
| AW COMPUTER SYS INC CL A | COM | 002448108 | 0 | 300 | SH | DEFINED | 01 | 15 |
| AXM PHARMA INC COM | COM | 002457109 | 1 | 4000 | SH | DEFINED | 01 | 15 |
| AXT INC | COM | 00246W103 | 332 | 76606 | SH | DEFINED | 01 | 02 |
| AZZ INC | COM | 002474104 | 446 | 13256 | SH | DEFINED | 01 | 02 |
| AAIPHARMA INC COM NO 100 | COM | 00252W104 | 0 | 500 | SH | DEFINED | 01 | 15 |
| AARON RENTS INC | COM | 002535201 | 2337 | 80041 | SH | DEFINED | 01 | 02 |
| AARON RENTS INC | COM | 002535201 | 32 | 1112 | SH | DEFINED | 01 | 15 |
| ABAXIS INC | COM | 002567105 | 16 | 775 | SH | DEFINED | 01 | 15 |
| ABBOTT LABORATORIES | COM | 002824100 | 133083 | 2485237 | SH | DEFINED | 01 | 02 |
| ABBOTT LABORATORIES | COM | 002824100 | 14272 | 266519 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| ABBOTT LABORATORIES | COM | 002824100 | 8761 | 163634 | SH | DEFINED | 01 | 10 |
| ABBOTT LABORATORIES | COM | 002824100 | 3512 | 65590 | SH | DEFINED | 01 | 15 |
| ABER DIAMOND CORP ISIN | COM | 002893105 | 4 | 103 | SH | DEFINED | 01 | 15 |
| ABERCROMBIE & FITCH CO. | COM | 002896207 | 15500 | 212400 | SH | DEFINED | 01 | 02 |
| ABERCROMBIE & FITCH CO. | COM | 002896207 | 6975 | 95579 | SH | DEFINED | 01 | 02 |
| ABERCROMBIE & FITCH CO. | COM | 002896207 | 1267 | 17361 | SH | DEFINED | 01 | 03 |
| ABERCROMBIE & FITCH CO. | COM | 002896207 | 69033 | 947208 | SH | DEFINED | 01 | 10 |
| ABERCROMBIE & FITCH CO. | COM | 002896207 | 67 | 924 | SH | DEFINED | 01 | 15 |
| ABBEY NATIONAL PLCPPD TIER 1 | COM | 002920809 | 23 | 900 | SH | DEFINED | 01 | 15 |
| ABERDEEN ASIA PACIFIC IN | COM | 003009107 | 357 | 55828 | SH | DEFINED | 01 | 15 |
| ABERDEEN AUSTRALIA EQUITY FD | COM | 003011103 | 12 | 700 | SH | DEFINED | 01 | 15 |
| ABERDEEN GLOBAL INCOME FD | COM | 003013109 | 10 | 800 | SH | DEFINED | 01 | 15 |
| ABIOMED INC | COM | 003654100 | 254 | 23600 | SH | DEFINED | 01 | 15 |
| ABLEAUCTIONS COM INCCOM NEW | COM | 00371F206 | 0 | 250 | SH | DEFINED | 01 | 15 |
| ABLE LABORATORIES INC COM NE | COM | 00371N407 | 0 | 1633 | SH | DEFINED | 01 | 15 |
| ABN AMRO CAP FDG TR V GTD TR P | COM | 00372P203 | 208 | 9000 | SH | DEFINED | 01 | 15 |
| ABN AMRO CAP FDG TR VII GTD TR | COM | 00372Q201 | 348 | 14915 | SH | DEFINED | 01 | 15 |
| ABRAXIS BIOSCIENCE INC COM | COM | 00383E106 | 40 | 1777 | SH | DEFINED | 01 | 15 |
| ACACIA RES CORP AR COMBIMA | COM | 003881208 | 0 | 55 | SH | DEFINED | 01 | 15 |
| ACACIA RESH CORP AR ACACIA | COM | 003881307 | 2 | 100 | SH | DEFINED | 01 | 15 |
| AC ENERGY INC RESTRICTED | COM | 003998812 | 0 | 4 | SH | DEFINED | 01 | 15 |
| ACADIA REALTY TRUST | COM | 004239109 | 3461 | 133370 | SH | DEFINED | 01 | 02 |
| ACCENT COLOR SCIENCES I | COM | 004305108 | 0 | 500 | SH | DEFINED | 01 | 15 |
| ACCESS POWER INC | COM | 00431N108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| ACCESS INTEGRATED TECHNOLO-A | COM | 004329108 | 274 | 33921 | SH | DEFINED | 01 | 02 |
| ACCREDITED HOME LENDERS HL | COM | 00437P107 | 3 | 200 | SH | DEFINED | 01 | 15 |
| ACCURAY INC | COM | 004397105 | 222 | 10000 | SH | DEFINED | 01 | 02 |
| ACCURAY INC | COM | 004397105 | 71 | 3200 | SH | DEFINED | 01 | 15 |
| ACCURIDE CORP | COM | 004398103 | 2795 | 181390 | SH | DEFINED | 01 | 02 |
| ACCURIDE CORP | COM | 004398103 | 584 | 37900 | SH | DEFINED | 01 | 02 |
| ACCURIDE CORP | COM | 004398103 | 218 | 14130 | SH | DEFINED | 01 | 03 |
| ACERGY S A SPONSORED | COM | 00443E104 | 12 | 555 | SH | DEFINED | 01 | 15 |
| ACETO CORP | COM | 004446100 | 1 | 131 | SH | DEFINED | 01 | 15 |
| ACME METALS INC NO 100HOLD | COM | 004724100 | 0 | 97 | SH | DEFINED | 01 | 15 |
| ACOM CO LTD SPONSORED | COM | 004845202 | 2 | 213 | SH | DEFINED | 01 | 15 |
| ACTIVISION INC | COM | 004930202 | 354 | 18978 | SH | DEFINED | 01 | 02 |
| ACTIVISION INC | COM | 004930202 | 17 | 930 | SH | DEFINED | 01 | 03 |
| ACTIVISION INC | COM | 004930202 | 40591 | 2178789 | SH | DEFINED | 01 | 10 |
| ACTIVISION INC | COM | 004930202 | 3330 | 178387 | SH | DEFINED | 01 | 15 |
| ACTEL CORP | COM | 004934105 | 1484 | 106657 | SH | DEFINED | 01 | 02 |
| ACTEL CORP | COM | 004934105 | 605 | 43440 | SH | DEFINED | 01 | 02 |
| ACTEL CORP | COM | 004934105 | 214 | 15400 | SH | DEFINED | 01 | 03 |
| ACTIVIDENTITY CORP COM | COM | 00506P103 | 95 | 20682 | SH | DEFINED | 01 | 15 |
| ACTUATE CORP | COM | 00508B102 | 2205 | 324870 | SH | DEFINED | 01 | 02 |
| ACTUANT CORPORATION | COM | 00508X203 | 5393 | 85528 | SH | DEFINED | 01 | 02 |
| ACUITY BRANDS INC | COM | 00508Y102 | 10009 | 166029 | SH | DEFINED | 01 | 02 |
| ACUITY BRANDS INC | COM | 00508Y102 | 1057 | 17530 | SH | DEFINED | 01 | 02 |
| ACUITY BRANDS INC | COM | 00508Y102 | 362 | 6000 | SH | DEFINED | 01 | 03 |
| ACUITY BRANDS INC | COM | 00508Y102 | 167 | 2766 | SH | DEFINED | 01 | 15 |
| ACURA PHARMACEUT | COM | 00509L109 | 6 | 5000 | SH | DEFINED | 01 | 15 |
| ACXIOM CORP | COM | 005125109 | 994 | 37581 | SH | DEFINED | 01 | 02 |
| ACXIOM CORP | COM | 005125109 | 21 | 800 | SH | DEFINED | 01 | 15 |
| ADAMS EXPRESS COMPANY | COM | 006212104 | 32 | 2132 | SH | DEFINED | 01 | 15 |
| ADAMS RESOURCES & ENERGY INC | COM | 006351308 | 266 | 8910 | SH | DEFINED | 01 | 02 |
| ADAMS RESPIRATORY THERA INC | COM | 00635P107 | 828 | 21010 | SH | DEFINED | 01 | 02 |
| ADAMS RESPIRATORY THERA INC | COM | 00635P107 | 9 | 235 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| ADAPTIVE BROADBAND CORP | COM | 00650M104 | 0 | 100 | SH | DEFINED | 01 | 15 |
| ADAPTEC INC | COM | 00651F108 | 744 | 195252 | SH | DEFINED | 01 | 02 |
| ADAPTEC INC | COM | 00651F108 | 58 | 15100 | SH | DEFINED | 01 | 15 |
| ADELPHIA BUSINESS SOLUTIONS | COM | 006847107 | 0 | 674 | SH | DEFINED | 01 | 15 |
| ADELPHIA RECOVERY TRUST | COM | 00685R102 | 0 | 254490 | SH | DEFINED | 01 | 02 |
| ADELPHIA RECOVERY TRCVV SER AC | COM | 00685R409 | 2 | 23225 | SH | DEFINED | 01 | 15 |
| ADELPHIA CONTINGENT VALUE VEHI | COM | 00685R870 | 0 | 20811 | SH | DEFINED | 01 | 15 |
| ADIDAS SALOMON AG SPON ADR | COM | 00687A107 | 29 | 905 | SH | DEFINED | 01 | 15 |
| ESCROW ADELPHIA COMMUN CUS | COM | 006ESC917 | 0 | 20811 | SH | DEFINED | 01 | 15 |
| ADMINISTAFF INC | COM | 007094105 | 1330 | 39710 | SH | DEFINED | 01 | 02 |
| ADOBE SYSTEMS INC | COM | 00724F101 | 33524 | 834958 | SH | DEFINED | 01 | 02 |
| ADOBE SYSTEMS INC | COM | 00724F101 | 4201 | 104639 | SH | DEFINED | 01 | 03 |
| ADOBE SYSTEMS INC | COM | 00724F101 | 24224 | 603961 | SH | DEFINED | 01 | 10 |
| ADOBE SYSTEMS INC | COM | 00724F101 | 646 | 16081 | SH | DEFINED | 01 | 15 |
| ADTRAN INC | COM | 00738A106 | 1717 | 66120 | SH | DEFINED | 01 | 02 |
| ADTRAN INC | COM | 00738A106 | 4 | 150 | SH | DEFINED | 01 | 15 |
| ADVANCE AMERICA CASH ADVANCE | COM | 00739W107 | 234 | 13163 | SH | DEFINED | 01 | 02 |
| ADVANCE AMERICA CASH ADVANCE | COM | 00739W107 | 66 | 3702 | SH | DEFINED | 01 | 15 |
| ADVANCE AUTO PARTS INC | COM | 00751Y106 | 64 | 1589 | SH | DEFINED | 01 | 02 |
| ADVANCE AUTO PARTS INC | COM | 00751Y106 | 5 | 123 | SH | DEFINED | 01 | 15 |
| ADVANCED CELL TECHNOLOGY | COM | 00752K105 | 0 | 500 | SH | DEFINED | 01 | 15 |
| ADVANCED MAGNETICS INC | COM | 00753P103 | 720 | 12359 | SH | DEFINED | 01 | 02 |
| ADVANCED MAGNETICS INC | COM | 00753P103 | 243 | 4177 | SH | DEFINED | 01 | 10 |
| ADVANCED OPTICS ELECTRONIC | COM | 00754V109 | 0 | 50000 | SH | DEFINED | 01 | 15 |
| ADVANCED TISSUE SCIENCES I | COM | 00755F103 | 0 | 3417 | SH | DEFINED | 01 | 15 |
| ADVANCED PLANT PHARMACEUT | COM | 00756F201 | 0 | 9000 | SH | DEFINED | 01 | 15 |
| ADVANCED SEMICONDUCT | COM | 00756M404 | 684 | 100100 | SH | DEFINED | 01 | 15 |
| ADVANCED SWITCHING COMMUNICAT | COM | 00757V106 | 0 | 400 | SH | DEFINED | 01 | 15 |
| ADVANCED WIRELESS SYS INC 1 | COM | 00757W104 | 0 | 3141 | SH | DEFINED | 01 | 15 |
| AEON CO LTD ADR | COM | 007627102 | 52 | 2789 | SH | DEFINED | 01 | 15 |
| ADVANTAGE ENERGY INCOME FD | COM | 00762L101 | 503 | 35738 | SH | DEFINED | 01 | 15 |
| ADVISORY BOARD CO/THE | COM | 00762W107 | 667 | 12000 | SH | DEFINED | 01 | 02 |
| ADVANCED MEDICAL OPTICS INC | COM | 00763M108 | 4953 | 142000 | SH | DEFINED | 01 | 02 |
| ADVANCED MEDICAL OPTICS INC | COM | 00763M108 | 134 | 3854 | SH | DEFINED | 01 | 15 |
| ADVENT CLAYMORE CONV SECS | COM | 00764C109 | 614 | 22219 | SH | DEFINED | 01 | 15 |
| ADVENTRX PHARMACEUT | COM | 00764X103 | 3 | 1100 | SH | DEFINED | 01 | 15 |
| AEOLUS PHARM PHARMACEUT | COM | 00765G109 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| AECO TECHNOLOGY CORP | COM | 00766T100 | 212 | 8560 | SH | DEFINED | 01 | 02 |
| AECO TECHNOLOGY CORP | COM | 00766T100 | 35 | 1400 | SH | DEFINED | 01 | 15 |
| AEROFLEX INC | COM | 007768104 | 1733 | 122334 | SH | DEFINED | 01 | 02 |
| AEROFLEX INC | COM | 007768104 | 2 | 142 | SH | DEFINED | 01 | 15 |
| AEROPOSTALE INC | COM | 007865108 | 3809 | 91355 | SH | DEFINED | 01 | 02 |
| AEROPOSTALE INC | COM | 007865108 | 69 | 1665 | SH | DEFINED | 01 | 15 |
| ADVANCED MICRO DEVICES | COM | 007903107 | 388 | 27162 | SH | DEFINED | 01 | 02 |
| ADVANCED MICRO DEVICES | COM | 007903107 | 1303 | 91085 | SH | DEFINED | 01 | 03 |
| ADVANCED MICRO DEVICES | COM | 007903107 | 81 | 5659 | SH | DEFINED | 01 | 15 |
| AEGON N V ISIN #US00 | COM | 007924103 | 1181 | 60093 | SH | DEFINED | 01 | 15 |
| AEGON N V PERP CAP S | COM | 007924301 | 222 | 9107 | SH | DEFINED | 01 | 15 |
| ADVANCED VIRAL RESH CORP | COM | 007928104 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| ADVANTA CORP-CL B | COM | 007942204 | 5713 | 183416 | SH | DEFINED | 01 | 02 |
| ADVANTA CORP-CL B | COM | 007942204 | 709 | 22755 | SH | DEFINED | 01 | 02 |
| ADVANTA CORP-CL B | COM | 007942204 | 243 | 7800 | SH | DEFINED | 01 | 03 |
| ADVANCED ENERGY INDUSTRIES | COM | 007973100 | 7447 | 328610 | SH | DEFINED | 01 | 02 |
| ADVANCED ENERGY INDUSTRIES | COM | 007973100 | 171 | 7567 | SH | DEFINED | 01 | 15 |
| ADVENT SOFTWARE INC | COM | 007974108 | 37360 | 1147768 | SH | DEFINED | 01 | 02 |
| ADVENT SOFTWARE INC | COM | 007974108 | 1244 | 38212 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| ADVENT SOFTWARE INC | COM | 007974108 | 1 | 30 | SH | DEFINED | 01 | 15 |
| AEROVIRNMENT INC | COM | 008073108 | 3 | 150 | SH | DEFINED | 01 | 15 |
| AES TR III 6.75% TR C | COM | 00808N202 | 20 | 400 | SH | DEFINED | 01 | 15 |
| AETNA INC NEW | COM | 00817Y108 | 35084 | 710187 | SH | DEFINED | 01 | 02 |
| AETNA INC NEW | COM | 00817Y108 | 4221 | 85454 | SH | DEFINED | 01 | 03 |
| AETNA INC NEW | COM | 00817Y108 | 1632 | 33044 | SH | DEFINED | 01 | 15 |
| AFFILIATED COMPUTER SVCS-A | COM | 008190100 | 23282 | 410489 | SH | DEFINED | 01 | 02 |
| AFFILIATED COMPUTER SVCS-A | COM | 008190100 | 931 | 16415 | SH | DEFINED | 01 | 03 |
| AFFILIATED COMPUTER SVCS-A | COM | 008190100 | 391 | 6902 | SH | DEFINED | 01 | 15 |
| AFFILIATED MANAGERS GROUP INC | COM | 008252108 | 3639 | 28260 | SH | DEFINED | 01 | 02 |
| AFFILIATED MANAGERS GROUP INC | COM | 008252108 | 3802 | 29569 | SH | DEFINED | 01 | 10 |
| AFFILIATED MANAGERS GROUP INC | COM | 008252108 | 17 | 132 | SH | DEFINED | 01 | 15 |
| AFFYMAX INC | COM | 00826A109 | 762 | 28290 | SH | DEFINED | 01 | 02 |
| AFFYMETRIX INC | COM | 00826T108 | 136 | 5450 | SH | DEFINED | 01 | 02 |
| AFFYMETRIX INC | COM | 00826T108 | 79 | 3161 | SH | DEFINED | 01 | 15 |
| AFTERMARKET TECHNOLOGY CORP | COM | 008318107 | 6873 | 231574 | SH | DEFINED | 01 | 02 |
| AFTERMARKET TECHNOLOGY CORP | COM | 008318107 | 1162 | 39170 | SH | DEFINED | 01 | 02 |
| AFTERMARKET TECHNOLOGY CORP | COM | 008318107 | 418 | 14100 | SH | DEFINED | 01 | 03 |
| AFTERMARKET TECHNOLOGY CORP | COM | 008318107 | 17 | 581 | SH | DEFINED | 01 | 15 |
| AGILENT TECHNOLOGIES INC | COM | 00846U101 | 11627 | 302452 | SH | DEFINED | 01 | 02 |
| AGILENT TECHNOLOGIES INC | COM | 00846U101 | 2519 | 65528 | SH | DEFINED | 01 | 03 |
| AGILENT TECHNOLOGIES INC | COM | 00846U101 | 19590 | 510549 | SH | DEFINED | 01 | 10 |
| AGILENT TECHNOLOGIES INC | COM | 00846U101 | 207 | 5394 | SH | DEFINED | 01 | 15 |
| AGILE SOFTWARE CORP DEL CASH M | COM | 00846X105 | 20 | 2511 | SH | DEFINED | 01 | 15 |
| AGNICO-EAGLE MINES LTD | COM | 008474108 | 3133 | 85833 | SH | DEFINED | 01 | 02 |
| AGNICO-EAGLE MINES LTD | COM | 008474108 | 90 | 2458 | SH | DEFINED | 01 | 15 |
| AGILYSYS INC | COM | 00847J105 | 3985 | 177173 | SH | DEFINED | 01 | 02 |
| AGILYSYS INC | COM | 00847J105 | 590 | 26240 | SH | DEFINED | 01 | 02 |
| AGILYSYS INC | COM | 00847J105 | 209 | 9300 | SH | DEFINED | 01 | 03 |
| AGILYSYS INC | COM | 00847J105 | 27 | 1184 | SH | DEFINED | 01 | 15 |
| AGREE REALTY CORP | COM | 008492100 | 1471 | 47065 | SH | DEFINED | 01 | 02 |
| AIR PACKAGING TECH INC | COM | 008913204 | 0 | 1260 | SH | DEFINED | 01 | 15 |
| AGRIUM INC | COM | 008916108 | 1028 | 23490 | SH | DEFINED | 01 | 02 |
| AGRIUM INC | COM | 008916108 | 82 | 1864 | SH | DEFINED | 01 | 15 |
| AIMGLOBAL TECHNOLOGI | COM | 00900N100 | 0 | 500 | SH | DEFINED | 01 | 15 |
| AIR FRANCE-KLM-ADR | COM | 009119108 | 15966 | 343420 | SH | DEFINED | 01 | 02 |
| AIR FRANCE-KLM-ADR | COM | 009119108 | 20 | 432 | SH | DEFINED | 01 | 15 |
| AIR FRANCE ADR WTS 11/05/2007 | WT | 009119116 | 4187 | 312200 | SH | DEFINED | 01 | 02 |
| AIR METHODS CORP | COM | 009128307 | 86 | 2348 | SH | DEFINED | 01 | 02 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 69409 | 863603 | SH | DEFINED | 01 | 02 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 3474 | 43220 | SH | DEFINED | 01 | 03 |
| AIR PRODUCTS & CHEMICALS INC | COM | 009158106 | 438 | 5451 | SH | DEFINED | 01 | 15 |
| AIRNET SYSTEMS INC | COM | 009417106 | 1 | 200 | SH | DEFINED | 01 | 15 |
| AIRTRAN HOLDINGS INC | COM | 00949P108 | 3161 | 289450 | SH | DEFINED | 01 | 02 |
| AIRTRAN HOLDINGS INC | COM | 00949P108 | 10 | 900 | SH | DEFINED | 01 | 15 |
| AIXTRON AKTIENGES | COM | 009606104 | 2 | 255 | SH | DEFINED | 01 | 15 |
| AKAMAI TECHNOLOGIES | COM | 00971T101 | 14245 | 292856 | SH | DEFINED | 01 | 02 |
| AKAMAI TECHNOLOGIES | COM | 00971T101 | 238 | 4909 | SH | DEFINED | 01 | 03 |
| AKAMAI TECHNOLOGIES | COM | 00971T101 | 72 | 1474 | SH | DEFINED | 01 | 15 |
| AKORN INC | COM | 009728106 | 29 | 4128 | SH | DEFINED | 01 | 02 |
| AKZO NOBEL NV ADR | COM | 010199305 | 19 | 220 | SH | DEFINED | 01 | 15 |
| ALABAMA NATIONAL BANCORP/DEL | COM | 010317105 | 1736 | 28070 | SH | DEFINED | 01 | 02 |
| ALABAMA NATIONAL BANCORP/DEL | COM | 010317105 | 39 | 632 | SH | DEFINED | 01 | 15 |
| ALABAMA POWER CO PFD 4.20% | COM | 010392207 | 0 | 1 | SH | DEFINED | 01 | 15 |
| ALABAMA PWR CO SR NT SER | COM | 010392546 | 15 | 600 | SH | DEFINED | 01 | 15 |
| ALABAMA PWR CO SR NT SER | COM | 010392553 | 15 | 650 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| ALASKA AIR GROUP INC | COM | 011659109 | 14097 | 506016 | SH | DEFINED | 01 | 02 |
| ALASKA AIR GROUP INC | COM | 011659109 | 2 | 73 | SH | DEFINED | 01 | 15 |
| ALASKA COMM SYSTEMS GROUP | COM | 01167P101 | 5602 | 353637 | SH | DEFINED | 01 | 02 |
| ALASKA COMM SYSTEMS GROUP | COM | 01167P101 | 773 | 48830 | SH | DEFINED | 01 | 02 |
| ALASKA COMM SYSTEMS GROUP | COM | 01167P101 | 291 | 18350 | SH | DEFINED | 01 | 03 |
| ALASKA COMM SYSTEMS GROUP | COM | 01167P101 | 46 | 2915 | SH | DEFINED | 01 | 15 |
| ALBANY INTERNATIONAL CORP | COM | 012348108 | 1947 | 48140 | SH | DEFINED | 01 | 02 |
| ALBANY MOLECULAR RESEARCH INC | COM | 012423109 | 819 | 55163 | SH | DEFINED | 01 | 02 |
| ALBANY MOLECULAR RESEARCH INC | COM | 012423109 | 3 | 200 | SH | DEFINED | 01 | 15 |
| ALBEMARLE CORP | COM | 012653101 | 985 | 25576 | SH | DEFINED | 01 | 02 |
| ALBERTO-CULVER CO | COM | 013078100 | 1380 | 58192 | SH | DEFINED | 01 | 02 |
| ALBERTO-CULVER CO | COM | 013078100 | 169 | 7105 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| ALCAN INC | COM | 013716105 | 7651 | 94113 | SH | DEFINED | 01 | 02 |
| ALCAN INC | COM | 013716105 | 85 | 1038 | SH | DEFINED | 01 | 15 |
| ALCOA INC | COM | 013817101 | 164226 | 4051932 | SH | DEFINED | 01 | 02 |
| ALCOA INC | COM | 013817101 | 6511 | 160643 | SH | DEFINED | 01 | 03 |
| ALCOA INC | COM | 013817101 | 1580 | 38975 | SH | DEFINED | 01 | 15 |
| ALCATEL LUCENT-SPONSORED ADR | COM | 013904305 | 37731 | 2695082 | SH | DEFINED | 01 | 02 |
| ALCATEL LUCENT-SPONSORED ADR | COM | 013904305 | 658 | 46983 | SH | DEFINED | 01 | 15 |
| ALDILA INC COM NEW | COM | 014384200 | 15 | 961 | SH | DEFINED | 01 | 15 |
| ALEXANDER & BALDWIN INC | COM | 014482103 | 436 | 8213 | SH | DEFINED | 01 | 15 |
| ALESCO FINL INC COM | COM | 014485106 | 70 | 8600 | SH | DEFINED | 01 | 15 |
| ALEXANDER'S INC | COM | 014752109 | 481 | 1190 | SH | DEFINED | 01 | 02 |
| ALEXANDRIA REAL ESTATE EQUIT | COM | 015271109 | 9182 | 94841 | SH | DEFINED | 01 | 02 |
| ALEXANDRIA REAL ESTATE EQUIT | COM | 015271109 | 96 | 988 | SH | DEFINED | 01 | 03 |
| ALEXION PHARMACEUTICALS INC | COM | 015351109 | 2986 | 66260 | SH | DEFINED | 01 | 02 |
| ALEXION PHARMACEUTICALS INC | COM | 015351109 | 5 | 100 | SH | DEFINED | 01 | 15 |
| ALEXIS MINERALS CORPISIN #CA01 | COM | 01537P104 | 3 | 3000 | SH | DEFINED | 01 | 15 |
| ALEXZA PHARMACEUTICALS INC. | COM | 015384100 | 26 | 3227 | SH | DEFINED | 01 | 02 |
| ALEXZA PHARMACEUTICALS INC. | COM | 015384100 | 8 | 1000 | SH | DEFINED | 01 | 15 |
| ALFACELL CORP | COM | 015404106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| ALGONQUIN PWR INCOMEFD TR UNIT | COM | 015910102 | 254 | 30600 | SH | DEFINED | 01 | 15 |
| ALICO INC FRMLY ALICO LAND | COM | 016230104 | 42 | 685 | SH | DEFINED | 01 | 15 |
| ALIGN TECHNOLOGY INC | COM | 016255101 | 2756 | 114057 | SH | DEFINED | 01 | 02 |
| ALIGN TECHNOLOGY INC | COM | 016255101 | 7 | 300 | SH | DEFINED | 01 | 15 |
| ALKERMES INC | COM | 01642T108 | 2041 | 139780 | SH | DEFINED | 01 | 02 |
| ALKERMES INC | COM | 01642T108 | 301 | 20625 | SH | DEFINED | 01 | 03 |
| ALKERMES INC | COM | 01642T108 | 8 | 535 | SH | DEFINED | 01 | 15 |
| ALL AMERICAN FOOD GRP INC | COM | 016435307 | 0 | 100000 | SH | DEFINED | 01 | 15 |
| ALL SEASONS STORAGE TRUST SER | COM | 016634909 | 0 | 500 | SH | DEFINED | 01 | 15 |
| ALL SEASONS STORAGE TR PFD SER | COM | 01663Y200 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| ALLEGHENY ENERGY INC | COM | 017361106 | 12270 | 237116 | SH | DEFINED | 01 | 02 |
| ALLEGHENY ENERGY INC | COM | 017361106 | 1576 | 30450 | SH | DEFINED | 01 | 03 |
| ALLEGHENY ENERGY INC | COM | 017361106 | 2296 | 44381 | SH | DEFINED | 01 | 10 |
| ALLEGHENY ENERGY INC | COM | 017361106 | 159 | 3065 | SH | DEFINED | 01 | 15 |
| ALLEGHENY TECHNOLOGIES INC | COM | 01741R102 | 22891 | 218265 | SH | DEFINED | 01 | 02 |
| ALLEGHENY TECHNOLOGIES INC | COM | 01741R102 | 1970 | 18775 | SH | DEFINED | 01 | 03 |
| ALLEGHENY TECHNOLOGIES INC | COM | 01741R102 | 61 | 585 | SH | DEFINED | 01 | 15 |
| ALLEGIANCE TELECOM INC NO 100 | COM | 01747T102 | 0 | 100 | SH | DEFINED | 01 | 15 |
| ALLEGRO BIODIESEL CORP COM | COM | 017486101 | 0 | 5 | SH | DEFINED | 01 | 15 |
| ALLEGRO GROUP INC COM | COM | 01748Q107 | 0 | 40 | SH | DEFINED | 01 | 15 |
| ALLEGIANANT TRAVEL CO | COM | 01748X102 | 1809 | 58870 | SH | DEFINED | 01 | 02 |
| ALLEGIANANT TRAVEL CO | COM | 01748X102 | 461 | 15020 | SH | DEFINED | 01 | 02 |
| ALLEGIANANT TRAVEL CO | COM | 01748X102 | 169 | 5500 | SH | DEFINED | 01 | 03 |
| ALLERGAN INC | COM | 018490102 | 21862 | 379292 | SH | DEFINED | 01 | 02 |
| ALLERGAN INC | COM | 018490102 | 3389 | 58786 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| ALLERGAN INC | COM | 018490102 | 339 | 5879 | SH | DEFINED | 01 | 10 |
| ALLERGAN INC | COM | 018490102 | 541 | 9382 | SH | DEFINED | 01 | 15 |
| ALLETE INC | COM | 018522300 | 6463 | 137344 | SH | DEFINED | 01 | 02 |
| ALLETE INC | COM | 018522300 | 1306 | 27760 | SH | DEFINED | 01 | 02 |
| ALLETE INC | COM | 018522300 | 470 | 10000 | SH | DEFINED | 01 | 03 |
| ALLETE INC | COM | 018522300 | 48 | 1028 | SH | DEFINED | 01 | 15 |
| ALLIANCE ALL MARKET ADVANTAGE | COM | 01852M108 | 72 | 5233 | SH | DEFINED | 01 | 15 |
| ALLIANCE BOOTS PLC ADR ISIN U | COM | 018532101 | 3 | 115 | SH | DEFINED | 01 | 15 |
| ALLIANCE DATA SYSTEMS CORP | COM | 018581108 | 705 | 9111 | SH | DEFINED | 01 | 02 |
| ALLIANCE DATA SYSTEMS CORP | COM | 018581108 | 66 | 856 | SH | DEFINED | 01 | 15 |
| ALLIANCE IMAGING INC | COM | 018606202 | 55 | 5842 | SH | DEFINED | 01 | 02 |
| ALLIANCE IMAGING INC | COM | 018606202 | 13 | 1412 | SH | DEFINED | 01 | 15 |
| ALLIANCEBERNSTEIN NATL MUN I | COM | 01864U106 | 65 | 4426 | SH | DEFINED | 01 | 15 |
| ALLIANCE NATL MUN INCOME FD | COM | 01864V302 | 100 | 4 | SH | DEFINED | 01 | 15 |
| ALLIANCE NY MUN INCOME FD | COM | 018714105 | 56 | 3900 | SH | DEFINED | 01 | 15 |
| ALLIANCE ONE INTERNATIONAL INC | COM | 018772103 | 3134 | 311876 | SH | DEFINED | 01 | 02 |
| ALLIANCE ONE INTERNATIONAL INC | COM | 018772103 | 61 | 6100 | SH | DEFINED | 01 | 02 |
| ALLIANCE PHARMACEUT | COM | 018773309 | 0 | 1 | SH | DEFINED | 01 | 15 |
| ALLIANCEBERNSTEIN GLOBAL HIG | COM | 01879R106 | 497 | 36739 | SH | DEFINED | 01 | 15 |
| ALLIANT ENERGY CORP | COM | 018802108 | 169 | 4360 | SH | DEFINED | 01 | 02 |
| ALLIANT ENERGY CORP | COM | 018802108 | 3038 | 78204 | SH | DEFINED | 01 | 15 |
| ALLIANT TECHSYSTEMS INC | COM | 018804104 | 1414 | 14263 | SH | DEFINED | 01 | 15 |
| ALLIANZ SOCIETAS EUROPAEA S | COM | 018805101 | 1147 | 49287 | SH | DEFINED | 01 | 15 |
| ALLIANCEBERNSTEIN INCOME FD | COM | 01881E101 | 1809 | 220868 | SH | DEFINED | 01 | 15 |
| ALLIANCEBERNSTEIN HOLDING LP | COM | 01881G106 | 52633 | 604430 | SH | DEFINED | 01 | 10 |
| ALLIED CAPITAL CORP | COM | 01903Q108 | 361 | 11681 | SH | DEFINED | 01 | 02 |
| ALLIED CAPITAL CORP | COM | 01903Q108 | 529 | 17097 | SH | DEFINED | 01 | 15 |
| ALLIED ENERGY GROUP NC COM | COM | 019153105 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| ALLIED IRISH BANKS PLC ADR | COM | 019228402 | 1518 | 27623 | SH | DEFINED | 01 | 15 |
| ALLIED WASTE INDUSTRIES INC | COM | 019589308 | 1103 | 81930 | SH | DEFINED | 01 | 02 |
| ALLIED WASTE INDUSTRIES INC | COM | 019589308 | 569 | 42250 | SH | DEFINED | 01 | 03 |
| ALLIED WASTE INDUSTRIES INC | COM | 019589308 | 4476 | 333000 | SH | DEFINED | 01 | 10 |
| ALLIED WASTE INDUSTRIES INC | COM | 019589308 | 13 | 970 | SH | DEFINED | 01 | 15 |
| ALLIS-CHALMERS ENERGY INC | COM | 019645506 | 1178 | 51240 | SH | DEFINED | 01 | 02 |
| ALLOY INC COM NEW | COM | 019855303 | 1 | 125 | SH | DEFINED | 01 | 15 |
| ALLSCRIPTS HEALTHCARE SOLUTION | COM | 01988P108 | 2309 | 90626 | SH | DEFINED | 01 | 02 |
| ALLSCRIPTS HEALTHCARE SOLUTION | COM | 01988P108 | 83 | 3245 | SH | DEFINED | 01 | 15 |
| ALLSTATE CORP | COM | 020002101 | 171887 | 2794451 | SH | DEFINED | 01 | 02 |
| ALLSTATE CORP | COM | 020002101 | 6583 | 107014 | SH | DEFINED | 01 | 03 |
| ALLSTATE CORP | COM | 020002101 | 1437 | 23369 | SH | DEFINED | 01 | 15 |
| ALLTEL CORP | COM | 020039103 | 98440 | 1457306 | SH | DEFINED | 01 | 02 |
| ALLTEL CORP | COM | 020039103 | 4124 | 61047 | SH | DEFINED | 01 | 03 |
| ALLTEL CORP | COM | 020039103 | 859 | 12712 | SH | DEFINED | 01 | 15 |
| ALMOST FAMILY INC | COM | 020409108 | 2 | 100 | SH | DEFINED | 01 | 15 |
| ALNYLAM PHARMACEUTICALS INC | COM | 02043Q107 | 986 | 64890 | SH | DEFINED | 01 | 02 |
| ALNYLAM PHARMACEUTICALS INC | COM | 02043Q107 | 132 | 8688 | SH | DEFINED | 01 | 15 |
| ALON USA ENERGY INC | COM | 020520102 | 1595 | 36222 | SH | DEFINED | 01 | 02 |
| ALON USA ENERGY INC | COM | 020520102 | 823 | 18710 | SH | DEFINED | 01 | 02 |
| ALON USA ENERGY INC | COM | 020520102 | 304 | 6900 | SH | DEFINED | 01 | 03 |
| ALON USA ENERGY INC | COM | 020520102 | 126 | 2870 | SH | DEFINED | 01 | 15 |
| ALPHA NATURAL RESOURCES INC | COM | 02076X102 | 1672 | 80460 | SH | DEFINED | 01 | 02 |
| ALPHARMA INC-CL A | COM | 020813101 | 1679 | 64520 | SH | DEFINED | 01 | 02 |
| ALPHARMA INC-CL A | COM | 020813101 | 164 | 6315 | SH | DEFINED | 01 | 03 |
| ALPHARMA INC-CL A | COM | 020813101 | 16 | 600 | SH | DEFINED | 01 | 15 |
| ALPINE AIR EXPRESS INC 3 FOR | COM | 02081R108 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ALPINE GLOBAL DYNAMIC DI | COM | 02082E106 | 1616 | 66599 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| ALPINE GLOBAL PREMIER PP | COM | 02083A103 | 162 | 8700 | SH | DEFINED | 01 | 15 |
| ALTA TERRA VENTURES CORP ISIN | COM | 020942108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| ALPINE TOTAL DYNAMICDIVID FD | COM | 021060108 | 73 | 3520 | SH | DEFINED | 01 | 15 |
| ALSERES PHARMACEUT | COM | 021152103 | 0 | 6 | SH | DEFINED | 01 | 15 |
| ALTA GOLD CO | COM | 021271101 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ALTAIR CORP DEL COM PAR \$5 | COM | 021367206 | 1 | 1 | SH | DEFINED | 01 | 15 |
| ALTAIR NANOTECH INC COM ISIN # | COM | 021373105 | 56 | 15700 | SH | DEFINED | 01 | 15 |
| ALTANA AKTIENGESELLSCHAFT SPON | COM | 02143N103 | 3 | 120 | SH | DEFINED | 01 | 15 |
| ALTERA CORPORATION | COM | 021441100 | 7870 | 355611 | SH | DEFINED | 01 | 02 |
| ALTERA CORPORATION | COM | 021441100 | 1341 | 60602 | SH | DEFINED | 01 | 03 |
| ALTERA CORPORATION | COM | 021441100 | 199 | 9001 | SH | DEFINED | 01 | 15 |
| ALTEON INC 1 FOR 50 REVERSE SP | COM | 02144G107 | 0 | 760 | SH | DEFINED | 01 | 15 |
| ALTEX INDS INC | COM | 021454103 | 0 | 333 | SH | DEFINED | 01 | 15 |
| ALTERRA HEALTHCARE CORP NO ST | COM | 02146C104 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| ALTERNATIVE ENERGY SOURCES IN | COM | 02146J208 | 9 | 11000 | SH | DEFINED | 01 | 15 |
| ALTERNATE ENERGY CORP NEV | COM | 02146R101 | 0 | 1200 | SH | DEFINED | 01 | 15 |
| ALTRIA GROUP INC | COM | 02209S103 | 658128 | 9383003 | SH | DEFINED | 01 | 02 |
| ALTRIA GROUP INC | COM | 02209S103 | 3762 | 53630 | SH | DEFINED | 01 | 02 |
| ALTRIA GROUP INC | COM | 02209S103 | 25423 | 362461 | SH | DEFINED | 01 | 03 |
| ALTRIA GROUP INC | COM | 02209S103 | 121018 | 1727591 | SH | DEFINED | 01 | 10 |
| ALTRIA GROUP INC | COM | 02209S103 | 13816 | 196984 | SH | DEFINED | 01 | 15 |
| ALUMINUM CORP CHINA LTD SPON A | COM | 022276109 | 903 | 21155 | SH | DEFINED | 01 | 15 |
| ALYNX CO | COM | 02262E108 | 0 | 10 | SH | DEFINED | 01 | 15 |
| AMARIN CORP PLC SPONSORED | COM | 023111107 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| AMAZON.COM INC | COM | 023135106 | 33707 | 492729 | SH | DEFINED | 01 | 02 |
| AMAZON.COM INC | COM | 023135106 | 3590 | 52479 | SH | DEFINED | 01 | 03 |
| AMAZON.COM INC | COM | 023135106 | 70 | 1025 | SH | DEFINED | 01 | 15 |
| AMBAC FINANCIAL GROUP INC | COM | 023139108 | 28118 | 322491 | SH | DEFINED | 01 | 02 |
| AMBAC FINANCIAL GROUP INC | COM | 023139108 | 1471 | 16870 | SH | DEFINED | 01 | 03 |
| AMBAC FINANCIAL GROUP INC | COM | 023139108 | 12932 | 148576 | SH | DEFINED | 01 | 10 |
| AMBAC FINANCIAL GROUP INC | COM | 023139108 | 492 | 5647 | SH | DEFINED | 01 | 15 |
| AMBAC FINL GROUP INC PFD DE | COM | 023139504 | 115 | 5050 | SH | DEFINED | 01 | 15 |
| AMBAC FINL GROUP INC PFD DE | COM | 023139603 | 56 | 2500 | SH | DEFINED | 01 | 15 |
| AMBASE CORP | COM | 023164106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| AMBASSADORS GROUP INC | COM | 023177108 | 6 | 155 | SH | DEFINED | 01 | 15 |
| AMBASSADORS INTERNATIONAL | COM | 023178106 | 138 | 4144 | SH | DEFINED | 01 | 02 |
| AMBIENT CORP | COM | 02318N102 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| AMCOR LTD ADR (NEW) | COM | 02341R302 | 142 | 5602 | SH | DEFINED | 01 | 15 |
| AMCOL INTERNATIONAL CORP | COM | 02341W103 | 985 | 36080 | SH | DEFINED | 01 | 02 |
| AMEDISYS INC | COM | 023436108 | 5737 | 157914 | SH | DEFINED | 01 | 02 |
| AMEDISYS INC | COM | 023436108 | 336 | 9241 | SH | DEFINED | 01 | 10 |
| AMEDISYS INC | COM | 023436108 | 7 | 200 | SH | DEFINED | 01 | 15 |
| AMERCO INC | COM | 023586100 | 3239 | 42900 | SH | DEFINED | 01 | 02 |
| AMEREN CORP | COM | 023608102 | 10078 | 205655 | SH | DEFINED | 01 | 02 |
| AMEREN CORP | COM | 023608102 | 1673 | 34135 | SH | DEFINED | 01 | 03 |
| AMEREN CORP | COM | 023608102 | 575 | 11736 | SH | DEFINED | 01 | 15 |
| AMERECO | COM | 02360N109 | 0 | 33200 | SH | DEFINED | 01 | 15 |
| AMERICA SVC GROUP INC | COM | 02364L109 | 9 | 545 | SH | DEFINED | 01 | 15 |
| AMERICA MOVIL -ADR SERIES L | COM | 02364W105 | 3929 | 63454 | SH | DEFINED | 01 | 02 |
| AMERICA MOVIL -ADR SERIES L | COM | 02364W105 | 52156 | 842184 | SH | DEFINED | 01 | 02 |
| AMERICA MOVIL -ADR SERIES L | COM | 02364W105 | 286962 | 4639632 | SH | DEFINED | 01 | 10 |
| AMERICA MOVIL -ADR SERIES L | COM | 02364W105 | 2416 | 39017 | SH | DEFINED | 01 | 15 |
| AMERICA MOVIL S A B DE C V SPO | COM | 02364W204 | 64 | 1043 | SH | DEFINED | 01 | 15 |
| AMERICAN ADVENTURE INC COM NE | COM | 023685209 | 0 | 50 | SH | DEFINED | 01 | 15 |
| AMERICAN AIRCARRIERS SUPPORT | COM | 023758105 | 0 | 200 | SH | DEFINED | 01 | 15 |
| AMERICAN AMMUNITION INC COM NE | COM | 023826209 | 0 | 250 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| AMCORE FINANCIAL INC | COM | 023912108 | 25 | 864 | SH | DEFINED | 01 | 02 |
| AMERICAN AXLE & MANUFACTURING | COM | 024061103 | 28253 | 953828 | SH | DEFINED | 01 | 02 |
| AMERICAN AXLE & MANUFACTURING | COM | 024061103 | 615 | 20760 | SH | DEFINED | 01 | 02 |
| AMERICAN AXLE & MANUFACTURING | COM | 024061103 | 213 | 7200 | SH | DEFINED | 01 | 03 |
| AMERICAN BANKNOTE CORP SER 1 | COM | 024490120 | 0 | 7 | SH | DEFINED | 01 | 15 |
| AMERICAN BANKNOTE CORP SER 2 | COM | 024490138 | 0 | 7 | SH | DEFINED | 01 | 15 |
| AMERICAN BANKNOTE CORPORATIO | COM | 024490146 | 0 | 3 | SH | DEFINED | 01 | 15 |
| AMERICAN BANKNOTE CORPORATIO | COM | 024490153 | 0 | 3 | SH | DEFINED | 01 | 15 |
| AMERICAN BIOMED INC COM | COM | 02461T104 | 0 | 101130 | SH | DEFINED | 01 | 15 |
| AMERICAN CAPITAL STRATEGIES | COM | 024937104 | 1111 | 26131 | SH | DEFINED | 01 | 02 |
| AMERICAN CAPITAL STRATEGIES | COM | 024937104 | 1607 | 37815 | SH | DEFINED | 01 | 10 |
| AMERICAN CAPITAL STRATEGIES | COM | 024937104 | 1451 | 34114 | SH | DEFINED | 01 | 15 |
| AMERICAN CAP HLDGS INC FLA CO | COM | 02503V109 | 0 | 500 | SH | DEFINED | 01 | 15 |
| AMERICAN CARESOURCE HOLDING IN | COM | 02505A103 | 6 | 4000 | SH | DEFINED | 01 | 15 |
| AMERICAN COMMERCIAL LIN 01/12 | WT | 025195116 | 127 | 644 | SH | DEFINED | 01 | 02 |
| AMERICAN COMMERCIAL LINES INC | COM | 025195207 | 990 | 38038 | SH | DEFINED | 01 | 02 |
| AMERICAN COMMERCIAL LINES INC | COM | 025195207 | 2371 | 91000 | SH | DEFINED | 01 | 10 |
| AMERICAN COMMERCIAL LINES INC | COM | 025195207 | 27 | 1045 | SH | DEFINED | 01 | 15 |
| AMERICAN DAIRY INC | COM | 025334103 | 1623 | 86982 | SH | DEFINED | 01 | 02 |
| AMERICAN DENTAL PARTNERS INC | COM | 025353103 | 212 | 8149 | SH | DEFINED | 01 | 02 |
| AMERICAN ECOLOGY CORP | COM | 025533407 | 81 | 3764 | SH | DEFINED | 01 | 02 |
| AMERICAN ECOLOGY CORP | COM | 025533407 | 8 | 365 | SH | DEFINED | 01 | 15 |
| AMERICAN ELECTRIC POWER CO INC | COM | 025537101 | 63124 | 1401518 | SH | DEFINED | 01 | 02 |
| AMERICAN ELECTRIC POWER CO INC | COM | 025537101 | 2972 | 65986 | SH | DEFINED | 01 | 03 |
| AMERICAN ELECTRIC POWER CO INC | COM | 025537101 | 525 | 11646 | SH | DEFINED | 01 | 15 |
| AMERICAN EAGLE OUTFITTERS | COM | 02553E106 | 695 | 27083 | SH | DEFINED | 01 | 02 |
| AMERICAN EAGLE OUTFITTERS | COM | 02553E106 | 287 | 11191 | SH | DEFINED | 01 | 15 |
| AMERICAN ENTMT GROUP INC | COM | 02566L204 | 0 | 300 | SH | DEFINED | 01 | 15 |
| AMERICAN EQUITY INVT LIFE | COM | 025676206 | 186 | 15362 | SH | DEFINED | 01 | 15 |
| AMERICAN EXPRESS CO | COM | 025816109 | 131684 | 2152396 | SH | DEFINED | 01 | 02 |
| AMERICAN EXPRESS CO | COM | 025816109 | 12034 | 196692 | SH | DEFINED | 01 | 03 |
| AMERICAN EXPRESS CO | COM | 025816109 | 110391 | 1805244 | SH | DEFINED | 01 | 10 |
| AMERICAN EXPRESS CO | COM | 025816109 | 3692 | 60341 | SH | DEFINED | 01 | 15 |
| AMERICAN FINANCIAL GROUP INC | COM | 025932104 | 1978 | 57902 | SH | DEFINED | 01 | 02 |
| AMERICAN FINANCIAL GROUP INC | COM | 025932104 | 111 | 3239 | SH | DEFINED | 01 | 15 |
| AMERICAN FINANCIAL GROUP INC | COM | 025932203 | 25 | 1000 | SH | DEFINED | 01 | 15 |
| AMERICAN FILM TECHS COM PAR \$0 | COM | 026038307 | 0 | 7000 | SH | DEFINED | 01 | 15 |
| AMERICAN FINANCIAL REALTY TR | COM | 02607P305 | 1047 | 101500 | SH | DEFINED | 01 | 02 |
| AMERICAN GREETINGS CORP-CL A | COM | 026375105 | 9526 | 336256 | SH | DEFINED | 01 | 02 |
| AMERICAN GREETINGS CORP-CL A | COM | 026375105 | 1845 | 65150 | SH | DEFINED | 01 | 02 |
| AMERICAN GREETINGS CORP-CL A | COM | 026375105 | 622 | 21970 | SH | DEFINED | 01 | 03 |
| AMERICAN HOME MORTGAGE INVES | COM | 02660R107 | 1316 | 71610 | SH | DEFINED | 01 | 02 |
| AMERICAN HOME MORTGAGE INVES | COM | 02660R107 | 155 | 8430 | SH | DEFINED | 01 | 03 |
| AMERICAN INCOME FD INC | COM | 02672T109 | 40 | 5045 | SH | DEFINED | 01 | 15 |
| AMERICAN INTERNATIONAL GROUP | COM | 026874107 | 510142 | 7284620 | SH | DEFINED | 01 | 02 |
| AMERICAN INTERNATIONAL GROUP | COM | 026874107 | 7877 | 112476 | SH | DEFINED | 01 | 02 |
| AMERICAN INTERNATIONAL GROUP | COM | 026874107 | 31078 | 443776 | SH | DEFINED | 01 | 03 |
| AMERICAN INTERNATIONAL GROUP | COM | 026874107 | 102240 | 1460592 | SH | DEFINED | 01 | 10 |
| AMERICAN INTERNATIONAL GROUP | COM | 026874107 | 6563 | 93721 | SH | DEFINED | 01 | 15 |
| AMER INTL PETE CORP COM PAR \$0 | COM | 026909408 | 0 | 10 | SH | DEFINED | 01 | 15 |
| AMERICAN MEDICAL SYS HLDGS | COM | 02744M108 | 2134 | 118270 | SH | DEFINED | 01 | 02 |
| AMERICAN MEDICAL SYS HLDGS | COM | 02744M108 | 278 | 15445 | SH | DEFINED | 01 | 10 |
| AMERICAN MTG ACCEP CO | COM | 027568104 | 12 | 1200 | SH | DEFINED | 01 | 15 |
| AMERICAN MUN INC PORTFOLIO | COM | 027649102 | 17 | 1137 | SH | DEFINED | 01 | 15 |
| AMERICAN NATIONAL INSURANCE | COM | 028591105 | 9614 | 63000 | SH | DEFINED | 01 | 02 |
| AMERICAN ORIENTAL BIOENGINEE | COM | 028731107 | 464 | 52250 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| AMERICAN ORIENTAL BIOENGINEE | COM | 028731107 | 18 | 2000 | SH | DEFINED | 01 | 15 |
| AMERICAN PHYSICIANS CAP INC | COM | 028884104 | 6333 | 156375 | SH | DEFINED | 01 | 02 |
| AMERICAN PHYSICIANS CAP INC | COM | 028884104 | 877 | 21645 | SH | DEFINED | 01 | 02 |
| AMERICAN PHYSICIANS CAP INC | COM | 028884104 | 309 | 7626 | SH | DEFINED | 01 | 03 |
| AMERICAN PHYSICIANS CAP INC | COM | 028884104 | 17 | 420 | SH | DEFINED | 01 | 15 |
| AMERICAN RAILCAR INDUSTRIES | COM | 02916P103 | 379 | 9730 | SH | DEFINED | 01 | 02 |
| AMERICAN RAILCAR INDUSTRIES | COM | 02916P103 | 3643 | 93662 | SH | DEFINED | 01 | 10 |
| AMERICAN REPROGRAPHICS CO | COM | 029263100 | 3510 | 113980 | SH | DEFINED | 01 | 02 |
| AMERICAN REPROGRAPHICS CO | COM | 029263100 | 2 | 70 | SH | DEFINED | 01 | 15 |
| AMERICAN RESTAURANT GROUP-A | COM | 029309804 | 343 | 33173 | SH | DEFINED | 01 | 02 |
| AMERICAN SCIENCE & ENGINEERING | COM | 029429107 | 227 | 4000 | SH | DEFINED | 01 | 02 |
| AMERICAN SCIENCE & ENGINEERING | COM | 029429107 | 125 | 2200 | SH | DEFINED | 01 | 15 |
| AMERICAN SEC RES CORP | COM | 029569100 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| AMERICAN SELECT PORTFOLIO | COM | 029570108 | 87 | 7000 | SH | DEFINED | 01 | 15 |
| AMERICAN SENSORS INCNO 100HOLD | COM | 029579109 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| AMERICAN SHARED HOSPSVCS | COM | 029595105 | 1 | 100 | SH | DEFINED | 01 | 15 |
| AMERICAN SKIING COMPANY | COM | 029654308 | 0 | 1400 | SH | DEFINED | 01 | 15 |
| AMERICAN SPECTRUM REALTY INC | COM | 02970Q203 | 0 | 16 | SH | DEFINED | 01 | 15 |
| AMERICAN STANDARD COS INC | COM | 029712106 | 68391 | 1159544 | SH | DEFINED | 01 | 02 |
| AMERICAN STANDARD COS INC | COM | 029712106 | 1716 | 29100 | SH | DEFINED | 01 | 03 |
| AMERICAN STANDARD COS INC | COM | 029712106 | 208 | 3520 | SH | DEFINED | 01 | 15 |
| AMERICAN STATES WATER CO | COM | 029899101 | 995 | 27960 | SH | DEFINED | 01 | 02 |
| AMERICAN STATES WATER CO | COM | 029899101 | 11 | 300 | SH | DEFINED | 01 | 15 |
| AMERICAN TOWER CORP | COM | 029912201 | 31612 | 752705 | SH | DEFINED | 01 | 02 |
| AMERICAN TOWER CORP | COM | 029912201 | 5882 | 140046 | SH | DEFINED | 01 | 02 |
| AMERICAN TOWER CORP | COM | 029912201 | 526 | 12533 | SH | DEFINED | 01 | 03 |
| AMERICAN TOWER CORP | COM | 029912201 | 92705 | 2207810 | SH | DEFINED | 01 | 10 |
| AMERICAN TOWER CORP | COM | 029912201 | 89 | 2116 | SH | DEFINED | 01 | 15 |
| AMERICAN STRATEGIC INCOME POR | COM | 030099105 | 49 | 4127 | SH | DEFINED | 01 | 15 |
| AMERICAN STRATEGIC INC PORTFO | COM | 03009T101 | 19 | 1600 | SH | DEFINED | 01 | 15 |
| AMERN SUPERCONDUCTORCORP | COM | 030111108 | 10 | 501 | SH | DEFINED | 01 | 15 |
| AMERICAN TECHNOLOGY CORP COM N | COM | 030145205 | 1 | 350 | SH | DEFINED | 01 | 15 |
| AMERICAN WOODMARK CORP | COM | 030506109 | 371 | 10725 | SH | DEFINED | 01 | 02 |
| AMERICREDIT CORP | COM | 03060R101 | 169 | 6380 | SH | DEFINED | 01 | 02 |
| AMERICREDIT CORP | COM | 03060R101 | 226 | 8517 | SH | DEFINED | 01 | 15 |
| AMERIQUEST TECHNOLOGI | COM | 03070P103 | 0 | 75 | SH | DEFINED | 01 | 15 |
| AMERISTAR CASINOS INC | COM | 03070Q101 | 713 | 20537 | SH | DEFINED | 01 | 02 |
| AMERISTAR CASINOS INC | COM | 03070Q101 | 20667 | 595092 | SH | DEFINED | 01 | 10 |
| AMERON INTERNATIONAL CORP | COM | 030710107 | 508 | 5627 | SH | DEFINED | 01 | 02 |
| AMERISAFE INC | COM | 03071H100 | 2729 | 138961 | SH | DEFINED | 01 | 02 |
| AMERISAFE INC | COM | 03071H100 | 793 | 40367 | SH | DEFINED | 01 | 02 |
| AMERISAFE INC | COM | 03071H100 | 281 | 14300 | SH | DEFINED | 01 | 03 |
| AMERISOURCEBERGEN CORP | COM | 03073E105 | 691 | 13960 | SH | DEFINED | 01 | 02 |
| AMERISOURCEBERGEN CORP | COM | 03073E105 | 1564 | 31620 | SH | DEFINED | 01 | 03 |
| AMERISOURCEBERGEN CORP | COM | 03073E105 | 20 | 414 | SH | DEFINED | 01 | 15 |
| AMERIGROUP CORP | COM | 03073T102 | 5722 | 240445 | SH | DEFINED | 01 | 02 |
| AMERISERV FINL INC | COM | 03074A102 | 22 | 5000 | SH | DEFINED | 01 | 15 |
| AMERIPRISE FINANCIAL INC | COM | 03076C106 | 737 | 11586 | SH | DEFINED | 01 | 02 |
| AMERIPRISE FINANCIAL INC | COM | 03076C106 | 2475 | 38930 | SH | DEFINED | 01 | 03 |
| AMERIPRISE FINANCIAL INC | COM | 03076C106 | 637 | 10018 | SH | DEFINED | 01 | 15 |
| AMERIS BANCORP COM | COM | 03076K108 | 61 | 2700 | SH | DEFINED | 01 | 15 |
| AMETEK INC (NEW) | COM | 031100100 | 6365 | 160405 | SH | DEFINED | 01 | 02 |
| AMETEK INC (NEW) | COM | 031100100 | 121 | 3040 | SH | DEFINED | 01 | 15 |
| AMGEN INC | COM | 031162100 | 158948 | 2874822 | SH | DEFINED | 01 | 02 |
| AMGEN INC | COM | 031162100 | 10848 | 196223 | SH | DEFINED | 01 | 03 |
| AMGEN INC | COM | 031162100 | 36027 | 651726 | SH | DEFINED | 01 | 10 |
| AMGEN INC | COM | 031162100 | 8886 | 160723 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | |
|--------------------------------|-----|-----------|-------|------------|--------------|-------|
| CALL AMGEN INC | OPT | 0311629GL | 0 | 8 SH | CALL DEFINED | 01 15 |
| AMIS HOLDINGS INC | COM | 031538101 | 2826 | 225635 SH | DEFINED | 01 02 |
| AMIS HOLDINGS INC | COM | 031538101 | 17 | 1393 SH | DEFINED | 01 15 |
| AMKOR TECHNOLOGY INC | COM | 031652100 | 3647 | 231527 SH | DEFINED | 01 02 |
| AMKOR TECHNOLOGY INC | COM | 031652100 | 15 | 955 SH | DEFINED | 01 15 |
| AMPEX CORP DEL CL A NEW | COM | 032092306 | 2 | 125 SH | DEFINED | 01 15 |
| AMPHENOL CORP | COM | 032095101 | 9287 | 260522 SH | DEFINED | 01 02 |
| AMPHENOL CORP | COM | 032095101 | 219 | 6153 SH | DEFINED | 01 03 |
| AMPHENOL CORP | COM | 032095101 | 2666 | 74900 SH | DEFINED | 01 10 |
| AMPHENOL CORP | COM | 032095101 | 479 | 13445 SH | DEFINED | 01 15 |
| AMREIT CL A | COM | 032158107 | 49 | 5633 SH | DEFINED | 01 15 |
| AMREP CORP | COM | 032159105 | 668 | 14043 SH | DEFINED | 01 02 |
| AMSURG CORP | COM | 03232P405 | 1186 | 49110 SH | DEFINED | 01 02 |
| AMYLIN PHARMACEUTICALS INC | COM | 032346108 | 15738 | 382373 SH | DEFINED | 01 02 |
| AMYLIN PHARMACEUTICALS INC | COM | 032346108 | 355 | 8622 SH | DEFINED | 01 03 |
| AMYLIN PHARMACEUTICALS INC | COM | 032346108 | 677 | 16463 SH | DEFINED | 01 10 |
| AMYLIN PHARMACEUTICALS INC | COM | 032346108 | 381 | 9245 SH | DEFINED | 01 15 |
| ANADARKO PETROLEUM CORP | COM | 032511107 | 76089 | 1463510 SH | DEFINED | 01 02 |
| ANADARKO PETROLEUM CORP | COM | 032511107 | 4344 | 83561 SH | DEFINED | 01 03 |
| ANADARKO PETROLEUM CORP | COM | 032511107 | 1069 | 20567 SH | DEFINED | 01 15 |
| ANADIGICS INC | COM | 032515108 | 2779 | 201493 SH | DEFINED | 01 02 |
| ANADIGICS INC | COM | 032515108 | 0 | 25 SH | DEFINED | 01 15 |
| ANALOG DEVICES INC | COM | 032654105 | 4988 | 132515 SH | DEFINED | 01 02 |
| ANALOG DEVICES INC | COM | 032654105 | 2139 | 56808 SH | DEFINED | 01 03 |
| ANALOG DEVICES INC | COM | 032654105 | 81675 | 2171032 SH | DEFINED | 01 10 |
| ANALOG DEVICES INC | COM | 032654105 | 178 | 4728 SH | DEFINED | 01 15 |
| ANALOGIC CORP | COM | 032657207 | 1688 | 22969 SH | DEFINED | 01 02 |
| ANALYSTS INTERNATL CORP | COM | 032681108 | 4 | 2100 SH | DEFINED | 01 15 |
| ANAREN INC | COM | 032744104 | 2781 | 157900 SH | DEFINED | 01 02 |
| ANAREN INC | COM | 032744104 | 11 | 600 SH | DEFINED | 01 15 |
| ANCHOR BANCORP WISCONSIN INC | COM | 032839102 | 771 | 29454 SH | DEFINED | 01 02 |
| ANDERSONS INC | COM | 034164103 | 14 | 319 SH | DEFINED | 01 15 |
| ANDREA ELECTRONICS CORP | COM | 034393108 | 0 | 3000 SH | DEFINED | 01 15 |
| ANDREW CORP | COM | 034425108 | 1753 | 121398 SH | DEFINED | 01 02 |
| ANDREW CORP | COM | 034425108 | 1161 | 80390 SH | DEFINED | 01 02 |
| ANDREW CORP | COM | 034425108 | 399 | 27640 SH | DEFINED | 01 03 |
| ANDREW CORP | COM | 034425108 | 111 | 7656 SH | DEFINED | 01 15 |
| ANGANG STL CO LTD SPONSORED | COM | 03462W104 | 10 | 125 SH | DEFINED | 01 15 |
| ANGELICA CORP | COM | 034663104 | 332 | 15739 SH | DEFINED | 01 02 |
| ANGIODYNAMICS INC | COM | 03475V101 | 227 | 12600 SH | DEFINED | 01 02 |
| ANGLO AMERICAN PLC ADR 91 FOR | COM | 03485P102 | 156 | 5300 SH | DEFINED | 01 15 |
| ANGLO IRISH CORP PLC SPON A | COM | 035058106 | 48 | 2338 SH | DEFINED | 01 15 |
| ANGLOGOLD ASHANTI LTD SPONS | COM | 035128206 | 65 | 1710 SH | DEFINED | 01 15 |
| ANHEUSER-BUSCH COS INC. | COM | 035229103 | 29010 | 556190 SH | DEFINED | 01 02 |
| ANHEUSER-BUSCH COS INC. | COM | 035229103 | 6558 | 125737 SH | DEFINED | 01 03 |
| ANHEUSER-BUSCH COS INC. | COM | 035229103 | 7956 | 152523 SH | DEFINED | 01 15 |
| ANICOM INC NO 100HOLD | COM | 035250109 | 0 | 100 SH | DEFINED | 01 15 |
| ANIXTER INTERNATIONAL INC | COM | 035290105 | 12640 | 168052 SH | DEFINED | 01 02 |
| ANIXTER INTERNATIONAL INC | COM | 035290105 | 1341 | 17820 SH | DEFINED | 01 02 |
| ANIXTER INTERNATIONAL INC | COM | 035290105 | 449 | 5970 SH | DEFINED | 01 03 |
| ANIXTER INTERNATIONAL INC | COM | 035290105 | 3257 | 43300 SH | DEFINED | 01 10 |
| ANIXTER INTERNATIONAL INC | COM | 035290105 | 221 | 2940 SH | DEFINED | 01 15 |
| ANNALY CAPITAL MANAGEMENT INC | COM | 035710409 | 2798 | 194020 SH | DEFINED | 01 02 |
| ANNALY CAP MGMT INC 7.875% PFD | COM | 035710508 | 6 | 250 SH | DEFINED | 01 15 |
| ANNTAYLOR STORES CRP | COM | 036115103 | 49 | 1380 SH | DEFINED | 01 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|---------|----|----|
| ANOORAQ RES CORP ISIN #CA03 | COM | 03633E108 | 0 | 100 | SH | DEFINED | 01 | 15 | |
| ANSCOTT INDS INC | COM | 036340107 | 0 | 60 | SH | DEFINED | 01 | 15 | |
| ANSOFT CORP | COM | 036384105 | 1625 | 55096 | SH | DEFINED | 01 | 02 | |
| ANSYS INC | COM | 03662Q105 | 4842 | 182688 | SH | DEFINED | 01 | 02 | |
| ANSYS INC | COM | 03662Q105 | 450 | 16990 | SH | DEFINED | 01 | 15 | |
| ANTIGUA ENTER INC | COM | 036913101 | 0 | 400 | SH | DEFINED | 01 | 15 | |
| ANSWERTHINK INC | COM | 036916104 | 0 | 10 | SH | DEFINED | 01 | 15 | |
| ANTHRACITE CAPITAL INC | COM | 037023108 | 1295 | 110651 | SH | DEFINED | 01 | 02 | |
| ANTHRACITE CAPITAL INC | COM | 037023108 | 809 | 69120 | SH | DEFINED | 01 | 02 | |
| ANTHRACITE CAPITAL INC | COM | 037023108 | 279 | 23870 | SH | DEFINED | 01 | 03 | |
| ANTHRACITE CAP INC PFD SER C | COM | 037023306 | 10 | 400 | SH | DEFINED | 01 | 15 | |
| ANTIGENICS INC DEL | COM | 037032109 | 1 | 311 | SH | DEFINED | 01 | 15 | |
| AON CORP | COM | 037389103 | 216935 | 5091161 | SH | DEFINED | 01 | 02 | |
| AON CORP | COM | 037389103 | 2070 | 48585 | SH | DEFINED | 01 | 03 | |
| AON CORP | COM | 037389103 | 401 | 9421 | SH | DEFINED | 01 | 15 | |
| APACHE CORP | COM | 037411105 | 74632 | 914724 | SH | DEFINED | 01 | 02 | |
| APACHE CORP | COM | 037411105 | 4691 | 57491 | SH | DEFINED | 01 | 03 | |
| APACHE CORP | COM | 037411105 | 1642 | 20120 | SH | DEFINED | 01 | 15 | |
| APARTMENT INVT & MGMT CO -A | COM | 03748R101 | 20198 | 400624 | SH | DEFINED | 01 | 02 | |
| APARTMENT INVT & MGMT CO -A | COM | 03748R101 | 811 | 16075 | SH | DEFINED | 01 | 03 | |
| APARTMENT INVT & MGMT CO PF | COM | 03748R812 | 5 | 200 | SH | DEFINED | 01 | 15 | |
| APOGEE ENTERPRISES INC | COM | 037598109 | 6939 | 249431 | SH | DEFINED | 01 | 02 | |
| APOGEE ENTERPRISES INC | COM | 037598109 | 984 | 35400 | SH | DEFINED | 01 | 02 | |
| APOGEE ENTERPRISES INC | COM | 037598109 | 373 | 13400 | SH | DEFINED | 01 | 03 | |
| APOGEE ENTERPRISES INC | COM | 037598109 | 28 | 1004 | SH | DEFINED | 01 | 15 | |
| APOLLO GROUP INC -C | COM | 037604105 | 10758 | 184118 | SH | DEFINED | 01 | 02 | |
| APOLLO GROUP INC -C | COM | 037604105 | 1391 | 23800 | SH | DEFINED | 01 | 03 | |
| APOLLO GROUP INC -C | COM | 037604105 | 44 | 760 | SH | DEFINED | 01 | 15 | |
| APEX RESOURCES GROUP INC | COM | 03760U107 | 0 | 1750 | SH | DEFINED | 01 | 15 | |
| APOLLO INVESTMENT CORP | COM | 03761U106 | 4012 | 186431 | SH | DEFINED | 01 | 02 | |
| APOLLO INVESTMENT CORP | COM | 03761U106 | 985 | 45750 | SH | DEFINED | 01 | 02 | |
| APOLLO INVESTMENT CORP | COM | 03761U106 | 366 | 16990 | SH | DEFINED | 01 | 03 | |
| APOLLO INVESTMENT CORP | COM | 03761U106 | 340 | 15798 | SH | DEFINED | 01 | 15 | |
| APOLLO RES INTL INC | COM | 037622107 | 0 | 127 | SH | DEFINED | 01 | 15 | |
| APPLE INC | COM | 037833100 | 271995 | 2228736 | SH | DEFINED | 01 | 02 | |
| APPLE INC | COM | 037833100 | 18013 | 147596 | SH | DEFINED | 01 | 03 | |
| APPLE INC | COM | 037833100 | 143234 | 1173668 | SH | DEFINED | 01 | 10 | |
| APPLE INC | COM | 037833100 | 6297 | 51598 | SH | DEFINED | 01 | 15 | |
| CALL APPLE INC | OPT | 0378339GR | 32 | 10 | SH | CALL | DEFINED | 01 | 15 |
| APPLEBEE'S INTL INC | COM | 037899101 | 86 | 3600 | SH | DEFINED | 01 | 02 | |
| APPLEBEE'S INTL INC | COM | 037899101 | 197 | 8190 | SH | DEFINED | 01 | 15 | |
| APRIA HEALTHCARE GROUP INC | COM | 037933108 | 7891 | 274286 | SH | DEFINED | 01 | 02 | |
| APRIA HEALTHCARE GROUP INC | COM | 037933108 | 804 | 27900 | SH | DEFINED | 01 | 02 | |
| APRIA HEALTHCARE GROUP INC | COM | 037933108 | 293 | 10170 | SH | DEFINED | 01 | 03 | |
| APRIA HEALTHCARE GROUP INC | COM | 037933108 | 9 | 323 | SH | DEFINED | 01 | 15 | |
| APPLERA CORP - APPLIED BIOSYST | COM | 038020103 | 427 | 14026 | SH | DEFINED | 01 | 02 | |
| APPLERA CORP - APPLIED BIOSYST | COM | 038020103 | 928 | 30374 | SH | DEFINED | 01 | 03 | |
| APPLERA CORP - APPLIED BIOSYST | COM | 038020103 | 16 | 511 | SH | DEFINED | 01 | 15 | |
| CELERA GENOMICS GROUP-APPLER | COM | 038020202 | 25602 | 2064708 | SH | DEFINED | 01 | 02 | |
| CELERA GENOMICS GROUP-APPLER | COM | 038020202 | 772 | 62240 | SH | DEFINED | 01 | 02 | |
| CELERA GENOMICS GROUP-APPLER | COM | 038020202 | 1190 | 95982 | SH | DEFINED | 01 | 03 | |
| CELERA GENOMICS GROUP-APPLER | COM | 038020202 | 19 | 1518 | SH | DEFINED | 01 | 15 | |
| APPLIED DIGITAL SOLUTIONS | COM | 038188306 | 100 | 72900 | SH | DEFINED | 01 | 15 | |
| APPLIED MACHINING TECHNOLOGY | COM | 038208104 | 0 | 6 | SH | DEFINED | 01 | 15 | |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| APPLIED INDUSTRIAL TECHNOLOGIE | COM | 03820C105 | 6443 | 218398 | SH | DEFINED | 01 | 02 |
| APPLIED MAGNETICS CPDELAWARE F | COM | 038213104 | 0 | 300 | SH | DEFINED | 01 | 15 |
| APPLIED MATERIALS INC | COM | 038222105 | 4266 | 214669 | SH | DEFINED | 01 | 02 |
| APPLIED MATERIALS INC | COM | 038222105 | 4596 | 231327 | SH | DEFINED | 01 | 03 |
| APPLIED MATERIALS INC | COM | 038222105 | 3402 | 171206 | SH | DEFINED | 01 | 15 |
| APPLIED MICRO CIRCUITS C | COM | 03822W109 | 31 | 12517 | SH | DEFINED | 01 | 15 |
| APPLIED SIGNAL TECHNOLOGY | COM | 038237103 | 311 | 19920 | SH | DEFINED | 01 | 02 |
| APPLIX INC | COM | 038316105 | 535 | 32496 | SH | DEFINED | 01 | 02 |
| APTARGROUP INC | COM | 038336103 | 28670 | 806200 | SH | DEFINED | 01 | 02 |
| APTARGROUP INC | COM | 038336103 | 1839 | 51710 | SH | DEFINED | 01 | 02 |
| APTARGROUP INC | COM | 038336103 | 622 | 17480 | SH | DEFINED | 01 | 03 |
| APTARGROUP INC | COM | 038336103 | 18 | 510 | SH | DEFINED | 01 | 15 |
| AQUA AMERICA | COM | 03836W103 | 921 | 40945 | SH | DEFINED | 01 | 15 |
| AQUA VIE BEVERAGE CORP COM P | COM | 03838F306 | 0 | 250 | SH | DEFINED | 01 | 15 |
| AQUANTIVE INC | COM | 03839G105 | 162 | 2540 | SH | DEFINED | 01 | 02 |
| AQUILA INC COM | COM | 03840P102 | 13 | 3087 | SH | DEFINED | 01 | 15 |
| ARACRUZ CELULOSE SA-SPON ADR | COM | 038496204 | 27413 | 413838 | SH | DEFINED | 01 | 02 |
| ARACRUZ CELULOSE SA-SPON ADR | COM | 038496204 | 94975 | 1437063 | SH | DEFINED | 01 | 10 |
| ARACRUZ CELULOSE SA-SPON ADR | COM | 038496204 | 30 | 450 | SH | DEFINED | 01 | 15 |
| ARBITRON INC | COM | 03875Q108 | 4220 | 81881 | SH | DEFINED | 01 | 02 |
| ARC WIRELESS SOLUTIONS | COM | 03878K207 | 20 | 4000 | SH | DEFINED | 01 | 15 |
| ARBOR REALTY TRUST INC | COM | 038923108 | 4943 | 191524 | SH | DEFINED | 01 | 02 |
| ARBOR REALTY TRUST INC | COM | 038923108 | 801 | 31030 | SH | DEFINED | 01 | 02 |
| ARBOR REALTY TRUST INC | COM | 038923108 | 260 | 10060 | SH | DEFINED | 01 | 03 |
| ARCELOR MITTAL CL A N Y REGIST | COM | 03937E101 | 270 | 4321 | SH | DEFINED | 01 | 15 |
| ARCH CHEMICALS INC | COM | 03937R102 | 1402 | 39897 | SH | DEFINED | 01 | 02 |
| ARCH CHEMICALS INC | COM | 03937R102 | 28 | 800 | SH | DEFINED | 01 | 15 |
| ARCH COAL INC | COM | 039380100 | 1373 | 39464 | SH | DEFINED | 01 | 02 |
| ARCH COAL INC | COM | 039380100 | 6609 | 190216 | SH | DEFINED | 01 | 10 |
| ARCH COAL INC | COM | 039380100 | 29 | 830 | SH | DEFINED | 01 | 15 |
| ARCH WIRELESS INC NO 100HLDR | COM | 039392105 | 0 | 2028 | SH | DEFINED | 01 | 15 |
| ARCHER-DANIELS-MIDLAND CO | COM | 039483102 | 6648 | 200920 | SH | DEFINED | 01 | 02 |
| ARCHER-DANIELS-MIDLAND CO | COM | 039483102 | 3574 | 108015 | SH | DEFINED | 01 | 03 |
| ARCHER-DANIELS-MIDLAND CO | COM | 039483102 | 710 | 21470 | SH | DEFINED | 01 | 15 |
| ARCHIVALCD INC | COM | 039574108 | 0 | 23 | SH | DEFINED | 01 | 15 |
| ARCHSTONE-SMITH TRUST | COM | 039583109 | 14447 | 244401 | SH | DEFINED | 01 | 02 |
| ARCHSTONE-SMITH TRUST | COM | 039583109 | 2181 | 36890 | SH | DEFINED | 01 | 03 |
| ARCHSTONE-SMITH TRUST | COM | 039583109 | 772 | 13081 | SH | DEFINED | 01 | 10 |
| ARCTIC CAT INC | COM | 039670104 | 1609 | 81225 | SH | DEFINED | 01 | 02 |
| ARCTIC GLACIER INCOME FD | COM | 039675103 | 13 | 1000 | SH | DEFINED | 01 | 15 |
| ARENA BRANDS INC | COM | 03999M207 | 69 | 111111 | SH | DEFINED | 01 | 02 |
| ARENA PHARMACEUTICALS INC | COM | 040047102 | 2 | 210 | SH | DEFINED | 01 | 15 |
| ARENA RESOURCES INC | COM | 040049108 | 1508 | 25960 | SH | DEFINED | 01 | 02 |
| ARES CAPITAL CORP | COM | 04010L103 | 799 | 47372 | SH | DEFINED | 01 | 02 |
| ARES CAPITAL CORP | COM | 04010L103 | 540 | 32100 | SH | DEFINED | 01 | 02 |
| ARES CAPITAL CORP | COM | 04010L103 | 191 | 11310 | SH | DEFINED | 01 | 03 |
| ARES CAPITAL CORP | COM | 04010L103 | 77 | 4582 | SH | DEFINED | 01 | 15 |
| ARGON ST INC | COM | 040149106 | 329 | 14180 | SH | DEFINED | 01 | 02 |
| ARGONAUT GROUP INC | COM | 040157109 | 6313 | 202279 | SH | DEFINED | 01 | 02 |
| ARGONAUT GROUP INC | COM | 040157109 | 1469 | 47090 | SH | DEFINED | 01 | 02 |
| ARGONAUT GROUP INC | COM | 040157109 | 504 | 16160 | SH | DEFINED | 01 | 03 |
| ARGONAUT GROUP INC | COM | 040157109 | 25 | 800 | SH | DEFINED | 01 | 15 |
| ARIAD PHARMACEUTICALINC | COM | 04033A100 | 16 | 2825 | SH | DEFINED | 01 | 15 |
| ARIBA INC | COM | 04033V203 | 12416 | 1252841 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | |
|-------------------------------|-----|-----------|-------|-----------|---------------|
| ARIBA INC | COM | 04033V203 | 299 | 30200 SH | DEFINED 01 03 |
| ARIBA INC | COM | 04033V203 | 3 | 334 SH | DEFINED 01 15 |
| ARKANSAS BEST CORP | COM | 040790107 | 3059 | 78512 SH | DEFINED 01 02 |
| ARKANSAS BEST CORP | COM | 040790107 | 133 | 3416 SH | DEFINED 01 15 |
| ARKEMA SPONSORED | COM | 041232109 | 0 | 4 SH | DEFINED 01 15 |
| ARMADA MERCANTILE LTD | COM | 041904103 | 14 | 30000 SH | DEFINED 01 15 |
| ARM HOLDINGS PLC SPONS ADR | COM | 042068106 | 2 | 200 SH | DEFINED 01 15 |
| ARMOR HOLDINGS INC | COM | 042260109 | 4630 | 53294 SH | DEFINED 01 02 |
| ARMOR HOLDINGS INC | COM | 042260109 | 152 | 1750 SH | DEFINED 01 15 |
| ARMSTRONG HLDGS INC | COM | 042384107 | 1 | 1200 SH | DEFINED 01 15 |
| ARQULE INC | COM | 04269E107 | 463 | 65779 SH | DEFINED 01 02 |
| ARQULE INC | COM | 04269E107 | 1 | 200 SH | DEFINED 01 15 |
| ARRIS GROUP INC | COM | 04269Q100 | 15542 | 883772 SH | DEFINED 01 02 |
| ARRIS GROUP INC | COM | 04269Q100 | 640 | 36390 SH | DEFINED 01 02 |
| ARRIS GROUP INC | COM | 04269Q100 | 212 | 12040 SH | DEFINED 01 03 |
| ARRIS GROUP INC | COM | 04269Q100 | 65 | 3685 SH | DEFINED 01 15 |
| ARRAY BIOPHARMA INC | COM | 04269X105 | 195 | 16720 SH | DEFINED 01 02 |
| ARRAY BIOPHARMA INC | COM | 04269X105 | 34 | 2900 SH | DEFINED 01 15 |
| ARROW ELECTRONICS INC | COM | 042735100 | 1442 | 37526 SH | DEFINED 01 02 |
| ARROW ELECTRONICS INC | COM | 042735100 | 64 | 1664 SH | DEFINED 01 15 |
| ARROW INTERNATIONAL INC | COM | 042764100 | 896 | 23400 SH | DEFINED 01 02 |
| ARROW INTERNATIONAL INC | COM | 042764100 | 46 | 1192 SH | DEFINED 01 15 |
| ART TECHNOLOGY GRP INC | COM | 04289L107 | 3 | 1313 SH | DEFINED 01 15 |
| ARMSTRONG WORLD PFD EXCHANGED | COM | 042ESC608 | 0 | 614 SH | DEFINED 01 15 |
| ARTEC INC NEV | COM | 04300A106 | 0 | 4000 SH | DEFINED 01 15 |
| ARTHROCARE CORP | COM | 043136100 | 2790 | 63546 SH | DEFINED 01 02 |
| ARTHROCARE CORP | COM | 043136100 | 13 | 296 SH | DEFINED 01 15 |
| ARTISTDIRECT INC COM NEW | COM | 04315D400 | 0 | 20 SH | DEFINED 01 15 |
| ARVINMERITOR INC | COM | 043353101 | 3962 | 178552 SH | DEFINED 01 02 |
| ARVINMERITOR INC | COM | 043353101 | 738 | 33230 SH | DEFINED 01 02 |
| ARVINMERITOR INC | COM | 043353101 | 259 | 11670 SH | DEFINED 01 03 |
| ARVINMERITOR INC | COM | 043353101 | 2 | 101 SH | DEFINED 01 15 |
| ASAHI KASEI CORP ADR | COM | 043400100 | 5 | 81 SH | DEFINED 01 15 |
| ASBURY AUTOMOTIVE GROUP INC | COM | 043436104 | 5567 | 223173 SH | DEFINED 01 02 |
| ASBURY AUTOMOTIVE GROUP INC | COM | 043436104 | 779 | 31220 SH | DEFINED 01 02 |
| ASBURY AUTOMOTIVE GROUP INC | COM | 043436104 | 277 | 11100 SH | DEFINED 01 03 |
| ASCONI CORP COM NEW | COM | 04363Q204 | 0 | 62 SH | DEFINED 01 15 |
| ASHFORD HOSPITALITY TRUST INC | COM | 044103109 | 8428 | 716600 SH | DEFINED 01 02 |
| ASHFORD HOSPITALITY TRUST INC | COM | 044103109 | 729 | 61920 SH | DEFINED 01 02 |
| ASHFORD HOSPITALITY TRUST INC | COM | 044103109 | 262 | 22300 SH | DEFINED 01 03 |
| ASHFORD HOSPITALITY TRUST INC | COM | 044103109 | 15 | 1236 SH | DEFINED 01 15 |
| ASHLAND INC | COM | 044209104 | 18116 | 283306 SH | DEFINED 01 02 |
| ASHLAND INC | COM | 044209104 | 592 | 9250 SH | DEFINED 01 03 |
| ASHLAND INC | COM | 044209104 | 144 | 2255 SH | DEFINED 01 15 |
| ASIA PAC FD INC | COM | 044901106 | 70 | 2765 SH | DEFINED 01 15 |
| ASHWORTH INC | COM | 04516H101 | 167 | 23830 SH | DEFINED 01 02 |
| ASIA TIGERS FD INC | COM | 04516T105 | 18 | 720 SH | DEFINED 01 15 |
| ASIA PULP & PAPER CO LTD ADR | COM | 04516V100 | 0 | 1268 SH | DEFINED 01 15 |
| ASIAINFO HOLDINGS INC | COM | 04518A104 | 460 | 47431 SH | DEFINED 01 02 |
| ASPECT MEDICAL SYSTEMS INC | COM | 045235108 | 234 | 15615 SH | DEFINED 01 02 |
| ASPEN TECHNOLOGY INC | COM | 045327103 | 2082 | 148756 SH | DEFINED 01 02 |
| ASPEON INC | COM | 045354107 | 0 | 200 SH | DEFINED 01 15 |
| ASPREVA PHARMACEUT | COM | 04538T109 | 78 | 4515 SH | DEFINED 01 15 |
| ASSET EQUITY GROUP INC | COM | 04541Y103 | 0 | 500 SH | DEFINED 01 15 |
| ASSET ACCEP CAP CORP | COM | 04543P100 | 9 | 500 SH | DEFINED 01 15 |
| ASSISTED LIVING CONCEPTS I | COM | 04544X102 | 3 | 240 SH | DEFINED 01 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| ASSOCIATED BANC-CORP | COM | 045487105 | 144 | 4410 | SH | DEFINED | 01 | 02 |
| ASSOCIATED BANC-CORP | COM | 045487105 | 327 | 10003 | SH | DEFINED | 01 | 15 |
| AT HOME CORP SER A NO 1 | COM | 045919107 | 0 | 4667 | SH | DEFINED | 01 | 15 |
| ASSURANT INC | COM | 04621X108 | 2231 | 37863 | SH | DEFINED | 01 | 02 |
| ASSURANT INC | COM | 04621X108 | 968 | 16425 | SH | DEFINED | 01 | 03 |
| ASSURANT INC | COM | 04621X108 | 26 | 446 | SH | DEFINED | 01 | 15 |
| ASTA FUNDING INC | COM | 046220109 | 320 | 8319 | SH | DEFINED | 01 | 15 |
| ASTEC INDUSTRIES INC | COM | 046224101 | 2456 | 58135 | SH | DEFINED | 01 | 02 |
| ASTORIA FINANCIAL CORP | COM | 046265104 | 0 | 14 | SH | DEFINED | 01 | 02 |
| ASTRAZENECA PLC-SPONS ADR | COM | 046353108 | 968 | 18109 | SH | DEFINED | 01 | 02 |
| ASTRAZENECA PLC-SPONS ADR | COM | 046353108 | 318 | 5940 | SH | DEFINED | 01 | 15 |
| ASTROPOWER INC | COM | 04644A101 | 0 | 135 | SH | DEFINED | 01 | 15 |
| ASYST TECHNOLOGIES INC | COM | 04648X107 | 31 | 4300 | SH | DEFINED | 01 | 15 |
| AT&T LATIN AMER CORP CL A | COM | 04649A106 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| ATARI INC COM NEW | COM | 04651M204 | 0 | 50 | SH | DEFINED | 01 | 15 |
| ATCHISON CASTING CORP | COM | 046613105 | 0 | 5025 | SH | DEFINED | 01 | 15 |
| ATHEROS COMMUNICATIONS | COM | 04743P108 | 5246 | 170101 | SH | DEFINED | 01 | 02 |
| ATHEROS COMMUNICATIONS | COM | 04743P108 | 431 | 13965 | SH | DEFINED | 01 | 15 |
| ATLANTIC PWR CORP INCOME PAR | COM | 04878Q400 | 19 | 1900 | SH | DEFINED | 01 | 15 |
| ATLANTIC SOUTHN FINLGROUP INC | COM | 048877104 | 124 | 3601 | SH | DEFINED | 01 | 15 |
| ATLANTIC TELE-NETWORK INC | COM | 049079205 | 1184 | 41334 | SH | DEFINED | 01 | 02 |
| ATLANTIS GROUP INC | COM | 049148109 | 0 | 250 | SH | DEFINED | 01 | 15 |
| ATLAS AIR WORLDWIDE HOLDINGS | COM | 049164205 | 1374 | 23303 | SH | DEFINED | 01 | 02 |
| ATLAS AIR WORLDWIDE HOLDINGS | COM | 049164205 | 882 | 14960 | SH | DEFINED | 01 | 02 |
| ATLAS AIR WORLDWIDE HOLDINGS | COM | 049164205 | 324 | 5500 | SH | DEFINED | 01 | 03 |
| ATLAS AMERICA INC | COM | 049167109 | 1078 | 20064 | SH | DEFINED | 01 | 02 |
| ALTAS COPCO AB SPONS ADR | COM | 049255706 | 43 | 2582 | SH | DEFINED | 01 | 15 |
| ATMEL CORP | COM | 049513104 | 74243 | 13352786 | SH | DEFINED | 01 | 02 |
| ATMEL CORP | COM | 049513104 | 185 | 33304 | SH | DEFINED | 01 | 15 |
| ATMOS ENERGY CORP | COM | 049560105 | 4381 | 145755 | SH | DEFINED | 01 | 02 |
| ATMOS ENERGY CORP | COM | 049560105 | 201 | 6702 | SH | DEFINED | 01 | 15 |
| ATRICURE INC | COM | 04963C209 | 9 | 1000 | SH | DEFINED | 01 | 15 |
| ATRIUM INNOVATIONS INC ISIN # | COM | 04963Y102 | 100 | 5000 | SH | DEFINED | 01 | 15 |
| ATWOOD OCEANICS INC | COM | 050095108 | 6035 | 87944 | SH | DEFINED | 01 | 02 |
| ATWOOD OCEANICS INC | COM | 050095108 | 38 | 555 | SH | DEFINED | 01 | 15 |
| AUDIBLE INC | COM | 05069A302 | 22 | 2199 | SH | DEFINED | 01 | 15 |
| AUDIOVOX CORP | COM | 050757103 | 3358 | 258845 | SH | DEFINED | 01 | 02 |
| AURA SYS INC COM NEW | COM | 051526200 | 0 | 2 | SH | DEFINED | 01 | 15 |
| AURIZON MINES LTD ISIN #CA05 | COM | 05155P106 | 10 | 3000 | SH | DEFINED | 01 | 15 |
| AURORA FOODS INC COM NO 100 | COM | 05164B106 | 0 | 400 | SH | DEFINED | 01 | 15 |
| AUSPEX SYS INC | COM | 052116100 | 0 | 260 | SH | DEFINED | 01 | 15 |
| AUSTIN DEVS CORP | COM | 052219102 | 0 | 16 | SH | DEFINED | 01 | 15 |
| AUSTRALIA & NEW ZEALAN | COM | 052528304 | 283 | 2295 | SH | DEFINED | 01 | 15 |
| AUTHENTIDATE HLDG CORP | COM | 052666104 | 5 | 3500 | SH | DEFINED | 01 | 15 |
| AUTHORIZE.NET HOLDINGS INC | COM | 052686102 | 2475 | 138382 | SH | DEFINED | 01 | 02 |
| AUTODESK INC | COM | 052769106 | 5798 | 123163 | SH | DEFINED | 01 | 02 |
| AUTODESK INC | COM | 052769106 | 1843 | 39154 | SH | DEFINED | 01 | 03 |
| AUTODESK INC | COM | 052769106 | 51 | 1091 | SH | DEFINED | 01 | 15 |
| AUTO UNDERWRITERS OF AMER IN | COM | 05276C109 | 0 | 26 | SH | DEFINED | 01 | 15 |
| AUTOLIV INC | COM | 052800109 | 365 | 6427 | SH | DEFINED | 01 | 02 |
| AUTOLIV INC | COM | 052800109 | 1250 | 22000 | SH | DEFINED | 01 | 10 |
| AUTOMATIC DATA PROCESSING INC | COM | 053015103 | 27224 | 561646 | SH | DEFINED | 01 | 02 |
| AUTOMATIC DATA PROCESSING INC | COM | 053015103 | 3980 | 82105 | SH | DEFINED | 01 | 02 |
| AUTOMATIC DATA PROCESSING INC | COM | 053015103 | 4437 | 91549 | SH | DEFINED | 01 | 03 |
| AUTOMATIC DATA PROCESSING INC | COM | 053015103 | 115586 | 2387137 | SH | DEFINED | 01 | 10 |
| AUTOMATIC DATA PROCESSING INC | COM | 053015103 | 2566 | 52933 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| AUTONATION INC | COM | 05329W102 | 3324 | 148206 | SH | DEFINED | 01 | 02 |
| AUTONATION INC | COM | 05329W102 | 561 | 25005 | SH | DEFINED | 01 | 03 |
| AUTONATION INC | COM | 05329W102 | 17 | 745 | SH | DEFINED | 01 | 15 |
| AUTOZONE INC | COM | 053332102 | 6854 | 50174 | SH | DEFINED | 01 | 02 |
| AUTOZONE INC | COM | 053332102 | 1080 | 7902 | SH | DEFINED | 01 | 03 |
| AUTOZONE INC | COM | 053332102 | 22 | 158 | SH | DEFINED | 01 | 15 |
| AVALONBAY COMMUNITIES INC | COM | 053484101 | 12481 | 104992 | SH | DEFINED | 01 | 02 |
| AVALONBAY COMMUNITIES INC | COM | 053484101 | 1567 | 13180 | SH | DEFINED | 01 | 03 |
| AVALONBAY CMNTYS 8.70% SER | COM | 053484705 | 483 | 18600 | SH | DEFINED | 01 | 15 |
| AVANIR PHARMACEUT | COM | 05348P401 | 0 | 150 | SH | DEFINED | 01 | 15 |
| AVANEX CORP | COM | 05348W109 | 2 | 1000 | SH | DEFINED | 01 | 15 |
| AVANT IMMUNOTHER | COM | 053491106 | 2 | 2100 | SH | DEFINED | 01 | 15 |
| AVATAR HOLDINGS INC | COM | 053494100 | 5382 | 69949 | SH | DEFINED | 01 | 02 |
| AVATAR HOLDINGS INC | COM | 053494100 | 586 | 7610 | SH | DEFINED | 01 | 02 |
| AVATAR HOLDINGS INC | COM | 053494100 | 200 | 2600 | SH | DEFINED | 01 | 03 |
| AVAX TECHNOLOGIES INC COM PA | COM | 053495305 | 0 | 100 | SH | DEFINED | 01 | 15 |
| AVAYA INC | COM | 053499109 | 117311 | 6966228 | SH | DEFINED | 01 | 02 |
| AVAYA INC | COM | 053499109 | 1254 | 74443 | SH | DEFINED | 01 | 03 |
| AVAYA INC | COM | 053499109 | 91 | 5411 | SH | DEFINED | 01 | 15 |
| AVERY DENNISON CORP | COM | 053611109 | 5811 | 87421 | SH | DEFINED | 01 | 02 |
| AVERY DENNISON CORP | COM | 053611109 | 1008 | 15160 | SH | DEFINED | 01 | 03 |
| AVERY DENNISON CORP | COM | 053611109 | 13 | 198 | SH | DEFINED | 01 | 15 |
| AVIATION GENERAL INC | COM | 05366T103 | 0 | 380 | SH | DEFINED | 01 | 15 |
| AVID TECHNOLOGY INC | COM | 05367P100 | 8369 | 236765 | SH | DEFINED | 01 | 02 |
| AVID TECHNOLOGY INC | COM | 05367P100 | 967 | 27354 | SH | DEFINED | 01 | 15 |
| AVIGEN INC | COM | 053690103 | 23 | 3732 | SH | DEFINED | 01 | 02 |
| AVIS BUDGET GROUP INC | COM | 053774105 | 676 | 23794 | SH | DEFINED | 01 | 02 |
| AVIS BUDGET GROUP INC | COM | 053774105 | 35 | 1233 | SH | DEFINED | 01 | 15 |
| AVISTA CORP | COM | 05379B107 | 17361 | 805625 | SH | DEFINED | 01 | 02 |
| AVISTA CORP | COM | 05379B107 | 22 | 1036 | SH | DEFINED | 01 | 15 |
| AVNET INC | COM | 053807103 | 1924 | 48503 | SH | DEFINED | 01 | 02 |
| AVNET INC | COM | 053807103 | 178 | 4481 | SH | DEFINED | 01 | 15 |
| AVON PRODUCTS INC | COM | 054303102 | 16077 | 437460 | SH | DEFINED | 01 | 02 |
| AVON PRODUCTS INC | COM | 054303102 | 2669 | 72620 | SH | DEFINED | 01 | 03 |
| AVON PRODUCTS INC | COM | 054303102 | 94079 | 2562073 | SH | DEFINED | 01 | 10 |
| AVON PRODUCTS INC | COM | 054303102 | 259 | 7060 | SH | DEFINED | 01 | 15 |
| AXA -SPONS ADR | COM | 054536107 | 1 | 24 | SH | DEFINED | 01 | 05 |
| AXA -SPONS ADR | COM | 054536107 | 140 | 3246 | SH | DEFINED | 01 | 15 |
| AWARE INC MASS | COM | 05453N100 | 0 | 56 | SH | DEFINED | 01 | 15 |
| AXCELIS TECHNOLOGIES INC | COM | 054540109 | 3174 | 489028 | SH | DEFINED | 01 | 02 |
| AXCELIS TECHNOLOGIES INC | COM | 054540109 | 503 | 77540 | SH | DEFINED | 01 | 02 |
| AXCELIS TECHNOLOGIES INC | COM | 054540109 | 174 | 26800 | SH | DEFINED | 01 | 03 |
| AXCELIS TECHNOLOGIES INC | COM | 054540109 | 53 | 8181 | SH | DEFINED | 01 | 15 |
| AXIOM INTL DEV CORP | COM | 05460K107 | 0 | 200 | SH | DEFINED | 01 | 15 |
| AXSYS TECHNOLOGIES INC | COM | 054615109 | 16 | 750 | SH | DEFINED | 01 | 15 |
| AXIAL VECTOR ENGINE COR | COM | 05461A108 | 0 | 3 | SH | DEFINED | 01 | 15 |
| AZTAR CORP CASH MERGE | COM | 054802103 | 0 | 100 | SH | DEFINED | 01 | 15 |
| AZTEC TECH PARTNERS | COM | 05480L101 | 0 | 180 | SH | DEFINED | 01 | 15 |
| AXCAN PHARMA INC | COM | 054923107 | 87 | 4504 | SH | DEFINED | 01 | 02 |
| BB&T CORP | COM | 054937107 | 10477 | 257559 | SH | DEFINED | 01 | 02 |
| BB&T CORP | COM | 054937107 | 3652 | 89786 | SH | DEFINED | 01 | 03 |
| BB&T CORP | COM | 054937107 | 270 | 6642 | SH | DEFINED | 01 | 15 |
| B&G FOODS INC | COM | 05508R106 | 5345 | 404888 | SH | DEFINED | 01 | 02 |
| B & G FOODS INC NEW ENHANCED I | COM | 05508R205 | 614 | 30352 | SH | DEFINED | 01 | 15 |
| BAC CAP TR V GTD CAP SECS 6.00 | COM | 055184204 | 52 | 2253 | SH | DEFINED | 01 | 15 |
| BAC CAPITAL TR I CAP SECS P | COM | 055187207 | 13 | 533 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| BAC CAPITAL TR II CAP SECS P | COM | 055188205 | 29 | 1150 | SH | DEFINED | 01 | 15 |
| BAC CAP TR X CAP SECS 6 | COM | 055189203 | 59 | 2500 | SH | DEFINED | 01 | 15 |
| BAC CAP TR III CAP SECS P | COM | 05518E202 | 62 | 2465 | SH | DEFINED | 01 | 15 |
| BAC CAP TR VIII GTD CAP SE | COM | 05518T209 | 18 | 800 | SH | DEFINED | 01 | 15 |
| BAE SYS PLC SPONS ADR ISIN # | COM | 05523R107 | 149 | 4575 | SH | DEFINED | 01 | 15 |
| BASF AG SPONS ADR | COM | 055262505 | 550 | 4209 | SH | DEFINED | 01 | 15 |
| BCE INC COM NEW ISIN #CA05 | COM | 05534B760 | 60 | 1588 | SH | DEFINED | 01 | 15 |
| BGE CAP TR II TR PFDSECS 6.20% | COM | 05541Q206 | 5 | 200 | SH | DEFINED | 01 | 15 |
| BG GROUP PLC-SPON ADR | COM | 055434203 | 3070 | 37534 | SH | DEFINED | 01 | 02 |
| BG GROUP PLC-SPON ADR | COM | 055434203 | 461 | 5641 | SH | DEFINED | 01 | 15 |
| BHP BILLITON PLC SPON ADR | COM | 05545E209 | 72 | 1281 | SH | DEFINED | 01 | 15 |
| BISYS GROUP INC/THE | COM | 055472104 | 140 | 11870 | SH | DEFINED | 01 | 02 |
| BIFS TECHNOLOGIES CORP COM | COM | 05547A106 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| BJ SERVICES CO | COM | 055482103 | 39067 | 1373676 | SH | DEFINED | 01 | 02 |
| BJ SERVICES CO | COM | 055482103 | 1790 | 62965 | SH | DEFINED | 01 | 03 |
| BJ SERVICES CO | COM | 055482103 | 708 | 24883 | SH | DEFINED | 01 | 15 |
| BKF CAP GROUP INC | COM | 05548G102 | 0 | 212 | SH | DEFINED | 01 | 15 |
| BJ`S WHOLESALE CLUB INC | COM | 05548J106 | 467 | 12979 | SH | DEFINED | 01 | 02 |
| BJ`S WHOLESALE CLUB INC | COM | 05548J106 | 0 | 10 | SH | DEFINED | 01 | 15 |
| BMC INDS INC MINN NO 100HOLD | COM | 055607105 | 0 | 1800 | SH | DEFINED | 01 | 15 |
| B O K FINANCIAL CORPNEW | COM | 05561Q201 | 6 | 112 | SH | DEFINED | 01 | 15 |
| BP PLC-SPONS ADR | COM | 055622104 | 254174 | 3523322 | SH | DEFINED | 01 | 02 |
| BP PLC-SPONS ADR | COM | 055622104 | 765 | 10611 | SH | DEFINED | 01 | 03 |
| BP PLC-SPONS ADR | COM | 055622104 | 6649 | 92162 | SH | DEFINED | 01 | 15 |
| BP PRUDHOE BAY ROYALTY TRUST | COM | 055630107 | 523 | 7245 | SH | DEFINED | 01 | 15 |
| BRT REALTY TRUST | COM | 055645303 | 151 | 5800 | SH | DEFINED | 01 | 02 |
| BRE PROPERTIES INC | COM | 05564E106 | 3623 | 61110 | SH | DEFINED | 01 | 02 |
| BNP PARIBAS SPONSORED | COM | 05565A202 | 888 | 14879 | SH | DEFINED | 01 | 15 |
| BT GROUP PLC-SPON ADR | COM | 05577E101 | 3256 | 48901 | SH | DEFINED | 01 | 02 |
| BT GROUP PLC-SPON ADR | COM | 05577E101 | 63 | 944 | SH | DEFINED | 01 | 15 |
| BMC SOFTWARE INC | COM | 055921100 | 41132 | 1357443 | SH | DEFINED | 01 | 02 |
| BMC SOFTWARE INC | COM | 055921100 | 1144 | 37742 | SH | DEFINED | 01 | 03 |
| BMC SOFTWARE INC | COM | 055921100 | 29 | 950 | SH | DEFINED | 01 | 15 |
| BUM INTERNATIONAL INC | COM | 055931109 | 0 | 500 | SH | DEFINED | 01 | 15 |
| B P I INDS INC | COM | 055934103 | 3 | 4500 | SH | DEFINED | 01 | 15 |
| BMJ MED MGMT INC | COM | 055950109 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| BNS HLDG INC CL A | COM | 055966105 | 5 | 420 | SH | DEFINED | 01 | 15 |
| BTU INTERNATIONAL INC | COM | 056032105 | 315 | 22862 | SH | DEFINED | 01 | 02 |
| B2B INTERNET HOLDRS TR | COM | 056033103 | 3 | 1400 | SH | DEFINED | 01 | 15 |
| BABY BEE BRIGHT CORPNEW COM NE | COM | 05617P207 | 0 | 272 | SH | DEFINED | 01 | 15 |
| BABY BEE BRIG HT CORP | COM | 05617P991 | 0 | 13 | SH | DEFINED | 01 | 15 |
| BAJA MNG CORP ISIN #CA05 | COM | 05709R103 | 1 | 500 | SH | DEFINED | 01 | 15 |
| BAKER HUGHES INC | COM | 057224107 | 172707 | 2052869 | SH | DEFINED | 01 | 02 |
| BAKER HUGHES INC | COM | 057224107 | 5025 | 59732 | SH | DEFINED | 01 | 03 |
| BAKER HUGHES INC | COM | 057224107 | 451 | 5366 | SH | DEFINED | 01 | 15 |
| BALCHEM CORP FRMLY CL B | COM | 057665200 | 0 | 10 | SH | DEFINED | 01 | 15 |
| BALDOR ELECTRIC | COM | 057741100 | 8173 | 165824 | SH | DEFINED | 01 | 02 |
| BALDWIN & LYONS INC -CL B | COM | 057755209 | 11 | 423 | SH | DEFINED | 01 | 02 |
| | | | | | | | | |
| BALL CORP | COM | 058498106 | 7305 | 137401 | SH | DEFINED | 01 | 02 |
| BALL CORP | COM | 058498106 | 898 | 16898 | SH | DEFINED | 01 | 03 |
| BALL CORP | COM | 058498106 | 139 | 2616 | SH | DEFINED | 01 | 15 |
| BALLANTYNE OF OMAHA INC | COM | 058516105 | 9 | 1550 | SH | DEFINED | 01 | 15 |
| BALLARD PWR SYS INC ISIN #CA05 | COM | 05858H104 | 5 | 1018 | SH | DEFINED | 01 | 15 |
| BALLY TOTAL FITNESS HLDGS | COM | 05873K108 | 0 | 25 | SH | DEFINED | 01 | 15 |
| BALLY TECHNOLOGIES INC | COM | 05874B107 | 76 | 2882 | SH | DEFINED | 01 | 02 |
| BALLY TECHNOLOGIES INC | COM | 05874B107 | 31 | 1168 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| BALTIA AIR LINES INC | COM | 058823105 | 1 | 12300 | SH | DEFINED | 01 | 15 |
| BANCFIRST CORP | COM | 05945F103 | 778 | 18175 | SH | DEFINED | 01 | 02 |
| BANCO BRADESCO S A SPONS ADR | COM | 059460303 | 51 | 2130 | SH | DEFINED | 01 | 15 |
| BANCO BILBAO VIZCAYA AR | COM | 05946K101 | 95 | 3911 | SH | DEFINED | 01 | 15 |
| BANCO ITAU S A SPONS ADR | COM | 059602201 | 35 | 780 | SH | DEFINED | 01 | 15 |
| BANCO SANTANDER CENTHISPANO S | COM | 05964H105 | 681 | 37025 | SH | DEFINED | 01 | 15 |
| BANCO SANTANDER-CHILE-ADR | COM | 05965X109 | 33586 | 677981 | SH | DEFINED | 01 | 02 |
| BANCO SANTANDER-CHILE-ADR | COM | 05965X109 | 9 | 177 | SH | DEFINED | 01 | 03 |
| BANCO SANTANDER-CHILE-ADR | COM | 05965X109 | 16112 | 325511 | SH | DEFINED | 01 | 10 |
| BANCOLOMBIA S A SPONS ADR | COM | 05968L102 | 24 | 717 | SH | DEFINED | 01 | 15 |
| BANCORPSOUTH INC | COM | 059692103 | 6 | 262 | SH | DEFINED | 01 | 15 |
| BANCORPSOUTH CAP TR I TR PFD S | COM | 05969E305 | 8 | 300 | SH | DEFINED | 01 | 15 |
| BANCTRUST FINL GROUP INC | COM | 05978R107 | 3 | 150 | SH | DEFINED | 01 | 15 |
| BANK OF AMERICA CORP | COM | 060505104 | 684893 | 14008861 | SH | DEFINED | 01 | 02 |
| BANK OF AMERICA CORP | COM | 060505104 | 5775 | 118122 | SH | DEFINED | 01 | 02 |
| BANK OF AMERICA CORP | COM | 060505104 | 37337 | 763691 | SH | DEFINED | 01 | 03 |
| BANK OF AMERICA CORP | COM | 060505104 | 148006 | 3030412 | SH | DEFINED | 01 | 10 |
| BANK OF AMERICA CORP | COM | 060505104 | 10995 | 224890 | SH | DEFINED | 01 | 15 |
| BANK OF AMER CRP PAR INTERN | COM | 060505401 | 12 | 500 | SH | DEFINED | 01 | 15 |
| BANK OF AMERICA CORPINTERNOTES | COM | 060505864 | 24 | 1000 | SH | DEFINED | 01 | 15 |
| BANK FLA CORP NAPLES COM | COM | 062128103 | 17 | 1000 | SH | DEFINED | 01 | 15 |
| BANK OF HAWAII CORP | COM | 062540109 | 9248 | 179095 | SH | DEFINED | 01 | 02 |
| BANK OF MONTREAL QUEISIN #CA06 | COM | 063671101 | 79 | 1225 | SH | DEFINED | 01 | 15 |
| BANK MUTUAL CORP | COM | 063750103 | 1298 | 112605 | SH | DEFINED | 01 | 02 |
| BANK MUTUAL CORP | COM | 063750103 | 3 | 300 | SH | DEFINED | 01 | 15 |
| BANK OF THE OZARKS | COM | 063904106 | 4 | 130 | SH | DEFINED | 01 | 15 |
| BANK OF NEW YORK CO INC/THE | COM | 064057102 | 117079 | 2825295 | SH | DEFINED | 01 | 02 |
| BANK OF NEW YORK CO INC/THE | COM | 064057102 | 5508 | 132912 | SH | DEFINED | 01 | 03 |
| BANK OF NEW YORK CO INC/THE | COM | 064057102 | 1532 | 36964 | SH | DEFINED | 01 | 15 |
| BANK OF NOVA SCOTIA HALIFAX IS | COM | 064149107 | 113 | 2312 | SH | DEFINED | 01 | 15 |
| BANK ONE CAP TR PFD 7.20% | COM | 06423W204 | 125 | 4900 | SH | DEFINED | 01 | 15 |
| BANKATLANTIC CL A | COM | 065908501 | 637 | 74000 | SH | DEFINED | 01 | 02 |
| BANKATLANTIC CL A | COM | 065908501 | 6 | 700 | SH | DEFINED | 01 | 15 |
| BANKFINANCIAL CORP | COM | 06643P104 | 15 | 1000 | SH | DEFINED | 01 | 15 |
| BANKRATE INC | COM | 06646V108 | 905 | 18890 | SH | DEFINED | 01 | 02 |
| BANKUNITED FINANCIAL | COM | 06652B103 | 6003 | 299097 | SH | DEFINED | 01 | 02 |
| BANKUNITED FINANCIAL | COM | 06652B103 | 882 | 43882 | SH | DEFINED | 01 | 02 |
| BANKUNITED FINANCIAL | COM | 06652B103 | 292 | 14534 | SH | DEFINED | 01 | 03 |
| BANKUNITED FINANCIAL | COM | 06652B103 | 41 | 2060 | SH | DEFINED | 01 | 15 |
| BANNER CORPORATION | COM | 06652V109 | 1564 | 45939 | SH | DEFINED | 01 | 02 |
| BANNER CORPORATION | COM | 06652V109 | 1006 | 29520 | SH | DEFINED | 01 | 02 |
| BANNER CORPORATION | COM | 06652V109 | 347 | 10200 | SH | DEFINED | 01 | 03 |
| BANNER CORPORATION | COM | 06652V109 | 7 | 207 | SH | DEFINED | 01 | 15 |
| BANYAN HOTELS INVT FD | COM | 06682N101 | 0 | 224 | SH | DEFINED | 01 | 15 |
| BARD (C.R.) INC | COM | 067383109 | 2803 | 33915 | SH | DEFINED | 01 | 02 |
| BARD (C.R.) INC | COM | 067383109 | 1412 | 17086 | SH | DEFINED | 01 | 03 |
| BARD (C.R.) INC | COM | 067383109 | 524 | 6339 | SH | DEFINED | 01 | 15 |
| BARCLAYS BK PLC IPATH INDE | COM | 06738C778 | 2717 | 53525 | SH | DEFINED | 01 | 15 |
| BARCLAYS BK PLC IPATH INDE | COM | 06738C786 | 39 | 1000 | SH | DEFINED | 01 | 15 |
| BARCLAYS PLC ADR | COM | 06738E204 | 683 | 12236 | SH | DEFINED | 01 | 15 |
| BARCLAYS BK PLC IPATH ETNS | COM | 06739F291 | 99 | 1647 | SH | DEFINED | 01 | 15 |
| BARCLAYS BANK PLC ADR SER 2 | COM | 06739F390 | 3 | 100 | SH | DEFINED | 01 | 15 |
| BARE ESCENTUALS INC | COM | 067511105 | 1226 | 35910 | SH | DEFINED | 01 | 02 |
| BARE ESCENTUALS INC | COM | 067511105 | 1900 | 55648 | SH | DEFINED | 01 | 10 |
| BARNES & NOBLE INC | COM | 067774109 | 5470 | 142197 | SH | DEFINED | 01 | 02 |
| BARNES & NOBLE INC | COM | 067774109 | 18 | 480 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| BARNES GROUP INC | COM | 067806109 | 4340 | 137020 | SH | DEFINED | 01 | 02 |
| BARNES GROUP INC | COM | 067806109 | 58 | 1840 | SH | DEFINED | 01 | 15 |
| BARRICK GOLD CORP | COM | 067901108 | 18901 | 650223 | SH | DEFINED | 01 | 02 |
| BARRICK GOLD CORP | COM | 067901108 | 3833 | 131861 | SH | DEFINED | 01 | 15 |
| BARNWELL INDUSTRIES INC | COM | 068221100 | 747 | 35927 | SH | DEFINED | 01 | 02 |
| BARR PHARMACEUTICALS INC | COM | 068306109 | 365 | 7277 | SH | DEFINED | 01 | 02 |
| BARR PHARMACEUTICALS INC | COM | 068306109 | 912 | 18165 | SH | DEFINED | 01 | 03 |
| BARR PHARMACEUTICALS INC | COM | 068306109 | 90 | 1800 | SH | DEFINED | 01 | 15 |
| BARRETT BILL CORP | COM | 06846N104 | 15 | 399 | SH | DEFINED | 01 | 15 |
| BASIC ENERGY SERVICES INC | COM | 06985P100 | 1322 | 51720 | SH | DEFINED | 01 | 02 |
| BASIX CORP COM NEW | COM | 070121207 | 0 | 102 | SH | DEFINED | 01 | 15 |
| BASSETT FURNITURE INDS | COM | 070203104 | 265 | 19409 | SH | DEFINED | 01 | 02 |
| EDDIE BAUER HOLDINGS INC | COM | 071625107 | 2133 | 166000 | SH | DEFINED | 01 | 10 |
| BAUSCH & LOMB INC | COM | 071707103 | 12864 | 185247 | SH | DEFINED | 01 | 02 |
| BAUSCH & LOMB INC | COM | 071707103 | 625 | 8994 | SH | DEFINED | 01 | 03 |
| BAUSCH & LOMB INC | COM | 071707103 | 63 | 902 | SH | DEFINED | 01 | 15 |
| BAXTER INTERNATIONAL INC | COM | 071813109 | 15015 | 266491 | SH | DEFINED | 01 | 02 |
| BAXTER INTERNATIONAL INC | COM | 071813109 | 6074 | 107814 | SH | DEFINED | 01 | 03 |
| BAXTER INTERNATIONAL INC | COM | 071813109 | 1512 | 26832 | SH | DEFINED | 01 | 15 |
| BAXTER INTL INC RTS EXP 03/15/ | COM | 071813125 | 0 | 100 | SH | DEFINED | 01 | 15 |
| BAYER AG SPONSORED ADR | COM | 072730302 | 4201 | 55786 | SH | DEFINED | 01 | 15 |
| BAYERISCHE HYPO UND VEREIN | COM | 072735103 | 5 | 90 | SH | DEFINED | 01 | 15 |
| BAYSWATER URANIUM CORP COM I | COM | 073173106 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| BAYTEX ENERGY TR UNIT ISIN | COM | 073176109 | 12 | 600 | SH | DEFINED | 01 | 15 |
| BE AEROSPACE INC | COM | 073302101 | 14484 | 350712 | SH | DEFINED | 01 | 02 |
| BE AEROSPACE INC | COM | 073302101 | 3226 | 78200 | SH | DEFINED | 01 | 10 |
| BE AEROSPACE INC | COM | 073302101 | 299 | 7250 | SH | DEFINED | 01 | 15 |
| BEA SYSTEMS INC | COM | 073325102 | 30725 | 2244173 | SH | DEFINED | 01 | 02 |
| BEA SYSTEMS INC | COM | 073325102 | 535 | 39142 | SH | DEFINED | 01 | 03 |
| BEA SYSTEMS INC | COM | 073325102 | 88 | 6463 | SH | DEFINED | 01 | 15 |
| BEACHPORT ENTERTAINM | COM | 073466203 | 0 | 800 | SH | DEFINED | 01 | 15 |
| BEACON PWR CORP COM | COM | 073677106 | 0 | 30 | SH | DEFINED | 01 | 15 |
| BEACON ROOFING SUPPLY INC | COM | 073685109 | 3102 | 182586 | SH | DEFINED | 01 | 02 |
| BEARS STEARNS CAP TR III TR PF | COM | 07384T206 | 18 | 700 | SH | DEFINED | 01 | 15 |
| BEAR STEARNS COMPANIES INC | COM | 073902108 | 9934 | 70954 | SH | DEFINED | 01 | 02 |
| BEAR STEARNS COMPANIES INC | COM | 073902108 | 3715 | 26539 | SH | DEFINED | 01 | 02 |
| BEAR STEARNS COMPANIES INC | COM | 073902108 | 2758 | 19701 | SH | DEFINED | 01 | 03 |
| BEAR STEARNS COMPANIES INC | COM | 073902108 | 1086 | 7764 | SH | DEFINED | 01 | 10 |
| BEAR STEARNS COMPANIES INC | COM | 073902108 | 238 | 1703 | SH | DEFINED | 01 | 15 |
| BEAR STEARNS CO PFD 6.15% | COM | 073902702 | 10 | 200 | SH | DEFINED | 01 | 15 |
| BEAUFIELD RES INC COM ISIN # | COM | 074327107 | 1 | 3000 | SH | DEFINED | 01 | 15 |
| BEAZER HOMES USA INC | COM | 07556Q105 | 922 | 37444 | SH | DEFINED | 01 | 02 |
| BEAZER HOMES USA INC | COM | 07556Q105 | 370 | 14940 | SH | DEFINED | 01 | 02 |
| BEAZER HOMES USA INC | COM | 07556Q105 | 131 | 5300 | SH | DEFINED | 01 | 03 |
| | | | | | | | | |
| BEBE STORES INC | COM | 075571109 | 19 | 1215 | SH | DEFINED | 01 | 15 |
| BECKMAN COULTER INC | COM | 075811109 | 9036 | 139700 | SH | DEFINED | 01 | 02 |
| BECKMAN COULTER INC | COM | 075811109 | 96 | 1490 | SH | DEFINED | 01 | 15 |
| BECTON DICKINSON & CO | COM | 075887109 | 5701 | 76521 | SH | DEFINED | 01 | 02 |
| BECTON DICKINSON & CO | COM | 075887109 | 3020 | 40539 | SH | DEFINED | 01 | 03 |
| BECTON DICKINSON & CO | COM | 075887109 | 426 | 5724 | SH | DEFINED | 01 | 15 |
| BED BATH & BEYOND INC | COM | 075896100 | 13387 | 371935 | SH | DEFINED | 01 | 02 |
| BED BATH & BEYOND INC | COM | 075896100 | 1680 | 46696 | SH | DEFINED | 01 | 03 |
| BED BATH & BEYOND INC | COM | 075896100 | 779 | 21637 | SH | DEFINED | 01 | 15 |
| BEISTLE CO | COM | 077262103 | 0 | 650 | SH | DEFINED | 01 | 15 |
| BEL FUSE INC-CL A | COM | 077347201 | 439 | 11880 | SH | DEFINED | 01 | 02 |
| BEL FUSE INC | COM | 077347300 | 870 | 25580 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| BELDEN INC | COM | 077454106 | 7585 | 137039 | SH | DEFINED | 01 | 02 |
| BELDEN INC | COM | 077454106 | 6 | 100 | SH | DEFINED | 01 | 15 |
| BELL MICROPRODUCTS INC | COM | 078137106 | 325 | 49909 | SH | DEFINED | 01 | 02 |
| BELO CORPORATION-A | COM | 080555105 | 5017 | 243659 | SH | DEFINED | 01 | 02 |
| BELO CORPORATION-A | COM | 080555105 | 752 | 36500 | SH | DEFINED | 01 | 02 |
| BELO CORPORATION-A | COM | 080555105 | 264 | 12800 | SH | DEFINED | 01 | 03 |
| BEMIS COMPANY | COM | 081437105 | 1120 | 33734 | SH | DEFINED | 01 | 02 |
| BEMIS COMPANY | COM | 081437105 | 574 | 17304 | SH | DEFINED | 01 | 03 |
| BEMIS COMPANY | COM | 081437105 | 202 | 6102 | SH | DEFINED | 01 | 15 |
| BENCHMARK ELECTRONICS INC | COM | 08160H101 | 11592 | 512411 | SH | DEFINED | 01 | 02 |
| BENCHMARK ELECTRONICS INC | COM | 08160H101 | 611 | 27010 | SH | DEFINED | 01 | 02 |
| BENCHMARK ELECTRONICS INC | COM | 08160H101 | 222 | 9800 | SH | DEFINED | 01 | 03 |
| BENCHMARK ELECTRONICS INC | COM | 08160H101 | 55 | 2445 | SH | DEFINED | 01 | 15 |
| BENGUET CORP CL B ISIN #PH08 | COM | 081851206 | 0 | 78 | SH | DEFINED | 01 | 15 |
| BENIHANA INC | COM | 082047101 | 722 | 35965 | SH | DEFINED | 01 | 02 |
| BENIHANA INC | COM | 082047200 | 1439 | 71930 | SH | DEFINED | 01 | 02 |
| BENJ FRANKLIN FED SVGS &LN A | COM | 082072208 | 2 | 770 | SH | DEFINED | 01 | 15 |
| WR BERKLEY CORP | COM | 084423102 | 218 | 6716 | SH | DEFINED | 01 | 02 |
| WR BERKLEY CORP | COM | 084423102 | 207 | 6364 | SH | DEFINED | 01 | 15 |
| BERKLEY RES INC NEW ISIN #CA08 | COM | 084496108 | 0 | 50 | SH | DEFINED | 01 | 15 |
| BERKSHIRE BANCORP INC DEL | COM | 084597202 | 24 | 1500 | SH | DEFINED | 01 | 15 |
| BERKSHIRE HATHAWAY INC DEL CL | COM | 084670108 | 3722 | 34 | SH | DEFINED | 01 | 15 |
| BERKSHIRE HATHAWAY INC-CL B | COM | 084670207 | 57237 | 15877 | SH | DEFINED | 01 | 02 |
| BERKSHIRE HATHAWAY INC-CL B | COM | 084670207 | 65779 | 18297 | SH | DEFINED | 01 | 10 |
| BERKSHIRE HATHAWAY INC-CL B | COM | 084670207 | 12221 | 3390 | SH | DEFINED | 01 | 15 |
| BERKSHIRE INCOME REALTY INC | COM | 084690205 | 1 | 28 | SH | DEFINED | 01 | 15 |
| BERRY PETROLEUM CO-CL A | COM | 085789105 | 859 | 22800 | SH | DEFINED | 01 | 02 |
| BESICORP GROUP INC ESCROW 2N | COM | 086338993 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| ESCROW BESICORP LTD CASH DISTR | COM | 086339991 | 0 | 160 | SH | DEFINED | 01 | 15 |
| BEST BUY CO INC | COM | 086516101 | 8888 | 190441 | SH | DEFINED | 01 | 02 |
| BEST BUY CO INC | COM | 086516101 | 3126 | 66989 | SH | DEFINED | 01 | 03 |
| BEST BUY CO INC | COM | 086516101 | 1289 | 27611 | SH | DEFINED | 01 | 15 |
| BETHLEHEM STEEL CORPNO 100HOLD | COM | 087509105 | 0 | 19 | SH | DEFINED | 01 | 15 |
| BETHLEHEM STEEL CORPPFD \$5.00 | COM | 087509303 | 0 | 800 | SH | DEFINED | 01 | 15 |
| BHP BILLITON LTD SPON ADR I | COM | 088606108 | 2015 | 33723 | SH | DEFINED | 01 | 15 |
| BIG BUCK BREWERY & STEAKHOUSE | COM | 089072300 | 0 | 857 | SH | DEFINED | 01 | 15 |
| BIG 5 SPORTING GOODS CORP | COM | 08915P101 | 1256 | 49250 | SH | DEFINED | 01 | 02 |
| BIG INDIAN RES INC FRMLY BIG | COM | 089239107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| BIG LOTS INC | COM | 089302103 | 14202 | 482749 | SH | DEFINED | 01 | 02 |
| BIG LOTS INC | COM | 089302103 | 533 | 18119 | SH | DEFINED | 01 | 03 |
| BIGBAND NETWORKS INC | COM | 089750509 | 783 | 59710 | SH | DEFINED | 01 | 02 |
| BIGBAND NETWORKS INC | COM | 089750509 | 4 | 300 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| BIO-RAD LABORATORIES-CL A | COM | 090572207 | 15 | 200 | SH | DEFINED | 01 | 02 |
| BIO-RAD LABORATORIES-CL A | COM | 090572207 | 8 | 100 | SH | DEFINED | 01 | 15 |
| BIO KEY INTL INC | COM | 09060C101 | 0 | 500 | SH | DEFINED | 01 | 15 |
| BIOCUREX INC COM | COM | 09060V109 | 3 | 5000 | SH | DEFINED | 01 | 15 |
| BIOMET INC | COM | 090613100 | 9659 | 211260 | SH | DEFINED | 01 | 02 |
| BIOMET INC | COM | 090613100 | 1914 | 41852 | SH | DEFINED | 01 | 03 |
| BIOMET INC | COM | 090613100 | 194 | 4244 | SH | DEFINED | 01 | 15 |
| BIOMARIN PHARMACEUTICALS INC | COM | 09061G101 | 4649 | 259164 | SH | DEFINED | 01 | 02 |
| BIOGEN IDEC INC | COM | 09062X103 | 88796 | 1659716 | SH | DEFINED | 01 | 02 |
| BIOGEN IDEC INC | COM | 09062X103 | 2749 | 51388 | SH | DEFINED | 01 | 03 |
| BIOGEN IDEC INC | COM | 09062X103 | 3209 | 60000 | SH | DEFINED | 01 | 10 |
| BIOGEN IDEC INC | COM | 09062X103 | 1637 | 30593 | SH | DEFINED | 01 | 15 |
| BIOMED REALTY TRUST INC | COM | 09063H107 | 4677 | 186140 | SH | DEFINED | 01 | 02 |
| BIOMED REALTY TRUST INC | COM | 09063H107 | 633 | 25220 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| BIOMED REALTY TRUST INC | COM | 09063H107 | 221 | 8800 | SH | DEFINED | 01 | 03 |
| BIONOVO INC | COM | 090643107 | 7 | 1900 | SH | DEFINED | 01 | 15 |
| BIOMETRX INC COM NEW | COM | 09064J201 | 11 | 7067 | SH | DEFINED | 01 | 15 |
| BIOPURE CORP CL A NEW | COM | 09065H303 | 0 | 16 | SH | DEFINED | 01 | 15 |
| BIOSANTE PHARMACEUTICALS INC | COM | 09065V203 | 26 | 4220 | SH | DEFINED | 01 | 02 |
| BIRCH MOUNTAIN RESOURCES | COM | 09066X109 | 4 | 986 | SH | DEFINED | 01 | 15 |
| BIOTECH HOLDRS TR | COM | 09067D201 | 252 | 1500 | SH | DEFINED | 01 | 15 |
| BIOVAIL CORP | COM | 09067J109 | 11233 | 441887 | SH | DEFINED | 01 | 02 |
| BIOVAIL CORP | COM | 09067J109 | 316 | 12425 | SH | DEFINED | 01 | 15 |
| BIOLASE TECHNOLOGY INC | COM | 090911108 | 618 | 101796 | SH | DEFINED | 01 | 02 |
| BIOSITE INC CASH MERGE | COM | 090945106 | 5 | 58 | SH | DEFINED | 01 | 15 |
| BIOGEN IDEC INC (TENDERED) | COM | 090994252 | 8 | 150 | SH | DEFINED | 01 | 15 |
| BIOMET INC (TENDERED) | COM | 090994278 | 34 | 750 | SH | DEFINED | 01 | 15 |
| BIONICARE MEDICAL TECHNOLOGI | COM | 090995705 | 7 | 2800 | SH | DEFINED | 01 | 15 |
| BIONICARE MEDICAL TECHNOLOGI | COM | 090995721 | 0 | 70000 | SH | DEFINED | 01 | 15 |
| BIRMINGHAM STL CORP NO 100HOLD | COM | 091250100 | 0 | 1200 | SH | DEFINED | 01 | 15 |
| BIOMIRA INC | COM | 09161R106 | 3 | 3310 | SH | DEFINED | 01 | 15 |
| BLACK & DECKER CORP | COM | 091797100 | 1913 | 21665 | SH | DEFINED | 01 | 02 |
| BLACK & DECKER CORP | COM | 091797100 | 964 | 10920 | SH | DEFINED | 01 | 03 |
| BLACK & DECKER CORP | COM | 091797100 | 84 | 946 | SH | DEFINED | 01 | 15 |
| BJ'S RESTAURANTS INC | COM | 09180C106 | 470 | 23820 | SH | DEFINED | 01 | 15 |
| BLACK BOX CORP | COM | 091826107 | 4218 | 101949 | SH | DEFINED | 01 | 02 |
| BLACK BOX CORP | COM | 091826107 | 916 | 22100 | SH | DEFINED | 01 | 02 |
| BLACK BOX CORP | COM | 091826107 | 343 | 8300 | SH | DEFINED | 01 | 03 |
| BLACK BOX CORP | COM | 091826107 | 4 | 100 | SH | DEFINED | 01 | 15 |
| BLACKBOARD INC | COM | 091935502 | 1891 | 44902 | SH | DEFINED | 01 | 02 |
| BLACKBOARD INC | COM | 091935502 | 46 | 1100 | SH | DEFINED | 01 | 15 |
| BLACKROCK GLOBAL FLOATING R | COM | 091941104 | 107 | 5500 | SH | DEFINED | 01 | 15 |
| BLACK HILLS CORP | COM | 092113109 | 5206 | 130964 | SH | DEFINED | 01 | 02 |
| BLACK HILLS CORP | COM | 092113109 | 1482 | 37270 | SH | DEFINED | 01 | 02 |
| BLACK HILLS CORP | COM | 092113109 | 518 | 13030 | SH | DEFINED | 01 | 03 |
| BLACK HILLS CORP | COM | 092113109 | 6 | 144 | SH | DEFINED | 01 | 15 |
| BLACKBAUD INC | COM | 09227Q100 | 2002 | 90693 | SH | DEFINED | 01 | 02 |
| BLACKBAUD INC | COM | 09227Q100 | 12 | 555 | SH | DEFINED | 01 | 15 |
| BLACKROCK INCOME OPPORTUNIT | COM | 092475102 | 12 | 1180 | SH | DEFINED | 01 | 15 |
| BLACKROCK INSD MUN INCOME TR | COM | 092479104 | 15 | 1000 | SH | DEFINED | 01 | 15 |
| BLACKROCK INCOME TR INC | COM | 09247F100 | 54 | 9190 | SH | DEFINED | 01 | 15 |
| BLACK ROCK INSD MUN 2008 TERM | COM | 09247K109 | 79 | 5224 | SH | DEFINED | 01 | 15 |
| BLACKROCK INC | COM | 09247X101 | 510 | 3259 | SH | DEFINED | 01 | 02 |
| BLACKROCK INC | COM | 09247X101 | 101 | 643 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUN INCOMETR | COM | 09248F109 | 2 | 150 | SH | DEFINED | 01 | 15 |
| BLACKROCK FLA MUN INCOME TR | COM | 09248H105 | 16 | 1000 | SH | DEFINED | 01 | 15 |
| BLACKROCK HIGH YIELD TR S | COM | 09248N102 | 12 | 1503 | SH | DEFINED | 01 | 15 |
| BLACKROCK CORE BD TRSHS BEN IN | COM | 09249E101 | 160 | 12867 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUN BD TR | COM | 09249H104 | 327 | 18234 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUN INCOME TR II | COM | 09249N101 | 27 | 1722 | SH | DEFINED | 01 | 15 |
| BLACKROCK PFD OPPORTUNIT | COM | 09249V103 | 235 | 9872 | SH | DEFINED | 01 | 15 |
| BLACKROCK LTD DURATION I | COM | 09249W101 | 233 | 12227 | SH | DEFINED | 01 | 15 |
| BLACKROCK STRATEGIC DIVID ACHI | COM | 09249Y107 | 54 | 3700 | SH | DEFINED | 01 | 15 |
| BLACKROCK WORLD INVTR COM SHS | COM | 09250K103 | 340 | 19848 | SH | DEFINED | 01 | 15 |
| BLACKROCK DIVID ACHIEVERS | COM | 09250N107 | 117 | 7986 | SH | DEFINED | 01 | 15 |
| BLACKROCK GLOBAL ENERGY & R | COM | 09250U101 | 98 | 3201 | SH | DEFINED | 01 | 15 |
| BLACKROCK HEALTH SCIENCES T | COM | 09250W107 | 53 | 2000 | SH | DEFINED | 01 | 15 |
| BLACKROCK ENHANCED DIVID ACHI | COM | 09251A104 | 606 | 41908 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIHOLDIN | COM | 09253N104 | 31 | 1969 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIHOLDIN | COM | 09253P109 | 256 | 18175 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| BLACKROCK MUNIVEST FD INC | COM | 09253R105 | 47 | 5011 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIVEST FD II INC | COM | 09253T101 | 10 | 680 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIVEST FD II INC | COM | 09253T408 | 50 | 2 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD FD INC COM | COM | 09253W104 | 10 | 700 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD FD INC AUC | COM | 09253W609 | 25 | 1 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNI INTER DURA | COM | 09253X102 | 7 | 500 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIHOLDIN | COM | 09254A101 | 50 | 4026 | SH | DEFINED | 01 | 15 |
| BLACKROCK REAL ASSETEQUITY TR | COM | 09254B109 | 16 | 1000 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIHOLDIN | COM | 09254C107 | 46 | 3710 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD INSD FD IN | COM | 09254E103 | 102 | 7412 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD QUALITY FD | COM | 09254F100 | 17 | 1231 | SH | DEFINED | 01 | 15 |
| BLACKROCK APEX MUN FD INC | COM | 09254H106 | 45 | 4400 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIASSETSFD INC COM | COM | 09254J102 | 110 | 7500 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD ARIZ FD IN | COM | 09254K109 | 13 | 850 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIHOLDIN | COM | 09254L107 | 23 | 1686 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD CALIF FD I | COM | 09254M105 | 15 | 1104 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD CALIF INSD | COM | 09254N103 | 81 | 5932 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD FLA INC CO | COM | 09254R104 | 21 | 1584 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD N Y INSD | COM | 09255E102 | 64 | 4938 | SH | DEFINED | 01 | 15 |
| BLACKROCK MUNIYIELD PA INSD FD | COM | 09255G107 | 19 | 1334 | SH | DEFINED | 01 | 15 |
| BLACKROCK PFD INCOMESTRATEGIES | COM | 09255H105 | 158 | 8107 | SH | DEFINED | 01 | 15 |
| BLACKROCK ENHANCED GOVT FD IN | COM | 09255K108 | 10 | 536 | SH | DEFINED | 01 | 15 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255L106 | 428 | 51865 | SH | DEFINED | 01 | 15 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255M104 | 185 | 22622 | SH | DEFINED | 01 | 15 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255N102 | 242 | 17863 | SH | DEFINED | 01 | 15 |
| BLACKROCK CORPORATE HIGH YIELD | COM | 09255P107 | 293 | 21755 | SH | DEFINED | 01 | 15 |
| BLACKROCK DEBT STRATEGIES | COM | 09255R103 | 226 | 31488 | SH | DEFINED | 01 | 15 |
| BLACKROCK SR HIGH INCOME FD | COM | 09255T109 | 25 | 4005 | SH | DEFINED | 01 | 15 |
| BLACKROCK DIVERSIFIE | COM | 09255W102 | 10 | 500 | SH | DEFINED | 01 | 15 |
| BLACKROCK FLOATING RATE INCOM | COM | 09255X100 | 20 | 1050 | SH | DEFINED | 01 | 15 |
| BLACKROCK FLOATING RATE INCOM | COM | 09255Y108 | 169 | 9138 | SH | DEFINED | 01 | 15 |
| BLACKROCK CAP & INCOME STR | COM | 09256A109 | 19 | 871 | SH | DEFINED | 01 | 15 |
| BLACKROCK ENHANCED EQUITY YIE | COM | 09256C105 | 27 | 1340 | SH | DEFINED | 01 | 15 |
| BLACKROCK ENHANCED EQUITY YIE | COM | 09256E101 | 10 | 500 | SH | DEFINED | 01 | 15 |
| BLDRS INDEX FDS TR ASIA 50 AD | COM | 09348R102 | 16 | 450 | SH | DEFINED | 01 | 15 |
| BLDRS INDEX FDS TR DEVELOPED | COM | 09348R201 | 331 | 10264 | SH | DEFINED | 01 | 15 |
| BLDRS INDEX FDS TR EMERGING M | COM | 09348R300 | 6339 | 139809 | SH | DEFINED | 01 | 15 |
| BLDRS INDEX FDS TR EUROPE 100 | COM | 09348R409 | 32 | 965 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| H&R BLOCK INC | COM | 093671105 | 17810 | 762098 | SH | DEFINED | 01 | 02 |
| H&R BLOCK INC | COM | 093671105 | 1249 | 53442 | SH | DEFINED | 01 | 03 |
| H&R BLOCK INC | COM | 093671105 | 442 | 18929 | SH | DEFINED | 01 | 15 |
| BLOCKBUSTER INC-CL A | COM | 093679108 | 169 | 39427 | SH | DEFINED | 01 | 02 |
| BLOCKBUSTER INC-CL A | COM | 093679108 | 4 | 900 | SH | DEFINED | 01 | 15 |
| BLOUNT INTL INC | COM | 095180105 | 0 | 14 | SH | DEFINED | 01 | 15 |
| BLUE CHIP VALUE FD | COM | 095333100 | 31 | 4990 | SH | DEFINED | 01 | 15 |
| BLUE COAT SYSTEMS INC | COM | 09534T508 | 4625 | 93391 | SH | DEFINED | 01 | 02 |
| BLUE DOLPHIN ENERGY CORP | COM | 095395208 | 0 | 100 | SH | DEFINED | 01 | 15 |
| BLUE NILE INC | COM | 09578R103 | 1534 | 25390 | SH | DEFINED | 01 | 02 |
| BLUE NILE INC | COM | 09578R103 | 508 | 8408 | SH | DEFINED | 01 | 03 |
| BLUE NILE INC | COM | 09578R103 | 42 | 695 | SH | DEFINED | 01 | 15 |
| BLUEFLY INC | COM | 096227103 | 0 | 200 | SH | DEFINED | 01 | 15 |
| BLUEFIRE ETHANOL FUELS INC | COM | 09622L102 | 6 | 1000 | SH | DEFINED | 01 | 15 |
| BLYTH INC | COM | 09643P108 | 1811 | 68169 | SH | DEFINED | 01 | 02 |
| BLYTH INC | COM | 09643P108 | 1154 | 43430 | SH | DEFINED | 01 | 02 |
| BLYTH INC | COM | 09643P108 | 412 | 15500 | SH | DEFINED | 01 | 03 |
| BNY CAPITAL IV 6.875% 12/ | COM | 09656G201 | 60 | 2400 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| BNY CAPITAL V TR PFDSECS SER F COM | COM | 09656H209 | 5 | 210 | SH | DEFINED | 01 | 15 |
| BOB EVANS FARMS | COM | 096761101 | 3669 | 99601 | SH | DEFINED | 01 | 02 |
| BOB EVANS FARMS | COM | 096761101 | 1397 | 37880 | SH | DEFINED | 01 | 02 |
| BOB EVANS FARMS | COM | 096761101 | 494 | 13410 | SH | DEFINED | 01 | 03 |
| BOB EVANS FARMS | COM | 096761101 | 4 | 100 | SH | DEFINED | 01 | 15 |
| BODYSKAN CORP | COM | 09689P103 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| BOEING CO | COM | 097023105 | 212942 | 2214452 | SH | DEFINED | 01 | 02 |
| BOEING CO | COM | 097023105 | 12530 | 130301 | SH | DEFINED | 01 | 03 |
| BOEING CO | COM | 097023105 | 6212 | 64630 | SH | DEFINED | 01 | 10 |
| BOEING CO | COM | 097023105 | 5992 | 62317 | SH | DEFINED | 01 | 15 |
| BOEHLER UDDEHOLM SPONS ADR | COM | 097356307 | 5 | 194 | SH | DEFINED | 01 | 15 |
| BOLDER TECH CORP | COM | 097519102 | 0 | 220 | SH | DEFINED | 01 | 15 |
| BON-TON STORES INC/THE | COM | 09776J101 | 669 | 16700 | SH | DEFINED | 01 | 02 |
| BONTERRA ENERGY INCOME TR | COM | 09852Q102 | 43 | 1600 | SH | DEFINED | 01 | 15 |
| BOOKHAM INC COM ISIN # | COM | 09856E105 | 1 | 584 | SH | DEFINED | 01 | 15 |
| BOOKS-A-MILLION INC | COM | 098570104 | 2124 | 125405 | SH | DEFINED | 01 | 02 |
| BORALEX PWR INCOME FD TR UNIT | COM | 09951T106 | 94 | 9910 | SH | DEFINED | 01 | 15 |
| BORDERS GROUP INC | COM | 099709107 | 6515 | 341808 | SH | DEFINED | 01 | 02 |
| BORDERS GROUP INC | COM | 099709107 | 16 | 820 | SH | DEFINED | 01 | 15 |
| BORGWARNER INC | COM | 099724106 | 7788 | 90526 | SH | DEFINED | 01 | 02 |
| BORGWARNER INC | COM | 099724106 | 121 | 1401 | SH | DEFINED | 01 | 15 |
| BOSTON BEER COMPANY INC | COM | 100557107 | 4568 | 116076 | SH | DEFINED | 01 | 02 |
| BOSTON BEER COMPANY INC | COM | 100557107 | 5 | 133 | SH | DEFINED | 01 | 15 |
| BOSTON CHICKEN INC NO 100HOLD | COM | 100578103 | 0 | 240 | SH | DEFINED | 01 | 15 |
| BOSTON PRIVATE FINL HOLDING | COM | 101119105 | 7930 | 295084 | SH | DEFINED | 01 | 02 |
| BOSTON PRIVATE FINL HOLDING | COM | 101119105 | 27 | 1000 | SH | DEFINED | 01 | 15 |
| BOSTON PROPERTIES INC | COM | 101121101 | 24102 | 235989 | SH | DEFINED | 01 | 02 |
| BOSTON PROPERTIES INC | COM | 101121101 | 2011 | 19695 | SH | DEFINED | 01 | 03 |
| BOSTON PROPERTIES INC | COM | 101121101 | 554 | 5431 | SH | DEFINED | 01 | 10 |
| BOSTON SCIENTIFIC CORP | COM | 101137107 | 185843 | 12114975 | SH | DEFINED | 01 | 02 |
| BOSTON SCIENTIFIC CORP | COM | 101137107 | 3012 | 196352 | SH | DEFINED | 01 | 03 |
| BOSTON SCIENTIFIC CORP | COM | 101137107 | 208 | 13565 | SH | DEFINED | 01 | 15 |
| BOTTOMLINE TECHNOLOGIES INC | COM | 101388106 | 552 | 44717 | SH | DEFINED | 01 | 02 |
| BOWATER INC | COM | 102183100 | 13173 | 527950 | SH | DEFINED | 01 | 02 |
| BOWATER INC | COM | 102183100 | 8848 | 354628 | SH | DEFINED | 01 | 02 |
| BOWATER INC | COM | 102183100 | 16900 | 678779 | SH | DEFINED | 01 | 10 |
| BOWATER INC | COM | 102183100 | 4 | 152 | SH | DEFINED | 01 | 15 |
| BOWNE & CO INC | COM | 103043105 | 4190 | 214775 | SH | DEFINED | 01 | 02 |
| BOYD GAMING CORP | COM | 103304101 | 12 | 241 | SH | DEFINED | 01 | 15 |
| BOYDS COLLECTION LTD | COM | 103354106 | 0 | 50 | SH | DEFINED | 01 | 15 |
| BRACKNELL CORP FORMERLY B | COM | 10382K102 | 0 | 187 | SH | DEFINED | 01 | 15 |
| BRADLEES INC NO STKHLDR | COM | 104499108 | 0 | 100 | SH | DEFINED | 01 | 15 |
| BRADLEY PHARMACEUTICALS INC | COM | 104576103 | 589 | 27115 | SH | DEFINED | 01 | 02 |
| BRADY CORPORATION - CL A | COM | 104674106 | 3293 | 88664 | SH | DEFINED | 01 | 02 |
| BRADY CORPORATION - CL A | COM | 104674106 | 49 | 1328 | SH | DEFINED | 01 | 15 |
| BRAMPTON CREST INTL INC | COM | 10511R101 | 0 | 50 | SH | DEFINED | 01 | 15 |
| BRANDT TECH INC | COM | 10532C109 | 0 | 500 | SH | DEFINED | 01 | 15 |
| BRANDYWINE REALTY TRUST | COM | 105368203 | 2837 | 99265 | SH | DEFINED | 01 | 02 |
| BRASIL TELECOM PARTICIPAC | COM | 105530109 | 352 | 5815 | SH | DEFINED | 01 | 15 |
| BRE-X MINERALS LTD NO 100HLDR | COM | 10625K109 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| BRIDGESTONE CORP ADR | COM | 108441205 | 5 | 121 | SH | DEFINED | 01 | 15 |
| BRIGGS & STRATTON CORP | COM | 109043109 | 3109 | 98494 | SH | DEFINED | 01 | 02 |
| BRIGGS & STRATTON CORP | COM | 109043109 | 192 | 6090 | SH | DEFINED | 01 | 15 |
| BRIGHAM EXPLORATION CO | COM | 109178103 | 45 | 7653 | SH | DEFINED | 01 | 02 |
| BRIGHT HORIZONS FAMILY SOLUT | COM | 109195107 | 1671 | 42935 | SH | DEFINED | 01 | 02 |
| BRIGHT HORIZONS FAMILY SOLUT | COM | 109195107 | 16 | 418 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| BRIGHTEC INC COM | COM | 10921P109 | 16 | 450000 | SH | DEFINED | 01 | 15 |
| BRIGHTPOINT INC | COM | 109473405 | 1486 | 107736 | SH | DEFINED | 01 | 02 |
| BRILLIANCE CHINA AUTOMOTIVE | COM | 10949Q105 | 15 | 600 | SH | DEFINED | 01 | 15 |
| BRINKER INTERNATIONAL INC | COM | 109641100 | 6749 | 230581 | SH | DEFINED | 01 | 02 |
| BRINKER INTERNATIONAL INC | COM | 109641100 | 165 | 5645 | SH | DEFINED | 01 | 03 |
| BRINKER INTERNATIONAL INC | COM | 109641100 | 10 | 337 | SH | DEFINED | 01 | 15 |
| BRINK'S CO/THE | COM | 109696104 | 3277 | 52950 | SH | DEFINED | 01 | 02 |
| BRINK'S CO/THE | COM | 109696104 | 16480 | 266617 | SH | DEFINED | 01 | 10 |
| BRINK'S CO/THE | COM | 109696104 | 1136 | 18362 | SH | DEFINED | 01 | 15 |
| BRISTOL-MYERS SQUIBB CO | COM | 110122108 | 205493 | 6511134 | SH | DEFINED | 01 | 02 |
| BRISTOL-MYERS SQUIBB CO | COM | 110122108 | 10278 | 325681 | SH | DEFINED | 01 | 03 |
| BRISTOL-MYERS SQUIBB CO | COM | 110122108 | 624 | 19801 | SH | DEFINED | 01 | 10 |
| BRISTOL-MYERS SQUIBB CO | COM | 110122108 | 4162 | 131872 | SH | DEFINED | 01 | 15 |
| BRISTOW GROUP INC | COM | 110394103 | 6084 | 122780 | SH | DEFINED | 01 | 02 |
| BRISTOW GROUP INC | COM | 110394103 | 5 | 100 | SH | DEFINED | 01 | 15 |
| BRITISH AMERICAN TOB SP ADR RE | COM | 110448107 | 243 | 3513 | SH | DEFINED | 01 | 15 |
| BROADBAND WIRELESS INTL CORP | COM | 11130Q102 | 0 | 5389 | SH | DEFINED | 01 | 15 |
| BROADCOM CORP | COM | 111320107 | 18556 | 634406 | SH | DEFINED | 01 | 02 |
| BROADCOM CORP | COM | 111320107 | 2397 | 81944 | SH | DEFINED | 01 | 03 |
| BROADCOM CORP | COM | 111320107 | 1835 | 62718 | SH | DEFINED | 01 | 15 |
| BROADRIDGE FINANCIAL SOLUTIONS | COM | 11133T103 | 0 | 2 | SH | DEFINED | 01 | 02 |
| BROADRIDGE FINANCIAL SOLUTIONS | COM | 11133T103 | 5 | 282 | SH | DEFINED | 01 | 10 |
| BROADRIDGE FINANCIAL SOLUTIONS | COM | 11133T103 | 76 | 3983 | SH | DEFINED | 01 | 15 |
| BROADVISION INC COM NEW | COM | 111412607 | 9 | 4381 | SH | DEFINED | 01 | 15 |
| BROCADE COMMUNICATIONS SYS | COM | 111621306 | 7878 | 1007369 | SH | DEFINED | 01 | 02 |
| BROCADE COMMUNICATIONS SYS | COM | 111621306 | 13 | 1707 | SH | DEFINED | 01 | 15 |
| BROCADE COMMUNICAT | COM | 111991162 | 0 | 781 | SH | DEFINED | 01 | 15 |
| BROOKDALE SENIOR LIVING INC | COM | 112463104 | 1851 | 40620 | SH | DEFINED | 01 | 02 |
| BROOKDALE SENIOR LIVING INC | COM | 112463104 | 5682 | 124743 | SH | DEFINED | 01 | 10 |
| BROOKDALE SENIOR LIVING INC | COM | 112463104 | 18 | 400 | SH | DEFINED | 01 | 15 |
| BROOKFIELD ASSET MGMT INC C | COM | 112585104 | 459 | 11496 | SH | DEFINED | 01 | 15 |
| BROOKFIELD HOMES CORP | COM | 112723101 | 894 | 30708 | SH | DEFINED | 01 | 02 |
| BROOKFIELD HOMES CORP | COM | 112723101 | 430 | 14810 | SH | DEFINED | 01 | 02 |
| BROOKFIELD HOMES CORP | COM | 112723101 | 160 | 5490 | SH | DEFINED | 01 | 03 |
| BROOKFIELD HOMES CORP | COM | 112723101 | 196 | 6733 | SH | DEFINED | 01 | 15 |
| BROOKFIELD PROPERTIES CORP | COM | 112900105 | 9445 | 388543 | SH | DEFINED | 01 | 02 |
| BROOKFIELD PROPERTIES CORP | COM | 112900105 | 12 | 506 | SH | DEFINED | 01 | 15 |
| BROOKLINE BANCORP INC | COM | 11373M107 | 1976 | 171683 | SH | DEFINED | 01 | 02 |
| BROOKLINE BANCORP INC | COM | 11373M107 | 8 | 700 | SH | DEFINED | 01 | 15 |
| BROOKS AUTOMATION INC | COM | 114340102 | 18924 | 1042644 | SH | DEFINED | 01 | 02 |
| BROOKS AUTOMATION INC | COM | 114340102 | 1350 | 74400 | SH | DEFINED | 01 | 02 |
| BROOKS AUTOMATION INC | COM | 114340102 | 427 | 23500 | SH | DEFINED | 01 | 03 |
| BROOKS AUTOMATION INC | COM | 114340102 | 82 | 4542 | SH | DEFINED | 01 | 15 |
| BROOKS AUTOMATION (TENDERED) | COM | 114340995 | 0 | 8 | SH | DEFINED | 01 | 15 |
| BROWN & BROWN INC | COM | 115236101 | 25 | 976 | SH | DEFINED | 01 | 15 |
| BROWN-FORMAN CORP -CL B | COM | 115637209 | 1769 | 24198 | SH | DEFINED | 01 | 02 |
| BROWN-FORMAN CORP -CL B | COM | 115637209 | 953 | 13036 | SH | DEFINED | 01 | 03 |
| BROWN-FORMAN CORP -CL B | COM | 115637209 | 58 | 799 | SH | DEFINED | 01 | 15 |
| BROWN SHOE COMPANY INC | COM | 115736100 | 4073 | 167405 | SH | DEFINED | 01 | 02 |
| BROWN SHOE COMPANY INC | COM | 115736100 | 920 | 37845 | SH | DEFINED | 01 | 02 |
| BROWN SHOE COMPANY INC | COM | 115736100 | 291 | 11986 | SH | DEFINED | 01 | 03 |
| BRUKER BIOSCIENCES CORP | COM | 116794108 | 464 | 51538 | SH | DEFINED | 01 | 02 |
| BRUIN GROUP INC 1 FOR 1000 | COM | 11679M108 | 0 | 300 | SH | DEFINED | 01 | 15 |
| BRUNSWICK CORP | COM | 117043109 | 11348 | 347806 | SH | DEFINED | 01 | 02 |
| BRUNSWICK CORP | COM | 117043109 | 488 | 14951 | SH | DEFINED | 01 | 03 |
| BRUNSWICK CORP | COM | 117043109 | 8 | 233 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|---------|----|----|
| BRUSH ENGINEERED MATERIALS | COM | 117421107 | 4530 | 107887 | SH | DEFINED | 01 | 02 | |
| BRUSH ENGINEERED MATERIALS | COM | 117421107 | 605 | 14420 | SH | DEFINED | 01 | 02 | |
| BRUSH ENGINEERED MATERIALS | COM | 117421107 | 214 | 5100 | SH | DEFINED | 01 | 03 | |
| BRUSH ENGINEERED MATERIALS | COM | 117421107 | 17 | 400 | SH | DEFINED | 01 | 15 | |
| BRYN MAWR BK CORP | COM | 117665109 | 34 | 1500 | SH | DEFINED | 01 | 15 | |
| BUCKEYE TECHNOLOGIES INC | COM | 118255108 | 5622 | 363391 | SH | DEFINED | 01 | 02 | |
| BUCKEYE TECHNOLOGIES INC | COM | 118255108 | 1001 | 64700 | SH | DEFINED | 01 | 02 | |
| BUCKEYE TECHNOLOGIES INC | COM | 118255108 | 336 | 21730 | SH | DEFINED | 01 | 03 | |
| BUCKEYE TECHNOLOGIES INC | COM | 118255108 | 17 | 1122 | SH | DEFINED | 01 | 15 | |
| THE BUCKLE INC | COM | 118440106 | 630 | 15994 | SH | DEFINED | 01 | 02 | |
| THE BUCKLE INC | COM | 118440106 | 13 | 326 | SH | DEFINED | 01 | 15 | |
| BUCYRUS INTERNATIONAL INC-A | COM | 118759109 | 3245 | 45851 | SH | DEFINED | 01 | 02 | |
| BUCYRUS INTERNATIONAL INC-A | COM | 118759109 | 51 | 714 | SH | DEFINED | 01 | 15 | |
| BUDGET GROUP INC CL A NO 10 | COM | 119003101 | 0 | 500 | SH | DEFINED | 01 | 15 | |
| BUFFALO WILD WINGS INC | COM | 119848109 | 3255 | 78266 | SH | DEFINED | 01 | 02 | |
| BUFFALO WILD WINGS INC | COM | 119848109 | 271 | 6528 | SH | DEFINED | 01 | 03 | |
| BUILDERS DESIGN INC WT EXP 11- | COM | 119906113 | 0 | 300 | SH | DEFINED | 01 | 15 | |
| BUILDERS EXPRESS INC | COM | 119914109 | 0 | 500 | SH | DEFINED | 01 | 15 | |
| BUILD-A-BEAR WORKSHOP INC | COM | 120076104 | 110 | 4210 | SH | DEFINED | 01 | 02 | |
| BUILD-A-BEAR WORKSHOP INC | COM | 120076104 | 77 | 2956 | SH | DEFINED | 01 | 15 | |
| BUILDING MATERIALS HLDG CORP | COM | 120113105 | 1621 | 114317 | SH | DEFINED | 01 | 02 | |
| BUILDING MATERIALS HLDG CORP | COM | 120113105 | 31 | 2200 | SH | DEFINED | 01 | 15 | |
| BULLET SPORTS INTL INC | COM | 12021X107 | 0 | 30000 | SH | DEFINED | 01 | 15 | |
| BUNZL PLC SPONSORED | COM | 120738406 | 106 | 1522 | SH | DEFINED | 01 | 15 | |
| BURGER KING HLDGS INC COM | COM | 121208201 | 21 | 800 | SH | DEFINED | 01 | 15 | |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 54710 | 642593 | SH | DEFINED | 01 | 02 | |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 5017 | 58927 | SH | DEFINED | 01 | 03 | |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 13603 | 160000 | SH | DEFINED | 01 | 10 | |
| BURLINGTON NORTHERN SANTA FE | COM | 12189T104 | 939 | 11026 | SH | DEFINED | 01 | 15 | |
| BUSH INDS INC CL A CASH MERGE | COM | 123164105 | 0 | 2080 | SH | DEFINED | 01 | 15 | |
| BUSINESS EXCHANGE INC | COM | 123250102 | 0 | 200 | SH | DEFINED | 01 | 15 | |
| BUSINESS OBJECTS SA-SP ADR | COM | 12328X107 | 9519 | 245081 | SH | DEFINED | 01 | 02 | |
| BUSINESS OBJECTS SA-SP ADR | COM | 12328X107 | 47 | 1200 | SH | DEFINED | 01 | 15 | |
| C&D TECHNOLOGIES INC | COM | 124661109 | 236 | 42098 | SH | DEFINED | 01 | 02 | |
| CAE INC ISIN #CA12 | COM | 124765108 | 73 | 5447 | SH | DEFINED | 01 | 15 | |
| CBIZ INC | COM | 124805102 | 2596 | 353291 | SH | DEFINED | 01 | 02 | |
| CBIZ INC | COM | 124805102 | 628 | 85260 | SH | DEFINED | 01 | 02 | |
| CBIZ INC | COM | 124805102 | 207 | 28200 | SH | DEFINED | 01 | 03 | |
| CBIZ INC | COM | 124805102 | 1 | 100 | SH | DEFINED | 01 | 15 | |
| CBL & ASSOCIATES PROPERTIES | COM | 124830100 | 102 | 2810 | SH | DEFINED | 01 | 02 | |
| CBS CORP NEW CL A | COM | 124857103 | 23 | 680 | SH | DEFINED | 01 | 15 | |
| CBS CORP-CLASS B | COM | 124857202 | 27804 | 834482 | SH | DEFINED | 01 | 02 | |
| CBS CORP-CLASS B | COM | 124857202 | 4395 | 131918 | SH | DEFINED | 01 | 03 | |
| CBS CORP-CLASS B | COM | 124857202 | 204 | 6124 | SH | DEFINED | 01 | 15 | |
| CBS CORP NEW SR RETAIL | COM | 124857301 | 70 | 2800 | SH | DEFINED | 01 | 15 | |
| CBS CORP NEW PFD 6.75% 03/2 | COM | 124857400 | 20 | 800 | SH | DEFINED | 01 | 15 | |
| PUT (DJW) DOW JONES | OPT | 12486D9SB | 0 | 5 | SH | PUT | DEFINED | 01 | 15 |
| CBRL GROUP INC | COM | 12489V106 | 6257 | 147300 | SH | DEFINED | 01 | 02 | |
| CBRL GROUP INC | COM | 12489V106 | 25 | 587 | SH | DEFINED | 01 | 15 | |
| CB RICHARD ELLIS GROUP INC-A | COM | 12497T101 | 7638 | 209282 | SH | DEFINED | 01 | 02 | |
| CB RICHARD ELLIS GROUP INC-A | COM | 12497T101 | 1133 | 31030 | SH | DEFINED | 01 | 03 | |
| CB RICHARD ELLIS GROUP INC-A | COM | 12497T101 | 18033 | 494877 | SH | DEFINED | 01 | 10 | |
| CB RICHARD ELLIS GROUP INC-A | COM | 12497T101 | 11 | 300 | SH | DEFINED | 01 | 15 | |
| C-COR INC | COM | 125010108 | 5572 | 396162 | SH | DEFINED | 01 | 02 | |
| C-COR INC | COM | 125010108 | 3 | 200 | SH | DEFINED | 01 | 15 | |
| CDI CORP | COM | 125071100 | 825 | 25618 | SH | DEFINED | 01 | 02 | |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CDEX INC CL A | COM | 12507E102 | 0 | 22 | SH | DEFINED | 01 | 15 |
| CDW CORP | COM | 12512N105 | 600 | 7066 | SH | DEFINED | 01 | 02 |
| CDW CORP | COM | 12512N105 | 26 | 305 | SH | DEFINED | 01 | 03 |
| CDW CORP | COM | 12512N105 | 37 | 430 | SH | DEFINED | 01 | 15 |
| CEC ENTERTAINMENT INC | COM | 125137109 | 3684 | 104629 | SH | DEFINED | 01 | 02 |
| C F & I STEEL CORP NO STKHLDR | COM | 125185108 | 0 | 226 | SH | DEFINED | 01 | 15 |
| CFB CAP IV PFD 7.60% 03/1 | COM | 12519R208 | 10 | 400 | SH | DEFINED | 01 | 15 |
| CF INDUSTRIES HOLDINGS INC | COM | 125269100 | 15520 | 259103 | SH | DEFINED | 01 | 02 |
| CF INDUSTRIES HOLDINGS INC | COM | 125269100 | 1862 | 31090 | SH | DEFINED | 01 | 02 |
| CF INDUSTRIES HOLDINGS INC | COM | 125269100 | 615 | 10270 | SH | DEFINED | 01 | 03 |
| CF INDUSTRIES HOLDINGS INC | COM | 125269100 | 16876 | 281837 | SH | DEFINED | 01 | 10 |
| CF INDUSTRIES HOLDINGS INC | COM | 125269100 | 3 | 50 | SH | DEFINED | 01 | 15 |
| CHC HELICOPTER CORP-CL A | COM | 12541C203 | 1835 | 70540 | SH | DEFINED | 01 | 02 |
| CH ENERGY GROUP INC | COM | 12541M102 | 8261 | 183696 | SH | DEFINED | 01 | 02 |
| CH ENERGY GROUP INC | COM | 12541M102 | 569 | 12660 | SH | DEFINED | 01 | 02 |
| CH ENERGY GROUP INC | COM | 12541M102 | 189 | 4200 | SH | DEFINED | 01 | 03 |
| CH ENERGY GROUP INC | COM | 12541M102 | 53 | 1179 | SH | DEFINED | 01 | 15 |
| CH ROBINSON WORLDWIDE INC | COM | 12541W209 | 8582 | 163389 | SH | DEFINED | 01 | 02 |
| CH ROBINSON WORLDWIDE INC | COM | 12541W209 | 1626 | 30974 | SH | DEFINED | 01 | 03 |
| CIGNA CORP | COM | 125509109 | 101836 | 1950111 | SH | DEFINED | 01 | 02 |
| CIGNA CORP | COM | 125509109 | 2487 | 47627 | SH | DEFINED | 01 | 03 |
| CIGNA CORP | COM | 125509109 | 3584 | 68678 | SH | DEFINED | 01 | 10 |
| CIGNA CORP | COM | 125509109 | 207 | 3958 | SH | DEFINED | 01 | 15 |
| CIT GROUP INC | COM | 125581108 | 12891 | 235108 | SH | DEFINED | 01 | 02 |
| CIT GROUP INC | COM | 125581108 | 2245 | 40945 | SH | DEFINED | 01 | 02 |
| CIT GROUP INC | COM | 125581108 | 1740 | 31740 | SH | DEFINED | 01 | 03 |
| CIT GROUP INC | COM | 125581108 | 20870 | 380884 | SH | DEFINED | 01 | 10 |
| CIT GROUP INC | COM | 125581108 | 219 | 3997 | SH | DEFINED | 01 | 15 |
| CKE RESTAURANTS INC | COM | 12561E105 | 3161 | 157505 | SH | DEFINED | 01 | 02 |
| CKE RESTAURANTS INC | COM | 12561E105 | 606 | 30179 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| CLECO CORP | COM | 12561W105 | 2333 | 95199 | SH | DEFINED | 01 | 02 |
| CLECO CORP | COM | 12561W105 | 33 | 1339 | SH | DEFINED | 01 | 15 |
| CMGI INC | COM | 125750109 | 1475 | 757585 | SH | DEFINED | 01 | 02 |
| CMGI INC | COM | 125750109 | 354 | 181740 | SH | DEFINED | 01 | 02 |
| CMGI INC | COM | 125750109 | 136 | 69500 | SH | DEFINED | 01 | 03 |
| CMGI INC | COM | 125750109 | 47 | 24326 | SH | DEFINED | 01 | 15 |
| CASAVANT RESTRICTED | COM | 125809996 | 0 | 307200 | SH | DEFINED | 01 | 15 |
| CML GROUP INC | COM | 125820100 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| CML HEALTHCARE INCOME FD | COM | 12582P105 | 111 | 7750 | SH | DEFINED | 01 | 15 |
| CMS ENERGY CORP | COM | 125896100 | 2224 | 129205 | SH | DEFINED | 01 | 02 |
| CMS ENERGY CORP | COM | 125896100 | 639 | 37150 | SH | DEFINED | 01 | 03 |
| CMS ENERGY CORP | COM | 125896100 | 3 | 190 | SH | DEFINED | 01 | 15 |
| CPI CORP | COM | 125902106 | 1202 | 17294 | SH | DEFINED | 01 | 02 |
| CSK AUTO CORP | COM | 125965103 | 1788 | 97200 | SH | DEFINED | 01 | 02 |
| CNA FINANCIAL CORP | COM | 126117100 | 145 | 3041 | SH | DEFINED | 01 | 02 |
| CNA FINANCIAL CORP | COM | 126117100 | 156 | 3265 | SH | DEFINED | 01 | 15 |
| CNOOC LTD SPONSORED | COM | 126132109 | 140 | 1230 | SH | DEFINED | 01 | 15 |
| CNET NETWORKS INC | COM | 12613R104 | 37 | 4475 | SH | DEFINED | 01 | 15 |
| CPFL ENERGIA S A SPONSORED | COM | 126153105 | 114 | 1885 | SH | DEFINED | 01 | 15 |
| C.P.T. HLDGS CORP COM NEW NO | COM | 126160407 | 0 | 54 | SH | DEFINED | 01 | 15 |
| C-PHONE CORP | COM | 12616P105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| CNX GAS CORP COM | COM | 12618H309 | 31 | 1000 | SH | DEFINED | 01 | 15 |
| CRA INTERNATIONAL INC | COM | 12618T105 | 670 | 13900 | SH | DEFINED | 01 | 02 |
| CORTS-IBM PFD | COM | 12618Y203 | 2 | 100 | SH | DEFINED | 01 | 15 |
| CRH PLC ADR | COM | 12626K203 | 356 | 7136 | SH | DEFINED | 01 | 15 |
| CSG SYSTEMS | COM | 126349109 | 4014 | 151480 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CSG SYSTEMS | COM | 126349109 | 1145 | 43200 | SH | DEFINED | 01 | 02 |
| CSG SYSTEMS | COM | 126349109 | 414 | 15600 | SH | DEFINED | 01 | 03 |
| CSG SYSTEMS | COM | 126349109 | 57906 | 2184333 | SH | DEFINED | 01 | 10 |
| CSG SYSTEMS | COM | 126349109 | 5 | 200 | SH | DEFINED | 01 | 15 |
| CSX CORP | COM | 126408103 | 58524 | 1298192 | SH | DEFINED | 01 | 02 |
| CSX CORP | COM | 126408103 | 3515 | 77973 | SH | DEFINED | 01 | 03 |
| CSX CORP | COM | 126408103 | 265 | 5885 | SH | DEFINED | 01 | 15 |
| CTC COMMUNICATIONS GROUP INC | COM | 126419100 | 0 | 8100 | SH | DEFINED | 01 | 15 |
| CT COMMUNICATIONS INC | COM | 126426402 | 2271 | 74449 | SH | DEFINED | 01 | 02 |
| CTS CORP | COM | 126501105 | 1200 | 94796 | SH | DEFINED | 01 | 02 |
| CVB FINANCIAL CORP | COM | 126600105 | 5004 | 450000 | SH | DEFINED | 01 | 02 |
| CVB FINANCIAL CORP | COM | 126600105 | 5 | 419 | SH | DEFINED | 01 | 15 |
| CVS CAREMARK CORP | COM | 126650100 | 84991 | 2331682 | SH | DEFINED | 01 | 02 |
| CVS CAREMARK CORP | COM | 126650100 | 9310 | 255417 | SH | DEFINED | 01 | 03 |
| CVS CAREMARK CORP | COM | 126650100 | 643 | 17639 | SH | DEFINED | 01 | 15 |
| CV THERAPEUTICS INC | COM | 126667104 | 14 | 1015 | SH | DEFINED | 01 | 02 |
| CV THERAPEUTICS INC | COM | 126667104 | 26 | 2000 | SH | DEFINED | 01 | 15 |
| CA INC | COM | 12673P105 | 7672 | 297029 | SH | DEFINED | 01 | 02 |
| CA INC | COM | 12673P105 | 1758 | 68067 | SH | DEFINED | 01 | 03 |
| CA INC | COM | 12673P105 | 28 | 1097 | SH | DEFINED | 01 | 15 |
| CABCO SER 2004 101 TR GOL | COM | 12679N203 | 61 | 2550 | SH | DEFINED | 01 | 15 |
| CABCO SER 2004 1 TR GOLDMAN | COM | 12679Q206 | 13 | 600 | SH | DEFINED | 01 | 15 |
| CABELAS INC | COM | 126804301 | 1354 | 61181 | SH | DEFINED | 01 | 15 |
| CABLEVISION SYSTEMS-NY GRP-A | COM | 12686C109 | 358 | 9939 | SH | DEFINED | 01 | 02 |
| CABLEVISION SYSTEMS-NY GRP-A | COM | 12686C109 | 109547 | 3031217 | SH | DEFINED | 01 | 10 |
| CABLEVISION SYSTEMS-NY GRP-A | COM | 12686C109 | 626 | 17304 | SH | DEFINED | 01 | 15 |
| CABOT CORP | COM | 127055101 | 21 | 434 | SH | DEFINED | 01 | 02 |
| CABOT CORP | COM | 127055101 | 12 | 250 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| CABOT OIL & GAS CORP | COM | 127097103 | 7549 | 204696 | SH | DEFINED | 01 | 02 |
| CABOT OIL & GAS CORP | COM | 127097103 | 6 | 150 | SH | DEFINED | 01 | 15 |
| CABOT MICROELECTRONICS CORP | COM | 12709P103 | 1386 | 39050 | SH | DEFINED | 01 | 02 |
| CABOT MICROELECTRONICS CORP | COM | 12709P103 | 32 | 902 | SH | DEFINED | 01 | 15 |
| CACI INTERNATIONAL | COM | 127190304 | 7997 | 163716 | SH | DEFINED | 01 | 02 |
| CADBURY SCHWEPPE'S PLC ADR EA | COM | 127209302 | 134 | 2472 | SH | DEFINED | 01 | 15 |
| CADENCE DESIGN SYS INC | COM | 127387108 | 24081 | 1096548 | SH | DEFINED | 01 | 02 |
| CADENCE DESIGN SYS INC | COM | 127387108 | 24 | 1080 | SH | DEFINED | 01 | 03 |
| CADENCE DESIGN SYS INC | COM | 127387108 | 110 | 5012 | SH | DEFINED | 01 | 15 |
| CAL DIVE INTERNATIONAL INC | COM | 12802T101 | 42 | 2540 | SH | DEFINED | 01 | 02 |
| CALAMOS CONV OPPORTUNITIES & I | COM | 128117108 | 427 | 22016 | SH | DEFINED | 01 | 15 |
| CALAMOS CONV & HIGH INCOME FD | COM | 12811P108 | 220 | 13557 | SH | DEFINED | 01 | 15 |
| CALAMOS ASSET MANAGEMENT-A | COM | 12811R104 | 35 | 1368 | SH | DEFINED | 01 | 02 |
| CALAMOS ASSET MANAGEMENT-A | COM | 12811R104 | 57 | 2213 | SH | DEFINED | 01 | 15 |
| CALAMOS STRATEGIC TOTAL RETU | COM | 128125101 | 106 | 6980 | SH | DEFINED | 01 | 15 |
| CALAMOS STRATEGIC TOTAL RETU | COM | 128125200 | 50 | 2 | SH | DEFINED | 01 | 15 |
| CALAMOS STRATEGIC TOTAL RETU | COM | 128125606 | 25 | 1 | SH | DEFINED | 01 | 15 |
| CALAMOS STRATEGIC TOTAL RETU | COM | 128125705 | 75 | 3 | SH | DEFINED | 01 | 15 |
| CALAMOS STRATEGIC TOTAL RETU | COM | 128125804 | 75 | 3 | SH | DEFINED | 01 | 15 |
| CALAMP CORP | COM | 128126109 | 4 | 1025 | SH | DEFINED | 01 | 15 |
| CALCOL INC COM | COM | 128699105 | 1 | 5000 | SH | DEFINED | 01 | 15 |
| CALEDONIA MINING CORP | COM | 12932K103 | 1 | 5200 | SH | DEFINED | 01 | 15 |
| CALGON CARBON CORP | COM | 129603106 | 7 | 600 | SH | DEFINED | 01 | 15 |
| CALIFORNIA BEACH RESTAURANT | COM | 129903209 | 0 | 15 | SH | DEFINED | 01 | 15 |
| CALIFORNIA COASTAL COMM INC | COM | 129915203 | 2634 | 155500 | SH | DEFINED | 01 | 02 |
| CALIFORNIA MICRO DEVICES CO | COM | 130439102 | 0 | 100 | SH | DEFINED | 01 | 15 |
| CALIFORNIA MOLECULARELECTRONIC | COM | 130481104 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| CALIFORNIA OIL & GASCORP COM | COM | 130501109 | 1 | 2000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| CALIFORNIA PIZZA KITCHEN INC | COM | 13054D109 | 1801 | 83835 | SH | DEFINED | 01 | 02 |
| CALIFORNIA PIZZA KITCHEN INC | COM | 13054D109 | 3 | 150 | SH | DEFINED | 01 | 15 |
| CALL GENE INC ISIN #CA13 | COM | 13100T108 | 2 | 1000 | SH | DEFINED | 01 | 15 |
| CALLAWAY GOLF CO | COM | 131193104 | 1355 | 76118 | SH | DEFINED | 01 | 02 |
| CALLAWAY GOLF CO | COM | 131193104 | 928 | 52090 | SH | DEFINED | 01 | 02 |
| CALLAWAY GOLF CO | COM | 131193104 | 315 | 17700 | SH | DEFINED | 01 | 03 |
| CALLAWAY GOLF CO | COM | 131193104 | 140 | 7881 | SH | DEFINED | 01 | 15 |
| CALLIDUS SOFTWARE INC | COM | 13123E500 | 427 | 52687 | SH | DEFINED | 01 | 02 |
| CALLON PETROLEUM CO | COM | 13123X102 | 1543 | 108900 | SH | DEFINED | 01 | 02 |
| CALLON PETROLEUM CO | COM | 13123X102 | 4 | 300 | SH | DEFINED | 01 | 15 |
| CALLWARE TECHNOLOGI | COM | 131259103 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| CALPINE CORP | COM | 131347106 | 50 | 13659 | SH | DEFINED | 01 | 15 |
| CALYPSO WIRELESS INC | COM | 131720104 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| CAMBREX CORP | COM | 132011107 | 1334 | 100555 | SH | DEFINED | 01 | 02 |
| CAMDEN PROPERTY TRUST | COM | 133131102 | 8938 | 133457 | SH | DEFINED | 01 | 02 |
| CAMDEN PROPERTY TRUST | COM | 133131102 | 98 | 1462 | SH | DEFINED | 01 | 03 |
| CAMECO CORP | COM | 13321L108 | 2421 | 47720 | SH | DEFINED | 01 | 02 |
| CAMECO CORP | COM | 13321L108 | 2629 | 51822 | SH | DEFINED | 01 | 15 |
| CAMERON INTERNATIONAL CORP | COM | 13342B105 | 47193 | 660329 | SH | DEFINED | 01 | 02 |
| CAMERON INTERNATIONAL CORP | COM | 13342B105 | 218 | 3045 | SH | DEFINED | 01 | 15 |
| CAMPBELL RESOURCES INC NEW | COM | 134422609 | 0 | 17 | SH | DEFINED | 01 | 15 |
| CAMPBELL SOUP CO | COM | 134429109 | 14319 | 368930 | SH | DEFINED | 01 | 02 |
| CAMPBELL SOUP CO | COM | 134429109 | 1393 | 35902 | SH | DEFINED | 01 | 03 |
| CAMPBELL SOUP CO | COM | 134429109 | 221 | 5707 | SH | DEFINED | 01 | 15 |
| CANADIAN GEN INVTS LTD ISIN # | COM | 135825107 | 29 | 1100 | SH | DEFINED | 01 | 15 |
| CANADIAN NATL RAILWAY CO | COM | 136375102 | 1484 | 29143 | SH | DEFINED | 01 | 02 |
| CANADIAN NATL RAILWAY CO | COM | 136375102 | 186 | 3657 | SH | DEFINED | 01 | 15 |
| CANADIAN NATURAL RESOURCES | COM | 136385101 | 10006 | 150810 | SH | DEFINED | 01 | 02 |
| CANADIAN NATURAL RESOURCES | COM | 136385101 | 7019 | 105791 | SH | DEFINED | 01 | 02 |
| CANADIAN NATURAL RESOURCES | COM | 136385101 | 18295 | 276193 | SH | DEFINED | 01 | 10 |
| CANADIAN NATURAL RESOURCES | COM | 136385101 | 1027 | 15479 | SH | DEFINED | 01 | 15 |
| CANADIAN OIL SANDS TR NEW U | COM | 13642L100 | 1171 | 38342 | SH | DEFINED | 01 | 15 |
| CANADIAN PAC RAILWAYLTD ISIN # | COM | 13645T100 | 336 | 4880 | SH | DEFINED | 01 | 15 |
| CANADIAN SPOONERS RES INC | COM | 13663Q103 | 0 | 33 | SH | DEFINED | 01 | 15 |
| CANADIAN SUPERIOR ENERGY INC | COM | 136644101 | 3 | 1000 | SH | DEFINED | 01 | 15 |
| CANADIAN ZINC CORP COM ISIN # | COM | 136802105 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| CANDELA CORP | COM | 136907102 | 1 | 100 | SH | DEFINED | 01 | 15 |
| CANALASKA URANIUM LTD COM IS | COM | 13708P102 | 10 | 17000 | SH | DEFINED | 01 | 15 |
| CANARGO ENERGY CORP | COM | 137225108 | 8 | 10000 | SH | DEFINED | 01 | 15 |
| CANARC RESOURCES CRPISIN #CA13 | COM | 13722D101 | 2 | 3500 | SH | DEFINED | 01 | 15 |
| CANETIC RES TR COM ISIN # | COM | 137513107 | 820 | 50366 | SH | DEFINED | 01 | 15 |
| CANON INC ADR NEW REPRESENTS | COM | 138006309 | 942 | 16062 | SH | DEFINED | 01 | 15 |
| CANTERBURY PARK HOLDING CORP | COM | 13811E101 | 790 | 60880 | SH | DEFINED | 01 | 02 |
| CAPELLA EDUCATION CO | COM | 139594105 | 177 | 3842 | SH | DEFINED | 01 | 02 |
| CAPITAL AUTOMOTIVE REIT RED P | COM | 139733117 | 16 | 800 | SH | DEFINED | 01 | 15 |
| CAPITAL GAMING INTL INC NEW | COM | 140180308 | 0 | 1 | SH | DEFINED | 01 | 15 |
| CAPITAL GOLD CORP | COM | 14018Y106 | 1 | 2000 | SH | DEFINED | 01 | 15 |
| CAPITAL ONE FINANCIAL CORP | COM | 14040H105 | 71191 | 907574 | SH | DEFINED | 01 | 02 |
| CAPITAL ONE FINANCIAL CORP | COM | 14040H105 | 5364 | 68387 | SH | DEFINED | 01 | 03 |
| CAPITAL ONE FINANCIAL CORP | COM | 14040H105 | 2408 | 30697 | SH | DEFINED | 01 | 15 |
| CAPITAL ONE CAPITAL II PFDS 7 | COM | 14041L204 | 15 | 600 | SH | DEFINED | 01 | 15 |
| CAPITAL SENIOR LIVING CORP | COM | 140475104 | 55 | 5789 | SH | DEFINED | 01 | 02 |
| CAPITAL SOUTHWEST CORP | COM | 140501107 | 131 | 840 | SH | DEFINED | 01 | 02 |
| CAPITAL TRUST-CL A | COM | 14052H506 | 2636 | 77274 | SH | DEFINED | 01 | 02 |
| CAPITAL TRUST-CL A | COM | 14052H506 | 445 | 13020 | SH | DEFINED | 01 | 02 |
| CAPITAL TRUST-CL A | COM | 14052H506 | 143 | 4200 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CAPITALSOURCE INC | COM | 14055X102 | 202 | 8204 | SH | DEFINED | 01 | 02 |
| CAPITALSOURCE INC | COM | 14055X102 | 762 | 31007 | SH | DEFINED | 01 | 03 |
| CAPITALSOURCE INC | COM | 14055X102 | 415 | 16866 | SH | DEFINED | 01 | 15 |
| CAPITOL BANCORP LTD | COM | 14056D105 | 629 | 23000 | SH | DEFINED | 01 | 02 |
| CAPITOL FEDERAL FINANCIAL | COM | 14057C106 | 4782 | 129515 | SH | DEFINED | 01 | 02 |
| CAPITOL FEDERAL FINANCIAL | COM | 14057C106 | 107 | 2900 | SH | DEFINED | 01 | 15 |
| CAPITOL BANCORP LTD PFD | COM | 14064B208 | 9 | 900 | SH | DEFINED | 01 | 15 |
| CAPSTONE TURBINE CORP | COM | 14067D102 | 21 | 19200 | SH | DEFINED | 01 | 15 |
| CAPSTEAD MTG CORP PFD SER B | COM | 14067E308 | 9 | 701 | SH | DEFINED | 01 | 15 |
| CAPSTEAD MORTGAGE CORP | COM | 14067E506 | 1427 | 147075 | SH | DEFINED | 01 | 02 |
| CAPTARIS INC | COM | 14071N104 | 231 | 45081 | SH | DEFINED | 01 | 02 |
| CARACO PHARM LABS LTD | COM | 14075T107 | 144 | 9460 | SH | DEFINED | 01 | 02 |
| CARBIDE/GRAPHITE GROUP INC | COM | 140777103 | 0 | 4850 | SH | DEFINED | 01 | 15 |
| CARBO CERAMICS INC | COM | 140781105 | 3683 | 84093 | SH | DEFINED | 01 | 02 |
| CARBO CERAMICS INC | COM | 140781105 | 16 | 372 | SH | DEFINED | 01 | 15 |
| CARAUSTAR | COM | 140909102 | 251 | 47766 | SH | DEFINED | 01 | 02 |
| CARAUSTAR | COM | 140909102 | 2 | 458 | SH | DEFINED | 01 | 15 |
| CARDIMA INC 1 FOR 10 REVERSE S | COM | 14147M106 | 1 | 20000 | SH | DEFINED | 01 | 15 |
| CARDINAL FINL GROUP | COM | 14149F109 | 2 | 250 | SH | DEFINED | 01 | 15 |
| CARDINAL HEALTH INC | COM | 14149Y108 | 182712 | 2586514 | SH | DEFINED | 01 | 02 |
| CARDINAL HEALTH INC | COM | 14149Y108 | 4775 | 67587 | SH | DEFINED | 01 | 03 |
| CARDINAL HEALTH INC | COM | 14149Y108 | 677 | 9585 | SH | DEFINED | 01 | 15 |
| CARDIODYNAMICS INTL CORP | COM | 141597104 | 0 | 180 | SH | DEFINED | 01 | 15 |
| CARDIOME PHARMA CORPORATION | COM | 14159U202 | 433 | 47069 | SH | DEFINED | 01 | 10 |
| CARDIOME PHARMA CORPORATION | COM | 14159U202 | 75 | 8179 | SH | DEFINED | 01 | 15 |
| CARDIOTECH INTL INC | COM | 14160C100 | 2 | 1300 | SH | DEFINED | 01 | 15 |
| CAREER EDUCATION CORP | COM | 141665109 | 500 | 14827 | SH | DEFINED | 01 | 02 |
| CAREER EDUCATION CORP | COM | 141665109 | 10 | 300 | SH | DEFINED | 01 | 15 |
| CARESIDE INC OC | COM | 141728105 | 0 | 200 | SH | DEFINED | 01 | 15 |
| CARRIBBEAN AMERICAN HEALTH RES | COM | 141798108 | 0 | 152 | SH | DEFINED | 01 | 15 |
| CARIBOU COFFEE INC COM | COM | 142042209 | 2 | 300 | SH | DEFINED | 01 | 15 |
| CARLISLE COS INC COM | COM | 142339100 | 44 | 940 | SH | DEFINED | 01 | 15 |
| CARMAX INC | COM | 143130102 | 9127 | 357931 | SH | DEFINED | 01 | 02 |
| CARMAX INC | COM | 143130102 | 53 | 2060 | SH | DEFINED | 01 | 15 |
| CARNIVAL CORP | COM | 143658300 | 17908 | 367182 | SH | DEFINED | 01 | 02 |
| CARNIVAL CORP | COM | 143658300 | 3919 | 80363 | SH | DEFINED | 01 | 03 |
| CARNIVAL CORP | COM | 143658300 | 146 | 2997 | SH | DEFINED | 01 | 15 |
| CARRIAGE SERVICES INC | COM | 143905107 | 1 | 100 | SH | DEFINED | 01 | 15 |
| CARPENTER TECHNOLOGY | COM | 144285103 | 1605 | 12310 | SH | DEFINED | 01 | 02 |
| CARPENTER TECHNOLOGY | COM | 144285103 | 270 | 2074 | SH | DEFINED | 01 | 15 |
| CARRIER ACCESS CORP | COM | 144460102 | 39 | 8240 | SH | DEFINED | 01 | 02 |
| CARRIER ACCESS CORP | COM | 144460102 | 30 | 6354 | SH | DEFINED | 01 | 03 |
| CARRIER 1 INTL SA | COM | 144500303 | 0 | 300 | SH | DEFINED | 01 | 15 |
| CARRIZO OIL & GAS INC | COM | 144577103 | 1626 | 39200 | SH | DEFINED | 01 | 02 |
| CARRIZO OIL & GAS INC | COM | 144577103 | 21 | 500 | SH | DEFINED | 01 | 15 |
| CARTER INC FORMERLY C | COM | 146229109 | 1039 | 40062 | SH | DEFINED | 01 | 15 |
| CASCADE BANCORP | COM | 147154108 | 1167 | 50408 | SH | DEFINED | 01 | 02 |
| CASCADE CORP | COM | 147195101 | 6121 | 78040 | SH | DEFINED | 01 | 02 |
| CASCADE CORP | COM | 147195101 | 1300 | 16560 | SH | DEFINED | 01 | 02 |
| CASCADE CORP | COM | 147195101 | 433 | 5520 | SH | DEFINED | 01 | 03 |
| CASCADE NATURAL GAS CORP | COM | 147339105 | 499 | 18889 | SH | DEFINED | 01 | 02 |
| CASCADE NATURAL GAS CORP | COM | 147339105 | 60 | 2266 | SH | DEFINED | 01 | 15 |
| CASEY'S GENERAL STORES INC | COM | 147528103 | 11563 | 424184 | SH | DEFINED | 01 | 02 |
| CASEY'S GENERAL STORES INC | COM | 147528103 | 767 | 28110 | SH | DEFINED | 01 | 02 |
| CASEY'S GENERAL STORES INC | COM | 147528103 | 166 | 6099 | SH | DEFINED | 01 | 03 |
| CASH AMERICA INTERNATIONAL INC | COM | 14754D100 | 4067 | 102561 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CASH AMERICA INTERNATIONAL INC | COM | 14754D100 | 770 | 19425 | SH | DEFINED | 01 | 15 |
| CASPEN OIL INC COM PAR \$0 | COM | 147621106 | 0 | 188 | SH | DEFINED | 01 | 15 |
| CASS INFORMATION SYSTEMS INC | COM | 14808P109 | 1329 | 36657 | SH | DEFINED | 01 | 02 |
| CASS INFORMATION SYSTEMS INC | COM | 14808P109 | 56 | 1550 | SH | DEFINED | 01 | 15 |
| CASTLE (A.M.) & CO PV10 | COM | 148411101 | 932 | 25953 | SH | DEFINED | 01 | 02 |
| CATALINA MKTG CORP | COM | 148867104 | 8 | 259 | SH | DEFINED | 01 | 15 |
| CATALYTICA ENERGY SYSTEMS IN | COM | 148884109 | 5 | 4132 | SH | DEFINED | 01 | 15 |
| CATAPULT COMMUNICATIONS CORP | COM | 149016107 | 161 | 16235 | SH | DEFINED | 01 | 02 |
| CATERPILLAR INC | COM | 149123101 | 461853 | 5898517 | SH | DEFINED | 01 | 02 |
| CATERPILLAR INC | COM | 149123101 | 9886 | 126251 | SH | DEFINED | 01 | 03 |
| CATERPILLAR INC | COM | 149123101 | 10560 | 135000 | SH | DEFINED | 01 | 10 |
| CATERPILLAR INC | COM | 149123101 | 3485 | 44504 | SH | DEFINED | 01 | 15 |
| CATHAY GENERAL BANCORP | COM | 149150104 | 8429 | 251360 | SH | DEFINED | 01 | 02 |
| CATHAY GENERAL BANCORP | COM | 149150104 | 988 | 29460 | SH | DEFINED | 01 | 02 |
| CATHAY GENERAL BANCORP | COM | 149150104 | 349 | 10400 | SH | DEFINED | 01 | 03 |
| CATO CORP-CL A | COM | 149205106 | 1135 | 51729 | SH | DEFINED | 01 | 02 |
| CBEYOND INC | COM | 149847105 | 1799 | 46700 | SH | DEFINED | 01 | 02 |
| CBOT HOLDINGS INC-CLASS A S | COM | 14984K106 | 61 | 295 | SH | DEFINED | 01 | 02 |
| CBOT HOLDINGS INC-CLASS A S | COM | 14984K106 | 112 | 540 | SH | DEFINED | 01 | 15 |
| CEDAR SHOPPING CENTERS INC | COM | 150602209 | 1105 | 77000 | SH | DEFINED | 01 | 02 |
| CEL-SCI CORP COM NEW | COM | 150837409 | 5 | 6850 | SH | DEFINED | 01 | 15 |
| CELADON GROUP INC | COM | 150838100 | 681 | 42800 | SH | DEFINED | 01 | 02 |
| CELANESE CORP-SERIES A | COM | 150870103 | 11837 | 305209 | SH | DEFINED | 01 | 02 |
| CELANESE CORP-SERIES A | COM | 150870103 | 57 | 1475 | SH | DEFINED | 01 | 15 |
| CELL GENESYS INC COM | COM | 150921104 | 9 | 2700 | SH | DEFINED | 01 | 15 |
| CELL THERAPEUTICS INC COM | COM | 150934404 | 0 | 125 | SH | DEFINED | 01 | 15 |
| CELESTICA INC | COM | 15101Q108 | 11387 | 1821927 | SH | DEFINED | 01 | 02 |
| CELESTICA INC | COM | 15101Q108 | 1 | 126 | SH | DEFINED | 01 | 15 |
| CELGENE CORP | COM | 151020104 | 11261 | 196429 | SH | DEFINED | 01 | 02 |
| CELGENE CORP | COM | 151020104 | 3684 | 64260 | SH | DEFINED | 01 | 03 |
| CELGENE CORP | COM | 151020104 | 905 | 15793 | SH | DEFINED | 01 | 15 |
| CELLNET DATA SYS INCNO STKHLDR | COM | 15115M101 | 0 | 1885 | SH | DEFINED | 01 | 15 |
| CEMEX SA B DE CV-SPONS ADR PAR | COM | 151290889 | 1239 | 33570 | SH | DEFINED | 01 | 02 |
| CEMEX SA B DE CV-SPONS ADR PAR | COM | 151290889 | 55607 | 1510661 | SH | DEFINED | 01 | 10 |
| CEMEX SA B DE CV-SPONS ADR PAR | COM | 151290889 | 1430 | 38759 | SH | DEFINED | 01 | 15 |
| CENTENNIAL COMMUNICATIONS CP | COM | 15133V208 | 2254 | 237444 | SH | DEFINED | 01 | 02 |
| CENTENNIAL COMMUNICATIONS CP | COM | 15133V208 | 219 | 23102 | SH | DEFINED | 01 | 15 |
| CENTENNIAL BK HLDGS INC DEL | COM | 151345303 | 18 | 2150 | SH | DEFINED | 01 | 15 |
| CENTENE CORP | COM | 15135B101 | 1533 | 71580 | SH | DEFINED | 01 | 02 |
| CENTER FINANCIAL CORP | COM | 15146E102 | 12 | 698 | SH | DEFINED | 01 | 02 |
| CENTERLINE HLDG CO SH BEN INT | COM | 15188T108 | 3 | 160 | SH | DEFINED | 01 | 15 |
| CENTERPOINT ENERGY INC | COM | 15189T107 | 10967 | 630262 | SH | DEFINED | 01 | 02 |
| CENTERPOINT ENERGY INC | COM | 15189T107 | 924 | 53083 | SH | DEFINED | 01 | 03 |
| CENTERPOINT ENERGY INC | COM | 15189T107 | 127 | 7274 | SH | DEFINED | 01 | 15 |
| CENTERPLATE INC-IDS | COM | 15200E204 | 1276 | 72690 | SH | DEFINED | 01 | 02 |
| CENTERPLATE INC-IDS | COM | 15200E204 | 879 | 49921 | SH | DEFINED | 01 | 15 |
| CENTERSTAGING CORP COM | COM | 15201T101 | 0 | 24 | SH | DEFINED | 01 | 15 |
| CENTEX CORP | COM | 152312104 | 6214 | 154940 | SH | DEFINED | 01 | 02 |
| CENTEX CORP | COM | 152312104 | 793 | 19768 | SH | DEFINED | 01 | 03 |
| CENTEX CORP | COM | 152312104 | 5 | 124 | SH | DEFINED | 01 | 15 |
| CENTRAIS ELETRICAS BRASILEIRA | COM | 15234Q207 | 5 | 350 | SH | DEFINED | 01 | 15 |
| CENTRAL EURO DISTRIBUTION CP | COM | 153435102 | 5078 | 146688 | SH | DEFINED | 01 | 02 |
| CENTRAL EURO DISTRIBUTION CP | COM | 153435102 | 75 | 2167 | SH | DEFINED | 01 | 15 |
| CENTRAL EUROPE AND RUSSIA | COM | 153436100 | 55 | 1060 | SH | DEFINED | 01 | 15 |
| CENTRAL FD CDA CL A ISIN #CA15 | COM | 153501101 | 2162 | 237593 | SH | DEFINED | 01 | 15 |
| CENTRAL GARDEN AND PET CO | COM | 153527106 | 195 | 15900 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| CENTRAL GARDEN AND PET CO-A | COM | 153527205 | 1748 | 149057 | SH | DEFINED | 01 | 02 |
| CENT IL LT CO | PRF | 153645882 | 2922 | 29474 | SH | DEFINED | 01 | 04 |
| CENTRAL ME PWR CO PFD 4.60% | COM | 154051304 | 8 | 100 | SH | DEFINED | 01 | 15 |
| CENTRAL PACIFIC FINANCIAL CO | COM | 154760102 | 3972 | 120375 | SH | DEFINED | 01 | 02 |
| CENTRAL PACIFIC FINANCIAL CO | COM | 154760102 | 671 | 20330 | SH | DEFINED | 01 | 02 |
| CENTRAL PACIFIC FINANCIAL CO | COM | 154760102 | 224 | 6800 | SH | DEFINED | 01 | 03 |
| CENTRAL SECURITIES CORP | COM | 155123102 | 33 | 1138 | SH | DEFINED | 01 | 15 |
| CENTRAL VERMONT PUBLIC SERV | COM | 155771108 | 630 | 16717 | SH | DEFINED | 01 | 02 |
| CENTRAL VERMONT PUBLIC SERV | COM | 155771108 | 11 | 281 | SH | DEFINED | 01 | 15 |
| CENTRAL VT PUB SVC CORP 5.375 | COM | 155771702 | 26 | 300 | SH | DEFINED | 01 | 15 |
| CENTURY ALUMINUM COMPANY | COM | 156431108 | 20625 | 377577 | SH | DEFINED | 01 | 02 |
| CENTURY ALUMINUM COMPANY | COM | 156431108 | 888 | 16250 | SH | DEFINED | 01 | 02 |
| CENTURY ALUMINUM COMPANY | COM | 156431108 | 317 | 5800 | SH | DEFINED | 01 | 03 |
| CENTURY ALUMINUM COMPANY | COM | 156431108 | 237 | 4339 | SH | DEFINED | 01 | 15 |
| CENTURYTEL INC | COM | 156700106 | 39724 | 809845 | SH | DEFINED | 01 | 02 |
| CENTURYTEL INC | COM | 156700106 | 889 | 18124 | SH | DEFINED | 01 | 03 |
| CENTURYTEL INC | COM | 156700106 | 2853 | 58162 | SH | DEFINED | 01 | 15 |
| CEPHALON INC | COM | 156708109 | 8145 | 101316 | SH | DEFINED | 01 | 02 |
| CEPHALON INC | COM | 156708109 | 210 | 2608 | SH | DEFINED | 01 | 03 |
| CEPHALON INC | COM | 156708109 | 135 | 1675 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| CEPHEID | COM | 15670R107 | 22 | 1500 | SH | DEFINED | 01 | 15 |
| CENVEO INC | COM | 15670S105 | 929 | 40066 | SH | DEFINED | 01 | 02 |
| CENVEO INC | COM | 15670S105 | 47 | 2010 | SH | DEFINED | 01 | 15 |
| CERADYNE INC | COM | 156710105 | 9064 | 122544 | SH | DEFINED | 01 | 02 |
| CERADYNE INC | COM | 156710105 | 96 | 1298 | SH | DEFINED | 01 | 15 |
| CEREPLAST INC COM | COM | 156732208 | 8 | 13000 | SH | DEFINED | 01 | 15 |
| CERIDIAN CORP | COM | 156779100 | 526 | 15034 | SH | DEFINED | 01 | 02 |
| CERNER CORP | COM | 156782104 | 9209 | 165998 | SH | DEFINED | 01 | 02 |
| CERNER CORP | COM | 156782104 | 218 | 3937 | SH | DEFINED | 01 | 03 |
| CERNER CORP | COM | 156782104 | 307 | 5537 | SH | DEFINED | 01 | 15 |
| CERUS CORP | COM | 157085101 | 56 | 8228 | SH | DEFINED | 01 | 02 |
| CEVA INC ISIN #US15 | COM | 157210105 | 1 | 66 | SH | DEFINED | 01 | 15 |
| CEYONIQ AG SPONSORED | COM | 15721M107 | 0 | 669 | SH | DEFINED | 01 | 15 |
| CHAMPION ENTERPRISES INC | COM | 158496109 | 13552 | 1378604 | SH | DEFINED | 01 | 02 |
| CHAMPION ENTERPRISES INC | COM | 158496109 | 423 | 43090 | SH | DEFINED | 01 | 02 |
| CHAMPION ENTERPRISES INC | COM | 158496109 | 150 | 15300 | SH | DEFINED | 01 | 03 |
| CHAMPION ENTERPRISES INC | COM | 158496109 | 4 | 442 | SH | DEFINED | 01 | 15 |
| CHANTAL PHARMACEUTCLCORP COM N | COM | 159300201 | 0 | 200 | SH | DEFINED | 01 | 15 |
| CHAPARRAL STEEL CO | COM | 159423102 | 11255 | 156606 | SH | DEFINED | 01 | 02 |
| CHAPARRAL STEEL CO | COM | 159423102 | 87 | 1205 | SH | DEFINED | 01 | 15 |
| CHARLES RIV LABORATORI | COM | 159864107 | 1179 | 22848 | SH | DEFINED | 01 | 15 |
| CHARLOTTE RUSSE HOLDING INC | COM | 161048103 | 2554 | 95072 | SH | DEFINED | 01 | 02 |
| CHARMING SHOPPES | COM | 161133103 | 1551 | 143318 | SH | DEFINED | 01 | 02 |
| CHARMING SHOPPES | COM | 161133103 | 682 | 63030 | SH | DEFINED | 01 | 02 |
| CHARMING SHOPPES | COM | 161133103 | 243 | 22400 | SH | DEFINED | 01 | 03 |
| CHARTER COMMUNICATIONS-CL A | COM | 16117M107 | 29634 | 7316534 | SH | DEFINED | 01 | 02 |
| CHARTER COMMUNICATIONS-CL A | COM | 16117M107 | 645 | 159330 | SH | DEFINED | 01 | 02 |
| CHARTER COMMUNICATIONS-CL A | COM | 16117M107 | 239 | 59000 | SH | DEFINED | 01 | 03 |
| CHARTER COMMUNICATIONS-CL A | COM | 16117M107 | 12 | 3069 | SH | DEFINED | 01 | 15 |
| CHARTER FINANCIAL CORP | COM | 16122M100 | 22 | 428 | SH | DEFINED | 01 | 02 |
| CHARTERED SEMICONDC | COM | 16133R106 | 0 | 50 | SH | DEFINED | 01 | 15 |
| CHARTWELL DIVID & INCOME FD | COM | 16139P104 | 54 | 5475 | SH | DEFINED | 01 | 15 |
| CHARYS HLDG CO INC | COM | 161420104 | 2 | 1000 | SH | DEFINED | 01 | 15 |
| CHASE CORP | COM | 16150R104 | 399 | 23760 | SH | DEFINED | 01 | 02 |
| CHASSEUR HLDGS INC | COM | 161696109 | 0 | 15885 | SH | DEFINED | 01 | 15 |
| CHASSEUR HLDGS INC WTS CL C E | COM | 161696117 | 0 | 40847 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CHASSEUR HLDGS INC WTS CL A E | COM | 161696125 | 0 | 40847 | SH | DEFINED | 01 | 15 |
| CHASSEUR HLDGS INC WTS CL B 0 | COM | 161696133 | 0 | 40847 | SH | DEFINED | 01 | 15 |
| CHATTEM INC | COM | 162456107 | 4076 | 64305 | SH | DEFINED | 01 | 02 |
| CHATTEM INC | COM | 162456107 | 3972 | 62678 | SH | DEFINED | 01 | 10 |
| CHATTEM INC | COM | 162456107 | 251 | 3966 | SH | DEFINED | 01 | 15 |
| CHECKFREE CORP | COM | 162813109 | 384 | 9532 | SH | DEFINED | 01 | 02 |
| CHECKFREE CORP | COM | 162813109 | 12 | 310 | SH | DEFINED | 01 | 03 |
| CHECKFREE CORP | COM | 162813109 | 193 | 4805 | SH | DEFINED | 01 | 15 |
| CHECKPOINT SYSTEMS INC | COM | 162825103 | 3247 | 128588 | SH | DEFINED | 01 | 02 |
| CHECKPOINT SYSTEMS INC | COM | 162825103 | 1085 | 43010 | SH | DEFINED | 01 | 02 |
| CHECKPOINT SYSTEMS INC | COM | 162825103 | 394 | 15600 | SH | DEFINED | 01 | 03 |
| CHECKPOINT SYSTEMS INC | COM | 162825103 | 18 | 700 | SH | DEFINED | 01 | 15 |
| CHEESECAKE FACTORY (THE) | COM | 163072101 | 1292 | 52673 | SH | DEFINED | 01 | 15 |
| CHELYABINSK ZINK PLANT-GDR | COM | 163523202 | 99 | 6300 | SH | DEFINED | 01 | 02 |
| CHEMED CORP | COM | 16359R103 | 11963 | 180477 | SH | DEFINED | 01 | 02 |
| CHEMICAL FINANCIAL CORP | COM | 163731102 | 953 | 36839 | SH | DEFINED | 01 | 02 |
| CHEMICAL FINANCIAL CORP | COM | 163731102 | 8 | 320 | SH | DEFINED | 01 | 15 |
| CHEMTURA CORP COM | COM | 163893100 | 58 | 5216 | SH | DEFINED | 01 | 15 |
| CHENIERE ENERGY INC NEW | COM | 16411R208 | 34 | 868 | SH | DEFINED | 01 | 15 |
| CHEROKEE INC NEW | COM | 16444H102 | 216 | 5917 | SH | DEFINED | 01 | 15 |
| CHESAPEAKE CORP | COM | 165159104 | 411 | 32695 | SH | DEFINED | 01 | 02 |
| CHESAPEAKE CORP | COM | 165159104 | 6 | 500 | SH | DEFINED | 01 | 15 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 12912 | 373178 | SH | DEFINED | 01 | 02 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 2344 | 67760 | SH | DEFINED | 01 | 03 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 21710 | 628570 | SH | DEFINED | 01 | 10 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 4714 | 136246 | SH | DEFINED | 01 | 15 |
| CHESAPEAKE UTILS CORP | COM | 165303108 | 20 | 573 | SH | DEFINED | 01 | 15 |
| CHEUNG KONG HLDG LTDADR | COM | 166744201 | 77 | 5893 | SH | DEFINED | 01 | 15 |
| CHEVRON CORP | COM | 166764100 | 747562 | 8874183 | SH | DEFINED | 01 | 02 |
| CHEVRON CORP | COM | 166764100 | 31536 | 374359 | SH | DEFINED | 01 | 03 |
| CHEVRON CORP | COM | 166764100 | 34200 | 406112 | SH | DEFINED | 01 | 10 |
| CHEVRON CORP | COM | 166764100 | 9296 | 110352 | SH | DEFINED | 01 | 15 |
| CHEVY CHASE PFD CAP CORP PFD N | COM | 16678M207 | 20 | 365 | SH | DEFINED | 01 | 15 |
| CHICAGO BRIDGE & IRON-NY SHR | COM | 167250109 | 25617 | 678779 | SH | DEFINED | 01 | 02 |
| CHICAGO BRIDGE & IRON-NY SHR | COM | 167250109 | 123 | 3250 | SH | DEFINED | 01 | 15 |
| CHICAGO MERCANTILE EXCHANG-A | COM | 167760107 | 6617 | 12385 | SH | DEFINED | 01 | 02 |
| CHICAGO MERCANTILE EXCHANG-A | COM | 167760107 | 3142 | 5880 | SH | DEFINED | 01 | 03 |
| CHICAGO MERCANTILE EXCHANG-A | COM | 167760107 | 545 | 1020 | SH | DEFINED | 01 | 15 |
| CHICOS FAS INC | COM | 168615102 | 2197 | 90249 | SH | DEFINED | 01 | 15 |
| CHILE FD INC | COM | 168834109 | 42 | 1827 | SH | DEFINED | 01 | 15 |
| CHILDRENS BEVERAGE GROUP INC | COM | 168904100 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| CHILDREN'S PLACE | COM | 168905107 | 2824 | 54690 | SH | DEFINED | 01 | 02 |
| CHILDREN'S PLACE | COM | 168905107 | 16 | 301 | SH | DEFINED | 01 | 15 |
| CHINA FIN ONLINE CO LTD SPONSO | COM | 169379104 | 15 | 1800 | SH | DEFINED | 01 | 15 |
| CHINA GRENTech CORP LTD ADR | COM | 16938P107 | 8 | 600 | SH | DEFINED | 01 | 15 |
| CHINA LIFE INS CO LTD SPON A | COM | 16939P106 | 386 | 7197 | SH | DEFINED | 01 | 15 |
| CHINA NETCOM GROUP CRP HONG K | COM | 16940Q101 | 86 | 1539 | SH | DEFINED | 01 | 15 |
| CHINA MOBILE (HK) SPON ADR REP | COM | 16941M109 | 1236 | 22931 | SH | DEFINED | 01 | 10 |
| CHINA MOBILE (HK) SPON ADR REP | COM | 16941M109 | 539 | 10007 | SH | DEFINED | 01 | 15 |
| CHINA PETROLEUM & CHEM CORP | COM | 16941R108 | 355 | 3183 | SH | DEFINED | 01 | 15 |
| CHINA SUNERGY CO LTDSPONSORED | COM | 16942X104 | 1 | 100 | SH | DEFINED | 01 | 15 |
| CHINA UNICOM LTD SPONS ADR | COM | 16945R104 | 4 | 228 | SH | DEFINED | 01 | 15 |
| CHINA MED TECHNOLOGI | COM | 169483104 | 81 | 2530 | SH | DEFINED | 01 | 15 |
| CHIPOTLE MEXICAN GRILL-CL A | COM | 169656105 | 1027 | 12054 | SH | DEFINED | 01 | 02 |
| CHIPOTLE MEXICAN GRILL-CL A | COM | 169656105 | 34 | 400 | SH | DEFINED | 01 | 15 |
| CHIPOTLE MEXICAN GRI-CLASS B | COM | 169656204 | 2571 | 32702 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| CHIPOTLE MEXICAN GRI-CLASS B | COM | 169656204 | 23 | 292 | SH | DEFINED | 01 | 15 |
| CHOICE HOTELS INTL INC | COM | 169905106 | 171 | 4323 | SH | DEFINED | 01 | 02 |
| CHIQUITA BRANDS INTLWTS EXP 03 | COM | 170032114 | 0 | 3 | SH | DEFINED | 01 | 15 |
| CHIQUITA BRANDS INTL | COM | 170032809 | 138 | 7283 | SH | DEFINED | 01 | 02 |
| CHIQUITA BRANDS INTL | COM | 170032809 | 9 | 500 | SH | DEFINED | 01 | 15 |
| CHITTENDEN CAP TRUSTI PFD | COM | 170221204 | 20 | 800 | SH | DEFINED | 01 | 15 |
| CHITTENDEN CORP | COM | 170228100 | 2626 | 75130 | SH | DEFINED | 01 | 02 |
| CHOICEPOINT INC | COM | 170388102 | 353 | 8312 | SH | DEFINED | 01 | 15 |
| CHOICE ONE COMMUNICAT | COM | 17038P104 | 0 | 25 | SH | DEFINED | 01 | 15 |
| CHOLESTECH CORP | COM | 170393102 | 3 | 150 | SH | DEFINED | 01 | 15 |
| CHORDIANT SOFTWARE INC | COM | 170404305 | 1258 | 80365 | SH | DEFINED | 01 | 02 |
| CHORDIANT SOFTWARE INC | COM | 170404305 | 2431 | 155363 | SH | DEFINED | 01 | 10 |
| CHRISTOPHER & BANKS CORP | COM | 171046105 | 1972 | 115002 | SH | DEFINED | 01 | 02 |
| CHROMATICS COLOR SCIENCES I | COM | 171116304 | 0 | 12600 | SH | DEFINED | 01 | 15 |
| CHUBB CORP | COM | 171232101 | 44030 | 813283 | SH | DEFINED | 01 | 02 |
| CHUBB CORP | COM | 171232101 | 4024 | 74315 | SH | DEFINED | 01 | 03 |
| CHUBB CORP | COM | 171232101 | 834 | 15413 | SH | DEFINED | 01 | 15 |
| CHUNGHWA TELECOM CO LTD-ADR | COM | 17133Q205 | 3034 | 160865 | SH | DEFINED | 01 | 02 |
| CHUNGHWA TELECOM CO LTD-ADR | COM | 17133Q205 | 30 | 1600 | SH | DEFINED | 01 | 15 |
| CHURCH & DWIGHT INC | COM | 171340102 | 38 | 792 | SH | DEFINED | 01 | 15 |
| CHURCHILL DOWNS INC | COM | 171484108 | 1106 | 21115 | SH | DEFINED | 01 | 02 |
| CHURCHILL DOWNS INC | COM | 171484108 | 10 | 200 | SH | DEFINED | 01 | 15 |
| CHURCHILL TECHNOLOGYINC COM PA | COM | 171569205 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| CIBER INC | COM | 17163B102 | 3881 | 474717 | SH | DEFINED | 01 | 02 |
| CIBER INC | COM | 17163B102 | 661 | 80740 | SH | DEFINED | 01 | 02 |
| CIBER INC | COM | 17163B102 | 226 | 27600 | SH | DEFINED | 01 | 03 |
| CIBER INC | COM | 17163B102 | 2 | 206 | SH | DEFINED | 01 | 15 |
| CIENA CORP | COM | 171779309 | 23003 | 636679 | SH | DEFINED | 01 | 02 |
| CIENA CORP | COM | 171779309 | 912 | 25253 | SH | DEFINED | 01 | 03 |
| CIENA CORP | COM | 171779309 | 53 | 1456 | SH | DEFINED | 01 | 15 |
| CIMAREX ENERGY CO | COM | 171798101 | 1459 | 37038 | SH | DEFINED | 01 | 02 |
| CIMAREX ENERGY CO | COM | 171798101 | 76 | 1930 | SH | DEFINED | 01 | 15 |
| CINCINNATI BELL INC | COM | 171871106 | 3308 | 572687 | SH | DEFINED | 01 | 02 |
| CINCINNATI BELL INC | COM | 171871106 | 932 | 161040 | SH | DEFINED | 01 | 02 |
| CINCINNATI BELL INC | COM | 171871106 | 314 | 54280 | SH | DEFINED | 01 | 03 |
| CINCINNATI BELL INC | COM | 171871106 | 118 | 20374 | SH | DEFINED | 01 | 15 |
| CINCINNATI BELL INC NEW DEPOSI | COM | 171871403 | 24 | 500 | SH | DEFINED | 01 | 15 |
| CINCINNATI FINANCIAL CORP | COM | 172062101 | 2584 | 59524 | SH | DEFINED | 01 | 02 |
| CINCINNATI FINANCIAL CORP | COM | 172062101 | 1233 | 28415 | SH | DEFINED | 01 | 03 |
| CINCINNATI FINANCIAL CORP | COM | 172062101 | 966 | 22258 | SH | DEFINED | 01 | 15 |
| CINEMASTER LUXURY THEATERS N | COM | 17244C202 | 0 | 228 | SH | DEFINED | 01 | 15 |
| CIRCUIT CITY STORES INC | COM | 172737108 | 749 | 49670 | SH | DEFINED | 01 | 02 |
| CIRCUIT CITY STORES INC | COM | 172737108 | 345 | 22882 | SH | DEFINED | 01 | 03 |
| CIRCUIT CITY STORES INC | COM | 172737108 | 11 | 700 | SH | DEFINED | 01 | 15 |
| CIRCOR INTERNATIONAL INC | COM | 17273K109 | 379 | 9373 | SH | DEFINED | 01 | 02 |
| CIRRUS LOGIC INC | COM | 172755100 | 1227 | 147740 | SH | DEFINED | 01 | 02 |
| CISCO SYSTEMS INC | COM | 17275R102 | 291785 | 10477008 | SH | DEFINED | 01 | 02 |
| CISCO SYSTEMS INC | COM | 17275R102 | 4240 | 152241 | SH | DEFINED | 01 | 02 |
| CISCO SYSTEMS INC | COM | 17275R102 | 28562 | 1025572 | SH | DEFINED | 01 | 03 |
| CISCO SYSTEMS INC | COM | 17275R102 | 130552 | 4696136 | SH | DEFINED | 01 | 10 |
| CISCO SYSTEMS INC | COM | 17275R102 | 12285 | 441129 | SH | DEFINED | 01 | 15 |
| CITADEL BROADCASTING CORP | COM | 17285T106 | 431 | 66720 | SH | DEFINED | 01 | 02 |
| CITADEL BROADCASTING CORP | COM | 17285T106 | 167 | 25903 | SH | DEFINED | 01 | 03 |
| CITADEL BROADCASTING CORP | COM | 17285T106 | 45 | 6912 | SH | DEFINED | 01 | 15 |
| CINTAS CORP | COM | 172908105 | 20410 | 517672 | SH | DEFINED | 01 | 02 |
| CINTAS CORP | COM | 172908105 | 1342 | 34029 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| CINTAS CORP | COM | 172908105 | 618 | 15669 | SH | DEFINED | 01 | 15 |
| CITIGROUP INC | COM | 172967101 | 876212 | 17083467 | SH | DEFINED | 01 | 02 |
| CITIGROUP INC | COM | 172967101 | 43813 | 854221 | SH | DEFINED | 01 | 03 |
| CITIGROUP INC | COM | 172967101 | 149113 | 2910096 | SH | DEFINED | 01 | 10 |
| CITIGROUP INC | COM | 172967101 | 12523 | 244159 | SH | DEFINED | 01 | 15 |
| CITIGROUP INC DEP SH REP | COM | 172967705 | 32 | 640 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAPITAL X PFD 6.10% | COM | 173064205 | 71 | 3010 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAP IX TR PFD SEC | COM | 173066200 | 134 | 5709 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAPITAL VII PFD 7. | COM | 17306N203 | 134 | 5316 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAPITAL VIII CAP S | COM | 17306R204 | 104 | 4143 | SH | DEFINED | 01 | 15 |
| CITI TRENDS INC | COM | 17306X102 | 448 | 11800 | SH | DEFINED | 01 | 02 |
| CITIGROUP GLOBAL MKTS HLDGS | COM | 173076209 | 24 | 2500 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAP XI CAP SECS T | COM | 17307Q205 | 9 | 400 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAP XV ENHANCED T | COM | 17310G202 | 123 | 5000 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAP XVI GTD ENHANC | COM | 17310L201 | 12 | 500 | SH | DEFINED | 01 | 15 |
| CITIGROUP CAPITAL XVII PRFD | COM | 17311H209 | 139 | 5800 | SH | DEFINED | 01 | 15 |
| CITIZENS BANCORP VA INC | COM | 17316V104 | 8 | 427 | SH | DEFINED | 01 | 15 |
| CITIZENS REPUBLIC BANCORP CORP | COM | 174420109 | 2470 | 134973 | SH | DEFINED | 01 | 02 |
| CITIZENS COMMUNICATIONS CO | COM | 17453B101 | 26513 | 1736273 | SH | DEFINED | 01 | 02 |
| CITIZENS COMMUNICATIONS CO | COM | 17453B101 | 865 | 56664 | SH | DEFINED | 01 | 03 |
| CITIZENS COMMUNICATIONS CO | COM | 17453B101 | 1109 | 72604 | SH | DEFINED | 01 | 15 |
| CITIZENS INC CL A | COM | 174740100 | 0 | 11 | SH | DEFINED | 01 | 15 |
| CITIZENS SOUTH BKG CORP DEL N | COM | 176682102 | 19 | 1507 | SH | DEFINED | 01 | 15 |
| CITRIX | COM | 177376100 | 32556 | 966937 | SH | DEFINED | 01 | 02 |
| CITRIX | COM | 177376100 | 2522 | 74931 | SH | DEFINED | 01 | 03 |
| CITRIX | COM | 177376100 | 189 | 5611 | SH | DEFINED | 01 | 15 |
| CITY BANK/LYNNWOOD WA | COM | 17770A109 | 114 | 3626 | SH | DEFINED | 01 | 02 |
| CITY HOLDING CO | COM | 177835105 | 4520 | 117952 | SH | DEFINED | 01 | 02 |
| CITY HOLDING CO | COM | 177835105 | 860 | 22470 | SH | DEFINED | 01 | 02 |
| CITY HOLDING CO | COM | 177835105 | 297 | 7750 | SH | DEFINED | 01 | 03 |
| CITY HOLDING CO | COM | 177835105 | 4 | 108 | SH | DEFINED | 01 | 15 |
| CITY NATIONAL CORP | COM | 178566105 | 816 | 10720 | SH | DEFINED | 01 | 02 |
| CITY NATIONAL CORP | COM | 178566105 | 38 | 505 | SH | DEFINED | 01 | 15 |
| CLARCOR INC | COM | 179895107 | 6693 | 178798 | SH | DEFINED | 01 | 02 |
| CLARCOR INC | COM | 179895107 | 487 | 12980 | SH | DEFINED | 01 | 02 |
| CLARCOR INC | COM | 179895107 | 172 | 4600 | SH | DEFINED | 01 | 03 |
| CLARCOR INC | COM | 179895107 | 12 | 310 | SH | DEFINED | 01 | 15 |
| CLARIENT INC COM | COM | 180489106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| CLARUS CORP DEL | COM | 182707109 | 1 | 125 | SH | DEFINED | 01 | 15 |
| CLAYMONT STEEL HOLDINGS INC | COM | 18382P104 | 355 | 16600 | SH | DEFINED | 01 | 02 |
| CLAYMORE EXCHANGE TRADED FD | COM | 18383M100 | 169 | 4380 | SH | DEFINED | 01 | 15 |
| CLAYMORE EXCHANGE TRADED FD | COM | 18383M407 | 80 | 2677 | SH | DEFINED | 01 | 15 |
| CLAYMORE EXCHANGE TRADED FD | COM | 18383M506 | 48 | 1763 | SH | DEFINED | 01 | 15 |
| CLAYMORE EXCHANGE TRADED FD | COM | 18383M605 | 34 | 1125 | SH | DEFINED | 01 | 15 |
| CLAYMORE EXCHANGE TRADED FD | COM | 18383Q507 | 20 | 765 | SH | DEFINED | 01 | 15 |
| CLEAN HBRS INC | COM | 184496107 | 6 | 120 | SH | DEFINED | 01 | 15 |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 922 | 24397 | SH | DEFINED | 01 | 02 |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 3107 | 82145 | SH | DEFINED | 01 | 03 |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 1660 | 43942 | SH | DEFINED | 01 | 10 |
| CLEAR CHANNEL COMMUNICATIONS | COM | 184502102 | 14 | 372 | SH | DEFINED | 01 | 15 |
| CLEARONE COMMUNICAT | COM | 185060100 | 5 | 1000 | SH | DEFINED | 01 | 15 |
| CLEARWIRE CORP-CLASS A | COM | 185385309 | 32861 | 1345155 | SH | DEFINED | 01 | 02 |
| CLEARWIRE CORP-CLASS A | COM | 185385309 | 6 | 250 | SH | DEFINED | 01 | 15 |
| CLEVELAND-CLIFFS INC | COM | 185896107 | 11284 | 145274 | SH | DEFINED | 01 | 02 |
| CLEVELAND-CLIFFS INC | COM | 185896107 | 793 | 10208 | SH | DEFINED | 01 | 15 |
| CLOROX COMPANY | COM | 189054109 | 2878 | 46346 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| CLOROX COMPANY | COM | 189054109 | 1560 | 25117 | SH | DEFINED | 01 | 03 |
| CLOROX COMPANY | COM | 189054109 | 626 | 10085 | SH | DEFINED | 01 | 15 |
| CLOUGH GLOBAL OPPORTUNIT | COM | 18914E601 | 175 | 7 | SH | DEFINED | 01 | 15 |
| COACH INC | COM | 189754104 | 40712 | 859093 | SH | DEFINED | 01 | 02 |
| COACH INC | COM | 189754104 | 5938 | 125294 | SH | DEFINED | 01 | 02 |
| COACH INC | COM | 189754104 | 3132 | 66084 | SH | DEFINED | 01 | 03 |
| COACH INC | COM | 189754104 | 90491 | 1912715 | SH | DEFINED | 01 | 10 |
| COACH INC | COM | 189754104 | 498 | 10507 | SH | DEFINED | 01 | 15 |
| COACHMEN INDUSTRIES INC | COM | 189873102 | 249 | 25801 | SH | DEFINED | 01 | 02 |
| COASTAL CAP TR I PFD | COM | 190428201 | 20 | 785 | SH | DEFINED | 01 | 15 |
| COATES INTL LTD | COM | 190643106 | 6 | 10000 | SH | DEFINED | 01 | 15 |
| COCA COLA AMATIL LTDSPONSORED | COM | 191085208 | 32 | 2000 | SH | DEFINED | 01 | 15 |
| COCA-COLA BOTTLING CO CONSOL | COM | 191098102 | 4547 | 90396 | SH | DEFINED | 01 | 02 |
| COCA-COLA CO/THE | COM | 191216100 | 158347 | 3027072 | SH | DEFINED | 01 | 02 |
| COCA-COLA CO/THE | COM | 191216100 | 17396 | 332550 | SH | DEFINED | 01 | 03 |
| COCA-COLA CO/THE | COM | 191216100 | 4937 | 94456 | SH | DEFINED | 01 | 10 |
| COCA-COLA CO/THE | COM | 191216100 | 9908 | 189414 | SH | DEFINED | 01 | 15 |
| COCA-COLA ENTERPRISES | COM | 191219104 | 8522 | 355106 | SH | DEFINED | 01 | 02 |
| COCA-COLA ENTERPRISES | COM | 191219104 | 1108 | 46164 | SH | DEFINED | 01 | 03 |
| COCA-COLA ENTERPRISES | COM | 191219104 | 58 | 2424 | SH | DEFINED | 01 | 15 |
| COCA-COLA FEMSA S.A.-SP ADR | COM | 191241108 | 432 | 9750 | SH | DEFINED | 01 | 02 |
| COCA-COLA FEMSA S.A.-SP ADR | COM | 191241108 | 24 | 542 | SH | DEFINED | 01 | 15 |
| COCA COLA HELLENIC BOTTLING C | COM | 1912EP104 | 88 | 1910 | SH | DEFINED | 01 | 15 |
| COEUR D`ARLENE MINES CORP | COM | 192108108 | 46814 | 13040170 | SH | DEFINED | 01 | 02 |
| COEUR D`ARLENE MINES CORP | COM | 192108108 | 180 | 50025 | SH | DEFINED | 01 | 15 |
| COGENT COMMUNICATIONS GROUP | COM | 19239V302 | 7450 | 249368 | SH | DEFINED | 01 | 02 |
| COGENT INC | COM | 19239Y108 | 3 | 200 | SH | DEFINED | 01 | 15 |
| COGNEX CORP | COM | 192422103 | 2201 | 97777 | SH | DEFINED | 01 | 02 |
| COGNEX CORP | COM | 192422103 | 261 | 11594 | SH | DEFINED | 01 | 15 |
| COGNIZANT TECH SOLUTION-A | COM | 192446102 | 20533 | 273444 | SH | DEFINED | 01 | 02 |
| COGNIZANT TECH SOLUTION-A | COM | 192446102 | 1825 | 24300 | SH | DEFINED | 01 | 03 |
| COGNIZANT TECH SOLUTION-A | COM | 192446102 | 338 | 4512 | SH | DEFINED | 01 | 15 |
| COGNOS INC ISIN #CA19 | COM | 19244C109 | 54 | 1365 | SH | DEFINED | 01 | 15 |
| COHERENT INC | COM | 192479103 | 5669 | 185796 | SH | DEFINED | 01 | 02 |
| COHEN & STEERS INC | COM | 19247A100 | 342 | 7879 | SH | DEFINED | 01 | 02 |
| COHEN & STEERS QUALITY IN | COM | 19247L106 | 74 | 3556 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS TOTALRETURN RLT | COM | 19247R103 | 250 | 14341 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS PREM INCOME RLT | COM | 19247V104 | 126 | 5699 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS ADVANTAGE | COM | 19247W102 | 48 | 2180 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS REIT & PFD INCO | COM | 19247X100 | 96 | 3546 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS REIT & UTIL INC | COM | 19247Y108 | 315 | 14806 | SH | DEFINED | 01 | 15 |
| COHESANT TECHNOLOGI | COM | 192480101 | 8 | 959 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS SELECT UTI | COM | 19248A109 | 10 | 381 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS DIVID MAJORS FD | COM | 19248G106 | 33 | 1630 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS WORLDWIDE | COM | 19248J100 | 384 | 16144 | SH | DEFINED | 01 | 15 |
| COHEN & STEERS CLOSED-END | COM | 19248P106 | 7 | 400 | SH | DEFINED | 01 | 15 |
| COHU INC | COM | 192576106 | 830 | 37311 | SH | DEFINED | 01 | 02 |
| COHU INC | COM | 192576106 | 6 | 250 | SH | DEFINED | 01 | 15 |
| COINSTAR INC | COM | 19259P300 | 2580 | 81919 | SH | DEFINED | 01 | 02 |
| COINSTAR INC | COM | 19259P300 | 521 | 16520 | SH | DEFINED | 01 | 02 |
| COINSTAR INC | COM | 19259P300 | 198 | 6290 | SH | DEFINED | 01 | 03 |
| COINMACH SERVICE CORP-IDS | COM | 19259W107 | 2643 | 133000 | SH | DEFINED | 01 | 02 |
| COINMACH SERVICE CORP-IDS | COM | 19259W107 | 9 | 450 | SH | DEFINED | 01 | 15 |
| COINMACH SERVICE-CLASS A | COM | 19259W206 | 2992 | 226180 | SH | DEFINED | 01 | 02 |
| COLDWATER CREEK INC | COM | 193068103 | 9681 | 416776 | SH | DEFINED | 01 | 02 |
| COLDWATER CREEK INC | COM | 193068103 | 820 | 35264 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|--------|---------|----|---------|----|----|
| COLDWATER CREEK INC | COM | 193068103 | 121 | 5230 | SH | DEFINED | 01 | 15 |
| COLEY PHARMACEUTICAL GROUP | COM | 19388P106 | 273 | 75512 | SH | DEFINED | 01 | 10 |
| COLGATE-PALMOLIVE CO | COM | 194162103 | 120959 | 1865237 | SH | DEFINED | 01 | 02 |
| COLGATE-PALMOLIVE CO | COM | 194162103 | 5489 | 84638 | SH | DEFINED | 01 | 03 |
| COLGATE-PALMOLIVE CO | COM | 194162103 | 7985 | 123206 | SH | DEFINED | 01 | 10 |
| COLGATE-PALMOLIVE CO | COM | 194162103 | 13496 | 208114 | SH | DEFINED | 01 | 15 |
| COLLAGENEX PHARMACEUTICALS | COM | 19419B100 | 232 | 18701 | SH | DEFINED | 01 | 10 |
| COLLECTIBLE CONCEPTS GROUP INC | COM | 19419D205 | 0 | 5000000 | SH | DEFINED | 01 | 15 |
| COLONIAL BANCGROUP INC/THE | COM | 195493309 | 1 | 25 | SH | DEFINED | 01 | 02 |
| COLONIAL BANCGROUP INC/THE | COM | 195493309 | 50 | 2017 | SH | DEFINED | 01 | 15 |
| COLONIAL INTERMARKETINCOME TRU | COM | 195762109 | 45 | 5400 | SH | DEFINED | 01 | 15 |
| COLONIAL INTER HIGH INCOME FD | COM | 195763107 | 199 | 57971 | SH | DEFINED | 01 | 15 |
| COLONIAL PROPERTIES TRUST | COM | 195872106 | 2155 | 59147 | SH | DEFINED | 01 | 02 |
| COLOR KINETICS INC | COM | 19624P100 | 604 | 18087 | SH | DEFINED | 01 | 02 |
| COLOR KINETICS INC | COM | 19624P100 | 53 | 1600 | SH | DEFINED | 01 | 15 |
| COLUMBIA BANCORP OR | COM | 197231103 | 8 | 362 | SH | DEFINED | 01 | 15 |
| COLUMBIA LABORATORI | COM | 197779101 | 5 | 2000 | SH | DEFINED | 01 | 15 |
| COLUMBIA SPORTSWEAR CO | COM | 198516106 | 2227 | 32428 | SH | DEFINED | 01 | 02 |
| COLUMBIA SPORTSWEAR CO | COM | 198516106 | 708 | 10310 | SH | DEFINED | 01 | 02 |
| COLUMBIA SPORTSWEAR CO | COM | 198516106 | 234 | 3400 | SH | DEFINED | 01 | 03 |
| COLUMBIA SPORTSWEAR CO | COM | 198516106 | 71 | 1034 | SH | DEFINED | 01 | 15 |
| COLUMBUS MCKINNON CORP/NY | COM | 199333105 | 1897 | 58904 | SH | DEFINED | 01 | 02 |
| COLUMBUS MCKINNON CORP/NY | COM | 199333105 | 234 | 7267 | SH | DEFINED | 01 | 15 |
| COMFORT SYSTEMS USA INC | COM | 199908104 | 2409 | 169800 | SH | DEFINED | 01 | 02 |
| COMFORT SYSTEMS USA INC | COM | 199908104 | 513 | 36110 | SH | DEFINED | 01 | 02 |
| COMFORT SYSTEMS USA INC | COM | 199908104 | 182 | 12800 | SH | DEFINED | 01 | 03 |
| COMCAST CORPORATION | CONV PRF | 200300606 | 4229 | 95000 | SH | DEFINED | 01 | 02 |
| COMCAST CORP-CL A | COM | 20030N101 | 270252 | 9610638 | SH | DEFINED | 01 | 02 |
| COMCAST CORP-CL A | COM | 20030N101 | 10252 | 364595 | SH | DEFINED | 01 | 02 |
| COMCAST CORP-CL A | COM | 20030N101 | 15289 | 543723 | SH | DEFINED | 01 | 03 |
| COMCAST CORP-CL A | COM | 20030N101 | 12310 | 438233 | SH | DEFINED | 01 | 10 |
| COMCAST CORP-CL A | COM | 20030N101 | 3180 | 113079 | SH | DEFINED | 01 | 15 |
| COMCAST CORP SPECIAL CLA SPL | COM | 20030N200 | 76507 | 2736313 | SH | DEFINED | 01 | 02 |
| COMCAST CORP SPECIAL CLA SPL | COM | 20030N200 | 497 | 17783 | SH | DEFINED | 01 | 15 |
| COMDISCO HLDG CO INCCONTINGENT | COM | 200334118 | 0 | 425 | SH | DEFINED | 01 | 15 |
| COMERICA INC | COM | 200340107 | 53133 | 893437 | SH | DEFINED | 01 | 02 |
| COMERICA INC | COM | 200340107 | 1535 | 25803 | SH | DEFINED | 01 | 03 |
| COMERICA INC | COM | 200340107 | 360 | 6059 | SH | DEFINED | 01 | 15 |
| COMMERCE BANCORP INC/NJ | COM | 200519106 | 14181 | 383424 | SH | DEFINED | 01 | 02 |
| COMMERCE BANCORP INC/NJ | COM | 200519106 | 1171 | 31670 | SH | DEFINED | 01 | 03 |
| COMMERCE BANCORP INC/NJ | COM | 200519106 | 637 | 17213 | SH | DEFINED | 01 | 15 |
| COMMERCE BANCSHARES INC | COM | 200525103 | 377 | 8337 | SH | DEFINED | 01 | 02 |
| COMMERCE BANCSHARES INC | COM | 200525103 | 5 | 113 | SH | DEFINED | 01 | 15 |
| COMMERCE GROUP INC | COM | 200641108 | 8711 | 250873 | SH | DEFINED | 01 | 02 |
| COMMERCE GROUP INC | COM | 200641108 | 1262 | 36310 | SH | DEFINED | 01 | 02 |
| COMMERCE GROUP INC | COM | 200641108 | 420 | 12090 | SH | DEFINED | 01 | 03 |
| COMMERCE GROUP INC | COM | 200641108 | 7 | 200 | SH | DEFINED | 01 | 15 |
| COMMERCE ONE INC DELCOM NEW | COM | 200693208 | 0 | 318 | SH | DEFINED | 01 | 15 |
| COMMERCE RES CORP | COM | 200697100 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| COMMERCIAL METALS CO | COM | 201723103 | 2919 | 86482 | SH | DEFINED | 01 | 02 |
| COMMERCIAL METALS CO | COM | 201723103 | 220 | 6522 | SH | DEFINED | 01 | 15 |
| COMMERZBANK A G SPONSORED | COM | 202597308 | 114 | 2388 | SH | DEFINED | 01 | 15 |
| COMMODORE ENVIRONMTLSVCS INC | COM | 202656104 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| COMMODORE INTL LTD NO 100HLDR | COM | 202660106 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| COMMONWEALTH EDISON CO LEGENDE | COM | 202795720 | 0 | 5 | SH | DEFINED | 01 | 15 |
| COMMSCOPE INC | COM | 203372107 | 8668 | 148550 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| COMMSCOPE INC | COM | 203372107 | 139 | 2389 | SH | DEFINED | 01 | 15 |
| COMMUNICATIONS INTELLIGEN | COM | 20338K106 | 2 | 12450 | SH | DEFINED | 01 | 15 |
| COMMUNITY BANCORP | COM | 20343T100 | 1597 | 57130 | SH | DEFINED | 01 | 02 |
| COMMUNITY BANCORP | COM | 20343T100 | 1085 | 38820 | SH | DEFINED | 01 | 02 |
| COMMUNITY BANCORP | COM | 20343T100 | 381 | 13600 | SH | DEFINED | 01 | 03 |
| COMMUNITY BANK SYSTEM INC | COM | 203607106 | 4922 | 245864 | SH | DEFINED | 01 | 02 |
| COMMUNITY BANK SYSTEM INC | COM | 203607106 | 656 | 32820 | SH | DEFINED | 01 | 02 |
| COMMUNITY BANK SYSTEM INC | COM | 203607106 | 240 | 11990 | SH | DEFINED | 01 | 03 |
| COMMUNITY BANK SYSTEM INC | COM | 203607106 | 311 | 15544 | SH | DEFINED | 01 | 15 |
| COMMUNITY BKS INC MILLERSBUR | COM | 203628102 | 202 | 6272 | SH | DEFINED | 01 | 15 |
| COMMUNITY HEALTH SYSTEMS INC | COM | 203668108 | 1334 | 32970 | SH | DEFINED | 01 | 02 |
| COMMUNITY HEALTH SYSTEMS INC | COM | 203668108 | 7 | 175 | SH | DEFINED | 01 | 15 |
| COMMUNITY TRUST BANCORP INC | COM | 204149108 | 299 | 9274 | SH | DEFINED | 01 | 02 |
| COMPAGNIE GENERALE DE GEOPHYS | COM | 204386106 | 20 | 401 | SH | DEFINED | 01 | 15 |
| CSN CIA SIDERURGICA NACL - SP | COM | 20440W105 | 16275 | 315535 | SH | DEFINED | 01 | 10 |
| CSN CIA SIDERURGICA NACL - SP | COM | 20440W105 | 632 | 12211 | SH | DEFINED | 01 | 15 |
| CIA VALE DO RIO DOCE-SP ADR | COM | 204412100 | 4179 | 110858 | SH | DEFINED | 01 | 02 |
| CIA VALE DO RIO DOCE-SP ADR | COM | 204412100 | 251286 | 6683120 | SH | DEFINED | 01 | 10 |
| CIA VALE DO RIO DOCE-SP ADR | COM | 204412100 | 272 | 7217 | SH | DEFINED | 01 | 15 |
| CIA VALE DO RIO DOCE-ADR | COM | 204412209 | 1821 | 40882 | SH | DEFINED | 01 | 02 |
| CIA VALE DO RIO DOCE-ADR | COM | 204412209 | 41905 | 940600 | SH | DEFINED | 01 | 02 |
| CIA VALE DO RIO DOCE-ADR | COM | 204412209 | 92872 | 2087950 | SH | DEFINED | 01 | 10 |
| CIA VALE DO RIO DOCE-ADR | COM | 204412209 | 358 | 8039 | SH | DEFINED | 01 | 15 |
| CIA PARANAENSE DE ENERGIA SP | COM | 20441B407 | 1 | 50 | SH | DEFINED | 01 | 15 |
| AMBEV CIA DE BEBID SPON ADR RE | COM | 20441W203 | 63009 | 901289 | SH | DEFINED | 01 | 10 |
| AMBEV CIA DE BEBID SPON ADR RE | COM | 20441W203 | 211 | 3011 | SH | DEFINED | 01 | 15 |
| CIA DE MINAS BUENAVENTUR-ADR | COM | 204448104 | 67 | 1790 | SH | DEFINED | 01 | 02 |
| CIA DE MINAS BUENAVENTUR-ADR | COM | 204448104 | 5988 | 160000 | SH | DEFINED | 01 | 10 |
| CIA DE MINAS BUENAVENTUR-ADR | COM | 204448104 | 13 | 350 | SH | DEFINED | 01 | 15 |
| COMPANIA DE TELECOMUNI | COM | 204449300 | 8 | 877 | SH | DEFINED | 01 | 15 |
| COMPARATOR SYS CORP COM NEW | COM | 204494207 | 0 | 16300 | SH | DEFINED | 01 | 15 |
| COMPASS BANCSHARES INC | COM | 20449H109 | 2745 | 39801 | SH | DEFINED | 01 | 02 |
| COMPASS BANCSHARES INC | COM | 20449H109 | 1504 | 21800 | SH | DEFINED | 01 | 03 |
| COMPASS BANCSHARES INC | COM | 20449H109 | 137 | 1980 | SH | DEFINED | 01 | 15 |
| COMPASS CAPITAL III 7.35% 04/0 | COM | 20450A209 | 3 | 133 | SH | DEFINED | 01 | 15 |
| COMPASS MINERALS INTERNATION | COM | 20451N101 | 18825 | 543156 | SH | DEFINED | 01 | 02 |
| COMPASS MINERALS INTERNATION | COM | 20451N101 | 62 | 1778 | SH | DEFINED | 01 | 15 |
| COMPASS DIVERSIFIED TRUST | COM | 20451Q104 | 1680 | 94241 | SH | DEFINED | 01 | 02 |
| COMPLETE PRODUCTION SERVICES | COM | 20453E109 | 237 | 9176 | SH | DEFINED | 01 | 02 |
| COMPLETE PRODUCTION SERVICES | COM | 20453E109 | 57 | 2190 | SH | DEFINED | 01 | 15 |
| COMPUCREDIT CORP | COM | 20478N100 | 2703 | 77125 | SH | DEFINED | 01 | 02 |
| COMPUCREDIT CORP | COM | 20478N100 | 632 | 18020 | SH | DEFINED | 01 | 02 |
| COMPUCREDIT CORP | COM | 20478N100 | 224 | 6400 | SH | DEFINED | 01 | 03 |
| COMPUCREDIT CORP | COM | 20478N100 | 300 | 8555 | SH | DEFINED | 01 | 15 |
| COMPTON PETE CORP ISIN #CA20 | COM | 204940100 | 94 | 9463 | SH | DEFINED | 01 | 15 |
| COMPUTER PROGRAMS AND SYSTEM | COM | 205306103 | 9 | 285 | SH | DEFINED | 01 | 15 |
| COMPUTER SCIENCES CORP | COM | 205363104 | 76668 | 1296180 | SH | DEFINED | 01 | 02 |
| COMPUTER SCIENCES CORP | COM | 205363104 | 1696 | 28665 | SH | DEFINED | 01 | 03 |
| COMPUTER SCIENCES CORP | COM | 205363104 | 167 | 2827 | SH | DEFINED | 01 | 15 |
| COMPUTER SERVICES INC | COM | 20539A105 | 363 | 10740 | SH | DEFINED | 01 | 02 |
| COMPUTERIZED THERMALIMAGING IN | COM | 20557C108 | 1 | 25200 | SH | DEFINED | 01 | 15 |
| COMPUWARE CORP | COM | 205638109 | 8842 | 745719 | SH | DEFINED | 01 | 02 |
| COMPUWARE CORP | COM | 205638109 | 591 | 49833 | SH | DEFINED | 01 | 03 |
| COMPUWARE CORP | COM | 205638109 | 21 | 1729 | SH | DEFINED | 01 | 15 |
| COMSCORE INC | COM | 20564W105 | 44 | 1900 | SH | DEFINED | 01 | 02 |
| COMSTOCK RESOURCES INC | COM | 205768203 | 3656 | 122000 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|----------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| COMSYS IT PARTNERS INC | COM | 20581E104 | 573 | 25116 | SH | DEFINED | 01 | 02 |
| COMTECH GROUP INC | COM | 205821200 | 422 | 25530 | SH | DEFINED | 01 | 02 |
| COMTECH GROUP INC | COM | 205821200 | 3 | 200 | SH | DEFINED | 01 | 15 |
| COMTECH TELECOMMUNICATIONS | COM | 205826209 | 6061 | 130571 | SH | DEFINED | 01 | 02 |
| COMTECH TELECOMMUNICATIONS | COM | 205826209 | 253 | 5449 | SH | DEFINED | 01 | 15 |
| COMVERSE TECH INC COM USD0.10 | COM | 205862402 | 34958 | 1676607 | SH | DEFINED | 01 | 10 |
| COMVERSE TECH INC COM USD0.10 | COM | 205862402 | 2 | 102 | SH | DEFINED | 01 | 15 |
| CONAGRA FOODS INC | COM | 205887102 | 21387 | 796223 | SH | DEFINED | 01 | 02 |
| CONAGRA FOODS INC | COM | 205887102 | 2214 | 82422 | SH | DEFINED | 01 | 03 |
| CONAGRA FOODS INC | COM | 205887102 | 500 | 18620 | SH | DEFINED | 01 | 15 |
| COM 21 INC NO 100HOLD | COM | 205937105 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| CON-WAY INC | COM | 205944101 | 1929 | 38375 | SH | DEFINED | 01 | 02 |
| CON-WAY INC | COM | 205944101 | 21 | 415 | SH | DEFINED | 01 | 15 |
| CONCEPTUS INC | COM | 206016107 | 471 | 24344 | SH | DEFINED | 01 | 02 |
| CONCEPTUS INC | COM | 206016107 | 14 | 700 | SH | DEFINED | 01 | 15 |
| CONCUR TECHNOLOGIES INC | COM | 206708109 | 1700 | 74412 | SH | DEFINED | 01 | 02 |
| CONEXANT SYS INC | COM | 207142100 | 21 | 15212 | SH | DEFINED | 01 | 15 |
| CONMED CORP | COM | 207410101 | 3025 | 103313 | SH | DEFINED | 01 | 02 |
| CONMED CORP | COM | 207410101 | 836 | 28560 | SH | DEFINED | 01 | 02 |
| CONMED CORP | COM | 207410101 | 293 | 10000 | SH | DEFINED | 01 | 03 |
| CONNECTICUT LT & PWR CO PFD \$2. | COM | 207597600 | 1 | 20 | SH | DEFINED | 01 | 15 |
| CONNECTICUT LT & PWR CO \$ 2.09 | COM | 207597626 | 6 | 150 | SH | DEFINED | 01 | 15 |
| CONNECTICUT LT & PWR CO PFD 3. | COM | 207597832 | 7 | 200 | SH | DEFINED | 01 | 15 |
| CONNECTICUT WTR SVC INC | COM | 207797101 | 40 | 1624 | SH | DEFINED | 01 | 15 |
| CONNECTICUT WTR SVC INC PF | COM | 207797200 | 0 | 25 | SH | DEFINED | 01 | 15 |
| CONNECTIVITY & TECHNOLOGI | COM | 207865106 | 0 | 81 | SH | DEFINED | 01 | 15 |
| CONN'S INC | COM | 208242107 | 399 | 13966 | SH | DEFINED | 01 | 02 |
| CONOCOPHILLIPS | COM | 20825C104 | 506907 | 6457443 | SH | DEFINED | 01 | 02 |
| CONOCOPHILLIPS | COM | 20825C104 | 22585 | 287709 | SH | DEFINED | 01 | 03 |
| CONOCOPHILLIPS | COM | 20825C104 | 31524 | 401873 | SH | DEFINED | 01 | 10 |
| CONOCOPHILLIPS | COM | 20825C104 | 7618 | 97042 | SH | DEFINED | 01 | 15 |
| CONQUEST INDUSTRIES CORP NEW | COM | 208286500 | 0 | 100 | SH | DEFINED | 01 | 15 |
| CONSECO INC WTS EXP 09 | COM | 208464123 | 0 | 136 | SH | DEFINED | 01 | 15 |
| CONSECO INC | COM | 208464883 | 1067 | 51036 | SH | DEFINED | 01 | 02 |
| CONSECO INC | COM | 208464883 | 1 | 27 | SH | DEFINED | 01 | 15 |
| ESC CONSECO FING TR 2ND DISTR | COM | 20846D990 | 0 | 400 | SH | DEFINED | 01 | 15 |
| ESC CONSECO FING TR IV 9.0% | COM | 20846M907 | 0 | 1800 | SH | DEFINED | 01 | 15 |
| ESC CONSECO FING TR V 8.70% TO | COM | 20847D906 | 0 | 967 | SH | DEFINED | 01 | 15 |
| CONSOL ENERGY INC | COM | 20854P109 | 6115 | 132655 | SH | DEFINED | 01 | 02 |
| CONSOL ENERGY INC | COM | 20854P109 | 1390 | 30135 | SH | DEFINED | 01 | 03 |
| CONSOL ENERGY INC | COM | 20854P109 | 221 | 4802 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED BAHN FOODS INC | COM | 208689109 | 0 | 50 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED COMMUNICAT | COM | 209034107 | 383 | 16960 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED EDISON INC | COM | 209115104 | 33455 | 741421 | SH | DEFINED | 01 | 02 |
| CONSOLIDATED EDISON INC | COM | 209115104 | 2020 | 44769 | SH | DEFINED | 01 | 03 |
| CONSOLIDATED EDISON INC | COM | 209115104 | 999 | 22132 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED GRAPHICS INC | COM | 209341106 | 8027 | 115864 | SH | DEFINED | 01 | 02 |
| CONSOLIDATED GRAPHICS INC | COM | 209341106 | 757 | 10920 | SH | DEFINED | 01 | 02 |
| CONSOLIDATED GRAPHICS INC | COM | 209341106 | 233 | 3370 | SH | DEFINED | 01 | 03 |
| CONSOLIDATED MERCANTILE | COM | 20950N107 | 0 | 131 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED MERCANTILE | COM | 20950N206 | 0 | 75 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED TOMOKA LD CO | COM | 210226106 | 6 | 86 | SH | DEFINED | 01 | 15 |
| CONSTELLATION BRANDS | COM | 21036P108 | 7678 | 316197 | SH | DEFINED | 01 | 02 |
| CONSTELLATION BRANDS | COM | 21036P108 | 776 | 31970 | SH | DEFINED | 01 | 03 |
| CONSTELLATION BRANDS | COM | 21036P108 | 248 | 10226 | SH | DEFINED | 01 | 15 |
| CONSTELLATION ENERGY GROUP INC | COM | 210371100 | 20011 | 229582 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| CONSTELLATION ENERGY GROUP INC | COM | 210371100 | 2607 | 29903 | SH | DEFINED | 01 | 03 |
| CONSTELLATION ENERGY GROUP INC | COM | 210371100 | 334 | 3830 | SH | DEFINED | 01 | 15 |
| CONSTELLATION 3D INCNO 100HOLD | COM | 210379103 | 0 | 200 | SH | DEFINED | 01 | 15 |
| CONSUMER PORTFOLIO SVCS INC | COM | 210502100 | 25 | 4000 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| CONTAX PARTICIPACOESS A SPONSO | COM | 21076X102 | 1 | 743 | SH | DEFINED | 01 | 15 |
| CONTINENTAL AG SPONSORED | COM | 210771200 | 269 | 1914 | SH | DEFINED | 01 | 15 |
| CONTINENTAL AIRLINES-CLASS B | COM | 210795308 | 31760 | 937709 | SH | DEFINED | 01 | 02 |
| CONTINENTAL AIRLINES-CLASS B | COM | 210795308 | 145 | 4287 | SH | DEFINED | 01 | 03 |
| CONTINENTAL AIRLINES-CLASS B | COM | 210795308 | 17 | 500 | SH | DEFINED | 01 | 15 |
| CONTINENTAL AIRLINESHLDGS INC | COM | 210802104 | 0 | 300 | SH | DEFINED | 01 | 15 |
| CONTINENTAL MINERALS CORP NEW | COM | 211653100 | 12 | 7800 | SH | DEFINED | 01 | 15 |
| CONVERGYS CORP | COM | 212485106 | 1780 | 73406 | SH | DEFINED | 01 | 02 |
| CONVERGYS CORP | COM | 212485106 | 549 | 22658 | SH | DEFINED | 01 | 03 |
| CONVERGYS CORP | COM | 212485106 | 34 | 1400 | SH | DEFINED | 01 | 15 |
| CONVERIUM FIN S A GTD SUB NT | COM | 21248Y202 | 50 | 2000 | SH | DEFINED | 01 | 15 |
| THE COOPER COS INC | COM | 216648402 | 9181 | 172175 | SH | DEFINED | 01 | 02 |
| THE COOPER COS INC | COM | 216648402 | 11 | 200 | SH | DEFINED | 01 | 15 |
| COOPER TIRE & RUBBER | COM | 216831107 | 11380 | 412035 | SH | DEFINED | 01 | 02 |
| COOPER TIRE & RUBBER | COM | 216831107 | 1229 | 44490 | SH | DEFINED | 01 | 02 |
| COOPER TIRE & RUBBER | COM | 216831107 | 436 | 15800 | SH | DEFINED | 01 | 03 |
| CORE-MARK HOLDING CO INC | COM | 218681104 | 2670 | 74205 | SH | DEFINED | 01 | 02 |
| CORE-MARK HOLDING CO INC | COM | 218681104 | 699 | 19440 | SH | DEFINED | 01 | 02 |
| CORE-MARK HOLDING CO INC | COM | 218681104 | 263 | 7300 | SH | DEFINED | 01 | 03 |
| CORE-MARK HOLDING CO INC | COM | 218681104 | 10 | 273 | SH | DEFINED | 01 | 15 |
| CORINTHIAN COLLEGES INC | COM | 218868107 | 4854 | 298000 | SH | DEFINED | 01 | 02 |
| CORECARE SYSTEMS INC | COM | 218908101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| CORN PRODUCTS INTL INC | COM | 219023108 | 6613 | 145494 | SH | DEFINED | 01 | 02 |
| CORN PRODUCTS INTL INC | COM | 219023108 | 2 | 52 | SH | DEFINED | 01 | 15 |
| CORNERSTONE STRATEGIC | COM | 21924B104 | 218 | 23698 | SH | DEFINED | 01 | 15 |
| CORNERSTONE TOTAL RETURN FD | COM | 21924U102 | 358 | 18996 | SH | DEFINED | 01 | 15 |
| CORNING INC | COM | 219350105 | 6879 | 269244 | SH | DEFINED | 01 | 02 |
| CORNING INC | COM | 219350105 | 6650 | 260270 | SH | DEFINED | 01 | 03 |
| CORNING INC | COM | 219350105 | 2645 | 103609 | SH | DEFINED | 01 | 10 |
| CORNING INC | COM | 219350105 | 1860 | 72804 | SH | DEFINED | 01 | 15 |
| CORPORACION DURANGO SA DE CV S | COM | 21986M105 | 0 | 150 | SH | DEFINED | 01 | 15 |
| CORP BACKED TR CTFS 2003 10 CN | COM | 21988G122 | 15 | 600 | SH | DEFINED | 01 | 15 |
| CORP-BACKED TRUST CERTS PFD | COM | 21988G239 | 7 | 300 | SH | DEFINED | 01 | 15 |
| CORPORATE BACKED TR CTFS 2003 | COM | 21988G254 | 96 | 4000 | SH | DEFINED | 01 | 15 |
| CORPORATE BACKED TR CTFS REP N | COM | 21988G296 | 96 | 4000 | SH | DEFINED | 01 | 15 |
| CORPORATE BACKED TR CTFS SER 2 | COM | 21988G353 | 23 | 1000 | SH | DEFINED | 01 | 15 |
| CORP BACKED TR CTFS VERIZON GL | COM | 21988G361 | 45 | 1789 | SH | DEFINED | 01 | 15 |
| LEHMAN ABS CORP 7.75KINDER MOR | COM | 21988G460 | 5 | 500 | SH | DEFINED | 01 | 15 |
| CORP BACKED TRUST CTFS SER F | COM | 21988G551 | 5 | 300 | SH | DEFINED | 01 | 15 |
| CORPORATE BACKED TR CTFS 2003 | COM | 21988K305 | 12 | 500 | SH | DEFINED | 01 | 15 |
| CORP-BKD TRUST CERTS7.125% 11/ | COM | 21988K503 | 30 | 1200 | SH | DEFINED | 01 | 15 |
| CORPORATE BACKED CALL TR CF | COM | 21988T207 | 49 | 1999 | SH | DEFINED | 01 | 15 |
| CORPORATE OFFICE PROPERTIES | COM | 22002T108 | 2811 | 68551 | SH | DEFINED | 01 | 02 |
| CORRECTIONS CORP | COM | 22025Y407 | 4989 | 79059 | SH | DEFINED | 01 | 02 |
| CORRECTIONS CORP | COM | 22025Y407 | 48932 | 775448 | SH | DEFINED | 01 | 10 |
| CORRECTIONS CORP | COM | 22025Y407 | 37 | 582 | SH | DEFINED | 01 | 15 |
| CORRIDOR COMMUNICAT | COM | 220261101 | 0 | 800 | SH | DEFINED | 01 | 15 |
| CORTELCO SYS PUERTO RICO INC I | COM | 22051P106 | 0 | 5 | SH | DEFINED | 01 | 15 |
| CORTEX PHARMACEUT | COM | 220524300 | 53 | 20000 | SH | DEFINED | 01 | 15 |
| CORTLAND BANCORP | COM | 220587109 | 67 | 3798 | SH | DEFINED | 01 | 15 |
| CORTS TRUST FOR JCP 7.625% 03/ | COM | 220803100 | 9 | 360 | SH | DEFINED | 01 | 15 |
| CORTS-FORD 7.40% PFD | COM | 22080Q208 | 15 | 800 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CORTS TR BELLSOUTH CAP FDG DE | COM | 22081F201 | 5 | 200 | SH | DEFINED | 01 | 15 |
| CORTS TR AFC CAP TR I CORP BKD | COM | 22081N204 | 20 | 800 | SH | DEFINED | 01 | 15 |
| CORP TR III PROVIDENT | COM | 22081P209 | 51 | 2000 | SH | DEFINED | 01 | 15 |
| CORTS TR II SHERWIN WI | COM | 22081U208 | 13 | 500 | SH | DEFINED | 01 | 15 |
| CORTS TR BRISTOL MEYERS SQU | COM | 22082B209 | 51 | 2000 | SH | DEFINED | 01 | 15 |
| CORTS TR III AON CAP A | COM | 22082C207 | 50 | 2000 | SH | DEFINED | 01 | 15 |
| CORTS TR VERIZON GLOBAL PFD | COM | 22082F200 | 25 | 1000 | SH | DEFINED | 01 | 15 |
| CORTS TR IV IBM DEBS7% PFD | COM | 22082G208 | 25 | 1000 | SH | DEFINED | 01 | 15 |
| CORTS TR II FORD NTSCORP BKD T | COM | 22082K209 | 8 | 400 | SH | DEFINED | 01 | 15 |
| CORTS TR II VERIZON GLOBAL FDG | COM | 22082L207 | 116 | 5000 | SH | DEFINED | 01 | 15 |
| CORTS TR GEN ELEC CAP CORP N | COM | 22082X201 | 2 | 100 | SH | DEFINED | 01 | 15 |
| CORTS TR IV AON CAP A CORP BKF | COM | 22082Y209 | 86 | 3453 | SH | DEFINED | 01 | 15 |
| CORTS TR GOLDMAN SACHS CAP | COM | 22083B208 | 45 | 2000 | SH | DEFINED | 01 | 15 |
| CORUS BANKSHARES INC | COM | 220873103 | 4671 | 270697 | SH | DEFINED | 01 | 02 |
| CORUS BANKSHARES INC | COM | 220873103 | 129 | 7492 | SH | DEFINED | 01 | 15 |
| CORUS ENTMT INC CL B NON V | COM | 220874101 | 173 | 3696 | SH | DEFINED | 01 | 15 |
| CORTS TR DOW CHEM COCORP BKD T | COM | 220905202 | 15 | 600 | SH | DEFINED | 01 | 15 |
| CORVEL CORP | COM | 221006109 | 588 | 22498 | SH | DEFINED | 01 | 02 |
| COST PLUS INC/CALIFORNIA | COM | 221485105 | 318 | 37488 | SH | DEFINED | 01 | 02 |
| COST PLUS INC/CALIFORNIA | COM | 221485105 | 0 | 12 | SH | DEFINED | 01 | 15 |
| COSTCO WHOLESALE CORP | COM | 22160K105 | 82787 | 1414715 | SH | DEFINED | 01 | 02 |
| COSTCO WHOLESALE CORP | COM | 22160K105 | 4376 | 74777 | SH | DEFINED | 01 | 03 |
| COSTCO WHOLESALE CORP | COM | 22160K105 | 2860 | 48872 | SH | DEFINED | 01 | 15 |
| COSTAR GROUP INC | COM | 22160N109 | 283 | 5354 | SH | DEFINED | 01 | 03 |
| COSTAR GROUP INC | COM | 22160N109 | 5 | 87 | SH | DEFINED | 01 | 15 |
| COUGAR BIOTECH | COM | 222083107 | 233 | 9879 | SH | DEFINED | 01 | 02 |
| COUNTRY STAR RESTAURANT | COM | 222361404 | 0 | 1 | SH | DEFINED | 01 | 15 |
| COUNTRYWIDE FINANCIAL CORP | COM | 222372104 | 125943 | 3464714 | SH | DEFINED | 01 | 02 |
| COUNTRYWIDE FINANCIAL CORP | COM | 222372104 | 3569 | 98198 | SH | DEFINED | 01 | 03 |
| COUNTRYWIDE FINANCIAL CORP | COM | 222372104 | 143 | 3925 | SH | DEFINED | 01 | 15 |
| COUNTRYWIDE CAP IV GTD TR PFD | COM | 22238E206 | 146 | 6225 | SH | DEFINED | 01 | 15 |
| COURIER CORP | COM | 222660102 | 2215 | 55360 | SH | DEFINED | 01 | 02 |
| COURIER CORP | COM | 222660102 | 716 | 17900 | SH | DEFINED | 01 | 02 |
| COURIER CORP | COM | 222660102 | 256 | 6400 | SH | DEFINED | 01 | 03 |
| COURIER CORP | COM | 222660102 | 8 | 210 | SH | DEFINED | 01 | 15 |
| COUSINS PROPERTIES INC | COM | 222795106 | 2060 | 71000 | SH | DEFINED | 01 | 02 |
| COVAD COMMUNICATIONSGROUP INC | COM | 222814204 | 15 | 16409 | SH | DEFINED | 01 | 15 |
| COVANCE INC | COM | 222816100 | 58 | 840 | SH | DEFINED | 01 | 15 |
| COVANTA ENERGY CORP NO 100HOLD | COM | 22281N103 | 0 | 200 | SH | DEFINED | 01 | 15 |
| COVANTA ENERGY CORP PFD | COM | 22281N202 | 0 | 33 | SH | DEFINED | 01 | 15 |
| COVANSYS CORPORATION | COM | 22281W103 | 41 | 1216 | SH | DEFINED | 01 | 02 |
| COVANTA HOLDING CORP | COM | 22282E102 | 6646 | 269600 | SH | DEFINED | 01 | 02 |
| COVANTA HOLDING CORP | COM | 22282E102 | 20361 | 826000 | SH | DEFINED | 01 | 10 |
| COVANTA HOLDING CORP | COM | 22282E102 | 84 | 3413 | SH | DEFINED | 01 | 15 |
| COVENANT TRANSPORTATION GROUP | COM | 22284P105 | 2342 | 205400 | SH | DEFINED | 01 | 02 |
| COVENTRY HEALTH CARE INC | COM | 222862104 | 2749 | 47689 | SH | DEFINED | 01 | 02 |
| COVENTRY HEALTH CARE INC | COM | 222862104 | 1492 | 25875 | SH | DEFINED | 01 | 03 |
| COVENTRY HEALTH CARE INC | COM | 222862104 | 99 | 1725 | SH | DEFINED | 01 | 15 |
| COWEN GROUP INC | COM | 223621103 | 1193 | 66590 | SH | DEFINED | 01 | 02 |
| COWEN GROUP INC | COM | 223621103 | 783 | 43730 | SH | DEFINED | 01 | 02 |
| COWEN GROUP INC | COM | 223621103 | 258 | 14400 | SH | DEFINED | 01 | 03 |
| CRAIG CONSUMER ELECTRONIC | COM | 224173104 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| CRANE CO | COM | 224399105 | 133 | 2920 | SH | DEFINED | 01 | 02 |
| CRANE CO | COM | 224399105 | 255 | 5608 | SH | DEFINED | 01 | 15 |
| CREDIT SUISSE INCOMEFD | COM | 224916106 | 3 | 710 | SH | DEFINED | 01 | 15 |
| CREATIVE PROGRAMMING& TECH VEN | COM | 22528Q109 | 0 | 5000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|----------|----|---------|----|----|
| CREDENCE SYS CORP | COM | 225302108 | 1 | 240 | SH | DEFINED | 01 | 15 |
| CREDIT DEPOT CORP | COM | 225324409 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| CREDIT SUISSE GRP SPON ADR I | COM | 225401108 | 406 | 5717 | SH | DEFINED | 01 | 15 |
| CREE INC | COM | 225447101 | 394 | 15241 | SH | DEFINED | 01 | 15 |
| CRESUD S.A. SPON ADRISIN #US22 | COM | 226406106 | 2 | 100 | SH | DEFINED | 01 | 15 |
| CRITICAL THERAPEUTI | COM | 22674T105 | 1 | 300 | SH | DEFINED | 01 | 15 |
| CRITICARE SYS CORP | COM | 226901106 | 0 | 40 | SH | DEFINED | 01 | 15 |
| CROCS INC | COM | 227046109 | 7429 | 172660 | SH | DEFINED | 01 | 02 |
| CROCS INC | COM | 227046109 | 83424 | 1939187 | SH | DEFINED | 01 | 10 |
| CROCS INC | COM | 227046109 | 33 | 763 | SH | DEFINED | 01 | 15 |
| CROGHAN BANCSHARES INC | COM | 227072105 | 4 | 100 | SH | DEFINED | 01 | 15 |
| CROSS COUNTRY HEALTHCARE INC | COM | 227483104 | 599 | 35885 | SH | DEFINED | 01 | 02 |
| CROSS TIMBERS ROYALTY TR | COM | 22757R109 | 126 | 2952 | SH | DEFINED | 01 | 15 |
| CROSSHAIR EXPL & MNG CORP I | COM | 22763R101 | 0 | 100 | SH | DEFINED | 01 | 15 |
| CROSSLAND INDS CORP | COM | 22764G104 | 0 | 133 | SH | DEFINED | 01 | 15 |
| CROSSTEX ENERGY INC | COM | 22765Y104 | 5 | 175 | SH | DEFINED | 01 | 15 |
| CROWN CASTLE INTL CORP | COM | 228227104 | 10336 | 284972 | SH | DEFINED | 01 | 02 |
| CROWN CASTLE INTL CORP | COM | 228227104 | 136 | 3758 | SH | DEFINED | 01 | 03 |
| CROWN CASTLE INTL CORP | COM | 228227104 | 28 | 770 | SH | DEFINED | 01 | 15 |
| CROWN HOLDINGS INC | COM | 228368106 | 348 | 13931 | SH | DEFINED | 01 | 02 |
| CROWN HOLDINGS INC | COM | 228368106 | 130 | 5200 | SH | DEFINED | 01 | 15 |
| CROWN MEDIA HOLDINGS INC | COM | 228411104 | 107 | 14926 | SH | DEFINED | 01 | 02 |
| CROWN MEDIA HOLDINGS INC | COM | 228411104 | 28 | 3930 | SH | DEFINED | 01 | 15 |
| CROWN PAPER ESCROW | COM | 2284499A4 | 0 | 46360000 | SH | DEFINED | 01 | 02 |
| CRUCELL-ADR | COM | 228769105 | 4 | 200 | SH | DEFINED | 01 | 15 |
| CRUISECAM INTL INC | COM | 22878U106 | 0 | 600 | SH | DEFINED | 01 | 15 |
| CRYOLIFE INC | COM | 228903100 | 517 | 39721 | SH | DEFINED | 01 | 02 |
| CRYOCATH TECHNOLOGI | COM | 229014105 | 14 | 4000 | SH | DEFINED | 01 | 15 |
| CRYSTAL RIV CAP INC COM | COM | 229393301 | 104 | 4265 | SH | DEFINED | 01 | 15 |
| CRYSTALEX INTL CORP | COM | 22942F101 | 6 | 1336 | SH | DEFINED | 01 | 15 |
| CTRIIP COM INTL LTD AMERICAN D | COM | 22943F100 | 47 | 593 | SH | DEFINED | 01 | 15 |
| C2 GLOBAL TECH INC COM | COM | 22943V105 | 0 | 10 | SH | DEFINED | 01 | 15 |
| CUBIC CORP | COM | 229669106 | 3520 | 116622 | SH | DEFINED | 01 | 02 |
| CUBIC CORP | COM | 229669106 | 59 | 1951 | SH | DEFINED | 01 | 03 |
| CUBIST PHARMACEUTICALS INC | COM | 229678107 | 351 | 17829 | SH | DEFINED | 01 | 02 |
| CUBIST PHARMACEUTICALS INC | COM | 229678107 | 513 | 26072 | SH | DEFINED | 01 | 10 |
| CUBIST PHARMACEUTICALS INC | COM | 229678107 | 85 | 4296 | SH | DEFINED | 01 | 15 |
| CULLEN/FROST BANKERS INC | COM | 229899109 | 5588 | 104512 | SH | DEFINED | 01 | 02 |
| CULLEN/FROST BANKERS INC | COM | 229899109 | 8 | 150 | SH | DEFINED | 01 | 15 |
| CUMMINS INC | COM | 231021106 | 12855 | 127026 | SH | DEFINED | 01 | 02 |
| CUMMINS INC | COM | 231021106 | 1747 | 17260 | SH | DEFINED | 01 | 03 |
| CUMMINS INC | COM | 231021106 | 827 | 8173 | SH | DEFINED | 01 | 15 |
| CUMULUS MEDIA | COM | 231082108 | 2227 | 238306 | SH | DEFINED | 01 | 02 |
| CUMULUS MEDIA | COM | 231082108 | 639 | 68370 | SH | DEFINED | 01 | 02 |
| CUMULUS MEDIA | COM | 231082108 | 237 | 25400 | SH | DEFINED | 01 | 03 |
| CURIS INC | COM | 231269101 | 1 | 600 | SH | DEFINED | 01 | 15 |
| CURRENCYSHARES SWEDISH KR | COM | 23129R108 | 25 | 170 | SH | DEFINED | 01 | 15 |
| CURRENCYSHARES AUSTRALIAN | COM | 23129U101 | 2 | 26 | SH | DEFINED | 01 | 15 |
| CURRENCYSHARES SWISS FRANC TR | COM | 23129V109 | 25 | 300 | SH | DEFINED | 01 | 15 |
| CURRENCYSHARES JAPANESE Y | COM | 23130A102 | 41 | 500 | SH | DEFINED | 01 | 15 |
| CURRENCYSHARES EURO TR EURO SH | COM | 23130C108 | 99 | 730 | SH | DEFINED | 01 | 15 |
| CURTISS-WRIGHT CORP | COM | 231561101 | 11227 | 240880 | SH | DEFINED | 01 | 02 |
| CURTISS-WRIGHT CORP | COM | 231561101 | 1568 | 33660 | SH | DEFINED | 01 | 02 |
| CURTISS-WRIGHT CORP | COM | 231561101 | 559 | 12000 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| CURTISS-WRIGHT CORP | COM | 231561101 | 70 | 1500 | SH | DEFINED | 01 | 15 |
| CYBERCARE INC | COM | 23243T105 | 0 | 300 | SH | DEFINED | 01 | 15 |
| CYBERKINETICS NEUROTECHN | COM | 23247T101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| CYBEROPTICS CORP | COM | 232517102 | 197 | 14676 | SH | DEFINED | 01 | 15 |
| CYBERSOURCE CORP | COM | 23251J106 | 481 | 39891 | SH | DEFINED | 01 | 02 |
| CYBERONICS INC | COM | 23251P102 | 712 | 42329 | SH | DEFINED | 01 | 02 |
| CYBERONICS INC | COM | 23251P102 | 6 | 385 | SH | DEFINED | 01 | 15 |
| CYGNUS OIL & GAS CORP COM | COM | 23256H105 | 0 | 7000 | SH | DEFINED | 01 | 15 |
| CYMER INC | COM | 232572107 | 5845 | 145428 | SH | DEFINED | 01 | 02 |
| CYMER INC | COM | 232572107 | 521 | 12960 | SH | DEFINED | 01 | 02 |
| CYMER INC | COM | 232572107 | 165 | 4110 | SH | DEFINED | 01 | 03 |
| CYNOSURE INC CL A | COM | 232577205 | 11 | 315 | SH | DEFINED | 01 | 15 |
| CYPRESS BIOSCIENCE INC | COM | 232674507 | 21 | 1627 | SH | DEFINED | 01 | 02 |
| CYPRESS SEMICONDUCTOR CORP | COM | 232806109 | 23206 | 996404 | SH | DEFINED | 01 | 02 |
| CYPRESS SEMICONDUCTOR CORP | COM | 232806109 | 7 | 300 | SH | DEFINED | 01 | 15 |
| CYTEC INDUSTRIES INC | COM | 232820100 | 1473 | 23100 | SH | DEFINED | 01 | 02 |
| CYTEC INDUSTRIES INC | COM | 232820100 | 4 | 70 | SH | DEFINED | 01 | 15 |
| CYTOGEN CORP | COM | 232824300 | 51 | 26138 | SH | DEFINED | 01 | 02 |
| CYTRX CORP | COM | 232828301 | 85 | 27200 | SH | DEFINED | 01 | 15 |
| CYTOCORE INC COM | COM | 23282B106 | 1 | 1700 | SH | DEFINED | 01 | 15 |
| CYTOMEDIX INC COM NEW | COM | 23283B204 | 11 | 14000 | SH | DEFINED | 01 | 15 |
| CYTORI THERAPEUTICS INC COM IS | COM | 23283K105 | 1 | 200 | SH | DEFINED | 01 | 15 |
| D & E COMM INC | COM | 232860106 | 11 | 606 | SH | DEFINED | 01 | 15 |
| CYTYC CORP | COM | 232946103 | 2678 | 62080 | SH | DEFINED | 01 | 02 |
| CYTYC CORP | COM | 232946103 | 232 | 5391 | SH | DEFINED | 01 | 15 |
| DBS GROUP HLDGS LTD SPONS | COM | 23304Y100 | 238 | 3991 | SH | DEFINED | 01 | 15 |
| DNAPRINT GENOMICS INC COM NE | COM | 23324Q202 | 2 | 200500 | SH | DEFINED | 01 | 15 |
| DJO INC | COM | 23325G104 | 1596 | 38665 | SH | DEFINED | 01 | 02 |
| DNP SELECT INCOME FD INC | COM | 23325P104 | 160 | 14669 | SH | DEFINED | 01 | 15 |
| DLR FUNDING INC COM | COM | 23326Q101 | 0 | 2 | SH | DEFINED | 01 | 15 |
| DPL INC | COM | 233293109 | 9133 | 322283 | SH | DEFINED | 01 | 02 |
| DPL INC | COM | 233293109 | 145 | 5124 | SH | DEFINED | 01 | 03 |
| DPL INC | COM | 233293109 | 94 | 3312 | SH | DEFINED | 01 | 15 |
| DRA CRT ACQ CORP PFD SER A | COM | 23330C205 | 10 | 400 | SH | DEFINED | 01 | 15 |
| DRS TECHNOLOGIES INC | COM | 23330X100 | 9563 | 166999 | SH | DEFINED | 01 | 02 |
| DRS TECHNOLOGIES INC | COM | 23330X100 | 46 | 810 | SH | DEFINED | 01 | 15 |
| DR HORTON INC | COM | 23331A109 | 31609 | 1585970 | SH | DEFINED | 01 | 02 |
| DR HORTON INC | COM | 23331A109 | 901 | 45225 | SH | DEFINED | 01 | 03 |
| DR HORTON INC | COM | 23331A109 | 39 | 1946 | SH | DEFINED | 01 | 15 |
| DST SYSTEMS INC | COM | 233326107 | 272 | 3438 | SH | DEFINED | 01 | 03 |
| DST SYSTEMS INC | COM | 233326107 | 103088 | 1302285 | SH | DEFINED | 01 | 10 |
| DST SYSTEMS INC | COM | 233326107 | 248 | 3136 | SH | DEFINED | 01 | 15 |
| DSP GROUP INC | COM | 23332B106 | 957 | 46765 | SH | DEFINED | 01 | 02 |
| DSP GROUP INC | COM | 23332B106 | 2 | 100 | SH | DEFINED | 01 | 15 |
| DTE ENERGY CO | COM | 233331107 | 31364 | 650423 | SH | DEFINED | 01 | 02 |
| DTE ENERGY CO | COM | 233331107 | 1405 | 29135 | SH | DEFINED | 01 | 03 |
| DTE ENERGY CO | COM | 233331107 | 551 | 11426 | SH | DEFINED | 01 | 15 |
| DSW INC-CLASS A | COM | 23334L102 | 2532 | 72700 | SH | DEFINED | 01 | 02 |
| DXP ENTERPRISES INC | COM | 233377407 | 1606 | 37548 | SH | DEFINED | 01 | 02 |
| DXP ENTERPRISES INC | COM | 233377407 | 32 | 750 | SH | DEFINED | 01 | 15 |
| DWS HIGH INCOME TR SHS | COM | 23337C109 | 8 | 1262 | SH | DEFINED | 01 | 15 |
| DWS RREEF REAL ESTATE FD | COM | 233384106 | 24 | 1000 | SH | DEFINED | 01 | 15 |
| DWS MUN INCOME TR COM | COM | 23338M106 | 83 | 7559 | SH | DEFINED | 01 | 15 |
| DWS STRATEGIC MUNI INCOM | COM | 23338T101 | 159 | 13475 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| DWS RREEF REAL ESTATE FD | COM | 23338X102 | 80 | 4405 | SH | DEFINED | 01 | 15 |
| DWS GLOBAL COMMODITIE | COM | 23338Y100 | 28 | 1500 | SH | DEFINED | 01 | 15 |
| DADE BEHRING HOLDINGS INC | COM | 23342J206 | 821 | 15451 | SH | DEFINED | 01 | 02 |
| DAI NIPPON PRTG LTD JAPAN ADR | COM | 233806207 | 135 | 4526 | SH | DEFINED | 01 | 15 |
| DAKTRONICS INC | COM | 234264109 | 1153 | 53684 | SH | DEFINED | 01 | 02 |
| DAKTRONICS INC | COM | 234264109 | 13 | 588 | SH | DEFINED | 01 | 15 |
| DALECO RESOURCES CORP COM N | COM | 23437P208 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| DALRADA FINL CORP COM NEW | COM | 235496403 | 0 | 2 | SH | DEFINED | 01 | 15 |
| DAN RIVER INC GA CL A NO 10 | COM | 235774106 | 0 | 60 | SH | DEFINED | 01 | 15 |
| DANA CORP | COM | 235811106 | 3 | 1619 | SH | DEFINED | 01 | 15 |
| DANAHER CORP | COM | 235851102 | 5240 | 69417 | SH | DEFINED | 01 | 02 |
| DANAHER CORP | COM | 235851102 | 2975 | 39402 | SH | DEFINED | 01 | 03 |
| DANAHER CORP | COM | 235851102 | 1298 | 17195 | SH | DEFINED | 01 | 15 |
| DANKA BUSINESS SYSTEMS SP | COM | 236277109 | 8 | 7071 | SH | DEFINED | 01 | 15 |
| DANSKE BK A/S ADR | COM | 236363107 | 123 | 5990 | SH | DEFINED | 01 | 15 |
| DARDEN RESTAURANTS INC | COM | 237194105 | 12152 | 276237 | SH | DEFINED | 01 | 02 |
| DARDEN RESTAURANTS INC | COM | 237194105 | 1029 | 23397 | SH | DEFINED | 01 | 03 |
| DARDEN RESTAURANTS INC | COM | 237194105 | 517 | 11756 | SH | DEFINED | 01 | 15 |
| DARLING INTERNATIONAL INC | COM | 237266101 | 644 | 70528 | SH | DEFINED | 01 | 02 |
| DARLING INTERNATIONAL INC | COM | 237266101 | 5 | 500 | SH | DEFINED | 01 | 15 |
| DARTMOUTH BANCORP INC | COM | 237444104 | 0 | 300 | SH | DEFINED | 01 | 15 |
| DARWIN PROFESSIONAL UNDERWRI | COM | 237502109 | 119 | 4730 | SH | DEFINED | 01 | 02 |
| DATA DOMAIN INC | COM | 23767P109 | 1024 | 44536 | SH | DEFINED | 01 | 02 |
| DATA DOMAIN INC | COM | 23767P109 | 40 | 1742 | SH | DEFINED | 01 | 03 |
| DATA PAC CORP COM | COM | 237754106 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| DATARAM CORP NEW PAR \$1 | COM | 238108203 | 3 | 740 | SH | DEFINED | 01 | 15 |
| DATASCOPE CORP | COM | 238113104 | 798 | 20841 | SH | DEFINED | 01 | 02 |
| DAVITA INC COM | COM | 23918K108 | 212 | 3940 | SH | DEFINED | 01 | 15 |
| DAW TECHNOLOGIES INCCOM | COM | 239220304 | 0 | 733 | SH | DEFINED | 01 | 15 |
| DAWSON GEOPHYSICAL CO | COM | 239359102 | 1577 | 25661 | SH | DEFINED | 01 | 02 |
| DAWSON GEOPHYSICAL CO | COM | 239359102 | 52 | 850 | SH | DEFINED | 01 | 15 |
| DEAN FOODS CO | COM | 242370104 | 16998 | 533420 | SH | DEFINED | 01 | 02 |
| DEAN FOODS CO | COM | 242370104 | 686 | 21510 | SH | DEFINED | 01 | 03 |
| DEAN FOODS CO | COM | 242370104 | 323 | 10141 | SH | DEFINED | 01 | 15 |
| DECISIONLINK INC COM | COM | 243454105 | 0 | 1900 | SH | DEFINED | 01 | 15 |
| DECKERS OUTDOOR CORP | COM | 243537107 | 7347 | 72815 | SH | DEFINED | 01 | 02 |
| DECKERS OUTDOOR CORP | COM | 243537107 | 10 | 100 | SH | DEFINED | 01 | 15 |
| DECODE GENETICS INC | COM | 243586104 | 6 | 1535 | SH | DEFINED | 01 | 15 |
| DEEP ROCK OIL & GAS INC | COM | 243778107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| DEERE & CO | COM | 244199105 | 206372 | 1709247 | SH | DEFINED | 01 | 02 |
| DEERE & CO | COM | 244199105 | 4700 | 38926 | SH | DEFINED | 01 | 03 |
| DEERE & CO | COM | 244199105 | 22395 | 185800 | SH | DEFINED | 01 | 10 |
| DEERE & CO | COM | 244199105 | 499 | 4133 | SH | DEFINED | 01 | 15 |
| DEERFIELD TRIARC CAPITAL COR | COM | 244572301 | 4334 | 296275 | SH | DEFINED | 01 | 02 |
| DEERFIELD TRIARC CAPITAL COR | COM | 244572301 | 329 | 22470 | SH | DEFINED | 01 | 15 |
| DEFENSE TECHNOLOGY SYS INC | COM | 244635108 | 1 | 124370 | SH | DEFINED | 01 | 15 |
| DEFINED STRATEGY FD INC | COM | 24476Y100 | 31 | 1400 | SH | DEFINED | 01 | 15 |
| DEL MONTE FOODS CO | COM | 24522P103 | 17113 | 1407288 | SH | DEFINED | 01 | 02 |
| DEL MONTE FOODS CO | COM | 24522P103 | 73 | 5997 | SH | DEFINED | 01 | 15 |
| DELAWARE INVTS MINN MUN INCOME | COM | 24610V103 | 10 | 735 | SH | DEFINED | 01 | 15 |
| DELEK US HOLDINGS INC | COM | 246647101 | 176 | 6635 | SH | DEFINED | 01 | 02 |
| DELIA*S INC COM NEW | COM | 246911101 | 2 | 250 | SH | DEFINED | 01 | 15 |
| DELL INC | COM | 24702R101 | 79046 | 2768650 | SH | DEFINED | 01 | 02 |
| DELL INC | COM | 24702R101 | 10820 | 378996 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| DELL INC | COM | 24702R101 | 1942 | 68016 | SH | DEFINED | 01 | 15 |
| DELPHI CORP | COM | 247126105 | 7 | 2982 | SH | DEFINED | 01 | 15 |
| DELPHI FINANCIAL GRP | COM | 247131105 | 10161 | 242937 | SH | DEFINED | 01 | 02 |
| DELPHI FINANCIAL GRP | COM | 247131105 | 2108 | 50430 | SH | DEFINED | 01 | 02 |
| DELPHI FINANCIAL GRP | COM | 247131105 | 736 | 17601 | SH | DEFINED | 01 | 03 |
| DELPHI FINANCIAL GRP | COM | 247131105 | 38 | 900 | SH | DEFINED | 01 | 15 |
| DELTA AIR LINES INC | COM | 247361702 | 1428 | 72500 | SH | DEFINED | 01 | 02 |
| DELTA AIR LINES INC | COM | 247361702 | 123 | 6268 | SH | DEFINED | 01 | 15 |
| DELTIC TIMBER CORP | COM | 247850100 | 920 | 16791 | SH | DEFINED | 01 | 02 |
| DELTA PETROLEUM CORP | COM | 247907207 | 571 | 28452 | SH | DEFINED | 01 | 02 |
| DELTA PETROLEUM CORP | COM | 247907207 | 327 | 16297 | SH | DEFINED | 01 | 03 |
| DENBURY RESOURCES INC | COM | 247916208 | 18434 | 491599 | SH | DEFINED | 01 | 02 |
| DENBURY RESOURCES INC | COM | 247916208 | 1004 | 26784 | SH | DEFINED | 01 | 03 |
| DENBURY RESOURCES INC | COM | 247916208 | 19 | 500 | SH | DEFINED | 01 | 15 |
| DELTA FINANCIAL CORP | COM | 247918105 | 87 | 7128 | SH | DEFINED | 01 | 02 |
| DELTA AIRLINES PFD ESCROW | COM | 247990658 | 0 | 2220 | SH | DEFINED | 01 | 15 |
| DELUXE CORP | COM | 248019101 | 39593 | 974953 | SH | DEFINED | 01 | 02 |
| DELUXE CORP | COM | 248019101 | 1765 | 43460 | SH | DEFINED | 01 | 02 |
| DELUXE CORP | COM | 248019101 | 618 | 15210 | SH | DEFINED | 01 | 03 |
| DELUXE CORP | COM | 248019101 | 16 | 400 | SH | DEFINED | 01 | 15 |
| DENDREON CORP | COM | 24823Q107 | 24 | 3400 | SH | DEFINED | 01 | 15 |
| DENISON MINES CORP COM ISIN # | COM | 248356107 | 13 | 1075 | SH | DEFINED | 01 | 15 |
| DENNY'S CORP | COM | 24869P104 | 1169 | 262800 | SH | DEFINED | 01 | 02 |
| DENNY'S CORP | COM | 24869P104 | 9 | 2000 | SH | DEFINED | 01 | 15 |
| DENSO CORP ADR | COM | 24872B100 | 69 | 439 | SH | DEFINED | 01 | 15 |
| DENTSPLY INTERNATIONAL INC | COM | 249030107 | 5766 | 150690 | SH | DEFINED | 01 | 02 |
| DENTSPLY INTERNATIONAL INC | COM | 249030107 | 21 | 540 | SH | DEFINED | 01 | 03 |
| DENTSPLY INTERNATIONAL INC | COM | 249030107 | 159 | 4166 | SH | DEFINED | 01 | 15 |
| DESARROLLADORA HOMEX-ADR | COM | 25030W100 | 32144 | 531316 | SH | DEFINED | 01 | 10 |
| DESERT COML BK PALM DESERT CAL | COM | 25038R103 | 1114 | 56250 | SH | DEFINED | 01 | 15 |
| DEUTSCHE TELEKOM AG-SPON ADR | COM | 251566105 | 52750 | 2865216 | SH | DEFINED | 01 | 02 |
| DEUTSCHE TELEKOM AG-SPON ADR | COM | 251566105 | 183 | 9925 | SH | DEFINED | 01 | 03 |
| DEUTSCHE TELEKOM AG-SPON ADR | COM | 251566105 | 648 | 35220 | SH | DEFINED | 01 | 15 |
| DEVELOPERS DIVERSIFIED REALTY | COM | 251591103 | 7625 | 144660 | SH | DEFINED | 01 | 02 |
| DEVELOPERS DIVERSIFIED REALTY | COM | 251591103 | 1090 | 20680 | SH | DEFINED | 01 | 03 |
| DEVELOPERS DIVERSIFIE | COM | 251591822 | 21 | 827 | SH | DEFINED | 01 | 15 |
| DEVON ENERGY CORPORATION | COM | 25179M103 | 92969 | 1187505 | SH | DEFINED | 01 | 02 |
| DEVON ENERGY CORPORATION | COM | 25179M103 | 8469 | 108181 | SH | DEFINED | 01 | 02 |
| DEVON ENERGY CORPORATION | COM | 25179M103 | 5903 | 75400 | SH | DEFINED | 01 | 03 |
| DEVON ENERGY CORPORATION | COM | 25179M103 | 92546 | 1182997 | SH | DEFINED | 01 | 10 |
| DEVON ENERGY CORPORATION | COM | 25179M103 | 2058 | 26290 | SH | DEFINED | 01 | 15 |
| DEVRY INC | COM | 251893103 | 3945 | 115941 | SH | DEFINED | 01 | 02 |
| DEXCOM INC | COM | 252131107 | 169 | 20600 | SH | DEFINED | 01 | 02 |
| DEXCOM INC | COM | 252131107 | 237 | 28934 | SH | DEFINED | 01 | 03 |
| DIAGEO PLC-SPONSORED ADR | COM | 25243Q205 | 42481 | 509924 | SH | DEFINED | 01 | 02 |
| DIAGEO PLC-SPONSORED ADR | COM | 25243Q205 | 889 | 10667 | SH | DEFINED | 01 | 15 |
| DIALYSIS CORP AMER CL A | COM | 252529102 | 3 | 300 | SH | DEFINED | 01 | 15 |
| DIAMOND B INDS INC | COM | 252561105 | 0 | 300 | SH | DEFINED | 01 | 15 |
| DIAMOND HILL INVESTMENT GRP | COM | 25264R207 | 551 | 6071 | SH | DEFINED | 01 | 02 |
| DIAMOND HILL INVESTMENT GRP | COM | 25264R207 | 27 | 297 | SH | DEFINED | 01 | 15 |
| DIAMOND OFFSHORE DRILLING | COM | 25271C102 | 1377 | 13558 | SH | DEFINED | 01 | 02 |
| DIAMOND OFFSHORE DRILLING | COM | 25271C102 | 55831 | 550497 | SH | DEFINED | 01 | 10 |
| DIAMOND OFFSHORE DRILLING | COM | 25271C102 | 181 | 1780 | SH | DEFINED | 01 | 15 |
| DIAMONDROCK HOSPITALITY CO | COM | 252784301 | 8356 | 437900 | SH | DEFINED | 01 | 02 |
| DIAMONDROCK HOSPITALITY CO | COM | 252784301 | 630 | 33000 | SH | DEFINED | 01 | 02 |
| DIAMONDROCK HOSPITALITY CO | COM | 252784301 | 198 | 10400 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|-------|---------|----|---------|----|----|
| DIAMONDROCK HOSPITALITY CO | COM | 252784301 | 17 | 875 | SH | DEFINED | 01 | 15 |
| DIAMONDS TRUST SER I | COM | 252787106 | 11276 | 84023 | SH | DEFINED | 01 | 15 |
| DIAMONDHEAD CASINO CORP | COM | 25278S100 | 280 | 100000 | SH | DEFINED | 01 | 15 |
| DICKS SPORTING GOODS INC | COM | 253393102 | 569 | 9783 | SH | DEFINED | 01 | 15 |
| DIEBOLD INC | COM | 253651103 | 15629 | 299400 | SH | DEFINED | 01 | 02 |
| DIEBOLD INC | COM | 253651103 | 89 | 1713 | SH | DEFINED | 01 | 15 |
| DIGENE CORP | COM | 253752109 | 2405 | 40050 | SH | DEFINED | 01 | 02 |
| DIGENE CORP | COM | 253752109 | 126 | 2090 | SH | DEFINED | 01 | 15 |
| DIGI INTL INC COM | COM | 253798102 | 611 | 41440 | SH | DEFINED | 01 | 02 |
| DIGITAL ANGEL CORP | COM | 253830103 | 1 | 442 | SH | DEFINED | 01 | 15 |
| DIGITAL LIGHTWAVE INC | COM | 253855100 | 0 | 328 | SH | DEFINED | 01 | 15 |
| DIGITAL POWER CORP | COM | 253862106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| DIGITAL REALTY TRUST INC | COM | 253868103 | 6080 | 161369 | SH | DEFINED | 01 | 02 |
| DIGITAL RECORDERS INC | COM | 253869101 | 163 | 54550 | SH | DEFINED | 01 | 15 |
| DIGITAL LIFESTYLES GROUP INC | COM | 25387J108 | 0 | 200 | SH | DEFINED | 01 | 15 |
| DIGITAL RIVER INC | COM | 25388B104 | 9 | 188 | SH | DEFINED | 01 | 02 |
| DIGITAL RIVER INC | COM | 25388B104 | 0 | 5 | SH | DEFINED | 01 | 15 |
| DIGITAL IMAGING RES INC COM | COM | 25388U102 | 0 | 2 | SH | DEFINED | 01 | 15 |
| DIME COMMUNITY | COM | 253922108 | 584 | 44262 | SH | DEFINED | 01 | 02 |
| DILLARDS INC-CL A | COM | 254067101 | 21121 | 587819 | SH | DEFINED | 01 | 02 |
| DILLARDS INC-CL A | COM | 254067101 | 362 | 10082 | SH | DEFINED | 01 | 03 |
| DILLARDS CAP TRUST I7.50% 08/0 | COM | 25406P200 | 10 | 400 | SH | DEFINED | 01 | 15 |
| DIME BANCORP INC NEWLITIGATION | COM | 25429Q110 | 0 | 100 | SH | DEFINED | 01 | 15 |
| DIODES INC | COM | 254543101 | 2089 | 50005 | SH | DEFINED | 01 | 02 |
| DIODES INC | COM | 254543101 | 11 | 260 | SH | DEFINED | 01 | 15 |
| DIONEX CORP | COM | 254546104 | 2443 | 34420 | SH | DEFINED | 01 | 02 |
| DIOMED HLDGS INC COM NEW | COM | 25454R207 | 0 | 100 | SH | DEFINED | 01 | 15 |
| DIPPY FOODS INC | COM | 254558109 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| DIRECTV GROUP INC/THE | COM | 25459L106 | 13910 | 601863 | SH | DEFINED | 01 | 02 |
| DIRECTV GROUP INC/THE | COM | 25459L106 | 2948 | 127585 | SH | DEFINED | 01 | 03 |
| DIRECTV GROUP INC/THE | COM | 25459L106 | 29121 | 1261177 | SH | DEFINED | 01 | 10 |
| DIRECTV GROUP INC/THE | COM | 25459L106 | 181 | 7828 | SH | DEFINED | 01 | 15 |
| DISCOVERY LAB INC | COM | 254668106 | 20 | 6900 | SH | DEFINED | 01 | 15 |
| THE WALT DISNEY CO | COM | 254687106 | 34130 | 999713 | SH | DEFINED | 01 | 02 |
| THE WALT DISNEY CO | COM | 254687106 | 11195 | 327925 | SH | DEFINED | 01 | 03 |
| THE WALT DISNEY CO | COM | 254687106 | 3425 | 100309 | SH | DEFINED | 01 | 15 |
| DISCOVERY HOLDING CO-A | COM | 25468Y107 | 87 | 3780 | SH | DEFINED | 01 | 02 |
| DISCOVERY HOLDING CO-A | COM | 25468Y107 | 20 | 880 | SH | DEFINED | 01 | 03 |
| DISCOVERY HOLDING CO-A | COM | 25468Y107 | 378 | 16422 | SH | DEFINED | 01 | 15 |
| DISTINCTIVE DEVICES INC NEW | COM | 254745300 | 0 | 167 | SH | DEFINED | 01 | 15 |
| DISTRIBUTED ENERGY SYS CORP | COM | 25475V104 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| DITECH NETWORKS INC | COM | 25500T108 | 443 | 54130 | SH | DEFINED | 01 | 02 |
| DIVERSIFIED MEDIA INC NO STK | COM | 255282105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| DIVERSIFIED RES CORP | COM | 255326100 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| DIVINE INC CL A NEW NO 100 | COM | 255402406 | 0 | 230 | SH | DEFINED | 01 | 15 |
| DIVX INC | COM | 255413106 | 60 | 4000 | SH | DEFINED | 01 | 02 |
| DIVX INC | COM | 255413106 | 8 | 500 | SH | DEFINED | 01 | 15 |
| DOBSON COMMUNICATIONS CORP-A | COM | 256069105 | 2960 | 266460 | SH | DEFINED | 01 | 02 |
| DOBSON COMMUNICATIONS CORP-A | COM | 256069105 | 219 | 19700 | SH | DEFINED | 01 | 15 |
| DOBSON COMMUNICATIONS CORP | CONV PRF | 256069709 | 18 | 77 | SH | DEFINED | 01 | 02 |
| DOCPLANET.COM INC | COM | 256095100 | 0 | 260 | SH | DEFINED | 01 | 15 |
| DR REDDYS LABS LTD ADR | COM | 256135203 | 69 | 4275 | SH | DEFINED | 01 | 15 |
| DOCUMENT SEC SYS INC | COM | 25614T101 | 8 | 575 | SH | DEFINED | 01 | 15 |
| DOL RESOURCES INC | COM | 256590100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| DOLBY LABORATORIES INC-CL A | COM | 25659T107 | 390 | 11007 | SH | DEFINED | 01 | 02 |
| DOLLAR GENERAL CORP | COM | 256669102 | 19852 | 905697 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| DOLLAR GENERAL CORP | COM | 256669102 | 1142 | 52102 | SH | DEFINED | 01 | 03 |
| DOLLAR GENERAL CORP | COM | 256669102 | 25 | 1144 | SH | DEFINED | 01 | 15 |
| DOLLAR THRIFTY AUTOMOTIVE | COM | 256743105 | 12 | 303 | SH | DEFINED | 01 | 15 |
| DOLLAR TREE STORES INC | COM | 256747106 | 1136 | 26096 | SH | DEFINED | 01 | 02 |
| DOLLAR TREE STORES INC | COM | 256747106 | 134 | 3073 | SH | DEFINED | 01 | 15 |
| DOMINION CNG CAP TR 7.80% 10/3 | COM | 257206201 | 25 | 1000 | SH | DEFINED | 01 | 15 |
| DOMINION RES BLACK WARRIOR TR | COM | 25746Q108 | 47 | 1890 | SH | DEFINED | 01 | 15 |
| DOMINION RESOURCES INC/VA | COM | 25746U109 | 125563 | 1454786 | SH | DEFINED | 01 | 02 |
| DOMINION RESOURCES INC/VA | COM | 25746U109 | 5226 | 60542 | SH | DEFINED | 01 | 03 |
| DOMINION RESOURCES INC/VA | COM | 25746U109 | 1306 | 15128 | SH | DEFINED | 01 | 15 |
| DOMINO'S PIZZA INC | COM | 25754A201 | 1724 | 94429 | SH | DEFINED | 01 | 02 |
| DOMINO'S PIZZA INC | COM | 25754A201 | 683 | 37435 | SH | DEFINED | 01 | 02 |
| DOMINO'S PIZZA INC | COM | 25754A201 | 251 | 13714 | SH | DEFINED | 01 | 03 |
| DOMINO'S PIZZA INC | COM | 25754A201 | 1 | 50 | SH | DEFINED | 01 | 15 |
| DOMTAR CORP | COM | 257559104 | 7314 | 655400 | SH | DEFINED | 01 | 02 |
| DOMTAR CORP | COM | 257559104 | 23568 | 2123237 | SH | DEFINED | 01 | 10 |
| DONALDSON INC | COM | 257651109 | 175 | 4932 | SH | DEFINED | 01 | 15 |
| DONLAR CORP NEW | COM | 257791103 | 0 | 2599 | SH | DEFINED | 01 | 15 |
| RR DONNELLEY & SONS CO | COM | 257867101 | 31571 | 725570 | SH | DEFINED | 01 | 02 |
| RR DONNELLEY & SONS CO | COM | 257867101 | 1585 | 36418 | SH | DEFINED | 01 | 03 |
| RR DONNELLEY & SONS CO | COM | 257867101 | 388 | 8928 | SH | DEFINED | 01 | 15 |
| DORAL FINANCIAL CORP | COM | 25811P100 | 14 | 12095 | SH | DEFINED | 01 | 02 |
| DORAL FINANCIAL CORP | COM | 25811P100 | 1 | 750 | SH | DEFINED | 01 | 15 |
| DORAL FINANCIAL CORP MONTHLY IN | COM | 25811P209 | 14 | 400 | SH | DEFINED | 01 | 15 |
| DORAL FINL CORP MTHLY INCO | COM | 25811P308 | 4 | 200 | SH | DEFINED | 01 | 15 |
| DORAL FINL CORP MONTHLY IN | COM | 25811P407 | 4 | 200 | SH | DEFINED | 01 | 15 |
| DOUBLE-TAKE SOFTWARE INC | COM | 258598101 | 1119 | 68167 | SH | DEFINED | 01 | 02 |
| DOUBLESTAR RES LTD CL A ISIN | COM | 258903103 | 0 | 40 | SH | DEFINED | 01 | 15 |
| DOUGLAS EMMETT INC | COM | 25960P109 | 2848 | 115128 | SH | DEFINED | 01 | 02 |
| DOUGLAS EMMETT INC | COM | 25960P109 | 25 | 994 | SH | DEFINED | 01 | 15 |
| DOVER CORP | COM | 260003108 | 514 | 10054 | SH | DEFINED | 01 | 02 |
| DOVER CORP | COM | 260003108 | 1730 | 33828 | SH | DEFINED | 01 | 03 |
| DOVER CORP | COM | 260003108 | 331 | 6466 | SH | DEFINED | 01 | 15 |
| DOW CHEMICAL | COM | 260543103 | 260313 | 5886744 | SH | DEFINED | 01 | 02 |
| DOW CHEMICAL | COM | 260543103 | 7739 | 175029 | SH | DEFINED | 01 | 03 |
| DOW CHEMICAL | COM | 260543103 | 4047 | 91526 | SH | DEFINED | 01 | 15 |
| DOW JONES & CO INC | COM | 260561105 | 185 | 3220 | SH | DEFINED | 01 | 02 |
| DOW JONES & CO INC | COM | 260561105 | 622 | 10820 | SH | DEFINED | 01 | 03 |
| DOW JONES & CO INC | COM | 260561105 | 46 | 794 | SH | DEFINED | 01 | 15 |
| DOW 30 PREMIUM & DIVIDEND | COM | 260582101 | 89 | 4239 | SH | DEFINED | 01 | 15 |
| DOWNEY FINANCIAL CORP | COM | 261018105 | 7816 | 118455 | SH | DEFINED | 01 | 02 |
| DOWNEY FINANCIAL CORP | COM | 261018105 | 1354 | 20538 | SH | DEFINED | 01 | 02 |
| DOWNEY FINANCIAL CORP | COM | 261018105 | 459 | 6956 | SH | DEFINED | 01 | 03 |
| DOWNEY FINANCIAL CORP | COM | 261018105 | 251 | 3811 | SH | DEFINED | 01 | 15 |
| DRAXIS HEALTH INC ISIN #CA26 | COM | 26150J101 | 8 | 1350 | SH | DEFINED | 01 | 15 |
| DRDGOLD LTD SPON ADR 1 | COM | 26152H103 | 0 | 149 | SH | DEFINED | 01 | 15 |
| DREAMWORKS ANIMATION SKG-A | COM | 26153C103 | 1561 | 54122 | SH | DEFINED | 01 | 02 |
| DREAMWORKS ANIMATION SKG-A | COM | 26153C103 | 19 | 665 | SH | DEFINED | 01 | 15 |
| DREMAN CLAYMORE DIVID & IN | COM | 26153R100 | 412 | 19170 | SH | DEFINED | 01 | 15 |
| DRESS BARN INC | COM | 261570105 | 5235 | 255147 | SH | DEFINED | 01 | 02 |
| DRESSER-RAND GROUP INC | COM | 261608103 | 6528 | 165275 | SH | DEFINED | 01 | 02 |
| DREW INDUSTRIES INC | COM | 26168L205 | 3447 | 104045 | SH | DEFINED | 01 | 02 |
| DREW INDUSTRIES INC | COM | 26168L205 | 564 | 16960 | SH | DEFINED | 01 | 02 |
| DREW INDUSTRIES INC | COM | 26168L205 | 212 | 6400 | SH | DEFINED | 01 | 03 |
| DREYFUS STRATEGIC MUNS INC | COM | 261932107 | 12 | 1300 | SH | DEFINED | 01 | 15 |
| DREYFUS HIGH YIELD STRATEGIES | COM | 26200S101 | 137 | 33000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| DREYFUS MUN INCOME INC | COM | 26201R102 | 19 | 2080 | SH | DEFINED | 01 | 15 |
| DREYFUS STRATEGIC MUN BD FD | COM | 26202F107 | 74 | 8349 | SH | DEFINED | 01 | 15 |
| DRIL-QUIP INC | COM | 262037104 | 2816 | 62644 | SH | DEFINED | 01 | 02 |
| DRIL-QUIP INC | COM | 262037104 | 59 | 1321 | SH | DEFINED | 01 | 15 |
| DRINKS AMERS HLDGS LTD | COM | 26205U101 | 0 | 350 | SH | DEFINED | 01 | 15 |
| DRKOOP.COM INC NO 100HOLD | COM | 262098106 | 0 | 220 | SH | DEFINED | 01 | 15 |
| E I DUPONT | COM | 263534109 | 309761 | 6092905 | SH | DEFINED | 01 | 02 |
| E I DUPONT | COM | 263534109 | 8722 | 171553 | SH | DEFINED | 01 | 03 |
| E I DUPONT | COM | 263534109 | 2457 | 48319 | SH | DEFINED | 01 | 15 |
| DUCOMMUN INC | COM | 264147109 | 22 | 836 | SH | DEFINED | 01 | 02 |
| DUFF & PHELPS UTIL &CORP BD TR | COM | 26432K108 | 8 | 700 | SH | DEFINED | 01 | 15 |
| DUKE REALTY CORP | COM | 264411505 | 11354 | 318300 | SH | DEFINED | 01 | 02 |
| DUKE RLTY CORP DEP SH REP | COM | 264411745 | 80 | 3300 | SH | DEFINED | 01 | 15 |
| DUKE RLTY CORP DEPOSITARY | COM | 264411778 | 48 | 2000 | SH | DEFINED | 01 | 15 |
| DUKE ENERGY CORP | COM | 26441C105 | 51721 | 2826278 | SH | DEFINED | 01 | 02 |
| DUKE ENERGY CORP | COM | 26441C105 | 3961 | 216441 | SH | DEFINED | 01 | 03 |
| DUKE ENERGY CORP | COM | 26441C105 | 2143 | 117113 | SH | DEFINED | 01 | 15 |
| DUN & BRADSTREET CORP | COM | 26483E100 | 22549 | 218955 | SH | DEFINED | 01 | 02 |
| DUN & BRADSTREET CORP | COM | 26483E100 | 202 | 1960 | SH | DEFINED | 01 | 15 |
| DUNE ENERGY INC COM NEW | COM | 265338202 | 0 | 200 | SH | DEFINED | 01 | 15 |
| DURA AUTOMOTIVE SYS PFD | COM | 26632M201 | 2 | 600 | SH | DEFINED | 01 | 15 |
| DYANSEN CORP | COM | 267457109 | 0 | 300 | SH | DEFINED | 01 | 15 |
| DYAX CORP | COM | 26746E103 | 20 | 4750 | SH | DEFINED | 01 | 15 |
| DYCOM INDUSTRIES INC | COM | 267475101 | 4378 | 145980 | SH | DEFINED | 01 | 02 |
| DYCOM INDUSTRIES INC | COM | 267475101 | 914 | 30440 | SH | DEFINED | 01 | 02 |
| DYCOM INDUSTRIES INC | COM | 267475101 | 333 | 11100 | SH | DEFINED | 01 | 03 |
| DYNAMEX INC | COM | 26784F103 | 3 | 100 | SH | DEFINED | 01 | 15 |
| DYNAMIC MATERIALS CORP | COM | 267888105 | 490 | 13067 | SH | DEFINED | 01 | 02 |
| DYNAMIC RESPONSE GROUP INC | COM | 26800M108 | 0 | 2 | SH | DEFINED | 01 | 15 |
| DYNAVAX TECH | COM | 268158102 | 20 | 4883 | SH | DEFINED | 01 | 02 |
| DYNCORP INTERNATIONAL INC S | COM | 26817C101 | 823 | 37455 | SH | DEFINED | 01 | 02 |
| DYNCORP INTERNATIONAL INC S | COM | 26817C101 | 593 | 26991 | SH | DEFINED | 01 | 02 |
| DYNEGY INC - CLASS A | COM | 26817G102 | 10291 | 1090224 | SH | DEFINED | 01 | 02 |
| DYNEGY INC - CLASS A | COM | 26817G102 | 628 | 66575 | SH | DEFINED | 01 | 03 |
| DYNEGY INC - CLASS A | COM | 26817G102 | 96 | 10160 | SH | DEFINED | 01 | 15 |
| DYNEX CAP INC PFD SER D | COM | 26817Q605 | 4 | 426 | SH | DEFINED | 01 | 15 |
| ECI TELECOM LTD ORD | COM | 268258100 | 5 | 500 | SH | DEFINED | 01 | 15 |
| EDP-ENERGIAS DE PORTUGAL S | COM | 268353109 | 13 | 234 | SH | DEFINED | 01 | 15 |
| E DIGITAL CORP | COM | 26841Y103 | 8 | 43140 | SH | DEFINED | 01 | 15 |
| EFJ INC | COM | 26843B101 | 5 | 1000 | SH | DEFINED | 01 | 15 |
| EGL INC | COM | 268484102 | 2660 | 57222 | SH | DEFINED | 01 | 02 |
| ELECTROMAGNETIC GEOSERVICES | COM | 268630993 | 119 | 5900 | SH | DEFINED | 01 | 02 |
| EMC CORP/MASS | COM | 268648102 | 34232 | 1891386 | SH | DEFINED | 01 | 02 |
| EMC CORP/MASS | COM | 268648102 | 6285 | 347252 | SH | DEFINED | 01 | 03 |
| EMC CORP/MASS | COM | 268648102 | 2343 | 129455 | SH | DEFINED | 01 | 15 |
| EMC INSURANCE GROUP INC | COM | 268664109 | 1088 | 43832 | SH | DEFINED | 01 | 02 |
| EMC INSURANCE GROUP INC | COM | 268664109 | 732 | 29510 | SH | DEFINED | 01 | 02 |
| EMC INSURANCE GROUP INC | COM | 268664109 | 248 | 10000 | SH | DEFINED | 01 | 03 |
| EMS TECHNOLOGIES INC | COM | 26873N108 | 1044 | 47340 | SH | DEFINED | 01 | 02 |
| ENBC CORP NO 100HOLD | COM | 26874E107 | 0 | 175 | SH | DEFINED | 01 | 15 |
| ENSCO INTERNATIONAL INC | COM | 26874Q100 | 32596 | 534287 | SH | DEFINED | 01 | 02 |
| ENSCO INTERNATIONAL INC | COM | 26874Q100 | 6890 | 112935 | SH | DEFINED | 01 | 02 |
| ENSCO INTERNATIONAL INC | COM | 26874Q100 | 2422 | 39708 | SH | DEFINED | 01 | 03 |
| ENSCO INTERNATIONAL INC | COM | 26874Q100 | 17734 | 290673 | SH | DEFINED | 01 | 10 |
| ENSCO INTERNATIONAL INC | COM | 26874Q100 | 202 | 3317 | SH | DEFINED | 01 | 15 |
| ENI SPA-SPONSORED ADR | COM | 26874R108 | 1256 | 17359 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| ENI SPA-SPONSORED ADR | COM | 26874R108 | 390 | 5391 | SH | DEFINED | 01 | 15 |
| E MED FUTURE INC | COM | 26875D108 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| EOG RESOURCES INC | COM | 26875P101 | 34057 | 466152 | SH | DEFINED | 01 | 02 |
| EOG RESOURCES INC | COM | 26875P101 | 2957 | 40478 | SH | DEFINED | 01 | 03 |
| EOG RESOURCES INC | COM | 26875P101 | 163 | 2225 | SH | DEFINED | 01 | 15 |
| E ON AG SPON ADR | COM | 268780103 | 521 | 9361 | SH | DEFINED | 01 | 15 |
| EPIQ SYSTEMS INC | COM | 26882D109 | 851 | 52671 | SH | DEFINED | 01 | 02 |
| EPIQ SYSTEMS INC | COM | 26882D109 | 162 | 10040 | SH | DEFINED | 01 | 15 |
| ESB FINANCIAL CORP | COM | 26884F102 | 21 | 1933 | SH | DEFINED | 01 | 15 |
| EMR MICROWAVE TECH CRP | COM | 268922101 | 0 | 15000 | SH | DEFINED | 01 | 15 |
| EAGLE BANCORP INC MD | COM | 268948106 | 23 | 1378 | SH | DEFINED | 01 | 15 |
| E.SPIRE COMMUNICAT | COM | 269153102 | 0 | 1675 | SH | DEFINED | 01 | 15 |
| E-SMART TECHNOLOGI | COM | 26915M100 | 1 | 15000 | SH | DEFINED | 01 | 15 |
| E*TRADE FINANCIAL CORP | COM | 269246104 | 14522 | 657416 | SH | DEFINED | 01 | 02 |
| E*TRADE FINANCIAL CORP | COM | 269246104 | 7779 | 352129 | SH | DEFINED | 01 | 02 |
| E*TRADE FINANCIAL CORP | COM | 269246104 | 1855 | 83974 | SH | DEFINED | 01 | 03 |
| E*TRADE FINANCIAL CORP | COM | 269246104 | 84188 | 3812899 | SH | DEFINED | 01 | 10 |
| E*TRADE FINANCIAL CORP | COM | 269246104 | 685 | 31005 | SH | DEFINED | 01 | 15 |
| E TRADE FINL CORP 6.125% COR | COM | 269246203 | 20 | 700 | SH | DEFINED | 01 | 15 |
| EXCO RESOURCES INC | COM | 269279402 | 10984 | 629800 | SH | DEFINED | 01 | 02 |
| EV3 INC | COM | 26928A200 | 287 | 16982 | SH | DEFINED | 01 | 03 |
| EV3 INC | COM | 26928A200 | 2748 | 163112 | SH | DEFINED | 01 | 10 |
| EV3 INC | COM | 26928A200 | 6 | 380 | SH | DEFINED | 01 | 15 |
| EAGLE BROADBAND INC COM NEW | COM | 269437208 | 0 | 4214 | SH | DEFINED | 01 | 15 |
| EAGLE MATERIALS INC | COM | 26969P108 | 132 | 2690 | SH | DEFINED | 01 | 15 |
| EAGLE TEST SYS INC COM | COM | 270006109 | 32 | 2000 | SH | DEFINED | 01 | 15 |
| EARTHCARE CO NO 100HOLD | COM | 27031N105 | 0 | 13462 | SH | DEFINED | 01 | 15 |
| EARTHLINK INC | COM | 270321102 | 590 | 78998 | SH | DEFINED | 01 | 02 |
| EARTHLINK INC | COM | 270321102 | 9 | 1240 | SH | DEFINED | 01 | 15 |
| EARTHSHELL CORP COM NEW | COM | 27032B209 | 0 | 84 | SH | DEFINED | 01 | 15 |
| EARTHSTAR BANK | COM | 27033C107 | 0 | 140 | SH | DEFINED | 01 | 15 |
| EAST WEST BANCORP INC | COM | 27579R104 | 4429 | 113901 | SH | DEFINED | 01 | 02 |
| EAST WEST BANCORP INC | COM | 27579R104 | 12 | 300 | SH | DEFINED | 01 | 15 |
| EASTERN AMER NATURAL GAS TR | COM | 276217106 | 1142 | 45550 | SH | DEFINED | 01 | 02 |
| EASTERN AMER NATURAL GAS TR | COM | 276217106 | 78 | 3100 | SH | DEFINED | 01 | 15 |
| EASTGROUP PROPERTIES INC | COM | 277276101 | 5847 | 133440 | SH | DEFINED | 01 | 02 |
| EASTLAND FINL CORP | COM | 277379103 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| EASTMAN CHEMICAL CO | COM | 277432100 | 72099 | 1120775 | SH | DEFINED | 01 | 02 |
| EASTMAN CHEMICAL CO | COM | 277432100 | 896 | 13921 | SH | DEFINED | 01 | 03 |
| EASTMAN CHEMICAL CO | COM | 277432100 | 59 | 915 | SH | DEFINED | 01 | 15 |
| EASTMAN KODAK CO | COM | 277461109 | 95274 | 3423505 | SH | DEFINED | 01 | 02 |
| EASTMAN KODAK CO | COM | 277461109 | 1325 | 47610 | SH | DEFINED | 01 | 03 |
| EASTMAN KODAK CO | COM | 277461109 | 139 | 5012 | SH | DEFINED | 01 | 15 |
| EATON CORP | COM | 278058102 | 240516 | 2586207 | SH | DEFINED | 01 | 02 |
| EATON CORP | COM | 278058102 | 2846 | 30592 | SH | DEFINED | 01 | 03 |
| EATON CORP | COM | 278058102 | 1651 | 17757 | SH | DEFINED | 01 | 15 |
| EATON VANCE CORP | COM | 278265103 | 1774 | 40139 | SH | DEFINED | 01 | 02 |
| EATON VANCE CORP | COM | 278265103 | 103 | 2325 | SH | DEFINED | 01 | 15 |
| EATON VANCE FLA MUN INCOME TR | COM | 27826B100 | 28 | 2000 | SH | DEFINED | 01 | 15 |
| EATON VANCE PA MUN TR AUC | COM | 27826T200 | 200 | 8 | SH | DEFINED | 01 | 15 |
| EATON VANCE MUN INCOME TR | COM | 27826U108 | 72 | 4743 | SH | DEFINED | 01 | 15 |
| EATON VANCE ENHANCEDEQUITY INC | COM | 278274105 | 46 | 2250 | SH | DEFINED | 01 | 15 |
| EATON VANCE ENHANCED E | COM | 278277108 | 163 | 7929 | SH | DEFINED | 01 | 15 |
| EATON VANCE FLOATING RATE INCO | COM | 278279104 | 130 | 6826 | SH | DEFINED | 01 | 15 |
| EATON VANCE INSD MUN BD FD | COM | 27827X101 | 502 | 33514 | SH | DEFINED | 01 | 15 |
| EATON VANCE INSD MUN BD FD | COM | 27827X606 | 150 | 6 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| EATON VANCE INSD CALIF MUN | COM | 27828A100 | 7 | 500 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX ADVANTAGE | COM | 27828G107 | 1170 | 39712 | SH | DEFINED | 01 | 15 |
| EATON VANCE LTD DURATION I | COM | 27828H105 | 368 | 20614 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX MANAGED DI | COM | 27828N102 | 22 | 1120 | SH | DEFINED | 01 | 15 |
| EATON VANCE SR FLOATING R | COM | 27828Q105 | 129 | 6853 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX ADVANTAGED | COM | 27828S101 | 457 | 16527 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX ADVANTAGED | COM | 27828U106 | 331 | 9748 | SH | DEFINED | 01 | 15 |
| EATON VANCE SHORT DURATION D | COM | 27828V104 | 582 | 32386 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX MANAGED BU | COM | 27828X100 | 41 | 2029 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX MANAGED BU | COM | 27828Y108 | 181 | 9283 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX MANAGED GL | COM | 27829C105 | 498 | 25668 | SH | DEFINED | 01 | 15 |
| EATON VANCE TAX MANAGED GL | COM | 27829F108 | 133 | 6800 | SH | DEFINED | 01 | 15 |
| EBAY INC | COM | 278642103 | 113181 | 3517099 | SH | DEFINED | 01 | 02 |
| EBAY INC | COM | 278642103 | 9641 | 299604 | SH | DEFINED | 01 | 02 |
| EBAY INC | COM | 278642103 | 6149 | 191074 | SH | DEFINED | 01 | 03 |
| EBAY INC | COM | 278642103 | 121145 | 3768120 | SH | DEFINED | 01 | 10 |
| EBAY INC | COM | 278642103 | 1298 | 40351 | SH | DEFINED | 01 | 15 |
| EBIX INC NEW | COM | 278715206 | 4 | 100 | SH | DEFINED | 01 | 15 |
| ECHELON CORP | COM | 27874N105 | 23 | 1440 | SH | DEFINED | 01 | 15 |
| ECHOSTAR COMMUN CORP | COM | 278762109 | 9736 | 224474 | SH | DEFINED | 01 | 02 |
| ECHOSTAR COMMUN CORP | COM | 278762109 | 35 | 796 | SH | DEFINED | 01 | 03 |
| ECHOSTAR COMMUN CORP | COM | 278762109 | 33216 | 766761 | SH | DEFINED | 01 | 10 |
| ECHOSTAR COMMUN CORP | COM | 278762109 | 3517 | 81095 | SH | DEFINED | 01 | 15 |
| ECLIPSYS CORP | COM | 278856109 | 178 | 9000 | SH | DEFINED | 01 | 15 |
| ECO 2 INC | COM | 278859103 | 0 | 925 | SH | DEFINED | 01 | 15 |
| ECOLAB INC | COM | 278865100 | 6245 | 146241 | SH | DEFINED | 01 | 02 |
| ECOLAB INC | COM | 278865100 | 1239 | 29008 | SH | DEFINED | 01 | 03 |
| ECOLAB INC | COM | 278865100 | 141 | 3293 | SH | DEFINED | 01 | 15 |
| ECOLLEGE.COM CASH MERGE | COM | 27887E100 | 27 | 1225 | SH | DEFINED | 01 | 15 |
| ECOM ECOM COM INC | COM | 27889U102 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| ECOSCIENCE CORP NO STKHOLD | COM | 279218200 | 0 | 2910 | SH | DEFINED | 01 | 15 |
| EDIETS COM INC | COM | 280597105 | 0 | 26 | SH | DEFINED | 01 | 15 |
| EDISON INTERNATIONAL | COM | 281020107 | 76724 | 1367161 | SH | DEFINED | 01 | 02 |
| EDISON INTERNATIONAL | COM | 281020107 | 3026 | 53913 | SH | DEFINED | 01 | 03 |
| EDISON INTERNATIONAL | COM | 281020107 | 329 | 5864 | SH | DEFINED | 01 | 15 |
| EDO CORP | COM | 281347104 | 892 | 27135 | SH | DEFINED | 01 | 02 |
| EDO CORP | COM | 281347104 | 49 | 1476 | SH | DEFINED | 01 | 15 |
| EDUCATION REALTY TRUST INC | COM | 28140H104 | 2961 | 210985 | SH | DEFINED | 01 | 02 |
| EDULINK INC | COM | 28164R103 | 0 | 18000 | SH | DEFINED | 01 | 15 |
| AG EDWARDS INC | COM | 281760108 | 5133 | 60700 | SH | DEFINED | 01 | 02 |
| AG EDWARDS INC | COM | 281760108 | 114 | 1345 | SH | DEFINED | 01 | 15 |
| EDWARDS LIFESCIENCES CORP | COM | 28176E108 | 227 | 4602 | SH | DEFINED | 01 | 02 |
| EDWARDS LIFESCIENCES CORP | COM | 28176E108 | 901 | 18264 | SH | DEFINED | 01 | 15 |
| EFUNDS CORP | COM | 28224R101 | 2788 | 79000 | SH | DEFINED | 01 | 02 |
| EFUNDS CORP | COM | 28224R101 | 247 | 7000 | SH | DEFINED | 01 | 03 |
| EFUNDS CORP | COM | 28224R101 | 0 | 7 | SH | DEFINED | 01 | 15 |
| EGGHEAD.COM INC NEW NO 100 | COM | 282329101 | 0 | 1313 | SH | DEFINED | 01 | 15 |
| EHEALTH INC COM | COM | 28238P109 | 7 | 355 | SH | DEFINED | 01 | 15 |
| 800 AMER.COM INC COM | COM | 28248N102 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| EL CAPITAN PRECIOUS METALS INC | COM | 282812106 | 5 | 11900 | SH | DEFINED | 01 | 15 |
| 8X8 INC NEW | COM | 282914100 | 2 | 1300 | SH | DEFINED | 01 | 15 |
| EL PASO CORP | COM | 28336L109 | 48207 | 2797918 | SH | DEFINED | 01 | 02 |
| EL PASO CORP | COM | 28336L109 | 2269 | 131653 | SH | DEFINED | 01 | 03 |
| EL PASO CORP | COM | 28336L109 | 154 | 8953 | SH | DEFINED | 01 | 15 |
| EL PASO ELECTRIC CO | COM | 283677854 | 11170 | 454795 | SH | DEFINED | 01 | 02 |
| EL PASO ELECTRIC CO | COM | 283677854 | 1131 | 46120 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| EL PASO ELECTRIC CO | COM | 283677854 | 413 | 16800 | SH | DEFINED | 01 | 03 |
| EL PASO ELECTRIC CO | COM | 283677854 | 14 | 582 | SH | DEFINED | 01 | 15 |
| EL PASO ENERGY CAP TR I PFD 4 | COM | 283678209 | 17 | 400 | SH | DEFINED | 01 | 15 |
| ELAN CORP ADR(CNV TO 1 ORD EUR | COM | 284131208 | 265 | 12099 | SH | DEFINED | 01 | 15 |
| ELEC COMMUNICATIONS CORPORATIO | COM | 284739109 | 0 | 400 | SH | DEFINED | 01 | 15 |
| ELDORADO GOLD CORP NEW ISIN # | COM | 284902103 | 110 | 18850 | SH | DEFINED | 01 | 15 |
| ELECTRO BRAIN INTL CORP COM N | COM | 285062204 | 2 | 411000 | SH | DEFINED | 01 | 15 |
| ELECTRO SCIENTIFIC INDS INC | COM | 285229100 | 998 | 47959 | SH | DEFINED | 01 | 02 |
| ELECTRON SOLAR ENERGY | COM | 285433108 | 0 | 460 | SH | DEFINED | 01 | 15 |
| ELECTRONIC ARTS INC | COM | 285512109 | 36653 | 774553 | SH | DEFINED | 01 | 02 |
| ELECTRONIC ARTS INC | COM | 285512109 | 3263 | 68954 | SH | DEFINED | 01 | 03 |
| ELECTRONIC ARTS INC | COM | 285512109 | 108308 | 2290277 | SH | DEFINED | 01 | 10 |
| ELECTRONIC ARTS INC | COM | 285512109 | 462 | 9763 | SH | DEFINED | 01 | 15 |
| ELECTRONIC DATA SYSTEMS CORP | COM | 285661104 | 115408 | 4161885 | SH | DEFINED | 01 | 02 |
| ELECTRONIC DATA SYSTEMS CORP | COM | 285661104 | 2679 | 96618 | SH | DEFINED | 01 | 03 |
| ELECTRONIC DATA SYSTEMS CORP | COM | 285661104 | 500 | 18031 | SH | DEFINED | 01 | 15 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 16620 | 588919 | SH | DEFINED | 01 | 02 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 1729 | 61280 | SH | DEFINED | 01 | 02 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 590 | 20900 | SH | DEFINED | 01 | 03 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 342 | 12128 | SH | DEFINED | 01 | 10 |
| ELECTRONICS FOR IMAGING | COM | 286082102 | 11 | 401 | SH | DEFINED | 01 | 15 |
| ELECTROSOURCE INC | COM | 286150206 | 0 | 16 | SH | DEFINED | 01 | 15 |
| ELEPHANT TALK COMMUNICAT | COM | 286202106 | 0 | 58 | SH | DEFINED | 01 | 15 |
| ELEPHANT TALK (RESTRICTE | COM | 286202999 | 0 | 301 | SH | DEFINED | 01 | 15 |
| ELIZABETH ARDEN INC | COM | 28660G106 | 4699 | 193690 | SH | DEFINED | 01 | 02 |
| ELIZABETH ARDEN INC | COM | 28660G106 | 1809 | 74559 | SH | DEFINED | 01 | 02 |
| ELIZABETH ARDEN INC | COM | 28660G106 | 610 | 25150 | SH | DEFINED | 01 | 03 |
| PERRY ELLIS INTERNATIONAL INC | COM | 288853104 | 6125 | 190402 | SH | DEFINED | 01 | 02 |
| PERRY ELLIS INTERNATIONAL INC | COM | 288853104 | 1158 | 35960 | SH | DEFINED | 01 | 02 |
| PERRY ELLIS INTERNATIONAL INC | COM | 288853104 | 421 | 13100 | SH | DEFINED | 01 | 03 |
| PERRY ELLIS INTERNATIONAL INC | COM | 288853104 | 10 | 303 | SH | DEFINED | 01 | 15 |
| ELOT INC | COM | 290143106 | 0 | 1700 | SH | DEFINED | 01 | 15 |
| ELRON ELECTR INDS LTD ORD IS | COM | 290160100 | 1 | 100 | SH | DEFINED | 01 | 15 |
| EMAGIN CORP COM NEW | COM | 29076N206 | 0 | 30 | SH | DEFINED | 01 | 15 |
| EMAGEON INC | COM | 29076V109 | 677 | 75013 | SH | DEFINED | 01 | 02 |
| EMBARQ CORP | COM | 29078E105 | 76078 | 1200570 | SH | DEFINED | 01 | 02 |
| EMBARQ CORP | COM | 29078E105 | 1584 | 24999 | SH | DEFINED | 01 | 03 |
| EMBARQ CORP | COM | 29078E105 | 92 | 1454 | SH | DEFINED | 01 | 15 |
| EMBRAER EMPRESA BRASILERIA | COM | 29081M102 | 58 | 1200 | SH | DEFINED | 01 | 15 |
| EMBRATEL PARTICIPAC | COM | 29081N209 | 0 | 117 | SH | DEFINED | 01 | 15 |
| EMCORE CORP | COM | 290846104 | 1 | 100 | SH | DEFINED | 01 | 15 |
| EMCOR GROUP INC | COM | 29084Q100 | 13016 | 178529 | SH | DEFINED | 01 | 02 |
| EMCOR GROUP INC | COM | 29084Q100 | 2282 | 31290 | SH | DEFINED | 01 | 02 |
| EMCOR GROUP INC | COM | 29084Q100 | 768 | 10530 | SH | DEFINED | 01 | 03 |
| EMCOR GROUP INC | COM | 29084Q100 | 171 | 2340 | SH | DEFINED | 01 | 15 |
| EMERGE INTERACTIVE INC COM NE | COM | 29088W301 | 0 | 1392 | SH | DEFINED | 01 | 15 |
| EMERGING MARKET TELECOMMUN | COM | 290890102 | 11 | 499 | SH | DEFINED | 01 | 15 |
| EMERGENT BIOSOLUTIONS INC | COM | 29089Q105 | 227 | 22000 | SH | DEFINED | 01 | 02 |
| EMERITUS CORP | COM | 291005106 | 281 | 9074 | SH | DEFINED | 01 | 02 |
| EMERITUS CORP | COM | 291005106 | 607 | 19600 | SH | DEFINED | 01 | 15 |
| EMERGENCY MEDICAL SERVICES-A | COM | 29100P102 | 2047 | 52320 | SH | DEFINED | 01 | 02 |
| EMERSON ELECTRIC CO | COM | 291011104 | 54266 | 1159518 | SH | DEFINED | 01 | 02 |
| EMERSON ELECTRIC CO | COM | 291011104 | 6159 | 131604 | SH | DEFINED | 01 | 03 |
| EMERSON ELECTRIC CO | COM | 291011104 | 16541 | 353900 | SH | DEFINED | 01 | 10 |
| EMERSON ELECTRIC CO | COM | 291011104 | 1774 | 37898 | SH | DEFINED | 01 | 15 |
| EMISPHERE TECH INC | COM | 291345106 | 15 | 3000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| EMPIRE DISTRICT ELECTRIC CO | COM | 291641108 | 2580 | 115460 | SH | DEFINED | 01 | 02 |
| EMPIRE DISTRICT ELECTRIC CO | COM | 291641108 | 787 | 35200 | SH | DEFINED | 01 | 02 |
| EMPIRE DISTRICT ELECTRIC CO | COM | 291641108 | 293 | 13100 | SH | DEFINED | 01 | 03 |
| EMPIRE DISTRICT ELECTRIC CO | COM | 291641108 | 20 | 872 | SH | DEFINED | 01 | 15 |
| EMPIRE DIST ELEC TR I PFD SECS | COM | 291642205 | 21 | 788 | SH | DEFINED | 01 | 15 |
| EMPIRE RESORTS INC | COM | 292052107 | 22 | 3000 | SH | DEFINED | 01 | 15 |
| EMPIRE RESOURCES INCDEL COM | COM | 29206E100 | 8 | 865 | SH | DEFINED | 01 | 15 |
| EMPLOYERS HOLDINGS INC | COM | 292218104 | 2008 | 94707 | SH | DEFINED | 01 | 10 |
| EMPRESA NACIONAL DE ELECTRICID | COM | 29244T101 | 33 | 687 | SH | DEFINED | 01 | 15 |
| EMULEX CORP | COM | 292475209 | 2521 | 115322 | SH | DEFINED | 01 | 02 |
| EMULEX CORP | COM | 292475209 | 572 | 26210 | SH | DEFINED | 01 | 02 |
| EMULEX CORP | COM | 292475209 | 214 | 9780 | SH | DEFINED | 01 | 03 |
| EMULEX CORP | COM | 292475209 | 9 | 400 | SH | DEFINED | 01 | 15 |
| ENAMELON INC NO 100HOLD | COM | 292499100 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| ENCANA CORP | COM | 292505104 | 40888 | 665397 | SH | DEFINED | 01 | 02 |
| ENCANA CORP | COM | 292505104 | 162 | 2633 | SH | DEFINED | 01 | 03 |
| ENCANA CORP | COM | 292505104 | 679 | 11054 | SH | DEFINED | 01 | 15 |
| ENBRIDGE INC | COM | 29250N105 | 59748 | 1768756 | SH | DEFINED | 01 | 02 |
| ENBRIDGE INC | COM | 29250N105 | 44 | 1300 | SH | DEFINED | 01 | 15 |
| ENBRIDGE ENERGY MANAGEMENT LLC | COM | 29250X103 | 0 | 1 | SH | DEFINED | 01 | 02 |
| ENCON SYS INC | COM | 292553104 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| ENCOMPASS SVCS CORP NO 100HOLD | COM | 29255U104 | 0 | 100 | SH | DEFINED | 01 | 15 |
| ENCORE ACQUISITION CO | COM | 29255W100 | 11266 | 405250 | SH | DEFINED | 01 | 02 |
| ENCORE WIRE CORP | COM | 292562105 | 185 | 6300 | SH | DEFINED | 01 | 02 |
| ENCORE WIRE CORP | COM | 292562105 | 30 | 1027 | SH | DEFINED | 01 | 15 |
| ENCORE CLEAN ENERGY INC | COM | 29256J108 | 0 | 5 | SH | DEFINED | 01 | 15 |
| ENCYSIVE PHARMACEUT | COM | 29256X107 | 2 | 1400 | SH | DEFINED | 01 | 15 |
| ENDESA -SPONSORED ADR ISIN # | COM | 29258N107 | 118 | 2235 | SH | DEFINED | 01 | 15 |
| ENDO PHARMACEUT HLDGS INC | COM | 29264F205 | 28228 | 824639 | SH | DEFINED | 01 | 02 |
| ENDO PHARMACEUT HLDGS INC | COM | 29264F205 | 670 | 19562 | SH | DEFINED | 01 | 03 |
| ENDO PHARMACEUT HLDGS INC | COM | 29264F205 | 2258 | 65946 | SH | DEFINED | 01 | 10 |
| ENDO PHARMACEUT HLDGS INC | COM | 29264F205 | 79 | 2316 | SH | DEFINED | 01 | 15 |
| ENDOCARE INC | COM | 29264P104 | 49 | 18091 | SH | DEFINED | 01 | 02 |
| ENERGY CONVERSION DEVICES INC | COM | 292659109 | 1467 | 47638 | SH | DEFINED | 01 | 02 |
| ENERGY CONVERSION DEVICES INC | COM | 292659109 | 67 | 2175 | SH | DEFINED | 01 | 15 |
| ENERGEN CORP | COM | 29265N108 | 8661 | 157644 | SH | DEFINED | 01 | 02 |
| ENERGEN CORP | COM | 29265N108 | 530 | 9648 | SH | DEFINED | 01 | 15 |
| ENEL SOCIETA PER AZIONI ADR | COM | 29265W108 | 91 | 1703 | SH | DEFINED | 01 | 15 |
| ENERGY EAST CORP | COM | 29266M109 | 29335 | 1124381 | SH | DEFINED | 01 | 02 |
| ENERGY EAST CORP | COM | 29266M109 | 600 | 22994 | SH | DEFINED | 01 | 15 |
| ENERGIZER HOLDINGS INC | COM | 29266R108 | 1490 | 14964 | SH | DEFINED | 01 | 02 |
| ENERGIZER HOLDINGS INC | COM | 29266R108 | 22 | 217 | SH | DEFINED | 01 | 15 |
| ENDURANCE SPECIALTY HLDG SER P | COM | 29267H208 | 91 | 3491 | SH | DEFINED | 01 | 15 |
| ENERGY CONSV TECHNOLOGI | COM | 29267V108 | 1 | 4000 | SH | DEFINED | 01 | 15 |
| ENERGY PARTNERS LTD | COM | 29270U105 | 1053 | 63070 | SH | DEFINED | 01 | 02 |
| ENERGY PARTNERS LTD | COM | 29270U105 | 36 | 2128 | SH | DEFINED | 01 | 15 |
| ENERGY METALS CORP ISIN #CA29 | COM | 29271B106 | 180 | 12388 | SH | DEFINED | 01 | 15 |
| ENERPLUS RES FD TR UNIT SER G | COM | 29274D604 | 1632 | 34661 | SH | DEFINED | 01 | 15 |
| ENERSIS S A SPONS ADR | COM | 29274F104 | 34 | 1712 | SH | DEFINED | 01 | 15 |
| ENERNORTH INDS INC ISIN #CA29 | COM | 29275G101 | 0 | 600 | SH | DEFINED | 01 | 15 |
| ENERGYTEC INC | COM | 29275M108 | 2 | 20225 | SH | DEFINED | 01 | 15 |
| ENERSYS | COM | 29275Y102 | 1249 | 68317 | SH | DEFINED | 01 | 02 |
| ENERSYS | COM | 29275Y102 | 873 | 47700 | SH | DEFINED | 01 | 02 |
| ENERSYS | COM | 29275Y102 | 302 | 16500 | SH | DEFINED | 01 | 03 |
| ENDO LASE INC NO STKHLDR | COM | 292935103 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ENERGYSOUTH INC | COM | 292970100 | 15 | 285 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| ENGLOBAL CORP | COM | 293306106 | 118 | 9709 | SH | DEFINED | 01 | 02 |
| ENNIS INC | COM | 293389102 | 1372 | 58301 | SH | DEFINED | 01 | 02 |
| ENPRO INDUSTRIES INC | COM | 29355X107 | 3203 | 74831 | SH | DEFINED | 01 | 02 |
| ENPRO INDUSTRIES INC | COM | 29355X107 | 9 | 206 | SH | DEFINED | 01 | 15 |
| ENRON CORP | COM | 293561106 | 0 | 125444 | SH | DEFINED | 01 | 15 |
| ENRON CAP RES L P PFD SER A | COM | 29357D208 | 0 | 2200 | SH | DEFINED | 01 | 15 |
| ENSECO ENERGY SVCS CORP COM I | COM | 29358F103 | 0 | 88 | SH | DEFINED | 01 | 15 |
| ENTEGRIS INC | COM | 29362U104 | 11 | 920 | SH | DEFINED | 01 | 15 |
| ENERGY ARK INC 1ST MTG BD | COM | 29364D795 | 53 | 2175 | SH | DEFINED | 01 | 15 |
| ENERGY ARKANSAS INCPFD 6.70% | COM | 29364D811 | 14 | 550 | SH | DEFINED | 01 | 15 |
| ENERGY CORP | COM | 29364G103 | 61387 | 571828 | SH | DEFINED | 01 | 02 |
| ENERGY CORP | COM | 29364G103 | 3504 | 32641 | SH | DEFINED | 01 | 03 |
| ENERGY CORP | COM | 29364G103 | 172 | 1598 | SH | DEFINED | 01 | 15 |
| ENERGY CORP NEW EQUITY UNI | COM | 29364G202 | 33 | 500 | SH | DEFINED | 01 | 15 |
| ENERGY MISS INC 1ST MTG BD | COM | 29364N868 | 779 | 30900 | SH | DEFINED | 01 | 15 |
| ENERGY NEW ORLEANS INC PFD 4. | COM | 29364P301 | 16 | 200 | SH | DEFINED | 01 | 15 |
| ENTERPRISE INVTs INCCDT COM | COM | 29372P103 | 0 | 500 | SH | DEFINED | 01 | 15 |
| ENTERTAINMENT PROPERTIES TR | COM | 29380T105 | 6166 | 114668 | SH | DEFINED | 01 | 02 |
| ENTERTAINMENT PROPERTIES TR | COM | 29380T105 | 733 | 13650 | SH | DEFINED | 01 | 02 |
| ENTERTAINMENT PROPERTIES TR | COM | 29380T105 | 274 | 5100 | SH | DEFINED | 01 | 03 |
| ENTERRA ENERGY TR TR UNITS I | COM | 29381P102 | 17 | 2894 | SH | DEFINED | 01 | 15 |
| ENTREMED INC | COM | 29382F103 | 0 | 175 | SH | DEFINED | 01 | 15 |
| ENTERTAINMENT DISTR CO INC | COM | 29382J105 | 0 | 50 | SH | DEFINED | 01 | 15 |
| ENTRAVISION COMMUNICATIONS COR | COM | 29382R107 | 3716 | 356394 | SH | DEFINED | 01 | 02 |
| ENTRAVISION COMMUNICATIONS COR | COM | 29382R107 | 570 | 54720 | SH | DEFINED | 01 | 02 |
| ENTRAVISION COMMUNICATIONS COR | COM | 29382R107 | 194 | 18600 | SH | DEFINED | 01 | 03 |
| ENTRUST INC | COM | 293848107 | 418 | 102856 | SH | DEFINED | 01 | 02 |
| ENZON PHARMACEUTICALS INC | COM | 293904108 | 1330 | 169375 | SH | DEFINED | 01 | 02 |
| ENZON PHARMACEUTICALS INC | COM | 293904108 | 0 | 20 | SH | DEFINED | 01 | 15 |
| ENVIRONMENTAL CONTROL GP | COM | 293928107 | 0 | 250 | SH | DEFINED | 01 | 15 |
| EQUIMED INC REINCORPOR | COM | 293988309 | 0 | 499 | SH | DEFINED | 01 | 15 |
| ENRON TOPRS 8.125% PFD | COM | 293ESC208 | 0 | 500 | SH | DEFINED | 01 | 15 |
| ENVIRO-RECOVERY INC | COM | 29403M103 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| ENVIRONMENTAL PWR CORP COM N | COM | 29406L201 | 18 | 2029 | SH | DEFINED | 01 | 15 |
| ENVIRONMENTAL ENERGYSVCS INC | COM | 29406Q101 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| ENVIRONMENTAL SOLUTIONS | COM | 29408K102 | 6 | 8000 | SH | DEFINED | 01 | 15 |
| ENZO BIOCHEM INC | COM | 294100102 | 764 | 51134 | SH | DEFINED | 01 | 02 |
| ENZO BIOCHEM INC | COM | 294100102 | 2 | 113 | SH | DEFINED | 01 | 15 |
| EPIC BANCORP | COM | 294250105 | 6 | 470 | SH | DEFINED | 01 | 15 |
| EPICOR SOFTWARE CORP | COM | 29426L108 | 2945 | 198057 | SH | DEFINED | 01 | 02 |
| EPICOR SOFTWARE CORP | COM | 29426L108 | 29 | 1980 | SH | DEFINED | 01 | 15 |
| EQUIFAX INC | COM | 294429105 | 4242 | 95490 | SH | DEFINED | 01 | 02 |
| EQUIFAX INC | COM | 294429105 | 1069 | 24071 | SH | DEFINED | 01 | 03 |
| EQUIFAX INC | COM | 294429105 | 527 | 11875 | SH | DEFINED | 01 | 15 |
| EQUINIX INC | COM | 29444U502 | 3898 | 42619 | SH | DEFINED | 01 | 02 |
| EQUINIX INC | COM | 29444U502 | 7 | 77 | SH | DEFINED | 01 | 15 |
| EQUITABLE RESOURCES INC | COM | 294549100 | 37 | 748 | SH | DEFINED | 01 | 02 |
| EQUITABLE RESOURCES INC | COM | 294549100 | 204 | 4122 | SH | DEFINED | 01 | 15 |
| EQUITEX INC WT EXP CL | COM | 294592126 | 0 | 12 | SH | DEFINED | 01 | 15 |
| EQUITEX INC WT EXP CL | COM | 294592134 | 0 | 12 | SH | DEFINED | 01 | 15 |
| EQUITY FDG CORP AMER VALUELESS | COM | 294677109 | 0 | 8 | SH | DEFINED | 01 | 15 |
| EQUITY INCOME FUND (AT & T) | COM | 294700703 | 696 | 5498 | SH | DEFINED | 01 | 15 |
| EQUITY INNS INC | COM | 294703103 | 1904 | 85000 | SH | DEFINED | 01 | 02 |
| EQUITY LIFESTYLE PROPERTIES | COM | 29472R108 | 4194 | 80347 | SH | DEFINED | 01 | 02 |
| EQUUS TOTAL RETURN INC | COM | 294766100 | 2 | 276 | SH | DEFINED | 01 | 15 |
| EQUITY RESIDENTIAL | COM | 29476L107 | 42197 | 924750 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| EQUITY RESIDENTIAL | COM | 29476L107 | 2196 | 48118 | SH | DEFINED | 01 | 03 |
| EQUITY RESIDENTIAL | COM | 29476L107 | 558 | 12261 | SH | DEFINED | 01 | 10 |
| EQUITY RESIDENTIAL DEPOSITARY | COM | 29476L784 | 18 | 750 | SH | DEFINED | 01 | 15 |
| EQUITY RESIDENTIAL 8.60% SER | COM | 29476L800 | 84 | 3385 | SH | DEFINED | 01 | 15 |
| ERICSSON (LM) TEL-SP ADR | COM | 294821608 | 210570 | 5278775 | SH | DEFINED | 01 | 02 |
| ERICSSON (LM) TEL-SP ADR | COM | 294821608 | 19 | 488 | SH | DEFINED | 01 | 03 |
| ERICSSON (LM) TEL-SP ADR | COM | 294821608 | 220 | 5518 | SH | DEFINED | 01 | 15 |
| ERIE INDY CO CL A | COM | 29530P102 | 764 | 14138 | SH | DEFINED | 01 | 15 |
| ESCO TECHNOLOGIES INC | COM | 296315104 | 631 | 17400 | SH | DEFINED | 01 | 02 |
| ESCO TECHNOLOGIES INC | COM | 296315104 | 7 | 200 | SH | DEFINED | 01 | 15 |
| ESECURESOFT CO | COM | 296423106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| E SECURE SOFT COMPANY (R | COM | 296423999 | 0 | 271 | SH | DEFINED | 01 | 15 |
| ESPEED INC CL A | COM | 296643109 | 7 | 755 | SH | DEFINED | 01 | 15 |
| ESOFT INC | COM | 296904105 | 2 | 9750 | SH | DEFINED | 01 | 15 |
| ESSEX PROPERTY TRUST INC | COM | 297178105 | 8882 | 76378 | SH | DEFINED | 01 | 02 |
| ESTERLINE TECHNOLOGIES CORP | COM | 297425100 | 2032 | 42060 | SH | DEFINED | 01 | 02 |
| ETELECARE GLOBAL SOLUTION-ADS | COM | 29759R102 | 417 | 25791 | SH | DEFINED | 01 | 02 |
| ESTABLISHMENTS DELHAIZE F | COM | 29759W101 | 3 | 31 | SH | DEFINED | 01 | 15 |
| ETERNAL ENERGY CORP COM | COM | 29759Y107 | 0 | 400 | SH | DEFINED | 01 | 15 |
| ETHAN ALLEN INTERIORS INC | COM | 297602104 | 2588 | 75547 | SH | DEFINED | 01 | 02 |
| ETHAN ALLEN INTERIORS INC | COM | 297602104 | 570 | 16650 | SH | DEFINED | 01 | 02 |
| ETHAN ALLEN INTERIORS INC | COM | 297602104 | 212 | 6200 | SH | DEFINED | 01 | 03 |
| ETHAN ALLEN INTERIORS INC | COM | 297602104 | 162 | 4723 | SH | DEFINED | 01 | 15 |
| ETOYS INC NO 100HOLD | COM | 297862104 | 0 | 4190 | SH | DEFINED | 01 | 15 |
| ESTRAVELSERVE.COM INC | COM | 297865107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| ETS PAYPHONES INC | COM | 29786Q101 | 0 | 584 | SH | DEFINED | 01 | 15 |
| EURONET WORLDWIDE INC | COM | 298736109 | 1272 | 43600 | SH | DEFINED | 01 | 02 |
| EURONET WORLDWIDE INC | COM | 298736109 | 483 | 16551 | SH | DEFINED | 01 | 03 |
| EURONET WORLDWIDE INC | COM | 298736109 | 874 | 29972 | SH | DEFINED | 01 | 15 |
| EUROPE 2001 HOLDERS TR DEPOSIT | COM | 29875G105 | 17 | 200 | SH | DEFINED | 01 | 15 |
| EUROPEAN EQUITY FD INC COM | COM | 298768102 | 11 | 872 | SH | DEFINED | 01 | 15 |
| EUROTRUST A/S SPONS ADR | COM | 298CRE998 | 0 | 33 | SH | DEFINED | 01 | 15 |
| EVEREST RE CAP TR GTD TR PFD | COM | 299807206 | 8 | 300 | SH | DEFINED | 01 | 15 |
| EVEREST RE CAP TR IITR PFD SEC | COM | 29980R202 | 46 | 2000 | SH | DEFINED | 01 | 15 |
| EVERGREEN INCOME ADVANTAGE | COM | 30023Y105 | 1229 | 87168 | SH | DEFINED | 01 | 15 |
| EVERGREEN INTL BALANCED I | COM | 30024R109 | 90 | 4205 | SH | DEFINED | 01 | 15 |
| EVERGREEN MULT SECTOR INC | COM | 30024Y104 | 79 | 4633 | SH | DEFINED | 01 | 15 |
| EVERGREEN SOLAR INC | COM | 30033R108 | 60 | 6475 | SH | DEFINED | 01 | 15 |
| EVERGREEN UTILS & HIGH INCOM | COM | 30034Q109 | 26 | 965 | SH | DEFINED | 01 | 15 |
| EVERLAST WORLDWIDE INC COM | COM | 300355104 | 200 | 6200 | SH | DEFINED | 01 | 15 |
| EVOLUTION PETROLEUM CORP | COM | 30049A107 | 885 | 290153 | SH | DEFINED | 01 | 02 |
| EVOLVE SOFTWARE INC NEW NO STK | COM | 30049P708 | 0 | 30 | SH | DEFINED | 01 | 15 |
| EXAR CORP | COM | 300645108 | 1453 | 108387 | SH | DEFINED | 01 | 02 |
| EXAR CORP | COM | 300645108 | 23 | 1710 | SH | DEFINED | 01 | 15 |
| EXCEL TECHNOLOGY INC | COM | 30067T103 | 3 | 100 | SH | DEFINED | 01 | 15 |
| EXCELSIOR-HENDERSON MOTORCYCLE | COM | 300906104 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| EXELON CORP | COM | 30161N101 | 132670 | 1827415 | SH | DEFINED | 01 | 02 |
| EXELON CORP | COM | 30161N101 | 6395 | 88081 | SH | DEFINED | 01 | 02 |
| EXELON CORP | COM | 30161N101 | 8335 | 114810 | SH | DEFINED | 01 | 03 |
| EXELON CORP | COM | 30161N101 | 98212 | 1354270 | SH | DEFINED | 01 | 10 |
| EXELON CORP | COM | 30161N101 | 2312 | 31842 | SH | DEFINED | 01 | 15 |
| EXELIXIS INC | COM | 30161Q104 | 3080 | 254400 | SH | DEFINED | 01 | 02 |
| EXELIXIS INC | COM | 30161Q104 | 4362 | 360510 | SH | DEFINED | 01 | 02 |
| EXELIXIS INC | COM | 30161Q104 | 2385 | 197133 | SH | DEFINED | 01 | 10 |
| EXIDE TECHNOLOGIES FORMERLY E | COM | 302051107 | 0 | 2850 | SH | DEFINED | 01 | 15 |
| EXODUS COMMUNICAT | COM | 302088109 | 0 | 12259 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| EXPEDIA INC | COM | 30212P105 | 5113 | 174530 | SH | DEFINED | 01 | 02 |
| EXPEDIA INC | COM | 30212P105 | 32 | 1109 | SH | DEFINED | 01 | 03 |
| EXPEDIA INC | COM | 30212P105 | 114275 | 3906823 | SH | DEFINED | 01 | 10 |
| EXPEDIA INC | COM | 30212P105 | 126 | 4317 | SH | DEFINED | 01 | 15 |
| EXPEDITORS INTL WASH INC | COM | 302130109 | 705 | 17069 | SH | DEFINED | 01 | 02 |
| EXPEDITORS INTL WASH INC | COM | 302130109 | 32 | 772 | SH | DEFINED | 01 | 03 |
| EXPEDITORS INTL WASH INC | COM | 302130109 | 715 | 17318 | SH | DEFINED | 01 | 15 |
| EXPERTELLIGENCE INC NEW | COM | 302132204 | 0 | 1250 | SH | DEFINED | 01 | 15 |
| EXPONENT INC | COM | 30214U102 | 2152 | 96130 | SH | DEFINED | 01 | 02 |
| EXPONENT INC | COM | 30214U102 | 707 | 31620 | SH | DEFINED | 01 | 02 |
| EXPONENT INC | COM | 30214U102 | 266 | 11900 | SH | DEFINED | 01 | 03 |
| EXPRESS SCRIPTS INC | COM | 302182100 | 37902 | 757841 | SH | DEFINED | 01 | 02 |
| EXPRESS SCRIPTS INC | COM | 302182100 | 2557 | 51132 | SH | DEFINED | 01 | 03 |
| EXPRESS SCRIPTS INC | COM | 302182100 | 1219 | 24382 | SH | DEFINED | 01 | 15 |
| EXPRESSJET HOLDINGS INC | COM | 30218U108 | 590 | 98665 | SH | DEFINED | 01 | 02 |
| EXTRACT INC | COM | 302258108 | 0 | 1300 | SH | DEFINED | 01 | 15 |
| EXTRA SPACE STORAGE INC | COM | 30225T102 | 3368 | 204100 | SH | DEFINED | 01 | 02 |
| EXTREME NETWORKS INC | COM | 30226D106 | 72 | 17821 | SH | DEFINED | 01 | 02 |
| EXTREME NETWORKS INC | COM | 30226D106 | 12 | 3000 | SH | DEFINED | 01 | 15 |
| EZ CORP INC | COM | 302301106 | 1934 | 146075 | SH | DEFINED | 01 | 02 |
| EZ CORP INC | COM | 302301106 | 3 | 255 | SH | DEFINED | 01 | 15 |
| EXXON MOBIL CORP | COM | 30231G102 | 918148 | 10945970 | SH | DEFINED | 01 | 02 |
| EXXON MOBIL CORP | COM | 30231G102 | 78922 | 940894 | SH | DEFINED | 01 | 03 |
| EXXON MOBIL CORP | COM | 30231G102 | 127419 | 1519783 | SH | DEFINED | 01 | 10 |
| EXXON MOBIL CORP | COM | 30231G102 | 30027 | 357979 | SH | DEFINED | 01 | 15 |
| EYECASHNETWORKS INC | COM | 30232F103 | 0 | 15 | SH | DEFINED | 01 | 15 |
| F A TUCKER GROUP INC | COM | 302396106 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| FBL FINANCIAL GROUP INC-CL A | COM | 30239F106 | 1277 | 32471 | SH | DEFINED | 01 | 02 |
| FBL FINANCIAL GROUP INC-CL A | COM | 30239F106 | 829 | 21100 | SH | DEFINED | 01 | 02 |
| FBL FINANCIAL GROUP INC-CL A | COM | 30239F106 | 294 | 7480 | SH | DEFINED | 01 | 03 |
| FEI COMPANY | COM | 30241L109 | 4396 | 135371 | SH | DEFINED | 01 | 02 |
| FEI COMPANY | COM | 30241L109 | 52 | 1600 | SH | DEFINED | 01 | 15 |
| FLIR SYSTEMS INC | COM | 302445101 | 10331 | 223378 | SH | DEFINED | 01 | 02 |
| FLIR SYSTEMS INC | COM | 302445101 | 904 | 19539 | SH | DEFINED | 01 | 03 |
| FLIR SYSTEMS INC | COM | 302445101 | 3701 | 80011 | SH | DEFINED | 01 | 10 |
| FLIR SYSTEMS INC | COM | 302445101 | 25 | 546 | SH | DEFINED | 01 | 15 |
| FBR CAP MKTS CORP | COM | 30247C301 | 54 | 3220 | SH | DEFINED | 01 | 15 |
| FINI GROUP INC COM | COM | 30247K105 | 0 | 41 | SH | DEFINED | 01 | 15 |
| FMC CORP | COM | 302491303 | 207 | 2320 | SH | DEFINED | 01 | 02 |
| FMC CORP | COM | 302491303 | 2 | 20 | SH | DEFINED | 01 | 15 |
| FMC TECHNOLOGIES INC | COM | 30249U101 | 1268 | 16000 | SH | DEFINED | 01 | 02 |
| FMC TECHNOLOGIES INC | COM | 30249U101 | 3 | 34 | SH | DEFINED | 01 | 15 |
| FNB CORP | COM | 302520101 | 3510 | 209616 | SH | DEFINED | 01 | 02 |
| FNB CORP | COM | 302520101 | 943 | 56340 | SH | DEFINED | 01 | 02 |
| FNB CORP | COM | 302520101 | 355 | 21200 | SH | DEFINED | 01 | 03 |
| FNB CORP | COM | 302520101 | 544 | 32483 | SH | DEFINED | 01 | 15 |
| F N B FINL SVCS CORP (NC) EXCHA | COM | 302526108 | 2 | 156 | SH | DEFINED | 01 | 15 |
| FPC CAP I QUARTERLY INCOME PFD | COM | 302552203 | 78 | 3100 | SH | DEFINED | 01 | 15 |
| FPIC INSURANCE GROUP INC | COM | 302563101 | 3988 | 97830 | SH | DEFINED | 01 | 02 |
| FPIC INSURANCE GROUP INC | COM | 302563101 | 810 | 19850 | SH | DEFINED | 01 | 02 |
| FPIC INSURANCE GROUP INC | COM | 302563101 | 273 | 6700 | SH | DEFINED | 01 | 03 |
| FPL GROUP INC | COM | 302571104 | 170262 | 3000734 | SH | DEFINED | 01 | 02 |
| FPL GROUP INC | COM | 302571104 | 4301 | 75793 | SH | DEFINED | 01 | 03 |
| FPL GROUP INC | COM | 302571104 | 2439 | 42994 | SH | DEFINED | 01 | 15 |
| FTD GROUP INC | COM | 30267U108 | 3925 | 213274 | SH | DEFINED | 01 | 02 |
| FTD GROUP INC | COM | 30267U108 | 721 | 39190 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| FTD GROUP INC | COM | 30267U108 | 265 | 14420 | SH | DEFINED | 01 | 03 |
| FX ENERGY INC | COM | 302695101 | 9 | 1000 | SH | DEFINED | 01 | 15 |
| FTI CONSULTING INC | COM | 302941109 | 2880 | 75730 | SH | DEFINED | 01 | 02 |
| FTI CONSULTING INC | COM | 302941109 | 25 | 654 | SH | DEFINED | 01 | 15 |
| FACTSET RESEARCH SYSTEMS INC | COM | 303075105 | 5256 | 76896 | SH | DEFINED | 01 | 02 |
| FACTSET RESEARCH SYSTEMS INC | COM | 303075105 | 22 | 318 | SH | DEFINED | 01 | 15 |
| FAIR ISAAC CORP | COM | 303250104 | 20052 | 499783 | SH | DEFINED | 01 | 02 |
| FAIR ISAAC CORP | COM | 303250104 | 1075 | 26799 | SH | DEFINED | 01 | 03 |
| FAIR ISAAC CORP | COM | 303250104 | 49 | 1213 | SH | DEFINED | 01 | 15 |
| FAIRCHILD SEMICON INTERNATIO | COM | 303726103 | 101 | 5230 | SH | DEFINED | 01 | 02 |
| FAIRCHILD SEMICON INTERNATIO | COM | 303726103 | 6 | 300 | SH | DEFINED | 01 | 15 |
| FAIRPOINT COMMUNICATIONS INC | COM | 305560104 | 440 | 24760 | SH | DEFINED | 01 | 02 |
| FAIRPOINT COMMUNICATIONS INC | COM | 305560104 | 215 | 12109 | SH | DEFINED | 01 | 15 |
| FALCONSTOR SOFTWARE INC | COM | 306137100 | 952 | 90199 | SH | DEFINED | 01 | 02 |
| FAMILY DOLLAR STORES | COM | 307000109 | 42807 | 1247289 | SH | DEFINED | 01 | 02 |
| FAMILY DOLLAR STORES | COM | 307000109 | 856 | 24956 | SH | DEFINED | 01 | 03 |
| FAMILY DOLLAR STORES | COM | 307000109 | 3 | 100 | SH | DEFINED | 01 | 15 |
| FAMILY GOLF CENTERS INC NO 100 | COM | 30701A106 | 0 | 800 | SH | DEFINED | 01 | 15 |
| FAMOUS DAVES AMER INC | COM | 307068106 | 34 | 1550 | SH | DEFINED | 01 | 15 |
| FARMERS CAPITAL BANK CORP | COM | 309562106 | 97 | 3336 | SH | DEFINED | 01 | 02 |
| FARMERS NATL BANC CORP | COM | 309627107 | 266 | 24897 | SH | DEFINED | 01 | 15 |
| FARO TECHNOLOGIES INC | COM | 311642102 | 717 | 22500 | SH | DEFINED | 01 | 02 |
| FASTENAL CO | COM | 311900104 | 21680 | 517893 | SH | DEFINED | 01 | 02 |
| FASTENAL CO | COM | 311900104 | 935 | 22337 | SH | DEFINED | 01 | 03 |
| FASTENAL CO | COM | 311900104 | 583 | 13939 | SH | DEFINED | 01 | 15 |
| FCSTONE GROUP INC | COM | 31308T100 | 4213 | 73507 | SH | DEFINED | 01 | 02 |
| FCSTONE GROUP INC | COM | 31308T100 | 50656 | 885633 | SH | DEFINED | 01 | 10 |
| FCSTONE GROUP INC | COM | 31308T100 | 32 | 565 | SH | DEFINED | 01 | 15 |
| FREDDIE MAC | COM | 313400301 | 98656 | 1625290 | SH | DEFINED | 01 | 02 |
| FREDDIE MAC | COM | 313400301 | 6645 | 109473 | SH | DEFINED | 01 | 03 |
| FREDDIE MAC | COM | 313400301 | 913 | 15041 | SH | DEFINED | 01 | 15 |
| FEDERAL HOME LN MTG CORP PFD % | COM | 313400749 | 25 | 500 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| FEDERAL MOGUL CORP | COM | 313549107 | 2 | 2200 | SH | DEFINED | 01 | 15 |
| FANNIE MAE | COM | 313586109 | 388032 | 5939564 | SH | DEFINED | 01 | 02 |
| FANNIE MAE | COM | 313586109 | 11609 | 177694 | SH | DEFINED | 01 | 03 |
| FANNIE MAE | COM | 313586109 | 1339 | 20500 | SH | DEFINED | 01 | 15 |
| FEDERAL NATL MTG ASSN PFD S | COM | 313586828 | 23 | 500 | SH | DEFINED | 01 | 15 |
| FEDERAL NATL MTG ASSN PFD S | COM | 313586836 | 12 | 300 | SH | DEFINED | 01 | 15 |
| FANNIE MAE PFD SERIES H | COM | 313586885 | 55 | 1125 | SH | DEFINED | 01 | 15 |
| FEDERAL REALTY INVS. | COM | 313747206 | 9698 | 125528 | SH | DEFINED | 01 | 02 |
| FEDERAL REALTY INVS. | COM | 313747206 | 98 | 1271 | SH | DEFINED | 01 | 03 |
| FEDERAL SIGNAL CORP | COM | 313855108 | 952 | 60000 | SH | DEFINED | 01 | 02 |
| FEDERAL SIGNAL CORP | COM | 313855108 | 168 | 10612 | SH | DEFINED | 01 | 15 |
| FEDERATED INVESTORS | COM | 314211103 | 167 | 4350 | SH | DEFINED | 01 | 02 |
| FEDERATED INVESTORS | COM | 314211103 | 562 | 14660 | SH | DEFINED | 01 | 03 |
| FEDERATED INVESTORS | COM | 314211103 | 5 | 140 | SH | DEFINED | 01 | 15 |
| FEDEX CORP | COM | 31428X106 | 5378 | 48464 | SH | DEFINED | 01 | 02 |
| FEDEX CORP | COM | 31428X106 | 5652 | 50935 | SH | DEFINED | 01 | 03 |
| FEDEX CORP | COM | 31428X106 | 2489 | 22427 | SH | DEFINED | 01 | 15 |
| FELCOR LODGING TRUST INC | COM | 31430F101 | 3709 | 142465 | SH | DEFINED | 01 | 02 |
| FELCOR LODGING TR \$1.95 SER | COM | 31430F200 | 13 | 500 | SH | DEFINED | 01 | 15 |
| FELLOWS ENERGY LTD | COM | 314347105 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| FENWAY INTL INC | COM | 314649104 | 2 | 3500 | SH | DEFINED | 01 | 15 |
| FERRO CORP | COM | 315405100 | 2784 | 111680 | SH | DEFINED | 01 | 02 |
| FERRO CORP | COM | 315405100 | 601 | 24090 | SH | DEFINED | 01 | 02 |
| FERRO CORP | COM | 315405100 | 212 | 8500 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| FERTIL A CHRON INC | COM | 315522102 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| F5 NETWORKS INC | COM | 315616102 | 15214 | 188769 | SH | DEFINED | 01 | 02 |
| F5 NETWORKS INC | COM | 315616102 | 929 | 11535 | SH | DEFINED | 01 | 03 |
| F5 NETWORKS INC | COM | 315616102 | 22098 | 274370 | SH | DEFINED | 01 | 10 |
| F5 NETWORKS INC | COM | 315616102 | 60 | 750 | SH | DEFINED | 01 | 15 |
| FIAT S.P.A. ADR NEW | COM | 315621888 | 15 | 500 | SH | DEFINED | 01 | 15 |
| FIBERNET TELECOM GROUP INC | COM | 315653402 | 0 | 1 | SH | DEFINED | 01 | 15 |
| FIDELITY BANCORP INC | COM | 315831107 | 19 | 1100 | SH | DEFINED | 01 | 15 |
| FIDELITY D & D BANCORP IN | COM | 31609R100 | 3 | 99 | SH | DEFINED | 01 | 15 |
| FIDELITY NATIONAL INFORMATIO | COM | 31620M106 | 5535 | 101971 | SH | DEFINED | 01 | 02 |
| FIDELITY NATIONAL INFORMATIO | COM | 31620M106 | 1470 | 27075 | SH | DEFINED | 01 | 03 |
| FIDELITY NATIONAL INFORMATIO | COM | 31620M106 | 386 | 7118 | SH | DEFINED | 01 | 15 |
| FIDELITY NATIONAL FINL-A | COM | 31620R105 | 2149 | 90694 | SH | DEFINED | 01 | 02 |
| FIDELITY NATIONAL FINL-A | COM | 31620R105 | 110 | 4643 | SH | DEFINED | 01 | 15 |
| FIDUCIARY / CLAYMOREMLP OPPORT | COM | 31647Q106 | 125 | 5125 | SH | DEFINED | 01 | 15 |
| FIFTH THIRD BANCORP | COM | 316773100 | 12781 | 321374 | SH | DEFINED | 01 | 02 |
| FIFTH THIRD BANCORP | COM | 316773100 | 3620 | 91026 | SH | DEFINED | 01 | 03 |
| FIFTH THIRD BANCORP | COM | 316773100 | 2384 | 59935 | SH | DEFINED | 01 | 15 |
| FINANCIAL FEDERAL CORP | COM | 317492106 | 4629 | 155216 | SH | DEFINED | 01 | 02 |
| FINANCIAL FEDERAL CORP | COM | 317492106 | 3 | 107 | SH | DEFINED | 01 | 15 |
| FINANCIAL INSTITUTIONS INC | COM | 317585404 | 54 | 2655 | SH | DEFINED | 01 | 02 |
| FINANCIAL SEC ASSURN HLD | COM | 31769P407 | 50 | 2000 | SH | DEFINED | 01 | 15 |
| FINL SECURITY ASSUR HLDGS LTD | COM | 31769P506 | 19 | 800 | SH | DEFINED | 01 | 15 |
| FINANCIAL SEC ASSURNHLDGS LTD | COM | 31769P605 | 20 | 950 | SH | DEFINED | 01 | 15 |
| FINISAR CORPORATION | COM | 31787A101 | 1673 | 442806 | SH | DEFINED | 01 | 02 |
| FINISAR CORPORATION | COM | 31787A101 | 6 | 1550 | SH | DEFINED | 01 | 15 |
| FINET COM INC FORMERLY F | COM | 317922409 | 0 | 159 | SH | DEFINED | 01 | 15 |
| FINISH LINE INC-CL A | COM | 317923100 | 741 | 81353 | SH | DEFINED | 01 | 02 |
| FINISH LINE INC-CL A | COM | 317923100 | 28 | 3121 | SH | DEFINED | 01 | 15 |
| FINOVA GROUP INC | COM | 317928109 | 0 | 1340 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| FIRST ACCEPTANCE CORP | COM | 318457108 | 250 | 24600 | SH | DEFINED | 01 | 02 |
| FIRST ADVANTAGE CORP-CL A | COM | 31845F100 | 1582 | 68760 | SH | DEFINED | 01 | 02 |
| FIRST AMERICAN CORP | COM | 318522307 | 2274 | 45953 | SH | DEFINED | 01 | 02 |
| FIRST AMERICAN CORP | COM | 318522307 | 293 | 5925 | SH | DEFINED | 01 | 15 |
| FIRST BANCORP PUERTO RICO | COM | 318672102 | 4909 | 446650 | SH | DEFINED | 01 | 02 |
| FIRST BANCORP PUERTO RICO | COM | 318672102 | 691 | 62870 | SH | DEFINED | 01 | 02 |
| FIRST BANCORP PUERTO RICO | COM | 318672102 | 257 | 23360 | SH | DEFINED | 01 | 03 |
| FIRST BUSEY CORP | COM | 319383105 | 18 | 912 | SH | DEFINED | 01 | 15 |
| FIRST CAROLINA INVESTORS | COM | 319420105 | 3 | 100 | SH | DEFINED | 01 | 15 |
| FIRST CENTENNIAL BANCORP (C | COM | 319424107 | 149 | 5536 | SH | DEFINED | 01 | 15 |
| FIRST CASH FINL SVCS INC | COM | 31942D107 | 1085 | 46295 | SH | DEFINED | 01 | 02 |
| FIRST CASH FINL SVCS INC | COM | 31942D107 | 35 | 1490 | SH | DEFINED | 01 | 15 |
| FIRST CAP BANCORP INC VA CO | COM | 319438107 | 24 | 1500 | SH | DEFINED | 01 | 15 |
| FIRST CHARTER CORP | COM | 319439105 | 4 | 230 | SH | DEFINED | 01 | 15 |
| FIRST CITIZENS BANCSHARES INC/ | COM | 31946M103 | 198 | 1018 | SH | DEFINED | 01 | 02 |
| FIRST CINCINNATI INC | COM | 31947Y106 | 0 | 160 | SH | DEFINED | 01 | 15 |
| FIRST COMMONWEALTH FINL CORP | COM | 319829107 | 1127 | 103245 | SH | DEFINED | 01 | 02 |
| FIRST COMMONWEALTH FINL CORP | COM | 319829107 | 478 | 43758 | SH | DEFINED | 01 | 15 |
| FIRST COMMUNITY BANCSHARES | COM | 31983A103 | 1295 | 41476 | SH | DEFINED | 01 | 02 |
| FIRST COMMUNITY BANCSHARES | COM | 31983A103 | 851 | 27269 | SH | DEFINED | 01 | 02 |
| FIRST COMMUNITY BANCSHARES | COM | 31983A103 | 266 | 8520 | SH | DEFINED | 01 | 03 |
| FIRST COMMUNITY BANCORP /CA | COM | 31983B101 | 1110 | 19400 | SH | DEFINED | 01 | 02 |
| FIRST CONSULTING GROUP INC | COM | 31986R103 | 314 | 33058 | SH | DEFINED | 01 | 02 |
| FIRST DATA CORP | COM | 319963104 | 25345 | 775735 | SH | DEFINED | 01 | 02 |
| FIRST DATA CORP | COM | 319963104 | 4078 | 124837 | SH | DEFINED | 01 | 03 |
| FIRST DATA CORP | COM | 319963104 | 1720 | 52651 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|------|--------|----|---------|----|----|
| FIRST FINANCIAL BANCORP | COM | 320209109 | 1808 | 120585 | SH | DEFINED | 01 | 02 |
| FIRST FED BANKSHARES | COM | 32020V100 | 51 | 2600 | SH | DEFINED | 01 | 15 |
| FIRST FINL FD INC | COM | 320228109 | 9 | 624 | SH | DEFINED | 01 | 15 |
| FIRST FINL HLDGS INC | COM | 320239106 | 16 | 500 | SH | DEFINED | 01 | 15 |
| FIRST HORIZON NATIONAL CORP | COM | 320517105 | 9554 | 245014 | SH | DEFINED | 01 | 02 |
| FIRST HORIZON NATIONAL CORP | COM | 320517105 | 811 | 20805 | SH | DEFINED | 01 | 03 |
| FIRST HORIZON NATIONAL CORP | COM | 320517105 | 74 | 1889 | SH | DEFINED | 01 | 15 |
| FIRST INDEPENDENCE CORP DELAW | COM | 32054B103 | 22 | 1230 | SH | DEFINED | 01 | 15 |
| FIRST INDUSTRIAL REALTY TRUST | COM | 32054K103 | 326 | 8400 | SH | DEFINED | 01 | 02 |
| FIRST INDIANA CORP | COM | 32054R108 | 468 | 21155 | SH | DEFINED | 01 | 02 |
| FIRST MARBLEHEAD CORP/THE | COM | 320771108 | 762 | 19712 | SH | DEFINED | 01 | 02 |
| FIRST MARBLEHEAD CORP/THE | COM | 320771108 | 99 | 2560 | SH | DEFINED | 01 | 15 |
| FIRST MERCHANTS ACCEPTANCE | COM | 320816101 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| FIRST MERCHANTS CORP | COM | 320817109 | 274 | 11400 | SH | DEFINED | 01 | 02 |
| FIRST MIDWEST BANCORP INC/IL | COM | 320867104 | 3543 | 99712 | SH | DEFINED | 01 | 02 |
| FIRST MIDWEST BANCORP INC/IL | COM | 320867104 | 439 | 12330 | SH | DEFINED | 01 | 02 |
| FIRST MIDWEST BANCORP INC/IL | COM | 320867104 | 167 | 4700 | SH | DEFINED | 01 | 03 |
| FIRST MIDWEST BANCORP INC/IL | COM | 320867104 | 5 | 136 | SH | DEFINED | 01 | 15 |
| FIRST NATL CORP VA | COM | 32106V107 | 29 | 1200 | SH | DEFINED | 01 | 15 |
| FIRST NATL BANKSHARE FLA INC N | COM | 321100109 | 0 | 181 | SH | DEFINED | 01 | 15 |
| FIRST NATIONAL BANK MOUNT DORA | COM | 321990137 | 0 | 240 | SH | DEFINED | 01 | 15 |
| 1ST PAC BANCORP | COM | 335894101 | 1 | 100 | SH | DEFINED | 01 | 15 |
| FIRST PREFERRED CAPITAL TR | COM | 33610A209 | 15 | 600 | SH | DEFINED | 01 | 15 |
| FIRST PLACE FINANCIAL | COM | 33610T109 | 41 | 1949 | SH | DEFINED | 01 | 15 |
| FIRST REPUBLIC BANK | COM | 336158100 | 2721 | 50713 | SH | DEFINED | 01 | 02 |
| FIRST REGIONAL BANCORP/CAL | COM | 33615C101 | 1596 | 62746 | SH | DEFINED | 01 | 02 |
| FIRST REGIONAL BANCORP/CAL | COM | 33615C101 | 941 | 36920 | SH | DEFINED | 01 | 02 |
| FIRST REGIONAL BANCORP/CAL | COM | 33615C101 | 309 | 12140 | SH | DEFINED | 01 | 03 |
| FIRST REGIONAL BANCORP/CAL | COM | 33615C101 | 2 | 86 | SH | DEFINED | 01 | 15 |
| FIRST SOLAR INC | COM | 336433107 | 6420 | 71901 | SH | DEFINED | 01 | 02 |
| FIRST SOLAR INC | COM | 336433107 | 58 | 650 | SH | DEFINED | 01 | 15 |
| 1ST SOURCE CORP | COM | 336901103 | 2091 | 83915 | SH | DEFINED | 01 | 02 |
| 1ST SOURCE CORP | COM | 336901103 | 886 | 35532 | SH | DEFINED | 01 | 02 |
| 1ST SOURCE CORP | COM | 336901103 | 282 | 11310 | SH | DEFINED | 01 | 03 |
| 1ST SOURCE CORP | COM | 336901103 | 86 | 3469 | SH | DEFINED | 01 | 15 |
| FIRST TR MORNINGSTAR DIVID LEAD | COM | 336917109 | 9 | 367 | SH | DEFINED | 01 | 15 |
| FIRST TR / FIDUCIARY ASSET MGMT | COM | 337318109 | 14 | 777 | SH | DEFINED | 01 | 15 |
| FIRST TR / ABERDEEN GLOBAL OPP | COM | 337319107 | 136 | 7303 | SH | DEFINED | 01 | 15 |
| FIRST TR FOUR CORNERS SR | COM | 33733Q107 | 32 | 1700 | SH | DEFINED | 01 | 15 |
| FIRST TR / FOUR CORNERS SR | COM | 33733U108 | 502 | 26800 | SH | DEFINED | 01 | 15 |
| FIRST TR / FOUR CORNERS SR | COM | 33733U207 | 100 | 4 | SH | DEFINED | 01 | 15 |
| FIRST TR NASDAQ 100 EQUAL WEIG | COM | 337344105 | 13 | 576 | SH | DEFINED | 01 | 15 |
| FIRST TR STRATEGIC HIGH INCOM | COM | 337347108 | 22 | 1166 | SH | DEFINED | 01 | 15 |
| FIRST TRUST/FIDAC MTG INCOME | COM | 33734E103 | 56 | 3400 | SH | DEFINED | 01 | 15 |
| FIRST TR VALUE LINE DIVID INDE | COM | 33734H106 | 396 | 23346 | SH | DEFINED | 01 | 15 |
| FIRST TR STRATEGIC HIGH INCOM | COM | 337353106 | 94 | 4792 | SH | DEFINED | 01 | 15 |
| FIRST TR VALUE LINE 100 EXCHAN | COM | 33735G107 | 5 | 300 | SH | DEFINED | 01 | 15 |
| FIRST TRUST/VALUE LINE & IBB | COM | 33735H105 | 0 | 865 | SH | DEFINED | 01 | 15 |
| FIRSTSERVICE CORPORATION-VTG | COM | 33761N109 | 2079 | 57730 | SH | DEFINED | 01 | 02 |
| FIRSTPLUS FINANCIAL GROUP | COM | 33763B103 | 0 | 750 | SH | DEFINED | 01 | 15 |
| FIRSTGOLD CORP COM | COM | 33765W105 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| FISERV INC | COM | 337738108 | 9963 | 175416 | SH | DEFINED | 01 | 02 |
| FISERV INC | COM | 337738108 | 1766 | 31093 | SH | DEFINED | 01 | 03 |
| FISERV INC | COM | 337738108 | 3706 | 65247 | SH | DEFINED | 01 | 15 |
| FISHER COMMUNICATIONS INC | COM | 337756209 | 862 | 16970 | SH | DEFINED | 01 | 02 |
| FISHER COMMUNICATIONS INC | COM | 337756209 | 581 | 11460 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| FISHER COMMUNICATIONS INC | COM | 337756209 | 203 | 4000 | SH | DEFINED | 01 | 03 |
| FIRSTFED FINANCIAL CORP | COM | 337907109 | 7503 | 132255 | SH | DEFINED | 01 | 02 |
| FIRSTFED FINANCIAL CORP | COM | 337907109 | 1154 | 20350 | SH | DEFINED | 01 | 02 |
| FIRSTFED FINANCIAL CORP | COM | 337907109 | 406 | 7161 | SH | DEFINED | 01 | 03 |
| FIRSTFED FINANCIAL CORP | COM | 337907109 | 151 | 2657 | SH | DEFINED | 01 | 15 |
| FIRSTMERIT CORP | COM | 337915102 | 2705 | 129224 | SH | DEFINED | 01 | 02 |
| FIRSTMERIT CORP | COM | 337915102 | 181 | 8640 | SH | DEFINED | 01 | 15 |
| FLAGSTAR BANCORP INC | COM | 337930101 | 768 | 63700 | SH | DEFINED | 01 | 02 |
| FLAGSTAR BANCORP INC | COM | 337930101 | 28 | 2283 | SH | DEFINED | 01 | 15 |
| FIRSTENERGY CORP | COM | 337932107 | 71227 | 1100355 | SH | DEFINED | 01 | 02 |
| FIRSTENERGY CORP | COM | 337932107 | 3535 | 54616 | SH | DEFINED | 01 | 03 |
| FIRSTENERGY CORP | COM | 337932107 | 693 | 10705 | SH | DEFINED | 01 | 15 |
| FIVE STAR QUALITY CARE INC | COM | 33832D106 | 0 | 0 | SH | DEFINED | 01 | 02 |
| FIVE STAR QUALITY CARE INC | COM | 33832D106 | 0 | 8 | SH | DEFINED | 01 | 15 |
| FLAGSHIP GLOBAL HEALTH INC | COM | 33841N103 | 0 | 4 | SH | DEFINED | 01 | 15 |
| FLAHERTY & CRUMRINE / CLAYMORE | COM | 338478100 | 124 | 6059 | SH | DEFINED | 01 | 15 |
| FLAHERTY CRUMINE/ CLAYMORE T | COM | 338479108 | 10 | 490 | SH | DEFINED | 01 | 15 |
| FLAHERTY & CRUMRINE PFD INCOME | COM | 338480106 | 44 | 2731 | SH | DEFINED | 01 | 15 |
| FLAHERTY & CRUMRINE PFD INCOME | COM | 33848E106 | 25 | 2042 | SH | DEFINED | 01 | 15 |
| FLANDERS CORP | COM | 338494107 | 3 | 400 | SH | DEFINED | 01 | 15 |
| FLEET CAP T VIII 7.20% 03/1 | COM | 33889X203 | 49 | 1950 | SH | DEFINED | 01 | 15 |
| FLEET CAP TR IX TR PFD SEC | COM | 33889Y201 | 7 | 300 | SH | DEFINED | 01 | 15 |
| FITTIPALDI LOGISTICSINC SER F | COM | 338998156 | 0 | 4 | SH | DEFINED | 01 | 15 |
| FLEETWOOD ENTERPRISES | COM | 339099103 | 951 | 105130 | SH | DEFINED | 01 | 02 |
| FLEETWOOD ENTERPRISES | COM | 339099103 | 54 | 5974 | SH | DEFINED | 01 | 15 |
| FLEMING COMPANIES INC NO 100 | COM | 339130106 | 0 | 1926 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| FLEXWATT CORP | COM | 339384109 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| FLIGHT SAFETY TECHNOLOGI | COM | 33942T207 | 1 | 501 | SH | DEFINED | 01 | 15 |
| FLOORING AMER INC | COM | 339756108 | 0 | 350 | SH | DEFINED | 01 | 15 |
| FLORIDA EAST COAST INDS INC C | COM | 340632108 | 25 | 302 | SH | DEFINED | 01 | 15 |
| FLORIDA PUB UTILS CO | COM | 341135101 | 16 | 1259 | SH | DEFINED | 01 | 15 |
| FLORIDA ROCK INDS INC | COM | 341140101 | 80 | 1191 | SH | DEFINED | 01 | 15 |
| FLOTEK INDS INC DEL | COM | 343389102 | 27 | 450 | SH | DEFINED | 01 | 15 |
| FLUOR CORP | COM | 343412102 | 110491 | 992118 | SH | DEFINED | 01 | 02 |
| FLUOR CORP | COM | 343412102 | 1926 | 17288 | SH | DEFINED | 01 | 03 |
| FLUOR CORP | COM | 343412102 | 53309 | 479338 | SH | DEFINED | 01 | 10 |
| FLUOR CORP | COM | 343412102 | 68 | 608 | SH | DEFINED | 01 | 15 |
| FLOW INTERNATIONAL CORP | COM | 343468104 | 500 | 39700 | SH | DEFINED | 01 | 02 |
| FLOWERS FOODS INC | COM | 343498101 | 7996 | 239736 | SH | DEFINED | 01 | 02 |
| FLOWERS FOODS INC | COM | 343498101 | 1542 | 46240 | SH | DEFINED | 01 | 02 |
| FLOWERS FOODS INC | COM | 343498101 | 544 | 16300 | SH | DEFINED | 01 | 03 |
| FLOWERS FOODS INC | COM | 343498101 | 3803 | 114028 | SH | DEFINED | 01 | 10 |
| FLOWERS FOODS INC | COM | 343498101 | 17 | 505 | SH | DEFINED | 01 | 15 |
| FLOWSERVE CORP | COM | 34354P105 | 60928 | 850947 | SH | DEFINED | 01 | 02 |
| FLOWSERVE CORP | COM | 34354P105 | 1474 | 20600 | SH | DEFINED | 01 | 10 |
| FLUSHING FINANCIAL CORP | COM | 343873105 | 45 | 2783 | SH | DEFINED | 01 | 15 |
| FOCAL COMMUNICATIONSCORP NO 10 | COM | 344155205 | 0 | 5 | SH | DEFINED | 01 | 15 |
| FOCUS ENHANCEMEN | COM | 344159108 | 1 | 500 | SH | DEFINED | 01 | 15 |
| FOCUS MEDIA HOLDING-ADR | COM | 34415V109 | 4639 | 91896 | SH | DEFINED | 01 | 02 |
| FOCUS MEDIA HOLDING-ADR | COM | 34415V109 | 6836 | 135526 | SH | DEFINED | 01 | 10 |
| FOCUS MEDIA HOLDING-ADR | COM | 34415V109 | 81 | 1610 | SH | DEFINED | 01 | 11 |
| FOCUS MEDIA HOLDING-ADR | COM | 34415V109 | 60 | 1190 | SH | DEFINED | 01 | 15 |
| FOMENTO ECONOMICO MEX-SP ADR | COM | 344419106 | 1262 | 32094 | SH | DEFINED | 01 | 02 |
| FOMENTO ECONOMICO MEX-SP ADR | COM | 344419106 | 40993 | 1045204 | SH | DEFINED | 01 | 10 |
| FONAR CORP PFD NON VT | COM | 344437504 | 0 | 60 | SH | DEFINED | 01 | 15 |
| FONIX CORP DEL COM NEW | COM | 34459U306 | 1 | 1000895 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| FOOT LOCKER INC | COM | 344849104 | 1380 | 63312 | SH | DEFINED | 01 | 02 |
| FOOT LOCKER INC | COM | 344849104 | 6 | 282 | SH | DEFINED | 01 | 15 |
| FOOTSTAR INC COM NEW | COM | 344912209 | 0 | 9 | SH | DEFINED | 01 | 15 |
| FORCE PROTECTION INC | COM | 345203202 | 1757 | 85121 | SH | DEFINED | 01 | 02 |
| FORCE PROTECTION INC | COM | 345203202 | 25 | 1200 | SH | DEFINED | 01 | 15 |
| FORD MOTOR CO NT FIXED RATE | COM | 345370852 | 119 | 6091 | SH | DEFINED | 01 | 15 |
| FORD MOTOR CO | COM | 345370860 | 263204 | 27940990 | SH | DEFINED | 01 | 02 |
| FORD MOTOR CO | COM | 345370860 | 3631 | 385431 | SH | DEFINED | 01 | 03 |
| FORD MOTOR CO | COM | 345370860 | 748 | 79368 | SH | DEFINED | 01 | 15 |
| FORD MTR CO CAP TR II TR ORIG | COM | 345395206 | 1704 | 44265 | SH | DEFINED | 01 | 15 |
| FORD MTR CR CO LLC PFD SR UNS | COM | 345397202 | 99 | 4600 | SH | DEFINED | 01 | 15 |
| FORD MOTOR CREDIT CO LLC 7.6 | COM | 345397301 | 88 | 4000 | SH | DEFINED | 01 | 15 |
| FORDING CDN COAL TR UNIT ISIN | COM | 345425102 | 3297 | 100709 | SH | DEFINED | 01 | 15 |
| FOREFRONT INC NEW | COM | 34545U203 | 0 | 700 | SH | DEFINED | 01 | 15 |
| FOREST CITY ENTERPRISES-CL A | COM | 345550107 | 4551 | 74022 | SH | DEFINED | 01 | 02 |
| FOREST CITY ENTERPRISES-CL A | COM | 345550107 | 18 | 285 | SH | DEFINED | 01 | 15 |
| FOREST CITY ENTERPRISE | COM | 345550404 | 95 | 3770 | SH | DEFINED | 01 | 15 |
| FOREST LABORATORIES INC | COM | 345838106 | 11036 | 241735 | SH | DEFINED | 01 | 02 |
| FOREST LABORATORIES INC | COM | 345838106 | 2579 | 56491 | SH | DEFINED | 01 | 03 |
| FOREST LABORATORIES INC | COM | 345838106 | 172 | 3775 | SH | DEFINED | 01 | 15 |
| FOREST OIL CORP | COM | 346091705 | 340 | 8041 | SH | DEFINED | 01 | 02 |
| FOREST OIL CORP | COM | 346091705 | 18067 | 428751 | SH | DEFINED | 01 | 10 |
| FOREST OIL CORP | COM | 346091705 | 8 | 200 | SH | DEFINED | 01 | 15 |
| FORMFACTOR INC | COM | 346375108 | 9173 | 239468 | SH | DEFINED | 01 | 02 |
| FORMFACTOR INC | COM | 346375108 | 212 | 5538 | SH | DEFINED | 01 | 03 |
| FORRESTER RESEARCH INC | COM | 346563109 | 20 | 725 | SH | DEFINED | 01 | 15 |
| FORT DEARBORN INCOME SEC | COM | 347200107 | 7 | 500 | SH | DEFINED | 01 | 15 |
| FORTIS NL SPON ADR N | COM | 34956J309 | 946 | 22234 | SH | DEFINED | 01 | 15 |
| FORTRESS INVESTMENT GRP-CL A | COM | 34958B106 | 12482 | 524042 | SH | DEFINED | 01 | 02 |
| FORTRESS INVESTMENT GRP-CL A | COM | 34958B106 | 51 | 2141 | SH | DEFINED | 01 | 03 |
| FORTRESS INVESTMENT GRP-CL A | COM | 34958B106 | 969 | 40769 | SH | DEFINED | 01 | 10 |
| FORTRESS INVESTMENT GRP-CL A | COM | 34958B106 | 111 | 4663 | SH | DEFINED | 01 | 15 |
| FORTUNE BRANDS INC | COM | 349631101 | 8842 | 107359 | SH | DEFINED | 01 | 02 |
| FORTUNE BRANDS INC | COM | 349631101 | 2082 | 25281 | SH | DEFINED | 01 | 03 |
| FORTUNE BRANDS INC | COM | 349631101 | 792 | 9621 | SH | DEFINED | 01 | 15 |
| FORTUNE BRANDS INC PFD CONV \$ | COM | 349631200 | 24 | 44 | SH | DEFINED | 01 | 15 |
| FORTUNE INDUSTRIES INC COM NE | COM | 34963X200 | 0 | 4 | SH | DEFINED | 01 | 15 |
| 40/86 STRATEGIC INCOME FD | COM | 349739102 | 70 | 7065 | SH | DEFINED | 01 | 15 |
| FORWARD AIR CORP | COM | 349853101 | 1837 | 53893 | SH | DEFINED | 01 | 02 |
| FORWARD AIR CORP | COM | 349853101 | 184 | 5390 | SH | DEFINED | 01 | 15 |
| FOSSIL INC | COM | 349882100 | 5184 | 175786 | SH | DEFINED | 01 | 02 |
| FOSSIL INC | COM | 349882100 | 95 | 3205 | SH | DEFINED | 01 | 15 |
| FOSTERS GROUP LTD SPON ADR N | COM | 350258307 | 377 | 69637 | SH | DEFINED | 01 | 15 |
| FOUNDATION COAL HOLDINGS INC | COM | 35039W100 | 1061 | 26100 | SH | DEFINED | 01 | 02 |
| FOUNDATION COAL HOLDINGS INC | COM | 35039W100 | 4 | 110 | SH | DEFINED | 01 | 15 |
| FOUNDRY NETWORKS INC | COM | 35063R100 | 12277 | 736896 | SH | DEFINED | 01 | 02 |
| FOUNDRY NETWORKS INC | COM | 35063R100 | 119 | 7144 | SH | DEFINED | 01 | 03 |
| FOUNDRY NETWORKS INC | COM | 35063R100 | 28 | 1662 | SH | DEFINED | 01 | 15 |
| 4KIDS ENTERTAINMENT INC | COM | 350865101 | 325 | 21637 | SH | DEFINED | 01 | 02 |
| FOX CHASE BANCORP INC COM | COM | 35137P106 | 1 | 50 | SH | DEFINED | 01 | 15 |
| FOXHOLLOW TECHNOLOGI | COM | 35166A103 | 100 | 4700 | SH | DEFINED | 01 | 15 |
| FRANCE TELECOM SA SPONS ADR | COM | 35177Q105 | 88 | 3185 | SH | DEFINED | 01 | 15 |
| FRANCISCAN OIL COMPANY | COM | 35183P109 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| FRANKEL CAP MGMT INC | COM | 352019103 | 0 | 6000 | SH | DEFINED | 01 | 15 |
| FRANKLIN BANK CORP/HOUSTON | COM | 352451108 | 577 | 38745 | SH | DEFINED | 01 | 02 |
| FRANKLIN COVEY CO | COM | 353469109 | 1 | 100 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| FRANKLIN ELEC INC | COM | 353514102 | 31 | 661 | SH | DEFINED | 01 | 15 |
| FRANKLIN RESOURCES INC | COM | 354613101 | 50455 | 380891 | SH | DEFINED | 01 | 02 |
| FRANKLIN RESOURCES INC | COM | 354613101 | 3611 | 27261 | SH | DEFINED | 01 | 03 |
| FRANKLIN RESOURCES INC | COM | 354613101 | 485 | 3663 | SH | DEFINED | 01 | 15 |
| FRANKLIN STREET PROPERTIES COR | COM | 35471R106 | 1545 | 93400 | SH | DEFINED | 01 | 02 |
| FRANKLIN TEMPLETON LTD DURATI | COM | 35472T101 | 82 | 6252 | SH | DEFINED | 01 | 15 |
| FRED'S INC | COM | 356108100 | 3448 | 257675 | SH | DEFINED | 01 | 02 |
| FREEPORT MCMORAN OIL& GAS RLTY | COM | 356713107 | 0 | 35 | SH | DEFINED | 01 | 15 |
| FREEPORT-MCMORAN COPPER | COM | 35671D857 | 83883 | 1012865 | SH | DEFINED | 01 | 02 |
| FREEPORT-MCMORAN COPPER | COM | 35671D857 | 9679 | 116871 | SH | DEFINED | 01 | 02 |
| FREEPORT-MCMORAN COPPER | COM | 35671D857 | 5301 | 64000 | SH | DEFINED | 01 | 03 |
| FREEPORT-MCMORAN COPPER | COM | 35671D857 | 71831 | 867316 | SH | DEFINED | 01 | 10 |
| FREEPORT-MCMORAN COPPER | COM | 35671D857 | 1302 | 15723 | SH | DEFINED | 01 | 15 |
| FREMONT GENL FIN I 9% TR ORIG | COM | 356905208 | 505 | 21605 | SH | DEFINED | 01 | 15 |
| FREIGHTCAR AMERICA INC | COM | 357023100 | 2081 | 43508 | SH | DEFINED | 01 | 02 |
| FREIGHTCAR AMERICA INC | COM | 357023100 | 582 | 12180 | SH | DEFINED | 01 | 02 |
| FREIGHTCAR AMERICA INC | COM | 357023100 | 210 | 4400 | SH | DEFINED | 01 | 03 |
| FREMONT GENERAL CORP | COM | 357288109 | 1974 | 183558 | SH | DEFINED | 01 | 02 |
| FREMONT GENERAL CORP | COM | 357288109 | 128 | 11915 | SH | DEFINED | 01 | 15 |
| FRESENIUS MED CARE AKTIENGESE | COM | 358029106 | 320 | 6964 | SH | DEFINED | 01 | 15 |
| FRESH CHOICE INC NO 100HOLD | COM | 358032100 | 0 | 750 | SH | DEFINED | 01 | 15 |
| FRIEDE GOLDMAN HALTER IN | COM | 358430106 | 0 | 4069 | SH | DEFINED | 01 | 15 |
| FRISCH'S RESTAURANTS INC | COM | 358748101 | 1991 | 65205 | SH | DEFINED | 01 | 02 |
| FRONTEER DEV GROUP INC (CANAD | COM | 35903Q106 | 17 | 1400 | SH | DEFINED | 01 | 15 |
| FRONTIER AIRLINES HOLDINGS INC | COM | 359059102 | 377 | 67214 | SH | DEFINED | 01 | 02 |
| FRONTIER AIRLINES HOLDINGS INC | COM | 359059102 | 6 | 1000 | SH | DEFINED | 01 | 15 |
| FRONTIER FINANCIAL CORP | COM | 35907K105 | 1473 | 65392 | SH | DEFINED | 01 | 02 |
| FRONTIER FINL 6.25% PFD 10/15/ | COM | 35907U301 | 0 | 30 | SH | DEFINED | 01 | 15 |
| FRONTIER INS GROUP INC NEW NO | COM | 359081106 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| FRONTIER OIL CORP | COM | 35914P105 | 9778 | 223401 | SH | DEFINED | 01 | 02 |
| FRONTIER OIL CORP | COM | 35914P105 | 436 | 9952 | SH | DEFINED | 01 | 15 |
| FRONTLINE CAP GROUP | COM | 35921N101 | 0 | 512 | SH | DEFINED | 01 | 15 |
| FRUEHAUF TRAILER NO 100HOLD | COM | 359397106 | 0 | 50 | SH | DEFINED | 01 | 15 |
| FUEL CELL TECHNOLOGI | COM | 35951Y100 | 0 | 2 | SH | DEFINED | 01 | 15 |
| FUEL TECH INC COM | COM | 359523107 | 75 | 2178 | SH | DEFINED | 01 | 15 |
| FUELCELL ENERGY INC | COM | 35952H106 | 2394 | 302296 | SH | DEFINED | 01 | 02 |
| FUELCELL ENERGY INC | COM | 35952H106 | 49 | 6177 | SH | DEFINED | 01 | 03 |
| FUELCELL ENERGY INC | COM | 35952H106 | 15 | 1840 | SH | DEFINED | 01 | 15 |
| FUJIFILM HLDGS CORP ADR 2 ORD | COM | 35958N107 | 258 | 5780 | SH | DEFINED | 01 | 15 |
| FUJITSU LTD ADR NEW 5 COM SHRS | COM | 359590304 | 0 | 10 | SH | DEFINED | 01 | 15 |
| H.B. FULLER CO. | COM | 359694106 | 7917 | 264879 | SH | DEFINED | 01 | 02 |
| H.B. FULLER CO. | COM | 359694106 | 1467 | 49070 | SH | DEFINED | 01 | 02 |
| H.B. FULLER CO. | COM | 359694106 | 500 | 16730 | SH | DEFINED | 01 | 03 |
| H.B. FULLER CO. | COM | 359694106 | 15 | 504 | SH | DEFINED | 01 | 15 |
| FULTON FED SVGS BK ATLANTA GA | COM | 360266100 | 0 | 460 | SH | DEFINED | 01 | 15 |
| FULTON FINL CORP PA | COM | 360271100 | 318 | 22082 | SH | DEFINED | 01 | 15 |
| FUTUREMEDIA PLC SPONSORED | COM | 360912307 | 0 | 26 | SH | DEFINED | 01 | 15 |
| FURNITURE BRANDS INTL INC | COM | 360921100 | 170 | 12005 | SH | DEFINED | 01 | 02 |
| G & K SERVICES -CL A | COM | 361268105 | 4348 | 110021 | SH | DEFINED | 01 | 02 |
| GATX CORP | COM | 361448103 | 1374 | 27900 | SH | DEFINED | 01 | 02 |
| GATX CORP | COM | 361448103 | 1 | 25 | SH | DEFINED | 01 | 15 |
| GDC GROUP INC | COM | 36156K101 | 0 | 137 | SH | DEFINED | 01 | 15 |
| GEO GROUP INC/THE | COM | 36159R103 | 1888 | 64889 | SH | DEFINED | 01 | 02 |
| GEO GROUP INC/THE | COM | 36159R103 | 79 | 2700 | SH | DEFINED | 01 | 15 |
| GFI GROUP INC | COM | 361652209 | 2599 | 35852 | SH | DEFINED | 01 | 02 |
| GFI GROUP INC | COM | 361652209 | 21 | 289 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| GMAC LLC PUB INCOME NT PINES 7 COM | COM | 36186C202 | 146 | 6410 | SH | DEFINED | 01 | 15 |
| GMAC LLC SR NT 7.35% | COM | 36186C301 | 75 | 3200 | SH | DEFINED | 01 | 15 |
| GMAC LLC NT 7.25% | COM | 36186C400 | 50 | 2135 | SH | DEFINED | 01 | 15 |
| GMAC LLC NT 7.375% | COM | 36186C509 | 51 | 2225 | SH | DEFINED | 01 | 15 |
| GST TELECOMMUN | COM | 361942105 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| GSI GROUP INC CDA ISIN #CA36 | COM | 36229U102 | 11 | 1134 | SH | DEFINED | 01 | 15 |
| G V C VENTURE CORP | COM | 36237L102 | 0 | 110 | SH | DEFINED | 01 | 15 |
| GSI COMMERCE INC | COM | 36238G102 | 378 | 16650 | SH | DEFINED | 01 | 02 |
| GSI COMMERCE INC | COM | 36238G102 | 173 | 7608 | SH | DEFINED | 01 | 15 |
| GTC BIOTHERAPEUTICS INC COM | COM | 36238T104 | 3 | 3000 | SH | DEFINED | 01 | 15 |
| GABELLI EQUITY TR INC | COM | 362397101 | 215 | 21856 | SH | DEFINED | 01 | 15 |
| GABELLI GLOBAL MULTIMEDIA | COM | 36239Q307 | 25 | 1035 | SH | DEFINED | 01 | 15 |
| GABELLI CONV & INC FUND | COM | 36239Q406 | 50 | 2 | SH | DEFINED | 01 | 15 |
| GABELLI UTIL TR | COM | 36240A101 | 73 | 7586 | SH | DEFINED | 01 | 15 |
| GABELLI CONV & INCOME SEC | COM | 36240B109 | 11 | 1250 | SH | DEFINED | 01 | 15 |
| GABELLI CONV & INCOME SEC | COM | 36240B307 | 25 | 1050 | SH | DEFINED | 01 | 15 |
| GABELLI DIVID & INCOME TR | COM | 36242H104 | 66 | 2950 | SH | DEFINED | 01 | 15 |
| GABELLI DIVID & INCOME TR | COM | 36242H203 | 43 | 1850 | SH | DEFINED | 01 | 15 |
| GABELLI GLOBAL GOLD NAT RES & | COM | 36244N109 | 53 | 2000 | SH | DEFINED | 01 | 15 |
| GABELLI HEALTHCARE &WELLNESS T | COM | 36246K103 | 9 | 1087 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| GAFISA S A SPONSORED | COM | 362607301 | 5 | 153 | SH | DEFINED | 01 | 15 |
| GAIAM INC | COM | 36268Q103 | 2 | 100 | SH | DEFINED | 01 | 15 |
| GALLAGHER ARTHUR J &CO | COM | 363576109 | 61 | 2192 | SH | DEFINED | 01 | 15 |
| GAMMON GOLD INC ISIN #CA36 | COM | 36467T106 | 251 | 19870 | SH | DEFINED | 01 | 15 |
| GAMESTOP CORP | COM | 36467W109 | 31220 | 798473 | SH | DEFINED | 01 | 02 |
| GAMESTOP CORP | COM | 36467W109 | 441 | 11274 | SH | DEFINED | 01 | 03 |
| GAMESTOP CORP | COM | 36467W109 | 80366 | 2055967 | SH | DEFINED | 01 | 10 |
| GAMESTOP CORP | COM | 36467W109 | 476 | 12183 | SH | DEFINED | 01 | 15 |
| GANNETT CO | COM | 364730101 | 25263 | 459749 | SH | DEFINED | 01 | 02 |
| GANNETT CO | COM | 364730101 | 2134 | 38838 | SH | DEFINED | 01 | 03 |
| GANNETT CO | COM | 364730101 | 278 | 5053 | SH | DEFINED | 01 | 15 |
| GAP INC/THE | COM | 364760108 | 15901 | 832537 | SH | DEFINED | 01 | 02 |
| GAP INC/THE | COM | 364760108 | 1676 | 87733 | SH | DEFINED | 01 | 03 |
| GAP INC/THE | COM | 364760108 | 506 | 26515 | SH | DEFINED | 01 | 15 |
| GARDNER DENVER INC | COM | 365558105 | 4220 | 99180 | SH | DEFINED | 01 | 02 |
| GARDNER DENVER INC | COM | 365558105 | 411 | 9654 | SH | DEFINED | 01 | 15 |
| GARTNER INC. | COM | 366651107 | 4331 | 176146 | SH | DEFINED | 01 | 02 |
| GASCO ENERGY INC | COM | 367220100 | 0 | 200 | SH | DEFINED | 01 | 15 |
| GASTAR EXPL LTD | COM | 367299104 | 13 | 6458 | SH | DEFINED | 01 | 15 |
| GATEWAY ENERGY CORP COM NEW | COM | 367600301 | 7 | 8422 | SH | DEFINED | 01 | 15 |
| GATEWAY INC | COM | 367626108 | 4 | 2685 | SH | DEFINED | 01 | 15 |
| GAYLORD ENTERTAINMENT CO | COM | 367905106 | 4983 | 92880 | SH | DEFINED | 01 | 02 |
| GAYLORD ENTERTAINMENT CO | COM | 367905106 | 695 | 12940 | SH | DEFINED | 01 | 02 |
| GAYLORD ENTERTAINMENT CO | COM | 367905106 | 255 | 4760 | SH | DEFINED | 01 | 03 |
| GATEWAY BANK OF PENNSYLVANI | COM | 367990751 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| OAO GAZPROM ADR | COM | 368287207 | 64775 | 1545967 | SH | DEFINED | 01 | 02 |
| OAO GAZPROM ADR | COM | 368287207 | 10 | 242 | SH | DEFINED | 01 | 03 |
| GEN-PROBE INC | COM | 36866T103 | 1001 | 16565 | SH | DEFINED | 01 | 03 |
| GEN-PROBE INC | COM | 36866T103 | 6 | 95 | SH | DEFINED | 01 | 15 |
| GEMSTAR-TV GUIDE INTERNATIONAL | COM | 36866W106 | 1869 | 379780 | SH | DEFINED | 01 | 02 |
| GEMSTAR-TV GUIDE INTERNATIONAL | COM | 36866W106 | 1 | 280 | SH | DEFINED | 01 | 15 |
| GENAERA CORPORATION | COM | 36867G209 | 25 | 8843 | SH | DEFINED | 01 | 02 |
| GENAERA CORPORATION | COM | 36867G209 | 5 | 1666 | SH | DEFINED | 01 | 15 |
| GENCORP INC | COM | 368682100 | 1747 | 133723 | SH | DEFINED | 01 | 02 |
| GENCORP INC | COM | 368682100 | 181 | 13861 | SH | DEFINED | 01 | 15 |
| GENENTECH INC | COM | 368710406 | 173787 | 2296980 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|--------|----------|----|---------|----|----|
| GENENTECH INC | COM | 368710406 | 1648 | 21778 | SH | DEFINED | 01 | 15 |
| GENERAL AMER INVSTRSCO | COM | 368802104 | 28 | 700 | SH | DEFINED | 01 | 15 |
| GENERAL AMERN INVS CO INC CUM | COM | 368802401 | 39 | 1625 | SH | DEFINED | 01 | 15 |
| GEMINI RES INC NO CURRENT | COM | 368903100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| GENERAL CABLE CORP | COM | 369300108 | 13434 | 177348 | SH | DEFINED | 01 | 02 |
| GENERAL CABLE CORP | COM | 369300108 | 1431 | 18893 | SH | DEFINED | 01 | 15 |
| GENRL COMMUNICATION | COM | 369385109 | 7200 | 562032 | SH | DEFINED | 01 | 02 |
| GENRL COMMUNICATION | COM | 369385109 | 193 | 15053 | SH | DEFINED | 01 | 15 |
| GENERAL DYNAMICS CORP | COM | 369550108 | 23584 | 301518 | SH | DEFINED | 01 | 02 |
| GENERAL DYNAMICS CORP | COM | 369550108 | 5240 | 66996 | SH | DEFINED | 01 | 03 |
| GENERAL DYNAMICS CORP | COM | 369550108 | 1716 | 21942 | SH | DEFINED | 01 | 15 |
| GENERAL ELECTRIC CO | COM | 369604103 | 806326 | 21063880 | SH | DEFINED | 01 | 02 |
| GENERAL ELECTRIC CO | COM | 369604103 | 66587 | 1739464 | SH | DEFINED | 01 | 03 |
| GENERAL ELECTRIC CO | COM | 369604103 | 132075 | 3454738 | SH | DEFINED | 01 | 10 |
| GENERAL ELECTRIC CO | COM | 369604103 | 32922 | 860027 | SH | DEFINED | 01 | 15 |
| GENERAL ELEC CAP CORP NT 6. | COM | 369622469 | 122 | 5000 | SH | DEFINED | 01 | 15 |
| GENERAL ELEC CAP CORP 6.45% | COM | 369622477 | 15 | 600 | SH | DEFINED | 01 | 15 |
| GENL ELEC CAP CRP NT5.875% 02/ | COM | 369622493 | 144 | 6120 | SH | DEFINED | 01 | 15 |
| GENERAL ELEC CAP CRPPUBLIC INC | COM | 369622519 | 138 | 5699 | SH | DEFINED | 01 | 15 |
| GENERAL ELECTRIC CAPITAL CO | COM | 369622527 | 179 | 7182 | SH | DEFINED | 01 | 15 |
| GENERAL GROWTH PROPERTIES | COM | 370021107 | 9210 | 173935 | SH | DEFINED | 01 | 02 |
| GENERAL MAGIC INC COM NEW NO | COM | 370253403 | 0 | 213 | SH | DEFINED | 01 | 15 |
| GENERAL MILLS INC | COM | 370334104 | 18148 | 310650 | SH | DEFINED | 01 | 02 |
| GENERAL MILLS INC | COM | 370334104 | 3348 | 57313 | SH | DEFINED | 01 | 03 |
| GENERAL MILLS INC | COM | 370334104 | 931 | 15934 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS CORP | COM | 370442105 | 156370 | 4136796 | SH | DEFINED | 01 | 02 |
| GENERAL MOTORS CORP | COM | 370442105 | 4028 | 106564 | SH | DEFINED | 01 | 03 |
| GENERAL MOTORS CORP | COM | 370442105 | 3411 | 90241 | SH | DEFINED | 01 | 15 |
| GENL MTRS CORP PFD 7.50% 07/0 | COM | 370442121 | 24 | 1180 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS CORP | CONV PRF | 370442717 | 2 | 95 | SH | DEFINED | 01 | 02 |
| GENERAL MOTORS CORP | CONV PRF | 370442717 | 45 | 1800 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS CORP SR NT 7.37 | COM | 370442725 | 120 | 6038 | SH | DEFINED | 01 | 15 |
| GENERAL MTRS CORP SR DEB CON | COM | 370442733 | 3 | 120 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS CORP SR DEB CON | COM | 370442741 | 3 | 150 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS CORP 7.25% 02/1 | COM | 370442758 | 169 | 8603 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS PFD 7.375% | COM | 370442766 | 132 | 6760 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS CORP 7.25% 07/1 | COM | 370442774 | 41 | 2095 | SH | DEFINED | 01 | 15 |
| GENERAL MOTORS PFD 7.25% | COM | 370442816 | 71 | 3648 | SH | DEFINED | 01 | 15 |
| GENEREX BIOTECHNOL | COM | 371485103 | 5 | 3100 | SH | DEFINED | 01 | 15 |
| GENESCO INC | COM | 371532102 | 2431 | 46464 | SH | DEFINED | 01 | 02 |
| GENESCO INC | COM | 371532102 | 8 | 150 | SH | DEFINED | 01 | 15 |
| GENESEE & WYOMING | COM | 371559105 | 138 | 4620 | SH | DEFINED | 01 | 02 |
| GENESEE & WYOMING | COM | 371559105 | 36 | 1200 | SH | DEFINED | 01 | 15 |
| GENESIS LEASE LTD ADR | COM | 37183T107 | 44 | 1600 | SH | DEFINED | 01 | 15 |
| GENESIS MICROCHIP INC DEL | COM | 37184C103 | 0 | 19 | SH | DEFINED | 01 | 15 |
| GENESIS HEALTHCARE CORP | COM | 37184D101 | 22431 | 327846 | SH | DEFINED | 01 | 02 |
| GENTEX CORP | COM | 371901109 | 91 | 4590 | SH | DEFINED | 01 | 02 |
| GENESIS HEALTH VENTURES I | COM | 371912106 | 0 | 1280 | SH | DEFINED | 01 | 15 |
| GENICOM CORP NO 100HOLD | COM | 372282103 | 0 | 167 | SH | DEFINED | 01 | 15 |
| GENLYTE GROUP INC | COM | 372302109 | 8183 | 104217 | SH | DEFINED | 01 | 02 |
| GENLYTE GROUP INC | COM | 372302109 | 1123 | 14290 | SH | DEFINED | 01 | 02 |
| GENLYTE GROUP INC | COM | 372302109 | 375 | 4780 | SH | DEFINED | 01 | 03 |
| GENLYTE GROUP INC | COM | 372302109 | 17 | 220 | SH | DEFINED | 01 | 15 |
| GENOMED INC | COM | 37243C102 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| GENTA INC 1 FOR 6 REVERSE SP | COM | 37245M207 | 7 | 23600 | SH | DEFINED | 01 | 15 |
| GENTEK INC. - CW08 - CLASS B | WT | 37245X120 | 3 | 51 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| GENTEK INC - CW10 - CLASS C | WT | 37245X138 | 1 | 25 | SH | DEFINED | 01 | 02 |
| GENTEK INC. | COM | 37245X203 | 22 | 629 | SH | DEFINED | 01 | 02 |
| GENUINE PARTS CO | COM | 372460105 | 24758 | 499189 | SH | DEFINED | 01 | 02 |
| GENUINE PARTS CO | COM | 372460105 | 1399 | 28198 | SH | DEFINED | 01 | 03 |
| GENUINE PARTS CO | COM | 372460105 | 750 | 15117 | SH | DEFINED | 01 | 15 |
| GEN VEC INC | COM | 37246C109 | 16 | 6900 | SH | DEFINED | 01 | 15 |
| GEORESOURCES INC | COM | 372476101 | 1 | 200 | SH | DEFINED | 01 | 15 |
| GENTIVA HEALTH SERVICES | COM | 37247A102 | 1164 | 58015 | SH | DEFINED | 01 | 02 |
| GENWORTH FINANCIAL INC-CL A | COM | 37247D106 | 19543 | 568058 | SH | DEFINED | 01 | 02 |
| GENWORTH FINANCIAL INC-CL A | COM | 37247D106 | 2382 | 69230 | SH | DEFINED | 01 | 03 |
| GENWORTH FINANCIAL INC-CL A | COM | 37247D106 | 173 | 5033 | SH | DEFINED | 01 | 15 |
| GENUITY INC CL A NEW N | COM | 37248E202 | 0 | 192 | SH | DEFINED | 01 | 15 |
| GENTIUM SPA-SPONSORED ADR | COM | 37250B104 | 41 | 2480 | SH | DEFINED | 01 | 02 |
| GENZYME CORP | COM | 372917104 | 833 | 12934 | SH | DEFINED | 01 | 02 |
| GENZYME CORP | COM | 372917104 | 6597 | 102434 | SH | DEFINED | 01 | 02 |
| GENZYME CORP | COM | 372917104 | 2880 | 44720 | SH | DEFINED | 01 | 03 |
| GENZYME CORP | COM | 372917104 | 6574 | 102074 | SH | DEFINED | 01 | 10 |
| GENZYME CORP | COM | 372917104 | 576 | 8944 | SH | DEFINED | 01 | 15 |
| GEORGIA GULF CORP | COM | 373200203 | 1255 | 69289 | SH | DEFINED | 01 | 02 |
| GEORGIA PWR CO SR NT SER | COM | 373334481 | 70 | 3000 | SH | DEFINED | 01 | 15 |
| GEORGIA POWER CO SR NT SER | COM | 373334499 | 50 | 2000 | SH | DEFINED | 01 | 15 |
| GEORGIA PWR CO SR PUBLIC INC | COM | 373334515 | 14 | 626 | SH | DEFINED | 01 | 15 |
| GEOTEK COMM INC NO STKHLDR | COM | 373654102 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| GEOVAX LABS INC COM | COM | 373678101 | 0 | 300 | SH | DEFINED | 01 | 15 |
| GERBER SCIENTIFIC INC | COM | 373730100 | 441 | 37940 | SH | DEFINED | 01 | 02 |
| GERDAU SA -SPON ADR | COM | 373737105 | 16202 | 629950 | SH | DEFINED | 01 | 02 |
| GERDAU SA -SPON ADR | COM | 373737105 | 68 | 2647 | SH | DEFINED | 01 | 15 |
| GERMAN AMERICAN BANCORP IN | COM | 373865104 | 24 | 1778 | SH | DEFINED | 01 | 15 |
| GERON CORP | COM | 374163103 | 153 | 21800 | SH | DEFINED | 01 | 02 |
| GERON CORP | COM | 374163103 | 28 | 4000 | SH | DEFINED | 01 | 15 |
| GETTY IMAGES INC | COM | 374276103 | 212 | 4425 | SH | DEFINED | 01 | 15 |
| GETTY REALTY CORP | COM | 374297109 | 40 | 1515 | SH | DEFINED | 01 | 15 |
| GEVITY HR INC | COM | 374393106 | 776 | 40120 | SH | DEFINED | 01 | 02 |
| GHL TECHNOLOGIES INCCOM | COM | 37444M206 | 0 | 4800 | SH | DEFINED | 01 | 15 |
| GIANT MOTORSPORTS INC | COM | 374524106 | 0 | 500 | SH | DEFINED | 01 | 15 |
| GIANT STORES CORP | COM | 374559102 | 0 | 200 | SH | DEFINED | 01 | 15 |
| GIBRALTAR INDUSTRIES INC | COM | 374689107 | 1085 | 48985 | SH | DEFINED | 01 | 02 |
| GIBRALTAR INDUSTRIES INC | COM | 374689107 | 48 | 2179 | SH | DEFINED | 01 | 15 |
| GILEAD SCIENCES INC | COM | 375558103 | 11150 | 287574 | SH | DEFINED | 01 | 02 |
| GILEAD SCIENCES INC | COM | 375558103 | 6124 | 157932 | SH | DEFINED | 01 | 03 |
| GILEAD SCIENCES INC | COM | 375558103 | 3142 | 80979 | SH | DEFINED | 01 | 15 |
| GILMAN AND CIOCIA INC | COM | 375908100 | 0 | 825 | SH | DEFINED | 01 | 15 |
| GLACIER VENTURES INTL CORP | COM | 375915204 | 1 | 200 | SH | DEFINED | 01 | 15 |
| GLACIER BANCORP INC | COM | 37637Q105 | 1835 | 90153 | SH | DEFINED | 01 | 02 |
| GLADSTONE CAP CORP | COM | 376535100 | 39 | 1800 | SH | DEFINED | 01 | 15 |
| GLATFELTER | COM | 377316104 | 2000 | 147170 | SH | DEFINED | 01 | 02 |
| GLATFELTER | COM | 377316104 | 1 | 72 | SH | DEFINED | 01 | 15 |
| GLAXOSMITHKLINE PLC SPONSORED | COM | 37733W105 | 1418 | 27081 | SH | DEFINED | 01 | 15 |
| GLOBAL CASH ACCESS HLDGS INC | COM | 378967103 | 8 | 500 | SH | DEFINED | 01 | 15 |
| GLOBAL INCOME & CURRENCY F | COM | 378968101 | 9 | 500 | SH | DEFINED | 01 | 15 |
| GLOBAL BEVERAGE SOLUTIONS | COM | 378970107 | 3 | 51100 | SH | DEFINED | 01 | 15 |
| GLOBALSTAR INC COM | COM | 378973408 | 1 | 66 | SH | DEFINED | 01 | 15 |
| GLOBAL INDUSTRIES LTD | COM | 379336100 | 1388 | 51748 | SH | DEFINED | 01 | 02 |
| GLOBAL INDUSTRIES LTD | COM | 379336100 | 165 | 6155 | SH | DEFINED | 01 | 15 |
| GLOBAL INTELLICOM INC | COM | 379337108 | 0 | 259 | SH | DEFINED | 01 | 15 |
| GLOBAL HIGH INCOME FUND INC | COM | 37933G108 | 20 | 1327 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| GLOBAL TELESYSTEMS INC NO 100 | COM | 37936U104 | 0 | 200 | SH | DEFINED | 01 | 15 |
| GLOBAL NETWORKS CORPCOM | COM | 379382104 | 0 | 100 | SH | DEFINED | 01 | 15 |
| GLOBAL PAYMENTS INC | COM | 37940X102 | 1562 | 39400 | SH | DEFINED | 01 | 02 |
| GLOBAL PAYMENTS INC | COM | 37940X102 | 447 | 11276 | SH | DEFINED | 01 | 03 |
| GLOBAL TRIAD INC COM NEW | COM | 379423205 | 0 | 12 | SH | DEFINED | 01 | 15 |
| GLOBAL DIVERSIFIED INDUSTIRES | COM | 37942D104 | 0 | 999 | SH | DEFINED | 01 | 15 |
| GLOBAL MARINE LTD | COM | 37944Y106 | 0 | 12 | SH | DEFINED | 01 | 15 |
| GLOBECOMM SYSTEMS INC | COM | 37956X103 | 2 | 150 | SH | DEFINED | 01 | 15 |
| GLOBIX CORPORATION ESC | COM | 37957F895 | 0 | 2970 | SH | DEFINED | 01 | 15 |
| GLOBETEL COMM CORP COM NEW | COM | 37958F209 | 0 | 66 | SH | DEFINED | 01 | 15 |
| GLOWPOINT INC | COM | 379887102 | 3 | 5000 | SH | DEFINED | 01 | 15 |
| GLU MOBILE INC | COM | 379890106 | 554 | 39891 | SH | DEFINED | 01 | 02 |
| GLYCOGENESYS INC COM NEW | COM | 37989P200 | 0 | 8 | SH | DEFINED | 01 | 15 |
| GMARKET INC SPONSORED | COM | 38012G100 | 8 | 400 | SH | DEFINED | 01 | 15 |
| GO ONLINE NETWORKS CORP | COM | 380185108 | 0 | 60000 | SH | DEFINED | 01 | 15 |
| GOAMERICA INC COM PAR \$0.01 | COM | 38020R304 | 0 | 1 | SH | DEFINED | 01 | 15 |
| GOFISH CORP COM | COM | 380411108 | 0 | 130 | SH | DEFINED | 01 | 15 |
| GOL LINHAS AEREAS INTEL-ADR | COM | 38045R107 | 537 | 16269 | SH | DEFINED | 01 | 02 |
| GOL LINHAS AEREAS INTEL-ADR | COM | 38045R107 | 41993 | 1272932 | SH | DEFINED | 01 | 02 |
| GOL LINHAS AEREAS INTEL-ADR | COM | 38045R107 | 93887 | 2858077 | SH | DEFINED | 01 | 10 |
| GOLD FIELDS LTD ADR | COM | 38059T106 | 9 | 558 | SH | DEFINED | 01 | 15 |
| GOLD ENTMT GROUP INC COM NE | COM | 38059X206 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| GOLD RESERVE INC-CLAISIN #CA38 | COM | 38068N108 | 30 | 5300 | SH | DEFINED | 01 | 15 |
| GOLD RESOURCE CORP COM ISIN # | COM | 38068T105 | 294 | 80000 | SH | DEFINED | 01 | 15 |
| GOLD ROCK HLDGS INC CL A | COM | 380709105 | 0 | 8500 | SH | DEFINED | 01 | 15 |
| GOLDOME NO 100HOLD | COM | 380934109 | 0 | 410 | SH | DEFINED | 01 | 15 |
| GOLDCORP INC | COM | 380956409 | 13496 | 569718 | SH | DEFINED | 01 | 02 |
| GOLDCORP INC | COM | 380956409 | 2840 | 119872 | SH | DEFINED | 01 | 15 |
| GOLDEN STAR RESOURCES LTD | COM | 38119T104 | 1733 | 467094 | SH | DEFINED | 01 | 02 |
| GOLDEN STAR RESOURCES LTD | COM | 38119T104 | 1 | 250 | SH | DEFINED | 01 | 15 |
| GOLDEN TELECOM INC | COM | 38122G107 | 4225 | 76767 | SH | DEFINED | 01 | 02 |
| GOLDEN TELECOM INC | COM | 38122G107 | 830 | 15070 | SH | DEFINED | 01 | 02 |
| GOLDEN TELECOM INC | COM | 38122G107 | 282 | 5120 | SH | DEFINED | 01 | 03 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 73500 | 339101 | SH | DEFINED | 01 | 02 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 5028 | 23195 | SH | DEFINED | 01 | 02 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 14728 | 67952 | SH | DEFINED | 01 | 03 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 98151 | 452941 | SH | DEFINED | 01 | 10 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 3060 | 14116 | SH | DEFINED | 01 | 15 |
| GOLDRICH RES INC | COM | 381432103 | 0 | 500 | SH | DEFINED | 01 | 15 |
| GOLF TRUST OF AMER | COM | 38168B103 | 0 | 100 | SH | DEFINED | 01 | 15 |
| GOODRICH CORP | COM | 382388106 | 125292 | 2103581 | SH | DEFINED | 01 | 02 |
| GOODRICH CORP | COM | 382388106 | 1233 | 20708 | SH | DEFINED | 01 | 03 |
| GOODRICH CORP | COM | 382388106 | 185 | 3104 | SH | DEFINED | 01 | 15 |
| GOODMAN GLOBAL INC | COM | 38239A100 | 782 | 35204 | SH | DEFINED | 01 | 02 |
| GOODRICH PETROLEUM CORP | COM | 382410405 | 327 | 9450 | SH | DEFINED | 01 | 02 |
| GOODRICH PETROLEUM CORP | COM | 382410405 | 19906 | 575000 | SH | DEFINED | 01 | 10 |
| GOODRICH PETROLEUM CORP | COM | 382410405 | 32 | 913 | SH | DEFINED | 01 | 15 |
| GOODYEAR TIRE & RUBBER CO/THE | COM | 382550101 | 17934 | 515917 | SH | DEFINED | 01 | 02 |
| GOODYEAR TIRE & RUBBER CO/THE | COM | 382550101 | 1187 | 34140 | SH | DEFINED | 01 | 03 |
| GOODYEAR TIRE & RUBBER CO/THE | COM | 382550101 | 24618 | 709659 | SH | DEFINED | 01 | 10 |
| GOODYEAR TIRE & RUBBER CO/THE | COM | 382550101 | 594 | 17101 | SH | DEFINED | 01 | 15 |
| GOOGLE INC-CL A | COM | 38259P508 | 258165 | 493280 | SH | DEFINED | 01 | 02 |
| GOOGLE INC-CL A | COM | 38259P508 | 22331 | 42666 | SH | DEFINED | 01 | 02 |
| GOOGLE INC-CL A | COM | 38259P508 | 19161 | 36610 | SH | DEFINED | 01 | 03 |
| GOOGLE INC-CL A | COM | 38259P508 | 148087 | 282954 | SH | DEFINED | 01 | 10 |
| GOOGLE INC-CL A | COM | 38259P508 | 1530 | 2927 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|------------|------|--------|----|---------|----|----|
| GORMAN-RUPP CO | COM | 383082104 | 627 | 19683 | SH | DEFINED | 01 | 02 |
| GORMAN-RUPP CO | COM | 383082104 | 37 | 1147 | SH | DEFINED | 01 | 15 |
| WR GRACE & CO | COM | 38388F108 | 1146 | 46765 | SH | DEFINED | 01 | 02 |
| WR GRACE & CO | COM | 38388F108 | 32 | 1300 | SH | DEFINED | 01 | 15 |
| GRACO INC | COM | 384109104 | 1788 | 44400 | SH | DEFINED | 01 | 02 |
| GRACO INC | COM | 384109104 | 12 | 300 | SH | DEFINED | 01 | 15 |
| GRAFTECH INTERNATIONAL LTD | COM | 384313102 | 8087 | 480113 | SH | DEFINED | 01 | 02 |
| GRAFTECH INTERNATIONAL LTD | COM | 384313102 | 621 | 36850 | SH | DEFINED | 01 | 02 |
| GRAFTECH INTERNATIONAL LTD | COM | 384313102 | 239 | 14200 | SH | DEFINED | 01 | 03 |
| WW GRAINGER INC | COM | 384802104 | 3997 | 42962 | SH | DEFINED | 01 | 02 |
| WW GRAINGER INC | COM | 384802104 | 1094 | 11757 | SH | DEFINED | 01 | 03 |
| WW GRAINGER INC | COM | 384802104 | 86 | 919 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| GRAMERCY CAPITAL CORP | COM | 384871109 | 3458 | 125519 | SH | DEFINED | 01 | 02 |
| GRAMERCY CAPITAL CORP | COM | 384871109 | 764 | 27740 | SH | DEFINED | 01 | 02 |
| GRAMERCY CAPITAL CORP | COM | 384871109 | 286 | 10400 | SH | DEFINED | 01 | 03 |
| GRAMERCY CAPITAL CORP | COM | 384871109 | 3 | 105 | SH | DEFINED | 01 | 15 |
| GRAND CENTRAL SILVERMINES | COM | 384906202 | 0 | 38 | SH | DEFINED | 01 | 15 |
| GRANITE CONSTR INC | COM | 387328107 | 8 | 121 | SH | DEFINED | 01 | 15 |
| GRANT PRIDECO INC | COM | 38821G101 | 961 | 17860 | SH | DEFINED | 01 | 02 |
| GRANT PRIDECO INC | COM | 38821G101 | 1466 | 27237 | SH | DEFINED | 01 | 15 |
| GRAPHIC PACKAGING CORP DEL | COM | 388688103 | 586 | 121125 | SH | DEFINED | 01 | 15 |
| GRAY TELEVISION INC | COM | 389375106 | 27 | 2909 | SH | DEFINED | 01 | 15 |
| GREAT AMERICAN REC COM NEW NO | COM | 390005205 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| GREAT ATLANTIC & PAC TEA CO | COM | 390064103 | 1631 | 48660 | SH | DEFINED | 01 | 02 |
| GREAT ATLA & PAC TEACO 9.375% | COM | 390064202 | 21 | 808 | SH | DEFINED | 01 | 15 |
| GREAT LAKES BANKCORP INC NEW CO | COM | 390914109 | 1 | 69 | SH | DEFINED | 01 | 15 |
| GREAT PLAINS ENERGY INC | COM | 391164100 | 1 | 19 | SH | DEFINED | 01 | 02 |
| GREAT PLAINS ENERGY INC | COM | 391164100 | 523 | 17973 | SH | DEFINED | 01 | 15 |
| GREAT WEST GOLD INC COM NEW | COM | 39136V205 | 0 | 406 | SH | DEFINED | 01 | 15 |
| GREAT WEST LIFECO INC 1ST PF | COM | 39138C700 | 4 | 159 | SH | DEFINED | 01 | 15 |
| GREAT WEST LIFECO INC 1ST PF | COM | 39138C809 | 1 | 51 | SH | DEFINED | 01 | 15 |
| GREATBATCH INC | COM | 39153L106 | 2342 | 72299 | SH | DEFINED | 01 | 02 |
| GREATBATCH INC | COM | 39153L106 | 12 | 375 | SH | DEFINED | 01 | 15 |
| GREATE BAY CASINO CORP DEL N | COM | 391546108 | 0 | 50 | SH | DEFINED | 01 | 15 |
| GREATER CHINA FUND | COM | 39167B102 | 325 | 12125 | SH | DEFINED | 01 | 15 |
| GREEN MTN COFFEE ROASTERS I | COM | 3931222106 | 55 | 700 | SH | DEFINED | 01 | 15 |
| GREEN PLAINS RENEWABLE | COM | 393222104 | 7 | 400 | SH | DEFINED | 01 | 15 |
| GREEN STAR PRODS INCCOM | COM | 393411103 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| GREEN BANKSHARES INC | COM | 394361208 | 1176 | 37701 | SH | DEFINED | 01 | 02 |
| GREEN BANKSHARES INC | COM | 394361208 | 829 | 26500 | SH | DEFINED | 01 | 02 |
| GREEN BANKSHARES INC | COM | 394361208 | 293 | 9360 | SH | DEFINED | 01 | 03 |
| GREENFIELD ONLINE | COM | 395150105 | 355 | 22309 | SH | DEFINED | 01 | 02 |
| GREENHILL & CO INC | COM | 395259104 | 2439 | 35500 | SH | DEFINED | 01 | 02 |
| GREENHILL & CO INC | COM | 395259104 | 17 | 254 | SH | DEFINED | 01 | 15 |
| GREENSMART CORP | COM | 395717101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| GREENSHIFT CORP | COM | 39571R107 | 1 | 10000 | SH | DEFINED | 01 | 15 |
| GREIF INC | COM | 397624107 | 2761 | 46290 | SH | DEFINED | 01 | 02 |
| GREY WOLF INC | COM | 397888108 | 5247 | 636695 | SH | DEFINED | 01 | 02 |
| GREY WOLF INC | COM | 397888108 | 604 | 73320 | SH | DEFINED | 01 | 02 |
| GREY WOLF INC | COM | 397888108 | 214 | 26000 | SH | DEFINED | 01 | 03 |
| GREY WOLF INC | COM | 397888108 | 90 | 10910 | SH | DEFINED | 01 | 15 |
| GREYSTONE DIGITAL TECHNOLOGY | COM | 39806G108 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| GREYTECH LTD RSTD | COM | 39808A992 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| GRIFFON CORPORATION | COM | 398433102 | 938 | 43073 | SH | DEFINED | 01 | 02 |
| GRIFFON CORPORATION | COM | 398433102 | 15 | 700 | SH | DEFINED | 01 | 15 |
| GRILL CONCEPTS INC 1 FOR 4 RE | COM | 398502104 | 0 | 5219 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| GRILL CONCEPTS INC COM PAR \$. | COM | 398502203 | 1 | 100 | SH | DEFINED | 01 | 15 |
| GROUP 1 AUTOMOTIVE INC | COM | 398905109 | 6135 | 152082 | SH | DEFINED | 01 | 02 |
| GROUP 1 AUTOMOTIVE INC | COM | 398905109 | 15 | 361 | SH | DEFINED | 01 | 15 |
| GROUPE DANONE SPONS ADR REPRS | COM | 399449107 | 9 | 569 | SH | DEFINED | 01 | 15 |
| GRUPO TELEVISA SA-SPONS ADR | COM | 40049J206 | 2152 | 77941 | SH | DEFINED | 01 | 02 |
| GRUPO TELEVISA SA-SPONS ADR | COM | 40049J206 | 12231 | 442994 | SH | DEFINED | 01 | 02 |
| GRUPO TELEVISA SA-SPONS ADR | COM | 40049J206 | 110974 | 4026609 | SH | DEFINED | 01 | 10 |
| GRUPO TELEVISA SA-SPONS ADR | COM | 40049J206 | 31 | 1136 | SH | DEFINED | 01 | 15 |
| GRUPO TMM SAB SPONS ADR | COM | 40051D105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| GRUPO AEROPORTUARIO SUR-ADR | COM | 40051E202 | 1317 | 25000 | SH | DEFINED | 01 | 02 |
| | | | | | | | | |
| GTX INC | COM | 40052B108 | 468 | 28881 | SH | DEFINED | 01 | 02 |
| GUANGSHEN RLY CO LTDSPONS ADR | COM | 40065W107 | 4 | 100 | SH | DEFINED | 01 | 15 |
| GUESS INC | COM | 401617105 | 12718 | 264735 | SH | DEFINED | 01 | 02 |
| GUESS INC | COM | 401617105 | 271 | 5650 | SH | DEFINED | 01 | 15 |
| GUITAR CENTER INC | COM | 402040109 | 3396 | 56795 | SH | DEFINED | 01 | 02 |
| GULF ISLAND FABRICATIO | COM | 402307102 | 17 | 500 | SH | DEFINED | 01 | 15 |
| GULF PWR CO SR NT SER | COM | 402479794 | 7 | 325 | SH | DEFINED | 01 | 15 |
| GULFMARK OFFSHORE INC | COM | 402629109 | 5743 | 112134 | SH | DEFINED | 01 | 02 |
| GULFMARK OFFSHORE INC | COM | 402629109 | 1350 | 26340 | SH | DEFINED | 01 | 02 |
| GULFMARK OFFSHORE INC | COM | 402629109 | 461 | 9000 | SH | DEFINED | 01 | 03 |
| GULFSTREAM BUSINESS BK STUART | COM | 402736102 | 40 | 1334 | SH | DEFINED | 01 | 15 |
| GYMBOREE CORP | COM | 403777105 | 7185 | 182295 | SH | DEFINED | 01 | 02 |
| GYMBOREE CORP | COM | 403777105 | 826 | 20960 | SH | DEFINED | 01 | 02 |
| GYMBOREE CORP | COM | 403777105 | 294 | 7450 | SH | DEFINED | 01 | 03 |
| GYMBOREE CORP | COM | 403777105 | 91 | 2300 | SH | DEFINED | 01 | 15 |
| GYRODYNE CO OF AMERICA INC | COM | 403820103 | 1160 | 20187 | SH | DEFINED | 01 | 02 |
| H & Q HEALTHCARE FD SH BEN INT | COM | 404052102 | 138 | 8049 | SH | DEFINED | 01 | 15 |
| H & Q LIFE SCIENCES INVS SH BE | COM | 404053100 | 191 | 14043 | SH | DEFINED | 01 | 15 |
| HCC INSURANCE HOLDINGS INC | COM | 404132102 | 2590 | 77530 | SH | DEFINED | 01 | 02 |
| HCC INSURANCE HOLDINGS INC | COM | 404132102 | 1728 | 51717 | SH | DEFINED | 01 | 15 |
| HE 5 RES CORP COM NEW | COM | 404155202 | 0 | 80 | SH | DEFINED | 01 | 15 |
| HDFC BK LTD ADR REPSTG 3 S | COM | 40415F101 | 168 | 1997 | SH | DEFINED | 01 | 15 |
| HFF INC | COM | 40418F108 | 2933 | 189110 | SH | DEFINED | 01 | 02 |
| HKN INC | COM | 40420K103 | 1 | 104 | SH | DEFINED | 01 | 15 |
| HLTH CORP | COM | 40422Y101 | 5 | 360 | SH | DEFINED | 01 | 15 |
| HNI CORP | COM | 404251100 | 55 | 1350 | SH | DEFINED | 01 | 15 |
| HMS HOLDINGS CORP | COM | 40425J101 | 1908 | 99699 | SH | DEFINED | 01 | 02 |
| HMS HOLDINGS CORP | COM | 40425J101 | 32 | 1695 | SH | DEFINED | 01 | 15 |
| HMZ METALS INC ISIN #CA40 | COM | 40425M104 | 0 | 583 | SH | DEFINED | 01 | 15 |
| HQ SUSTAINABLE MARITIME INDU | COM | 40426A208 | 214 | 21050 | SH | DEFINED | 01 | 02 |
| HRPT PROPERTIES TRUST | COM | 40426W101 | 108 | 10430 | SH | DEFINED | 01 | 02 |
| HSBC HOLDINGS PLC-SPONS ADR | COM | 404280406 | 46899 | 511051 | SH | DEFINED | 01 | 02 |
| HSBC HOLDINGS PLC-SPONS ADR | COM | 404280406 | 604 | 6582 | SH | DEFINED | 01 | 15 |
| HSBC HOLDINGS PLC ADR SER A | COM | 404280604 | 349 | 14650 | SH | DEFINED | 01 | 15 |
| HSBC FIN CORP NT 6.875% 01/ | COM | 40429C201 | 25 | 990 | SH | DEFINED | 01 | 15 |
| HSBC FIN CORP NT 6.00% 1 | COM | 40429C300 | 3 | 135 | SH | DEFINED | 01 | 15 |
| HAEMONETICS CORP/MASS | COM | 405024100 | 2556 | 48602 | SH | DEFINED | 01 | 02 |
| HAEMONETICS CORP/MASS | COM | 405024100 | 222 | 4224 | SH | DEFINED | 01 | 15 |
| HAIN CELESTIAL GROUP INC | COM | 405217100 | 4885 | 179971 | SH | DEFINED | 01 | 02 |
| HAIN CELESTIAL GROUP INC | COM | 405217100 | 1002 | 36920 | SH | DEFINED | 01 | 02 |
| HAIN CELESTIAL GROUP INC | COM | 405217100 | 323 | 11900 | SH | DEFINED | 01 | 03 |
| HAIN CELESTIAL GROUP INC | COM | 405217100 | 28 | 1018 | SH | DEFINED | 01 | 15 |
| HALLIBURTON CO | COM | 406216101 | 205421 | 5954252 | SH | DEFINED | 01 | 02 |
| HALLIBURTON CO | COM | 406216101 | 5778 | 167483 | SH | DEFINED | 01 | 03 |
| HALLIBURTON CO | COM | 406216101 | 1727 | 50048 | SH | DEFINED | 01 | 15 |
| HALOZYME THERAPEUTICS INC | COM | 40637H109 | 25 | 2776 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|--------|---------|-----|---------|----|----|
| HAMPSHIRE GROUP LTD | COM | 408859106 | 1398 | 83980 | SH | DEFINED | 01 | 02 |
| HANCOCK JOHN BK & THRIFT OPP | COM | 409735107 | 20 | 2171 | SH | DEFINED | 01 | 15 |
| HANCOCK HOLDING CO | COM | 410120109 | 841 | 22400 | SH | DEFINED | 01 | 02 |
| HANCOCK JOHN INCOME SECS TR MA | COM | 410123103 | 31 | 2169 | SH | DEFINED | 01 | 15 |
| JOHN HANCOCK PATRIOTPREM DIVID | COM | 41013T105 | 81 | 7394 | SH | DEFINED | 01 | 15 |
| HANCOCK JOHN TAX ADVANTAGE | COM | 41013V100 | 245 | 12576 | SH | DEFINED | 01 | 15 |
| HANCOCK JOHN PFD EQUITY INC | COM | 41013W108 | 383 | 16125 | SH | DEFINED | 01 | 15 |
| HANCOCK JOHN PFD INCOME FD | COM | 41013X106 | 14 | 600 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| HANCOCK JOHN FINL TRENDS FD | COM | 41014X105 | 23 | 1200 | SH | DEFINED | 01 | 15 |
| HANCOCK JOHN PFD INCME FD III | COM | 41021P103 | 103 | 4750 | SH | DEFINED | 01 | 15 |
| HANDLEMAN COMPANY | COM | 410252100 | 1 | 100 | SH | DEFINED | 01 | 15 |
| HANESBRANDS INC | COM | 410345102 | 1 | 27 | SH | DEFINED | 01 | 02 |
| HANESBRANDS INC | COM | 410345102 | 825 | 30523 | SH | DEFINED | 01 | 15 |
| HANMI FINANCIAL CORPORATION | COM | 410495105 | 3821 | 224022 | SH | DEFINED | 01 | 02 |
| HANMI FINANCIAL CORPORATION | COM | 410495105 | 569 | 33316 | SH | DEFINED | 01 | 02 |
| HANMI FINANCIAL CORPORATION | COM | 410495105 | 177 | 10372 | SH | DEFINED | 01 | 03 |
| HANNOVER REINS CORP SPONSORED | COM | 410693105 | 5 | 195 | SH | DEFINED | 01 | 15 |
| HANOVER COMPRESSOR CO | COM | 410768105 | 1134 | 47550 | SH | DEFINED | 01 | 02 |
| HANOVER COMPRESSOR CO | COM | 410768105 | 776 | 32530 | SH | DEFINED | 01 | 02 |
| HANOVER COMPRESSOR CO | COM | 410768105 | 272 | 11400 | SH | DEFINED | 01 | 03 |
| HANOVER COMPRESSOR CO | COM | 410768105 | 4 | 159 | SH | DEFINED | 01 | 05 |
| HANOVER COMPRESSOR COMPANY 04. | CONV BND | 410768AC9 | 980 | 1000000 | PRN | DEFINED | 01 | 02 |
| HANOVER INSURANCE GROUP INC/ | COM | 410867105 | 160 | 3284 | SH | DEFINED | 01 | 02 |
| HANOVER INSURANCE GROUP INC/ | COM | 410867105 | 46 | 952 | SH | DEFINED | 01 | 15 |
| HANSEN MEDICAL INC | COM | 411307101 | 229 | 12100 | SH | DEFINED | 01 | 02 |
| HANSEN MEDICAL INC | COM | 411307101 | 6 | 300 | SH | DEFINED | 01 | 15 |
| HANSEN NATURAL CORP | COM | 411310105 | 4252 | 98919 | SH | DEFINED | 01 | 02 |
| HANSEN NATURAL CORP | COM | 411310105 | 5181 | 120546 | SH | DEFINED | 01 | 02 |
| HANSEN NATURAL CORP | COM | 411310105 | 355 | 8257 | SH | DEFINED | 01 | 03 |
| HANSEN NATURAL CORP | COM | 411310105 | 110007 | 2559529 | SH | DEFINED | 01 | 10 |
| HANSEN NATURAL CORP | COM | 411310105 | 152 | 3529 | SH | DEFINED | 01 | 15 |
| HANSON PLC-SPONSORED ADR | COM | 411349103 | 1048 | 9724 | SH | DEFINED | 01 | 02 |
| HANSON PLC-SPONSORED ADR | COM | 411349103 | 27 | 251 | SH | DEFINED | 01 | 15 |
| HARLEY-DAVIDSON INC | COM | 412822108 | 60122 | 1008546 | SH | DEFINED | 01 | 02 |
| HARLEY-DAVIDSON INC | COM | 412822108 | 2840 | 47651 | SH | DEFINED | 01 | 03 |
| HARLEY-DAVIDSON INC | COM | 412822108 | 1350 | 22649 | SH | DEFINED | 01 | 15 |
| HARLEYSVILLE GROUP INC | COM | 412824104 | 594 | 17800 | SH | DEFINED | 01 | 02 |
| HARLEYSVILLE GROUP INC | COM | 412824104 | 9 | 258 | SH | DEFINED | 01 | 15 |
| HARLEYSVILLE NATIONAL CORP | COM | 412850109 | 290 | 18000 | SH | DEFINED | 01 | 02 |
| HARMAN INTERNATIONAL | COM | 413086109 | 313045 | 2680192 | SH | DEFINED | 01 | 02 |
| HARMAN INTERNATIONAL | COM | 413086109 | 1399 | 11976 | SH | DEFINED | 01 | 03 |
| HARMONIC INC | COM | 413160102 | 1635 | 184450 | SH | DEFINED | 01 | 02 |
| HARMONIC INC | COM | 413160102 | 266 | 30011 | SH | DEFINED | 01 | 15 |
| HARMONY GOLD MNG-SPON ADR | COM | 413216300 | 8857 | 620679 | SH | DEFINED | 01 | 02 |
| HARNISCHFEGGER INDS INC NO STK | COM | 413345109 | 0 | 2687 | SH | DEFINED | 01 | 15 |
| HARRAH'S ENTERTAINMENT INC | COM | 413619107 | 15072 | 176775 | SH | DEFINED | 01 | 02 |
| HARRAH'S ENTERTAINMENT INC | COM | 413619107 | 2634 | 30891 | SH | DEFINED | 01 | 03 |
| HARRAH'S ENTERTAINMENT INC | COM | 413619107 | 251 | 2944 | SH | DEFINED | 01 | 15 |
| HARRIS & HARRIS GROUP INC | COM | 413833104 | 56 | 5000 | SH | DEFINED | 01 | 15 |
| HARRIS CORP DEL | COM | 413875105 | 265 | 4856 | SH | DEFINED | 01 | 15 |
| HARRIS INTERACTIVE INC | COM | 414549105 | 767 | 143400 | SH | DEFINED | 01 | 02 |
| HARRIS PFD CAP CORP PFD EXCH 7 | COM | 414567206 | 18 | 700 | SH | DEFINED | 01 | 15 |
| HARRIS STRATEX NETWORKS I | COM | 41457P106 | 2 | 125 | SH | DEFINED | 01 | 15 |
| HARSCO CORP | COM | 415864107 | 34634 | 666018 | SH | DEFINED | 01 | 02 |
| HARSCO CORP | COM | 415864107 | 15 | 280 | SH | DEFINED | 01 | 15 |
| HARTFORD FINANCIAL SVCS GRP | COM | 416515104 | 107694 | 1093240 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| HARTFORD FINANCIAL SVCS GRP | COM | 416515104 | 5162 | 52401 | SH | DEFINED | 01 | 03 |
| HARTFORD FINANCIAL SVCS GRP | COM | 416515104 | 1579 | 16028 | SH | DEFINED | 01 | 15 |
| HARTFORD INCOME SHS FD INC | COM | 416537108 | 44 | 5600 | SH | DEFINED | 01 | 15 |
| HARVARD INTL TECH LTD | COM | 41744C108 | 0 | 400 | SH | DEFINED | 01 | 15 |
| HARVEST ENERGY TR UNITS ISIN | COM | 41752X101 | 1420 | 45854 | SH | DEFINED | 01 | 15 |
| HARVEST NATURAL RESOURCES IN | COM | 41754V103 | 134 | 11227 | SH | DEFINED | 01 | 02 |
| HARVEST NATURAL RESOURCES IN | COM | 41754V103 | 2 | 190 | SH | DEFINED | 01 | 15 |
| HASBRO INC | COM | 418056107 | 20688 | 658615 | SH | DEFINED | 01 | 02 |
| HASBRO INC | COM | 418056107 | 828 | 26375 | SH | DEFINED | 01 | 03 |
| HASBRO INC | COM | 418056107 | 167 | 5316 | SH | DEFINED | 01 | 15 |
| HAVERTY FURNITURE | COM | 419596101 | 1138 | 97548 | SH | DEFINED | 01 | 02 |
| HAWAIIAN ELECTRIC INDS | COM | 419870100 | 7569 | 319512 | SH | DEFINED | 01 | 02 |
| HAWAIIAN ELECTRIC INDS | COM | 419870100 | 252 | 10646 | SH | DEFINED | 01 | 15 |
| HAYNES INTERNATIONAL INC | COM | 420877201 | 4402 | 52130 | SH | DEFINED | 01 | 02 |
| HEALTHCARE SERVICES GROUP | COM | 421906108 | 2125 | 72054 | SH | DEFINED | 01 | 02 |
| HEALTHCARE SERVICES GROUP | COM | 421906108 | 592 | 20083 | SH | DEFINED | 01 | 15 |
| HEALTH CARE PROPERTY INVESTORS | COM | 421915109 | 560 | 19372 | SH | DEFINED | 01 | 02 |
| HEALTH CARE PPTY INVS INC P | COM | 421915125 | 52 | 2100 | SH | DEFINED | 01 | 15 |
| HEALTH CARE PPTY INVS INC P | COM | 421915802 | 5 | 200 | SH | DEFINED | 01 | 15 |
| HEALTHSOUTH CORP | COM | 421924309 | 35 | 1915 | SH | DEFINED | 01 | 02 |
| HEALTHSOUTH CORP | COM | 421924309 | 159 | 8789 | SH | DEFINED | 01 | 15 |
| HEALTH MGMT ASSOCIATES INC-A | COM | 421933102 | 25940 | 2283381 | SH | DEFINED | 01 | 02 |
| HEALTH MGMT ASSOCIATES INC-A | COM | 421933102 | 8 | 680 | SH | DEFINED | 01 | 15 |
| HEARTLAND TECHNOLOGY INC | COM | 421979105 | 0 | 700 | SH | DEFINED | 01 | 15 |
| HBOS PLC SPON ADR (UNITED KI | COM | 42205M106 | 564 | 28525 | SH | DEFINED | 01 | 15 |
| HEAD NV-NY REG | COM | 422070102 | 3658 | 894200 | SH | DEFINED | 01 | 02 |
| HEADWAY CORPORATE RESOURCES | COM | 422101105 | 0 | 700 | SH | DEFINED | 01 | 15 |
| HEADWATERS INC | COM | 42210P102 | 1201 | 69550 | SH | DEFINED | 01 | 02 |
| HEADWATERS INC | COM | 42210P102 | 185 | 10689 | SH | DEFINED | 01 | 15 |
| HEALTH CARE REIT INC | COM | 42217K106 | 7791 | 193055 | SH | DEFINED | 01 | 02 |
| HEALTH CARE REIT INCPFD SER D | COM | 42217K304 | 7 | 284 | SH | DEFINED | 01 | 15 |
| HEALTH CARE REIT INCPFD SER F | COM | 42217K403 | 115 | 4575 | SH | DEFINED | 01 | 15 |
| HEALTHEXTRAS INC | COM | 422211102 | 2442 | 82555 | SH | DEFINED | 01 | 02 |
| HEALTHEXTRAS INC | COM | 422211102 | 6 | 209 | SH | DEFINED | 01 | 15 |
| HEALTH NET INC | COM | 42222G108 | 72216 | 1367751 | SH | DEFINED | 01 | 02 |
| HEALTH NET INC | COM | 42222G108 | 69 | 1305 | SH | DEFINED | 01 | 15 |
| HEALTHTRONICS INC | COM | 42222L107 | 30 | 6953 | SH | DEFINED | 01 | 02 |
| HEALTHTRONICS INC | COM | 42222L107 | 4 | 977 | SH | DEFINED | 01 | 15 |
| HEALTHWAYS INC | COM | 422245100 | 5225 | 110310 | SH | DEFINED | 01 | 02 |
| HEALTHWAYS INC | COM | 422245100 | 5 | 100 | SH | DEFINED | 01 | 15 |
| HEALTHUNIVERSE INC | COM | 42224J100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| HEALTHSPRING INC | COM | 42224N101 | 228 | 11952 | SH | DEFINED | 01 | 02 |
| HEALTHSPRING INC | COM | 42224N101 | 1 | 65 | SH | DEFINED | 01 | 15 |
| HEALTHTRAC INC ISIN CA42 | COM | 42226R100 | 0 | 11750 | SH | DEFINED | 01 | 15 |
| HEARST-ARGYLE TELEVISION | COM | 422317107 | 72 | 2997 | SH | DEFINED | 01 | 15 |
| HEARTLAND EXPRESS INC | COM | 422347104 | 5815 | 356725 | SH | DEFINED | 01 | 02 |
| HEARTLAND EXPRESS INC | COM | 422347104 | 1022 | 62670 | SH | DEFINED | 01 | 02 |
| HEARTLAND EXPRESS INC | COM | 422347104 | 364 | 22340 | SH | DEFINED | 01 | 03 |
| HEARTLAND FINANCIAL USA INC | COM | 42234Q102 | 781 | 32156 | SH | DEFINED | 01 | 02 |
| HEARTLAND FINANCIAL USA INC | COM | 42234Q102 | 533 | 21920 | SH | DEFINED | 01 | 02 |
| HEARTLAND FINANCIAL USA INC | COM | 42234Q102 | 185 | 7600 | SH | DEFINED | 01 | 03 |
| HEARTLAND PMT SYS INC COM | COM | 42235N108 | 39 | 1340 | SH | DEFINED | 01 | 15 |
| HEARTLAND OIL & GAS CORP NEV 1 | COM | 42235Q101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| HEARUSA INC NEW | COM | 422360305 | 55 | 33500 | SH | DEFINED | 01 | 15 |
| HECLA MINING CO | COM | 422704106 | 1870 | 218902 | SH | DEFINED | 01 | 02 |
| HEELYS INC | COM | 42279M107 | 142 | 5498 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| HEICO CORP | COM | 422806109 | 872 | 20710 | SH | DEFINED | 01 | 02 |
| HEICO CORP-CL A | COM | 422806208 | 281 | 8000 | SH | DEFINED | 01 | 02 |
| HEICO CORP-CL A | COM | 422806208 | 8 | 221 | SH | DEFINED | 01 | 15 |
| HEIDRICK & STRUGGLES INTL | COM | 422819102 | 5206 | 101613 | SH | DEFINED | 01 | 02 |
| HEIDRICK & STRUGGLES INTL | COM | 422819102 | 65 | 1263 | SH | DEFINED | 01 | 15 |
| HEINEKEN N V ADR | COM | 423012202 | 247 | 8423 | SH | DEFINED | 01 | 15 |
| HJ HEINZ CO | COM | 423074103 | 18608 | 391982 | SH | DEFINED | 01 | 02 |
| HJ HEINZ CO | COM | 423074103 | 2744 | 57813 | SH | DEFINED | 01 | 03 |
| HJ HEINZ CO | COM | 423074103 | 4409 | 92886 | SH | DEFINED | 01 | 15 |
| HELIX ENERGY SOLUTIONS GROUP | COM | 42330P107 | 5981 | 149862 | SH | DEFINED | 01 | 02 |
| HELIX ENERGY SOLUTIONS GROUP | COM | 42330P107 | 125 | 3143 | SH | DEFINED | 01 | 15 |
| HELMERICH & PAYNE | COM | 423452101 | 8327 | 235052 | SH | DEFINED | 01 | 02 |
| HELMERICH & PAYNE | COM | 423452101 | 89 | 2516 | SH | DEFINED | 01 | 03 |
| HELMERICH & PAYNE | COM | 423452101 | 153 | 4328 | SH | DEFINED | 01 | 15 |
| HENKEL KGAA SPONS ADR | COM | 42550U109 | 9 | 186 | SH | DEFINED | 01 | 15 |
| HENKEL LTD PARTNERSHI | COM | 42550U208 | 108 | 2055 | SH | DEFINED | 01 | 15 |
| HENLEY HEALTHCARE INC | COM | 42550V107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| JACK HENRY & ASSOCIATES INC | COM | 426281101 | 1859 | 72210 | SH | DEFINED | 01 | 02 |
| JACK HENRY & ASSOCIATES INC | COM | 426281101 | 118 | 4569 | SH | DEFINED | 01 | 15 |
| HENRY BROS ELECTRONIC | COM | 426286100 | 3 | 700 | SH | DEFINED | 01 | 15 |
| HEPALIFE TECHNOLOGI | COM | 42689P104 | 1 | 675 | SH | DEFINED | 01 | 15 |
| HERITAGE COMMERCE CORP | COM | 426927109 | 568 | 23978 | SH | DEFINED | 01 | 02 |
| HERITAGE COMMERCE CORP | COM | 426927109 | 379 | 16001 | SH | DEFINED | 01 | 02 |
| HERITAGE COMMERCE CORP | COM | 426927109 | 173 | 7310 | SH | DEFINED | 01 | 03 |
| HERCULES INC | COM | 427056106 | 8453 | 430213 | SH | DEFINED | 01 | 02 |
| HERCULES INC | COM | 427056106 | 923 | 47010 | SH | DEFINED | 01 | 02 |
| HERCULES INC | COM | 427056106 | 691 | 35169 | SH | DEFINED | 01 | 03 |
| HERCULES OFFSHORE INC | COM | 427093109 | 3131 | 96704 | SH | DEFINED | 01 | 02 |
| HERCULES OFFSHORE INC | COM | 427093109 | 277 | 8542 | SH | DEFINED | 01 | 15 |
| HERINGRAT 478 INC COM | COM | 427184106 | 0 | 1410 | SH | DEFINED | 01 | 15 |
| HERSHEY CO/THE | COM | 427866108 | 24844 | 490787 | SH | DEFINED | 01 | 02 |
| HERSHEY CO/THE | COM | 427866108 | 1762 | 34816 | SH | DEFINED | 01 | 03 |
| HERSHEY CO/THE | COM | 427866108 | 1172 | 23159 | SH | DEFINED | 01 | 15 |
| HERTZ GLOBAL HOLDINGS INC | COM | 42805T105 | 53744 | 2022740 | SH | DEFINED | 01 | 02 |
| HESS CORP | COM | 42809H107 | 26229 | 444855 | SH | DEFINED | 01 | 02 |
| HESS CORP | COM | 42809H107 | 2662 | 45155 | SH | DEFINED | 01 | 03 |
| HESS CORP | COM | 42809H107 | 270 | 4573 | SH | DEFINED | 01 | 15 |
| HEWITT ASSOCIATES INC | COM | 42822Q100 | 32 | 1013 | SH | DEFINED | 01 | 15 |
| HEWLETT-PACKARD CO | COM | 428236103 | 333743 | 7479653 | SH | DEFINED | 01 | 02 |
| HEWLETT-PACKARD CO | COM | 428236103 | 20195 | 452606 | SH | DEFINED | 01 | 03 |
| HEWLETT-PACKARD CO | COM | 428236103 | 20455 | 458929 | SH | DEFINED | 01 | 10 |
| HEWLETT-PACKARD CO | COM | 428236103 | 5955 | 133460 | SH | DEFINED | 01 | 15 |
| HEXCEL CORP | COM | 428291108 | 5394 | 256000 | SH | DEFINED | 01 | 02 |
| HEXCEL CORP | COM | 428291108 | 1317 | 62515 | SH | DEFINED | 01 | 15 |
| HI/FN INC | COM | 428358105 | 0 | 15 | SH | DEFINED | 01 | 15 |
| HI-TECH PHARMACAL INC | COM | 42840B101 | 4 | 375 | SH | DEFINED | 01 | 15 |
| HIBBETT SPORTS INC | COM | 428567101 | 2988 | 109112 | SH | DEFINED | 01 | 02 |
| HIBBETT SPORTS INC | COM | 428567101 | 9 | 325 | SH | DEFINED | 01 | 15 |
| HIGH YIELD INCOME FD | COM | 429904105 | 11 | 2000 | SH | DEFINED | 01 | 15 |
| HIGH YIELD PLUS FD INC | COM | 429906100 | 25 | 6832 | SH | DEFINED | 01 | 15 |
| HIGHLAND CREDIT STRATEGIES | COM | 43005Q107 | 43 | 2160 | SH | DEFINED | 01 | 15 |
| HIGHLAND HOSPITALITY CORP | COM | 430141101 | 1036 | 53983 | SH | DEFINED | 01 | 02 |
| HIGHLAND SURPRISE CONSOL MIN | COM | 430776104 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| HIGHLAND TELEPHONE COOPERATIV | COM | 430998104 | 0 | 1 | SH | DEFINED | 01 | 15 |
| HIGHLANDS INSURANCE GROUP INC | COM | 431032101 | 0 | 10 | SH | DEFINED | 01 | 15 |
| HIGHWOODS PROPERTIES INC | COM | 431284108 | 8400 | 224004 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| HIGHWOODS PROPERTIES INC | COM | 431284108 | 742 | 19770 | SH | DEFINED | 01 | 02 |
| HIGHWOODS PROPERTIES INC | COM | 431284108 | 266 | 7100 | SH | DEFINED | 01 | 03 |
| HIGHWOODS PROPERTIES8.00% SER | COM | 431284306 | 11 | 438 | SH | DEFINED | 01 | 15 |
| HILB ROGAL & HOBBS CO | COM | 431294107 | 10675 | 249075 | SH | DEFINED | 01 | 02 |
| HILB ROGAL & HOBBS CO | COM | 431294107 | 563 | 13140 | SH | DEFINED | 01 | 02 |
| HILB ROGAL & HOBBS CO | COM | 431294107 | 197 | 4600 | SH | DEFINED | 01 | 03 |
| HILB ROGAL & HOBBS CO | COM | 431294107 | 343 | 8000 | SH | DEFINED | 01 | 15 |
| HILLS STORES CO COMMON DEF | COM | 431COM991 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| HILTON HOTELS CORP | COM | 432848109 | 62444 | 1865763 | SH | DEFINED | 01 | 02 |
| HILTON HOTELS CORP | COM | 432848109 | 2472 | 73855 | SH | DEFINED | 01 | 03 |
| HILTON HOTELS CORP | COM | 432848109 | 195 | 5816 | SH | DEFINED | 01 | 15 |
| HILTON HOTELS CORP QUARTERLY | COM | 432848307 | 4 | 150 | SH | DEFINED | 01 | 15 |
| HITACHI LTD ADR 10 COM | COM | 433578507 | 8 | 114 | SH | DEFINED | 01 | 15 |
| HITTITE MICROWAVE CORP | COM | 43365Y104 | 7922 | 185386 | SH | DEFINED | 01 | 02 |
| HITTITE MICROWAVE CORP | COM | 43365Y104 | 451 | 10553 | SH | DEFINED | 01 | 03 |
| HITTITE MICROWAVE CORP | COM | 43365Y104 | 5 | 125 | SH | DEFINED | 01 | 15 |
| HOKU SCIENTIFIC INC | COM | 434712105 | 11 | 1000 | SH | DEFINED | 01 | 15 |
| HOLLY CORP | COM | 435758305 | 2281 | 30760 | SH | DEFINED | 01 | 02 |
| HOLLY CORP | COM | 435758305 | 14 | 190 | SH | DEFINED | 01 | 15 |
| HOLLYWOOD TRENZ INC | COM | 436268304 | 0 | 50 | SH | DEFINED | 01 | 15 |
| HOLOGIC INC | COM | 436440101 | 18756 | 339098 | SH | DEFINED | 01 | 02 |
| HOLOGIC INC | COM | 436440101 | 117 | 2108 | SH | DEFINED | 01 | 03 |
| HOLOGIC INC | COM | 436440101 | 1852 | 33488 | SH | DEFINED | 01 | 10 |
| HOLOGIC INC | COM | 436440101 | 190 | 3441 | SH | DEFINED | 01 | 15 |
| HOME BANCSHARES INC COM | COM | 436893200 | 33 | 1450 | SH | DEFINED | 01 | 15 |
| HOME HEALTH CORP OF AMERICA | COM | 436936108 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| HOME DEPOT INC | COM | 437076102 | 213536 | 5426533 | SH | DEFINED | 01 | 02 |
| HOME DEPOT INC | COM | 437076102 | 6275 | 159463 | SH | DEFINED | 01 | 02 |
| HOME DEPOT INC | COM | 437076102 | 13268 | 337184 | SH | DEFINED | 01 | 03 |
| HOME DEPOT INC | COM | 437076102 | 6522 | 165780 | SH | DEFINED | 01 | 10 |
| HOME DEPOT INC | COM | 437076102 | 6614 | 168069 | SH | DEFINED | 01 | 15 |
| HOME DIAGNOSTICS INCDEL COM | COM | 437080104 | 8 | 700 | SH | DEFINED | 01 | 15 |
| HOMEINNS & HOTEL MANAGEMENT IN | COM | 43713W107 | 134 | 4160 | SH | DEFINED | 01 | 11 |
| HOMEINNS & HOTEL MANAGEMENT IN | COM | 43713W107 | 5 | 150 | SH | DEFINED | 01 | 15 |
| HOME PROPERTIES INC | COM | 437306103 | 4928 | 94906 | SH | DEFINED | 01 | 02 |
| HOME SOLUTIONS AMER INC | COM | 437355100 | 10 | 1700 | SH | DEFINED | 01 | 15 |
| HOMEBANC CORP/GA | COM | 43738R109 | 643 | 505676 | SH | DEFINED | 01 | 02 |
| HOMEFED CORP COM PAR \$. | COM | 43739D307 | 0 | 2 | SH | DEFINED | 01 | 15 |
| HOMEGOLD FINANCIAL INC - NO R | COM | 43740E104 | 0 | 332 | SH | DEFINED | 01 | 15 |
| HOMES FOR AMERICA HOLDING IN | COM | 437600109 | 0 | 1417 | SH | DEFINED | 01 | 15 |
| HON HAI PREC-GDR144A | COM | 438090102 | 5277 | 305321 | SH | DEFINED | 01 | 02 |
| HONDA MOTORS LTD ADRNEW | COM | 438128308 | 396 | 10905 | SH | DEFINED | 01 | 15 |
| HONEYWELL INTERNATIONAL INC | COM | 438516106 | 254147 | 4515756 | SH | DEFINED | 01 | 02 |
| HONEYWELL INTERNATIONAL INC | COM | 438516106 | 7881 | 140032 | SH | DEFINED | 01 | 03 |
| HONEYWELL INTERNATIONAL INC | COM | 438516106 | 2100 | 37315 | SH | DEFINED | 01 | 15 |
| HONG KONG ELECTRIC HOLDINGS S | COM | 438580300 | 193 | 38261 | SH | DEFINED | 01 | 15 |
| HOOVER FURNITURE CORP | COM | 439038100 | 85 | 3774 | SH | DEFINED | 01 | 02 |
| HOOPER HOLMES INC | COM | 439104100 | 377 | 112496 | SH | DEFINED | 01 | 02 |
| HORACE MANN EDUCATORS CORP | COM | 440327104 | 5517 | 259775 | SH | DEFINED | 01 | 02 |
| HORACE MANN EDUCATORS CORP | COM | 440327104 | 1228 | 57840 | SH | DEFINED | 01 | 02 |
| HORACE MANN EDUCATORS CORP | COM | 440327104 | 435 | 20460 | SH | DEFINED | 01 | 03 |
| HORIZON FINANCIAL CORP | COM | 44041F105 | 1247 | 57151 | SH | DEFINED | 01 | 02 |
| HORIZON FINANCIAL CORP | COM | 44041F105 | 867 | 39764 | SH | DEFINED | 01 | 02 |
| HORIZON FINANCIAL CORP | COM | 44041F105 | 289 | 13250 | SH | DEFINED | 01 | 03 |
| HORIZON GROUP PPTYS INC | COM | 44041U102 | 0 | 27 | SH | DEFINED | 01 | 15 |
| HORIZON LINES INC-CL A | COM | 44044K101 | 3630 | 110716 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| HORIZON LINES INC-CL A | COM | 44044K101 | 738 | 22520 | SH | DEFINED | 01 | 02 |
| HORIZON LINES INC-CL A | COM | 44044K101 | 249 | 7600 | SH | DEFINED | 01 | 03 |
| HORIZON LINES INC-CL A | COM | 44044K101 | 245 | 7470 | SH | DEFINED | 01 | 15 |
| HORMEL GEORGE A & CO | COM | 440452100 | 57 | 1522 | SH | DEFINED | 01 | 15 |
| HORNBECK OFFSHORE SERVICES INC | COM | 440543106 | 2521 | 65015 | SH | DEFINED | 01 | 02 |
| HORNBECK OFFSHORE SERVICES INC | COM | 440543106 | 690 | 17840 | SH | DEFINED | 01 | 02 |
| HORNBECK OFFSHORE SERVICES INC | COM | 440543106 | 233 | 6000 | SH | DEFINED | 01 | 03 |
| HOSPIRA INC | COM | 441060100 | 12209 | 312743 | SH | DEFINED | 01 | 02 |
| HOSPIRA INC | COM | 441060100 | 1007 | 25783 | SH | DEFINED | 01 | 03 |
| HOSPIRA INC | COM | 441060100 | 312 | 7990 | SH | DEFINED | 01 | 15 |
| HOSPITALITY PROPERTIES TRUST | COM | 44106M102 | 156 | 3770 | SH | DEFINED | 01 | 02 |
| HOSPITALITY PPTYS TR PFD SER B | COM | 44106M409 | 50 | 2000 | SH | DEFINED | 01 | 15 |
| HOST HOTELS & RESORTS INC | COM | 44107P104 | 12765 | 552111 | SH | DEFINED | 01 | 02 |
| HOST HOTELS & RESORTS INC | COM | 44107P104 | 1997 | 86395 | SH | DEFINED | 01 | 03 |
| HOST HOTELS & RESORTS INC | COM | 44107P104 | 556 | 24132 | SH | DEFINED | 01 | 10 |
| HOST HOTELS & RESORTS INC | COM | 44107P104 | 1686 | 72937 | SH | DEFINED | 01 | 15 |
| HOT TOPIC INC | COM | 441339108 | 789 | 72621 | SH | DEFINED | 01 | 02 |
| HOUSE OF TAYLOR JEWELRY IN | COM | 44176R106 | 3 | 2000 | SH | DEFINED | 01 | 15 |
| HOUSTON AMERN ENERGY COR | COM | 44183U100 | 12 | 2250 | SH | DEFINED | 01 | 15 |
| HOUSTON WIRE & CABLE CO | COM | 44244K109 | 2103 | 73993 | SH | DEFINED | 01 | 02 |
| HOUSTON WIRE & CABLE CO | COM | 44244K109 | 7167 | 252535 | SH | DEFINED | 01 | 10 |
| HOUSTON WIRE & CABLE CO | COM | 44244K109 | 15 | 545 | SH | DEFINED | 01 | 15 |
| HOVNIANIAN ENTERPRISE. | COM | 442487203 | 9830 | 594655 | SH | DEFINED | 01 | 02 |
| HOVNIANIAN ENTERPRISE. | COM | 442487203 | 12438 | 752903 | SH | DEFINED | 01 | 10 |
| HOVNIANIAN ENTERPRISE. | COM | 442487203 | 2 | 97 | SH | DEFINED | 01 | 15 |
| HOYA CORP SPONSORED | COM | 443251103 | 6 | 174 | SH | DEFINED | 01 | 15 |
| HUANENG POWER INTL INC - ADR | COM | 443304100 | 12 | 250 | SH | DEFINED | 01 | 15 |
| HUB GROUP INC | COM | 443320106 | 8028 | 228347 | SH | DEFINED | 01 | 02 |
| HUBBELL INC -CL B | COM | 443510201 | 48514 | 894767 | SH | DEFINED | 01 | 02 |
| HUBBELL INC -CL B | COM | 443510201 | 372 | 6860 | SH | DEFINED | 01 | 03 |
| HUDSON CITY BANCORP INC | COM | 443683107 | 291 | 23805 | SH | DEFINED | 01 | 02 |
| HUDSON CITY BANCORP INC | COM | 443683107 | 980 | 80180 | SH | DEFINED | 01 | 03 |
| HUDSON CITY BANCORP INC | COM | 443683107 | 124 | 10149 | SH | DEFINED | 01 | 15 |
| HUDSON TECH INC | COM | 444144109 | 0 | 400 | SH | DEFINED | 01 | 15 |
| HUFFY CORP NO 100 HLD | COM | 444356109 | 0 | 500 | SH | DEFINED | 01 | 15 |
| HUGOTON RTY TR TEX UNIT BEN I | COM | 444717102 | 19 | 747 | SH | DEFINED | 01 | 15 |
| HUMANA INC | COM | 444859102 | 121999 | 2002944 | SH | DEFINED | 01 | 02 |
| HUMANA INC | COM | 444859102 | 2409 | 39546 | SH | DEFINED | 01 | 03 |
| HUMANA INC | COM | 444859102 | 54 | 892 | SH | DEFINED | 01 | 15 |
| HUMAN GENOME SCIENCES INC | COM | 444903108 | 2396 | 268640 | SH | DEFINED | 01 | 02 |
| HUMAN GENOME SCIENCES INC | COM | 444903108 | 101 | 11376 | SH | DEFINED | 01 | 03 |
| HUMAN GENOME SCIENCES INC | COM | 444903108 | 44 | 4885 | SH | DEFINED | 01 | 15 |
| HUNT (JB) TRANSPRT SVCS INC | COM | 445658107 | 759 | 25900 | SH | DEFINED | 01 | 02 |
| HUNTINGTON BANCSHARES INC | COM | 446150104 | 12970 | 570362 | SH | DEFINED | 01 | 02 |
| HUNTINGTON BANCSHARES INC | COM | 446150104 | 888 | 39034 | SH | DEFINED | 01 | 03 |
| HUNTINGTON BANCSHARES INC | COM | 446150104 | 283 | 12436 | SH | DEFINED | 01 | 15 |
| HUNTSMAN CORP | COM | 447011107 | 254 | 10440 | SH | DEFINED | 01 | 02 |
| HUNTSMAN CORP | COM | 447011107 | 146 | 6009 | SH | DEFINED | 01 | 15 |
| HUNTSMAN CORP MANDATORY | COM | 447011206 | 20 | 400 | SH | DEFINED | 01 | 15 |
| HURCO COS INC | COM | 447324104 | 440 | 8797 | SH | DEFINED | 01 | 02 |
| HURCO COS INC | COM | 447324104 | 15 | 300 | SH | DEFINED | 01 | 15 |
| HURON CONSULTING GROUP INC | COM | 447462102 | 1757 | 24071 | SH | DEFINED | 01 | 02 |
| HURRY INC CL A NO 100HOLD | COM | 447807108 | 0 | 100 | SH | DEFINED | 01 | 15 |
| HUTCHINSON TECH | COM | 448407106 | 6718 | 357125 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| HUTCHINSON TECH | COM | 448407106 | 8 | 425 | SH | DEFINED | 01 | 15 |
| HUTCHISON WHAMPOA LTD ADR | COM | 448415208 | 57 | 1147 | SH | DEFINED | 01 | 15 |
| HUTCHISON TELECOMMUNICAT-ADR | COM | 44841T107 | 341 | 10501 | SH | DEFINED | 01 | 02 |
| HUTTIG BUILDING PRODUCTS INC | COM | 448451104 | 2604 | 344000 | SH | DEFINED | 01 | 02 |
| HUTTIG BUILDING PRODUCTS INC | COM | 448451104 | 7 | 924 | SH | DEFINED | 01 | 15 |
| HYBRID NETWORKS INC NO 100HOLD | COM | 44860K102 | 0 | 980 | SH | DEFINED | 01 | 15 |
| HYDRO MAID INTL INC | COM | 44880F109 | 0 | 72000 | SH | DEFINED | 01 | 15 |
| HYDROGEN PWR INC | COM | 44887A103 | 0 | 25 | SH | DEFINED | 01 | 15 |
| HYDROGEN CORP COM | COM | 44887Q108 | 0 | 100 | SH | DEFINED | 01 | 15 |
| HYDROGENICS CORP ISIN #CA44 | COM | 448882100 | 29 | 22800 | SH | DEFINED | 01 | 15 |
| IDT CORP | COM | 448947101 | 1 | 100 | SH | DEFINED | 01 | 15 |
| IDT CORP CL B | COM | 448947309 | 1 | 100 | SH | DEFINED | 01 | 15 |
| HYPERDYNAMICS CORP | COM | 448954107 | 18 | 5700 | SH | DEFINED | 01 | 15 |
| HYPERION TOTAL RETURN FD | COM | 449145101 | 32 | 3600 | SH | DEFINED | 01 | 15 |
| HYPERTENSION DIAGNOSTIC | COM | 44914V104 | 0 | 300 | SH | DEFINED | 01 | 15 |
| HYPO REAL ESTATE HLDG AG SP | COM | 44915W101 | 82 | 1263 | SH | DEFINED | 01 | 15 |
| HYTHIAM INC | COM | 44919F104 | 2466 | 285751 | SH | DEFINED | 01 | 10 |
| IAC/INTERACTIVECORP | COM | 44919P300 | 19690 | 568914 | SH | DEFINED | 01 | 02 |
| IAC/INTERACTIVECORP | COM | 44919P300 | 1289 | 37260 | SH | DEFINED | 01 | 03 |
| IAC/INTERACTIVECORP | COM | 44919P300 | 14103 | 407732 | SH | DEFINED | 01 | 10 |
| IAC/INTERACTIVECORP | COM | 44919P300 | 481 | 13899 | SH | DEFINED | 01 | 15 |
| IBX GROUP INC | COM | 449229103 | 0 | 54 | SH | DEFINED | 01 | 15 |
| ICG COMM INC NO 100HOLD | COM | 449246107 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ICH CORPORATION NEW COM NE | COM | 44926L300 | 0 | 25 | SH | DEFINED | 01 | 15 |
| ICO INC | COM | 449293109 | 37 | 3455 | SH | DEFINED | 01 | 02 |
| ICT GROUP INC | COM | 44929Y101 | 288 | 15400 | SH | DEFINED | 01 | 02 |
| ICT GROUP INC | COM | 44929Y101 | 19 | 1000 | SH | DEFINED | 01 | 15 |
| ICU MEDICAL INC | COM | 44930G107 | 6978 | 162524 | SH | DEFINED | 01 | 02 |
| ICAD INC | COM | 44934S107 | 375 | 90510 | SH | DEFINED | 01 | 02 |
| ICAD INC | COM | 44934S107 | 0 | 100 | SH | DEFINED | 01 | 15 |
| ID CONFIRM INC | COM | 44936E106 | 0 | 500 | SH | DEFINED | 01 | 15 |
| IFC CAP TR VI GTD TRPFD SEC 8. | COM | 44950T203 | 10 | 400 | SH | DEFINED | 01 | 15 |
| I-FLOW CORP COM NEW | COM | 449520303 | 17 | 1000 | SH | DEFINED | 01 | 15 |
| IHOP CORP NEW | COM | 449623107 | 3778 | 69419 | SH | DEFINED | 01 | 02 |
| IHOP CORP NEW | COM | 449623107 | 578 | 10625 | SH | DEFINED | 01 | 02 |
| IHOP CORP NEW | COM | 449623107 | 214 | 3940 | SH | DEFINED | 01 | 03 |
| IHOP CORP NEW | COM | 449623107 | 55 | 1017 | SH | DEFINED | 01 | 15 |
| ING PRIME RATE TR SH BEN INT | COM | 44977W106 | 168 | 22541 | SH | DEFINED | 01 | 15 |
| ING CLARION REAL ESTATE INC | COM | 449788108 | 32 | 1966 | SH | DEFINED | 01 | 15 |
| ING CLARION REAL ESTATE INC | COM | 449788207 | 50 | 2 | SH | DEFINED | 01 | 15 |
| ING CLARION GLOBAL REA | COM | 44982G104 | 1163 | 60064 | SH | DEFINED | 01 | 15 |
| ING CLARION GLOBAL REAL ESTAT | COM | 44982G203 | 375 | 15 | SH | DEFINED | 01 | 15 |
| ING CLARION GLOBAL REAL ESTAT | COM | 44982G302 | 75 | 3 | SH | DEFINED | 01 | 15 |
| IPIX CORP | COM | 44982L103 | 0 | 292 | SH | DEFINED | 01 | 15 |
| ING GLOBAL ADVANTAGE& PREM OPP | COM | 44982N109 | 9 | 400 | SH | DEFINED | 01 | 15 |
| IMC MORTGAGE CO | COM | 449923101 | 0 | 200 | SH | DEFINED | 01 | 15 |
| IMS HEALTH INC | COM | 449934108 | 10995 | 342195 | SH | DEFINED | 01 | 02 |
| IMS HEALTH INC | COM | 449934108 | 1043 | 32471 | SH | DEFINED | 01 | 03 |
| IMS HEALTH INC | COM | 449934108 | 17655 | 550000 | SH | DEFINED | 01 | 10 |
| IMS HEALTH INC | COM | 449934108 | 129 | 4003 | SH | DEFINED | 01 | 15 |
| IRSA -SP GDR | COM | 450047204 | 14718 | 795597 | SH | DEFINED | 01 | 02 |
| IRSA -SP GDR | COM | 450047204 | 454 | 24571 | SH | DEFINED | 01 | 10 |
| ISTAR FINANCIAL INC | COM | 45031U101 | 892 | 20131 | SH | DEFINED | 01 | 02 |
| ISTAR FINANCIAL INC | COM | 45031U101 | 78 | 1756 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| ISTAR FINL INC PFD SER E | COM | 45031U507 | 20 | 785 | SH | DEFINED | 01 | 15 |
| ISTAR FINL INC PFD SER I 7.50 | COM | 45031U804 | 10 | 400 | SH | DEFINED | 01 | 15 |
| ITLA CAPITAL CORP | COM | 450565106 | 3056 | 58623 | SH | DEFINED | 01 | 02 |
| ITLA CAPITAL CORP | COM | 450565106 | 1166 | 22380 | SH | DEFINED | 01 | 02 |
| ITLA CAPITAL CORP | COM | 450565106 | 369 | 7080 | SH | DEFINED | 01 | 03 |
| ITLA CAPITAL CORP | COM | 450565106 | 34 | 650 | SH | DEFINED | 01 | 15 |
| ITT EDUCATIONAL SERVICES INC | COM | 45068B109 | 76 | 648 | SH | DEFINED | 01 | 02 |
| ITT EDUCATIONAL SERVICES INC | COM | 45068B109 | 188 | 1600 | SH | DEFINED | 01 | 15 |
| I TRAX INC NEW FORMERLY I | COM | 45069D203 | 46 | 11000 | SH | DEFINED | 01 | 15 |
| IWT TESORO CORP | COM | 45071N108 | 1 | 2500 | SH | DEFINED | 01 | 15 |
| IBASIS INC COM NEW | COM | 450732201 | 0 | 33 | SH | DEFINED | 01 | 15 |
| IBERDROLA S A SPONSORED | COM | 450737101 | 80 | 1426 | SH | DEFINED | 01 | 15 |
| IBERIABANK CORP | COM | 450828108 | 977 | 19820 | SH | DEFINED | 01 | 02 |
| IBERIABANK CORP | COM | 450828108 | 664 | 13450 | SH | DEFINED | 01 | 02 |
| IBERIABANK CORP | COM | 450828108 | 232 | 4700 | SH | DEFINED | 01 | 03 |
| IBIS TECHNOLOGY CORP | COM | 450909106 | 1 | 450 | SH | DEFINED | 01 | 15 |
| ITT CORP | COM | 450911102 | 19881 | 291159 | SH | DEFINED | 01 | 02 |
| ITT CORP | COM | 450911102 | 2251 | 32968 | SH | DEFINED | 01 | 03 |
| ITT CORP | COM | 450911102 | 343 | 5020 | SH | DEFINED | 01 | 15 |
| IAMGOLD CORP ISIN #CA45 | COM | 450913108 | 1 | 84 | SH | DEFINED | 01 | 15 |
| IBIZ TECHNOLOGY CORP COM N | COM | 45103B205 | 0 | 40000 | SH | DEFINED | 01 | 15 |
| ICON PLC - SPONSORED ADR | COM | 45103T107 | 1518 | 34710 | SH | DEFINED | 01 | 02 |
| ICICI BANK LTD-SPON ADR | COM | 45104G104 | 1143 | 23255 | SH | DEFINED | 01 | 02 |
| ICICI BANK LTD-SPON ADR | COM | 45104G104 | 21358 | 434813 | SH | DEFINED | 01 | 10 |
| ICICI BANK LTD-SPON ADR | COM | 45104G104 | 18 | 362 | SH | DEFINED | 01 | 15 |
| ICONIX BRAND GROUP INC | COM | 451055107 | 2908 | 130859 | SH | DEFINED | 01 | 02 |
| IDACORP INC | COM | 451107106 | 11672 | 364300 | SH | DEFINED | 01 | 02 |
| IDACORP INC | COM | 451107106 | 123 | 3827 | SH | DEFINED | 01 | 15 |
| IDEARC INC | COM | 451663108 | 17459 | 494197 | SH | DEFINED | 01 | 02 |
| IDEARC INC | COM | 451663108 | 490 | 13883 | SH | DEFINED | 01 | 15 |
| IDEX CORP | COM | 45167R104 | 5131 | 133144 | SH | DEFINED | 01 | 02 |
| IDEX CORP | COM | 45167R104 | 1201 | 31158 | SH | DEFINED | 01 | 15 |
| IDEXX LABORATORIES INC | COM | 45168D104 | 4811 | 50838 | SH | DEFINED | 01 | 02 |
| IDEXX LABORATORIES INC | COM | 45168D104 | 9 | 100 | SH | DEFINED | 01 | 15 |
| IEXALT INC | COM | 451691208 | 0 | 3 | SH | DEFINED | 01 | 15 |
| IGATE CORP | COM | 45169U105 | 644 | 80353 | SH | DEFINED | 01 | 02 |
| IKON OFFICE SOLUTIONS INC | COM | 451713101 | 3865 | 247629 | SH | DEFINED | 01 | 02 |
| IKON OFFICE SOLUTIONS INC | COM | 451713101 | 14 | 897 | SH | DEFINED | 01 | 15 |
| IGNIS PETE GROUP INC COM | COM | 451725105 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| IHS INC-CLASS A | COM | 451734107 | 5139 | 111710 | SH | DEFINED | 01 | 02 |
| IHS INC-CLASS A | COM | 451734107 | 1054 | 22920 | SH | DEFINED | 01 | 02 |
| IHS INC-CLASS A | COM | 451734107 | 365 | 7940 | SH | DEFINED | 01 | 03 |
| IHS INC-CLASS A | COM | 451734107 | 3008 | 65409 | SH | DEFINED | 01 | 10 |
| ILLINOIS TOOL WORKS INC | COM | 452308109 | 123237 | 2274150 | SH | DEFINED | 01 | 02 |
| ILLINOIS TOOL WORKS INC | COM | 452308109 | 4530 | 83593 | SH | DEFINED | 01 | 03 |
| ILLINOIS TOOL WORKS INC | COM | 452308109 | 1469 | 27111 | SH | DEFINED | 01 | 15 |
| ILLUMINA INC | COM | 452327109 | 2843 | 70038 | SH | DEFINED | 01 | 02 |
| IMAGING DIAGNOSTIC SYSTEMS IN | COM | 45244W100 | 0 | 8695 | SH | DEFINED | 01 | 15 |
| IMATION CORP | COM | 45245A107 | 6382 | 173114 | SH | DEFINED | 01 | 02 |
| IMATION CORP | COM | 45245A107 | 734 | 19910 | SH | DEFINED | 01 | 02 |
| IMATION CORP | COM | 45245A107 | 258 | 6990 | SH | DEFINED | 01 | 03 |
| IMATION CORP | COM | 45245A107 | 12 | 328 | SH | DEFINED | 01 | 15 |
| IMAX CORP | COM | 45245E109 | 17 | 4000 | SH | DEFINED | 01 | 15 |
| IMCLONE SYS INC | COM | 45245W109 | 4 | 100 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| IMERGENT INC COM | COM | 45247Q100 | 7 | 300 | SH | DEFINED | 01 | 15 |
| IMMERSION CORPORATION | COM | 452521107 | 108 | 7192 | SH | DEFINED | 01 | 02 |
| IMMERSION CORPORATION | COM | 452521107 | 25 | 1700 | SH | DEFINED | 01 | 15 |
| IMMUCOR INC | COM | 452526106 | 6661 | 238179 | SH | DEFINED | 01 | 02 |
| IMMUCOR INC | COM | 452526106 | 21 | 750 | SH | DEFINED | 01 | 15 |
| IMMUDYNE INC | COM | 45252N109 | 0 | 150 | SH | DEFINED | 01 | 15 |
| IMMUNOGEN INC | COM | 45253H101 | 52 | 9286 | SH | DEFINED | 01 | 02 |
| IMPAC MORTGAGE HOLDINGS INC | COM | 45254P102 | 74 | 16090 | SH | DEFINED | 01 | 02 |
| IMPAX LABORATORIES INC | COM | 45256B101 | 29 | 2396 | SH | DEFINED | 01 | 02 |
| IMPAX LABORATORIES INC | COM | 45256B101 | 1 | 110 | SH | DEFINED | 01 | 15 |
| IMMUNICON CORP | COM | 45260A107 | 38 | 18444 | SH | DEFINED | 01 | 02 |
| IMPERIAL CHEMICAL-SPONS ADR | COM | 452704505 | 17678 | 354138 | SH | DEFINED | 01 | 02 |
| IMPERIAL CHEMICAL-SPONS ADR | COM | 452704505 | 30 | 600 | SH | DEFINED | 01 | 15 |
| IMREG INC CL A | COM | 452908106 | 0 | 400 | SH | DEFINED | 01 | 15 |
| IMPERIAL OIL LTD COM NEW IS | COM | 453038408 | 7 | 150 | SH | DEFINED | 01 | 15 |
| IMPERIAL SUGAR CO | COM | 453096208 | 4787 | 155458 | SH | DEFINED | 01 | 02 |
| IMPERIAL SUGAR CO | COM | 453096208 | 776 | 25204 | SH | DEFINED | 01 | 02 |
| IMPERIAL SUGAR CO | COM | 453096208 | 274 | 8900 | SH | DEFINED | 01 | 03 |
| IMPERIAL TOBACCO GRPPLC SPON A | COM | 453142101 | 241 | 2615 | SH | DEFINED | 01 | 15 |
| IMPRINT RECORDS INC | COM | 45321G101 | 0 | 1600 | SH | DEFINED | 01 | 15 |
| INACOM CORP COM NO 100 | COM | 45323G109 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| INCYTE CORP | COM | 45337C102 | 1363 | 227061 | SH | DEFINED | 01 | 02 |
| INCYTE CORP | COM | 45337C102 | 3 | 510 | SH | DEFINED | 01 | 15 |
| INDEPENDENT BANCSHARES | COM | 453821100 | 17 | 746 | SH | DEFINED | 01 | 15 |
| INDEPENDENT BANK CP MASS | COM | 453836108 | 12 | 400 | SH | DEFINED | 01 | 15 |
| INDEPENDENT BANK CORP - MICH | COM | 453838104 | 1703 | 98908 | SH | DEFINED | 01 | 02 |
| INDEVUS PHARMACEUTICALS INC | COM | 454072109 | 141 | 20970 | SH | DEFINED | 01 | 02 |
| INDEVUS PHARMACEUTICALS INC | COM | 454072109 | 27 | 4077 | SH | DEFINED | 01 | 15 |
| INDIA FD INC | COM | 454089103 | 877 | 20085 | SH | DEFINED | 01 | 15 |
| INDIA GLOBALIZATION CAP INC UN | COM | 45408X209 | 4 | 500 | SH | DEFINED | 01 | 15 |
| INDIGO ENERGY INC COM | COM | 455688101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| INDONESIA FUND INC | COM | 455778100 | 5 | 450 | SH | DEFINED | 01 | 15 |
| INDUSTRIAL IMAGING CORP | COM | 45616N100 | 0 | 27 | SH | DEFINED | 01 | 15 |
| INDUSTRIAS BACHOCO SA-SP ADR | COM | 456463108 | 6071 | 186908 | SH | DEFINED | 01 | 02 |
| INDYMAC BANCORP INC | COM | 456607100 | 81 | 2778 | SH | DEFINED | 01 | 15 |
| INFINEON TECH AG SPON ADR | COM | 45662N103 | 36 | 2200 | SH | DEFINED | 01 | 15 |
| INFOCUS CORP | COM | 45665B106 | 4 | 2000 | SH | DEFINED | 01 | 15 |
| INFINITY PROPERTY & CASUALTY | COM | 45665Q103 | 1823 | 35936 | SH | DEFINED | 01 | 02 |
| INFORMATICA CORP | COM | 45666Q102 | 3907 | 264510 | SH | DEFINED | 01 | 02 |
| INFORMATICA CORP | COM | 45666Q102 | 7 | 500 | SH | DEFINED | 01 | 15 |
| INFINERA CORP | COM | 45667G103 | 864 | 34657 | SH | DEFINED | 01 | 02 |
| INFOSYS TECHNOLOGIES-SP ADR | COM | 456788108 | 21 | 410 | SH | DEFINED | 01 | 03 |
| INFOSYS TECHNOLOGIES-SP ADR | COM | 456788108 | 14879 | 295731 | SH | DEFINED | 01 | 10 |
| INFOSYS TECHNOLOGIES-SP ADR | COM | 456788108 | 152 | 3018 | SH | DEFINED | 01 | 15 |
| INFOSPACE INC | COM | 45678T201 | 1303 | 56144 | SH | DEFINED | 01 | 02 |
| INFOSPACE INC | COM | 45678T201 | 5 | 221 | SH | DEFINED | 01 | 15 |
| INFOUSA INC | COM | 456818301 | 1592 | 155830 | SH | DEFINED | 01 | 02 |
| INFOUSA INC | COM | 456818301 | 4 | 393 | SH | DEFINED | 01 | 15 |
| ING GROEP NV ADR | COM | 456837103 | 1166 | 26511 | SH | DEFINED | 01 | 15 |
| ING GROUP NV PFD 7.05% | COM | 456837202 | 75 | 3000 | SH | DEFINED | 01 | 15 |
| ING GROEP NV PERPETUAL | COM | 456837301 | 182 | 7226 | SH | DEFINED | 01 | 15 |
| ING GROEP N V PERPETUAL | COM | 456837400 | 24 | 1000 | SH | DEFINED | 01 | 15 |
| ING GROEP NV PERPETUAL | COM | 456837509 | 47 | 2000 | SH | DEFINED | 01 | 15 |
| ING GLOBAL EQUITY DIVID & PR | COM | 45684E107 | 170 | 8159 | SH | DEFINED | 01 | 15 |
| INFRASTRUCTURE SERVICES INC | COM | 45684P102 | 822 | 22160 | SH | DEFINED | 01 | 02 |
| INGLES MARKETS INC -CL A | COM | 457030104 | 3606 | 104682 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| INGRAM MICRO | COM | 457153104 | 5775 | 266005 | SH | DEFINED | 01 | 02 |
| INGRAM MICRO | COM | 457153104 | 1 | 30 | SH | DEFINED | 01 | 15 |
| INLAND REAL ESTATE CORP | COM | 457461200 | 4520 | 266210 | SH | DEFINED | 01 | 02 |
| INNOVEX INC | COM | 457647105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| INPUT/OUTPUT INC | COM | 457652105 | 3373 | 216026 | SH | DEFINED | 01 | 02 |
| INPUT/OUTPUT INC | COM | 457652105 | 77 | 4960 | SH | DEFINED | 01 | 15 |
| INSIGHT ENTERPRISES INC | COM | 45765U103 | 6156 | 272790 | SH | DEFINED | 01 | 02 |
| INSIGHT ENTERPRISES INC | COM | 45765U103 | 686 | 30440 | SH | DEFINED | 01 | 02 |
| INSIGHT ENTERPRISES INC | COM | 45765U103 | 241 | 10700 | SH | DEFINED | 01 | 03 |
| INSITE VISION | COM | 457660108 | 2 | 1000 | SH | DEFINED | 01 | 15 |
| INSITUFORM TECHNOLOGIES INC | COM | 457667103 | 25887 | 1186936 | SH | DEFINED | 01 | 02 |
| INSITUFORM TECHNOLOGIES INC | COM | 457667103 | 62 | 2846 | SH | DEFINED | 01 | 03 |
| INSITUFORM TECHNOLOGIES INC | COM | 457667103 | 7 | 326 | SH | DEFINED | 01 | 15 |
| INSIGNIA SOLUTIONS PLC ADR | COM | 45766J107 | 0 | 60 | SH | DEFINED | 01 | 15 |
| INNOSPEC INC | COM | 45768S105 | 2094 | 35367 | SH | DEFINED | 01 | 02 |
| INNOSPEC INC | COM | 45768S105 | 745 | 12590 | SH | DEFINED | 01 | 02 |
| INNOSPEC INC | COM | 45768S105 | 255 | 4300 | SH | DEFINED | 01 | 03 |
| INNOSPEC INC | COM | 45768S105 | 1 | 25 | SH | DEFINED | 01 | 15 |
| INNOVA ROBOTICS & AUTOMATION | COM | 457694107 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| INNOVATIVE SOLUTIONS & SUPPORT | COM | 45769N105 | 329 | 14144 | SH | DEFINED | 01 | 02 |
| INNOVATIVE SOLUTIONS & SUPPORT | COM | 45769N105 | 21 | 893 | SH | DEFINED | 01 | 15 |
| INNKEEPERS USA TR PFD SER C | COM | 4576J0401 | 18 | 850 | SH | DEFINED | 01 | 15 |
| INSIGHTFUL CORP | COM | 45770X100 | 1 | 251 | SH | DEFINED | 01 | 15 |
| INPHONIC INC | COM | 45772G105 | 138 | 29691 | SH | DEFINED | 01 | 02 |
| INNOVATIVE FOOD HLDGS INC | COM | 45772H103 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| INSPIRE PHARMACEUTICAL INC | COM | 457733103 | 921 | 145820 | SH | DEFINED | 01 | 02 |
| INSTEEL INDS INC | COM | 45774W108 | 1 | 40 | SH | DEFINED | 01 | 15 |
| INTEGRA LIFESCIENCES HOLDING | COM | 457985208 | 1725 | 34910 | SH | DEFINED | 01 | 02 |
| INTEGRA LIFESCIENCES HOLDING | COM | 457985208 | 146 | 2960 | SH | DEFINED | 01 | 15 |
| INSURANCE SERVICES OFFICE INC | COM | 458065109 | 4413 | 11767 | SH | DEFINED | 01 | 05 |
| INSURCOM FINL CORP CL A | COM | 458075108 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| INSURED MUNICIPAL INCOME FD | COM | 45809F104 | 74 | 5630 | SH | DEFINED | 01 | 15 |
| INTEGRATED DEVICE TECH INC | COM | 458118106 | 270 | 17678 | SH | DEFINED | 01 | 02 |
| INTEGRATED DEVICE TECH INC | COM | 458118106 | 6 | 420 | SH | DEFINED | 01 | 15 |
| INTAC INTL | COM | 45811T100 | 40 | 5000 | SH | DEFINED | 01 | 15 |
| INTEGRATED MEDICAL RES INC | COM | 45812A100 | 0 | 11000 | SH | DEFINED | 01 | 15 |
| INTEGRATED SILICON SOLUTION | COM | 45812P107 | 4855 | 770600 | SH | DEFINED | 01 | 02 |
| INTEGRATED SILICON SOLUTION | COM | 45812P107 | 1 | 100 | SH | DEFINED | 01 | 15 |
| INTEL CORP | COM | 458140100 | 312394 | 13148006 | SH | DEFINED | 01 | 02 |
| INTEL CORP | COM | 458140100 | 23443 | 986669 | SH | DEFINED | 01 | 03 |
| INTEL CORP | COM | 458140100 | 51518 | 2171875 | SH | DEFINED | 01 | 10 |
| INTEL CORP | COM | 458140100 | 7883 | 332039 | SH | DEFINED | 01 | 15 |
| INTER ACT COMMS INC | COM | 458161106 | 0 | 7250 | SH | DEFINED | 01 | 15 |
| INTEGRATED MEDIA HLDGS INC | COM | 45821H104 | 0 | 15 | SH | DEFINED | 01 | 15 |
| INTEGRYS ENERGY GROUP INC | COM | 45822P105 | 2141 | 42193 | SH | DEFINED | 01 | 02 |
| INTEGRYS ENERGY GROUP INC | COM | 45822P105 | 635 | 12525 | SH | DEFINED | 01 | 03 |
| INTEGRYS ENERGY GROUP INC | COM | 45822P105 | 794 | 15653 | SH | DEFINED | 01 | 15 |
| INTER PARFUMS INC | COM | 458334109 | 169 | 6340 | SH | DEFINED | 01 | 02 |
| INTER PARFUMS INC | COM | 458334109 | 24 | 900 | SH | DEFINED | 01 | 15 |
| INTER-TEL INC | COM | 458372109 | 849 | 35472 | SH | DEFINED | 01 | 02 |
| INTERACTIVE SOLUTIONS | COM | 45838Q998 | 0 | 6 | SH | DEFINED | 01 | 15 |
| INTERACTIVE INTELLIG | COM | 45839M103 | 609 | 29559 | SH | DEFINED | 01 | 02 |
| INTERACTIVE DATA CORP | COM | 45840J107 | 3622 | 135339 | SH | DEFINED | 01 | 02 |
| INTERACTIVE DATA CORP | COM | 45840J107 | 833 | 31110 | SH | DEFINED | 01 | 02 |
| INTERACTIVE DATA CORP | COM | 45840J107 | 308 | 11500 | SH | DEFINED | 01 | 03 |
| INTERACTIVE DATA CORP | COM | 45840J107 | 1720 | 64435 | SH | DEFINED | 01 | 10 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| INTERCONTINENTAL HOTELS ADR | COM | 45857P301 | 4650 | 187660 | SH | DEFINED | 01 | 10 |
| INTERDENT INC COM NEW NO | COM | 45865R208 | 0 | 2 | SH | DEFINED | 01 | 15 |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 4501 | 30445 | SH | DEFINED | 01 | 02 |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 314 | 2121 | SH | DEFINED | 01 | 15 |
| INTERDYNE CO COM NEW | COM | 458661204 | 6 | 318237 | SH | DEFINED | 01 | 15 |
| INTERFACE INC-CL A | COM | 458665106 | 1650 | 87490 | SH | DEFINED | 01 | 02 |
| INTERFACE INC-CL A | COM | 458665106 | 7 | 355 | SH | DEFINED | 01 | 15 |
| INTERDIGITAL COMM CORP | COM | 45866A105 | 2406 | 74778 | SH | DEFINED | 01 | 02 |
| INTERDIGITAL COMM CORP | COM | 45866A105 | 100 | 3102 | SH | DEFINED | 01 | 15 |
| INTERIORS INC CL A | COM | 458688108 | 0 | 41605 | SH | DEFINED | 01 | 15 |
| INTERLIANT INC NO 100HOLD | COM | 458742103 | 0 | 63 | SH | DEFINED | 01 | 15 |
| INTERLINE RES CORP | COM | 458744109 | 0 | 150 | SH | DEFINED | 01 | 15 |
| INTERMEC INC COM | COM | 458786100 | 44 | 1731 | SH | DEFINED | 01 | 15 |
| INTERMOUNTAIN COMMUNITY | COM | 45881M100 | 23 | 1331 | SH | DEFINED | 01 | 15 |
| INTERMOST CORP | COM | 45881R109 | 0 | 200 | SH | DEFINED | 01 | 15 |
| INTERMUNE INC | COM | 45884X103 | 237 | 9130 | SH | DEFINED | 01 | 02 |
| INTERNAP NETWORK SERVICES | COM | 45885A300 | 1621 | 112400 | SH | DEFINED | 01 | 02 |
| INTERNAP NETWORK SERVICES | COM | 45885A300 | 0 | 27 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL ABSORBENTS | COM | 45885E203 | 10 | 1666 | SH | DEFINED | 01 | 15 |
| INTERMEDIA COMM ESCROW | COM | 458ESCBB5 | 0 | 3300000 | SH | DEFINED | 01 | 02 |
| INTL AUTOMATED SYS | COM | 459039103 | 5 | 6500 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL BANCSHARES CRP | COM | 459044103 | 6174 | 240974 | SH | DEFINED | 01 | 02 |
| INTERNATIONAL BIOCHEMICA | COM | 45912U105 | 0 | 14500 | SH | DEFINED | 01 | 15 |
| INTL BROADCASTING CORP NEW | COM | 459173209 | 0 | 460 | SH | DEFINED | 01 | 15 |
| INTL BUSINESS MACHINES CORP | COM | 459200101 | 246695 | 2343888 | SH | DEFINED | 01 | 02 |
| INTL BUSINESS MACHINES CORP | COM | 459200101 | 24084 | 228825 | SH | DEFINED | 01 | 03 |
| INTL BUSINESS MACHINES CORP | COM | 459200101 | 131592 | 1251249 | SH | DEFINED | 01 | 10 |
| INTL BUSINESS MACHINES CORP | COM | 459200101 | 11711 | 111271 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL COAL GROUP INC | COM | 45928H106 | 1 | 200 | SH | DEFINED | 01 | 15 |
| INTL CONSUMER BRANDSINC | COM | 459356101 | 0 | 350 | SH | DEFINED | 01 | 15 |
| INTL FLAVORS & FRAGRANCES | COM | 459506101 | 6656 | 127727 | SH | DEFINED | 01 | 02 |
| INTL FLAVORS & FRAGRANCES | COM | 459506101 | 670 | 12851 | SH | DEFINED | 01 | 03 |
| INTL FLAVORS & FRAGRANCES | COM | 459506101 | 3408 | 65360 | SH | DEFINED | 01 | 15 |
| INTL FIBERCOM INC | COM | 45950T101 | 0 | 300 | SH | DEFINED | 01 | 15 |
| INTL FUEL TECH INC NEW | COM | 45953X208 | 5 | 12195 | SH | DEFINED | 01 | 15 |
| INTL GAME TECHNOLOGY | COM | 459902102 | 37329 | 940328 | SH | DEFINED | 01 | 02 |
| INTL GAME TECHNOLOGY | COM | 459902102 | 2511 | 63239 | SH | DEFINED | 01 | 03 |
| INTL GAME TECHNOLOGY | COM | 459902102 | 489 | 12319 | SH | DEFINED | 01 | 15 |
| INTL ROBOMATION INTELLIGEN | COM | 459904108 | 0 | 700 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL PAPER CO | COM | 460146103 | 192298 | 4924428 | SH | DEFINED | 01 | 02 |
| INTERNATIONAL PAPER CO | COM | 460146103 | 3430 | 87840 | SH | DEFINED | 01 | 03 |
| INTERNATIONAL PAPER CO | COM | 460146103 | 926 | 23725 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL PWR PLC SPONSO | COM | 46018M104 | 58 | 678 | SH | DEFINED | 01 | 15 |
| INTL RECTIFIER CORP | COM | 460254105 | 39 | 1060 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL SECS EXCHANGE H | COM | 46031W204 | 20 | 300 | SH | DEFINED | 01 | 15 |
| INTERNATIONAL SPEEDWAY CORP | COM | 460335201 | 1273 | 24150 | SH | DEFINED | 01 | 02 |
| INTERNATIONAL SPEEDWAY CORP | COM | 460335201 | 31 | 583 | SH | DEFINED | 01 | 15 |
| INTL TURBO CTRS INC | COM | 460521107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| INTERNET CABLE CORP | COM | 46057X102 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| INTERNET CAP GROUP INC | COM | 46059C205 | 1 | 88 | SH | DEFINED | 01 | 15 |
| INTERNET INFRASTRUC | COM | 46059V104 | 2 | 400 | SH | DEFINED | 01 | 15 |
| INTERNET HOLDERS TR | COM | 46059W102 | 72 | 1200 | SH | DEFINED | 01 | 15 |
| INTERNET ARCHITECTU | COM | 46060A107 | 9 | 200 | SH | DEFINED | 01 | 15 |
| INTERNET COMM & COMMUNICATIONS | COM | 46061G103 | 0 | 1206 | SH | DEFINED | 01 | 15 |
| INTERPUBLIC GROUP OF COS INC | COM | 460690100 | 25934 | 2274807 | SH | DEFINED | 01 | 02 |
| INTERPUBLIC GROUP OF COS INC | COM | 460690100 | 885 | 77593 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| INTERPUBLIC GROUP OF COS INC | COM | 460690100 | 1039 | 91178 | SH | DEFINED | 01 | 15 |
| INTERSIL CORP | COM | 46069S109 | 38716 | 1230655 | SH | DEFINED | 01 | 02 |
| INTERSIL CORP | COM | 46069S109 | 118 | 3739 | SH | DEFINED | 01 | 03 |
| INTERSIL CORP | COM | 46069S109 | 2076 | 66001 | SH | DEFINED | 01 | 10 |
| INTERSPEED INC | COM | 46070W107 | 0 | 100 | SH | DEFINED | 01 | 15 |
| INTERSTATE HOTELS & RESORTS | COM | 46088S106 | 1363 | 261059 | SH | DEFINED | 01 | 02 |
| INTERVEST BANCSHARES CORP | COM | 460927106 | 85 | 3031 | SH | DEFINED | 01 | 02 |
| INTERVEST BANCSHARES CORP | COM | 460927106 | 3 | 117 | SH | DEFINED | 01 | 15 |
| INTERVOICE INC | COM | 461142101 | 2 | 300 | SH | DEFINED | 01 | 15 |
| INTEVAC INC | COM | 461148108 | 1515 | 71265 | SH | DEFINED | 01 | 02 |
| INTEVAC INC | COM | 461148108 | 849 | 39970 | SH | DEFINED | 01 | 10 |
| INTERWOVEN INC | COM | 46114T508 | 3532 | 251564 | SH | DEFINED | 01 | 02 |
| INTUIT INC | COM | 461202103 | 15121 | 502733 | SH | DEFINED | 01 | 02 |
| INTUIT INC | COM | 461202103 | 2097 | 69733 | SH | DEFINED | 01 | 03 |
| INTUIT INC | COM | 461202103 | 720 | 23925 | SH | DEFINED | 01 | 15 |
| INVACARE CORP | COM | 461203101 | 962 | 52480 | SH | DEFINED | 01 | 02 |
| INVENSYS PLC SPONSORED | COM | 461204208 | 2 | 207 | SH | DEFINED | 01 | 15 |
| INTUITIVE SURGICAL INC | COM | 46120E602 | 1449 | 10440 | SH | DEFINED | 01 | 02 |
| INTUITIVE SURGICAL INC | COM | 46120E602 | 19 | 140 | SH | DEFINED | 01 | 03 |
| INTUITIVE SURGICAL INC | COM | 46120E602 | 260 | 1875 | SH | DEFINED | 01 | 15 |
| INVENTIV HEALTH INC | COM | 46122E105 | 4007 | 109437 | SH | DEFINED | 01 | 02 |
| INVENTIV HEALTH INC | COM | 46122E105 | 180 | 4923 | SH | DEFINED | 01 | 15 |
| INVERNESS MEDICAL INNOVATIONS | COM | 46126P106 | 1810 | 35489 | SH | DEFINED | 01 | 02 |
| INVERNESS MEDICAL INNOVATIONS | COM | 46126P106 | 728 | 14250 | SH | DEFINED | 01 | 02 |
| INVERNESS MEDICAL INNOVATIONS | COM | 46126P106 | 260 | 5100 | SH | DEFINED | 01 | 03 |
| INVERNESS MEDICAL INNOVATIONS | COM | 46126P106 | 10 | 200 | SH | DEFINED | 01 | 15 |
| INVESCO PLC-SPONSORED ADR | COM | 46127U104 | 1450 | 56073 | SH | DEFINED | 01 | 02 |
| INVESCO PLC-SPONSORED ADR | COM | 46127U104 | 5 | 209 | SH | DEFINED | 01 | 15 |
| INVESTMENT TECHNOLOGY GROUP | COM | 46145F105 | 3437 | 79320 | SH | DEFINED | 01 | 02 |
| INVESTMENT TECHNOLOGY GROUP | COM | 46145F105 | 11 | 265 | SH | DEFINED | 01 | 15 |
| INVESTTOOLS INC | COM | 46145P103 | 523 | 52470 | SH | DEFINED | 01 | 02 |
| INVESTTOOLS INC | COM | 46145P103 | 12 | 1200 | SH | DEFINED | 01 | 15 |
| INVESTORS BANCORP INC COM | COM | 46146P102 | 9 | 700 | SH | DEFINED | 01 | 15 |
| INVESTORS REAL ESTATE TRUST | COM | 461730103 | 1079 | 104500 | SH | DEFINED | 01 | 02 |
| INVITROGEN CORP | COM | 46185R100 | 10015 | 135803 | SH | DEFINED | 01 | 02 |
| INVITROGEN CORP | COM | 46185R100 | 666 | 9038 | SH | DEFINED | 01 | 03 |
| INVITROGEN CORP | COM | 46185R100 | 120 | 1631 | SH | DEFINED | 01 | 15 |
| INVESTORS FINANCIAL SVCS CP | COM | 461915100 | 2322 | 37647 | SH | DEFINED | 01 | 02 |
| INVESTORS FINANCIAL SVCS CP | COM | 461915100 | 14 | 235 | SH | DEFINED | 01 | 15 |
| INVESTORS ECON SYSTS | COM | 461990103 | 0 | 2305 | SH | DEFINED | 01 | 05 |
| IOMEGA CORP COM NEW | COM | 462030305 | 20 | 4199 | SH | DEFINED | 01 | 15 |
| PFD 14.25 11/15/06 14.250% 11/ | PRF | 46205A400 | 2 | 0 | SH | DEFINED | 01 | 02 |
| IOWA TELECOMMUNICATIONS SERV | COM | 462594201 | 876 | 38530 | SH | DEFINED | 01 | 02 |
| IOWA TELECOMMUNICATIONS SERV | COM | 462594201 | 600 | 26420 | SH | DEFINED | 01 | 02 |
| IOWA TELECOMMUNICATIONS SERV | COM | 462594201 | 216 | 9500 | SH | DEFINED | 01 | 03 |
| IOWA TELECOMMUNICATIONS SERV | COM | 462594201 | 295 | 12960 | SH | DEFINED | 01 | 15 |
| IPSCO INC | COM | 462622101 | 40 | 250 | SH | DEFINED | 01 | 15 |
| IPRINT TECHNOLOGIES INC | COM | 462628108 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| IQUNIVERSE INC | COM | 46264Y109 | 0 | 25 | SH | DEFINED | 01 | 15 |
| IRELAND BK SPONSORED | COM | 46267Q103 | 107 | 1316 | SH | DEFINED | 01 | 15 |
| IROBOT CORP COM | COM | 462726100 | 60 | 3000 | SH | DEFINED | 01 | 15 |
| IRON MOUNTAIN INC | COM | 462846106 | 19801 | 757815 | SH | DEFINED | 01 | 02 |
| IRON MOUNTAIN INC | COM | 462846106 | 73818 | 2831524 | SH | DEFINED | 01 | 10 |
| IRON MOUNTAIN INC | COM | 462846106 | 123 | 4701 | SH | DEFINED | 01 | 15 |
| IRWIN FINANCIAL CORP | COM | 464119106 | 1214 | 81145 | SH | DEFINED | 01 | 02 |
| IRWIN FINANCIAL CORP | COM | 464119106 | 132 | 8809 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| ISCO INTL INC | COM | 46426P103 | 0 | 2496 | SH | DEFINED | 01 | 15 |
| ISHARES COMEX GOLD TRUST ISHA | COM | 464285105 | 792 | 12293 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI AUSTRALIA | COM | 464286103 | 1474 | 51786 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI AUSTRIA IN | COM | 464286202 | 351 | 8764 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI BRAZIL IND | COM | 464286400 | 287 | 4674 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI CANADA IND | COM | 464286509 | 346 | 11604 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI EMUINDEX FD | COM | 464286608 | 672 | 5694 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI PACIFIC EX | COM | 464286665 | 1414 | 9651 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI SINGAPORE | COM | 464286673 | 1100 | 80678 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI UNITED KIN | COM | 464286699 | 18 | 700 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI FRANCE IND | COM | 464286707 | 138 | 3600 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI TAIWAN IND | COM | 464286731 | 650 | 40650 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI SWITZERLAN | COM | 464286749 | 3 | 100 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI SWEDEN IND | COM | 464286756 | 84 | 2324 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI SPAIN INDE | COM | 464286764 | 563 | 9675 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI SOUTH KORE | COM | 464286772 | 255 | 4224 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI SOUTH | COM | 464286780 | 43 | 345 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI GERMANY IN | COM | 464286806 | 36 | 1100 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI NETHERLAND | COM | 464286814 | 155 | 5100 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI MEXICO FRE | COM | 464286822 | 644 | 10451 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI MALAYSIA F | COM | 464286830 | 420 | 35559 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI JAPAN INDE | COM | 464286848 | 4063 | 280025 | SH | DEFINED | 01 | 15 |
| ISHARES INC MSCI HONG KONG | COM | 464286871 | 405 | 23779 | SH | DEFINED | 01 | 15 |
| ISHARES S&P 100 INDEX FUND | COM | 464287101 | 952 | 13750 | SH | DEFINED | 01 | 02 |
| ISHARES S&P 100 INDEX FUND | COM | 464287101 | 21 | 300 | SH | DEFINED | 01 | 15 |
| ISHARES TR LARGE GROWTH IND | COM | 464287119 | 3136 | 45492 | SH | DEFINED | 01 | 15 |
| ISHARES TR LARGE CORE INDEX | COM | 464287127 | 3975 | 50057 | SH | DEFINED | 01 | 15 |
| ISHARES TR NYSE 100 I | COM | 464287135 | 57 | 729 | SH | DEFINED | 01 | 15 |
| ISHARES TR NYSE COMPO | COM | 464287143 | 62 | 686 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P 1500 I | COM | 464287150 | 34 | 257 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESSELECT DIV | COM | 464287168 | 13864 | 192857 | SH | DEFINED | 01 | 15 |
| ISHARES TR U S TREAS INFL | COM | 464287176 | 4937 | 49874 | SH | DEFINED | 01 | 15 |
| ISHARES TR FTSE XINHUA HK | COM | 464287184 | 2082 | 16161 | SH | DEFINED | 01 | 15 |
| ISHARES TR TRANSPORTA | COM | 464287192 | 345 | 3787 | SH | DEFINED | 01 | 15 |
| ISHARES S&P 500 INDX | COM | 464287200 | 6503 | 43204 | SH | DEFINED | 01 | 15 |
| ISHARES TR LEHMAN US AGG BD FU | COM | 464287226 | 10994 | 111769 | SH | DEFINED | 01 | 15 |
| ISHARES MSCI EMERG MKTS | COM | 464287234 | 59267 | 451150 | SH | DEFINED | 01 | 02 |
| ISHARES MSCI EMERG MKTS | COM | 464287234 | 7875 | 59815 | SH | DEFINED | 01 | 15 |
| ISHARES IBOX \$ INVESTOP I | COM | 464287242 | 1532 | 14648 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL TEL | COM | 464287275 | 514 | 7151 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL INF | COM | 464287291 | 236 | 3746 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P 500/ GROWTH IND | COM | 464287309 | 6950 | 101200 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL HEA | COM | 464287325 | 844 | 14274 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL FIN | COM | 464287333 | 689 | 7516 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL ENE | COM | 464287341 | 1407 | 10877 | SH | DEFINED | 01 | 15 |
| ISHARES S&P GSSI NATURAL RE | COM | 464287374 | 2179 | 18184 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P/TOPIX150 INDEX | COM | 464287382 | 6 | 45 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P LATIN AMER | COM | 464287390 | 920 | 4315 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P 500/VALUE IND | COM | 464287408 | 5933 | 72624 | SH | DEFINED | 01 | 15 |
| ISHARES TR 20+ TREAS INDE | COM | 464287432 | 195 | 2290 | SH | DEFINED | 01 | 15 |
| ISHARES TR 7-10 YR TREAS IND | COM | 464287440 | 2097 | 25865 | SH | DEFINED | 01 | 15 |
| ISHARES TR 1-3 YR TREAS INDE | COM | 464287457 | 4411 | 55028 | SH | DEFINED | 01 | 15 |
| ISHARES TR MSCI EAFE INDEX FU | COM | 464287465 | 36991 | 4587984 | SH | DEFINED | 01 | 15 |
| ISHARES RUSL MID VALUE | COM | 464287473 | 69 | 440 | SH | DEFINED | 01 | 02 |
| ISHARES RUSL MID VALUE | COM | 464287473 | 13305 | 84499 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL MIDCAP GRO | COM | 464287481 | 2780 | 24407 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| ISHARES TR RUSSELL MIDCAP IND | COM | 464287499 | 23727 | 217878 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P MIDCAP 400 | COM | 464287507 | 3325 | 37248 | SH | DEFINED | 01 | 15 |
| ISHARES GOLDMAN SACHS SEMI | COM | 464287523 | 786 | 11975 | SH | DEFINED | 01 | 02 |
| ISHARES S&P GSTI NETWORKING | COM | 464287531 | 113 | 3158 | SH | DEFINED | 01 | 15 |
| ISHARES S&P GSTI TECHNOLOGY | COM | 464287549 | 356 | 6301 | SH | DEFINED | 01 | 15 |
| ISHARES NASDAQ BIOTECH INDX | COM | 464287556 | 4576 | 58575 | SH | DEFINED | 01 | 15 |
| ISHARES TR COHEN & STEERS REA | COM | 464287564 | 7185 | 79432 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL | COM | 464287572 | 700 | 8715 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESU S CONSUM | COM | 464287580 | 7 | 101 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL 1000 VALUE | COM | 464287598 | 20854 | 240422 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P MICAP 400/ | COM | 464287606 | 1038 | 11514 | SH | DEFINED | 01 | 15 |
| ISHARES RUSSELL 1000 GROWTH | COM | 464287614 | 720 | 12165 | SH | DEFINED | 01 | 02 |
| ISHARES RUSSELL 1000 GROWTH | COM | 464287614 | 7815 | 131990 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL 1000 INDEX | COM | 464287622 | 2822 | 34560 | SH | DEFINED | 01 | 15 |
| ISHARES RUSS 2000 VL | COM | 464287630 | 2546 | 30985 | SH | DEFINED | 01 | 02 |
| ISHARES RUSS 2000 VL | COM | 464287630 | 1445 | 17580 | SH | DEFINED | 01 | 02 |
| ISHARES RUSS 2000 VL | COM | 464287630 | 1753 | 21330 | SH | DEFINED | 01 | 03 |
| ISHARES RUSS 2000 VL | COM | 464287630 | 9484 | 115152 | SH | DEFINED | 01 | 15 |
| ISHARES RUSS 2000 GR | COM | 464287648 | 408 | 4770 | SH | DEFINED | 01 | 02 |
| ISHARES RUSS 2000 GR | COM | 464287648 | 3324 | 38717 | SH | DEFINED | 01 | 15 |
| ISHARES RUSSELL 2000 | COM | 464287655 | 1825 | 22000 | SH | DEFINED | 01 | 02 |
| ISHARES RUSSELL 2000 | COM | 464287655 | 11874 | 143134 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL 3000 VALUE | COM | 464287663 | 2014 | 17866 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL 3000 GROWT | COM | 464287671 | 143 | 2966 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL 3000 INDEX | COM | 464287689 | 1039 | 11943 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONES | COM | 464287697 | 1108 | 11505 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P MIDCAP 400 | COM | 464287705 | 1820 | 21008 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESU S TELECO | COM | 464287713 | 26 | 776 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS TECHNOL | COM | 464287721 | 48 | 808 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONES US REAL | COM | 464287739 | 1225 | 15821 | SH | DEFINED | 01 | 15 |
| ISHARES DJ US INDUSTRIAL SEC | COM | 464287754 | 144 | 1956 | SH | DEFINED | 01 | 15 |
| ISHARES DJ US HEALTHCARE SEC | COM | 464287762 | 0 | 2 | SH | DEFINED | 01 | 02 |
| ISHARES DJ US HEALTHCARE SEC | COM | 464287762 | 3428 | 49289 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONES U S FINL | COM | 464287770 | 224 | 1726 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS FINL SE | COM | 464287788 | 83 | 723 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONES U S ENERG | COM | 464287796 | 1838 | 15334 | SH | DEFINED | 01 | 15 |
| ISHARES S&P SMALLCAP 600 | COM | 464287804 | 3445 | 48447 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESU S CONSUM | COM | 464287812 | 278 | 4466 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESU S BASIC | COM | 464287838 | 352 | 5012 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONES U S TOTAL | COM | 464287846 | 1489 | 20302 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P EUROPE 350 | COM | 464287861 | 674 | 5760 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P SMALLCAP 6 | COM | 464287879 | 1173 | 14770 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P SMALLCAP 6 | COM | 464287887 | 1480 | 10462 | SH | DEFINED | 01 | 15 |
| ISHARES TR LARGE VALUE INDE | COM | 464288109 | 3030 | 34610 | SH | DEFINED | 01 | 15 |
| ISHARES TR MID CORE INDEX FD | COM | 464288208 | 526 | 5806 | SH | DEFINED | 01 | 15 |
| ISHARES TR MID GROWTH IND | COM | 464288307 | 327 | 3415 | SH | DEFINED | 01 | 15 |
| ISHARES TR MID VALUEINDEX FD | COM | 464288406 | 191 | 2086 | SH | DEFINED | 01 | 15 |
| ISHARES TR SMALL CORE INDEX | COM | 464288505 | 902 | 9719 | SH | DEFINED | 01 | 15 |
| ISHARES TR IBOXX \$ HIGH YIE | COM | 464288513 | 80 | 786 | SH | DEFINED | 01 | 15 |
| ISHARES TR KLD 400 SOCIAL IND | COM | 464288570 | 1252 | 23510 | SH | DEFINED | 01 | 15 |
| ISHARES TR LEHMAN MBS FIXED | COM | 464288588 | 5 | 50 | SH | DEFINED | 01 | 15 |
| ISHARES TR SMALL GROWTH IND | COM | 464288604 | 133 | 1632 | SH | DEFINED | 01 | 15 |
| ISHARES TR LEHMAN 1-3 YR CR BD | COM | 464288646 | 95 | 950 | SH | DEFINED | 01 | 15 |
| ISHARES TR LEHMAN 3-7 YR TRE | COM | 464288661 | 335 | 3360 | SH | DEFINED | 01 | 15 |
| ISHARES TR LEHMAN SHORT TREA | COM | 464288679 | 1113 | 10152 | SH | DEFINED | 01 | 15 |
| ISHARES TRUST S&P GLOBAL MAT | COM | 464288695 | 104 | 1470 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| ISHARES TR SMALL VALUE INDE | COM | 464288703 | 126 | 1492 | SH | DEFINED | 01 | 15 |
| ISHARES TR S&P GLOBAL UTI | COM | 464288711 | 8 | 125 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS HOME CO | COM | 464288752 | 72 | 2300 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS AEROSPA | COM | 464288760 | 30 | 482 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS BROKER | COM | 464288794 | 31 | 570 | SH | DEFINED | 01 | 15 |
| ISHARES TR KLD SELECT | COM | 464288802 | 71 | 1129 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS MEDICAL | COM | 464288810 | 35 | 628 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS HEALTH | COM | 464288828 | 10 | 175 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS OIL EQU | COM | 464288844 | 477 | 8306 | SH | DEFINED | 01 | 15 |
| ISHARES TR DOW JONESUS OIL & G | COM | 464288851 | 140 | 2397 | SH | DEFINED | 01 | 15 |
| ISHARES TR RUSSELL MI | COM | 464288869 | 53 | 880 | SH | DEFINED | 01 | 15 |
| ISHARES TR MSCI EAFEVALUE INDE | COM | 464288877 | 309 | 3938 | SH | DEFINED | 01 | 15 |
| ISHARES TR MSCI EAFEGROWTH IND | COM | 464288885 | 353 | 4653 | SH | DEFINED | 01 | 15 |
| ISHARES SILVER TR ISHARES | COM | 46428Q109 | 559 | 4525 | SH | DEFINED | 01 | 15 |
| ISHARES S&P GSCI COMMODITY | COM | 46428R107 | 138 | 3255 | SH | DEFINED | 01 | 15 |
| ISILON SYSTEMS INC | COM | 46432L104 | 2884 | 186974 | SH | DEFINED | 01 | 02 |
| ISILON SYSTEMS INC | COM | 46432L104 | 186 | 12062 | SH | DEFINED | 01 | 03 |
| ISIS PHARMACEUTICALS INC | COM | 464330109 | 1423 | 146986 | SH | DEFINED | 01 | 02 |
| ISIS PHARMACEUTICALS INC | COM | 464330109 | 60 | 6186 | SH | DEFINED | 01 | 03 |
| ISIS PHARMACEUTICALS INC | COM | 464330109 | 46 | 4790 | SH | DEFINED | 01 | 15 |
| ISLE OF CAPRI CASINOS | COM | 464592104 | 1394 | 58170 | SH | DEFINED | 01 | 02 |
| ISOLAGEN INC | COM | 46488N103 | 148 | 34664 | SH | DEFINED | 01 | 02 |
| ITALIAN OVEN INC NO 100HOLD | COM | 465306108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| ITC HOLDINGS CORP | COM | 465685105 | 3451 | 84935 | SH | DEFINED | 01 | 02 |
| ITRON INC | COM | 465741106 | 5586 | 71675 | SH | DEFINED | 01 | 02 |
| ITRON INC | COM | 465741106 | 26 | 339 | SH | DEFINED | 01 | 15 |
| I2 TECHNOLOGIES INC | COM | 465754208 | 80 | 4309 | SH | DEFINED | 01 | 02 |
| I2 TECHNOLOGIES INC | COM | 465754208 | 201 | 10800 | SH | DEFINED | 01 | 15 |
| IVANHOE ENERGY COM ISIN # | COM | 465790103 | 7 | 3550 | SH | DEFINED | 01 | 15 |
| IVANHOE MINES LTD | COM | 46579N103 | 1 | 41 | SH | DEFINED | 01 | 02 |
| IVANHOE MINES LTD | COM | 46579N103 | 35 | 2440 | SH | DEFINED | 01 | 15 |
| JTS CORP | COM | 465940104 | 0 | 2600 | SH | DEFINED | 01 | 15 |
| IXYS CORP | COM | 46600W106 | 1060 | 126635 | SH | DEFINED | 01 | 02 |
| IXYS CORP | COM | 46600W106 | 478 | 57290 | SH | DEFINED | 01 | 02 |
| IXYS CORP | COM | 46600W106 | 152 | 18200 | SH | DEFINED | 01 | 03 |
| IXYS CORP | COM | 46600W106 | 0 | 49 | SH | DEFINED | 01 | 10 |
| J & J SNACK FOODS CORP | COM | 466032109 | 9920 | 262852 | SH | DEFINED | 01 | 02 |
| JA SOLAR HOLDINGS CO LTD | COM | 466090107 | 1114 | 33016 | SH | DEFINED | 01 | 02 |
| JA SOLAR HOLDINGS CO LTD | COM | 466090107 | 101 | 3000 | SH | DEFINED | 01 | 15 |
| J ALEXANDER CORP | COM | 466096104 | 2 | 120 | SH | DEFINED | 01 | 15 |
| J CREW GROUP INC | COM | 46612H402 | 2504 | 46290 | SH | DEFINED | 01 | 02 |
| J CREW GROUP INC | COM | 46612H402 | 116 | 2150 | SH | DEFINED | 01 | 15 |
| JDS UNIPHASE CORP | COM | 46612J507 | 47779 | 3557563 | SH | DEFINED | 01 | 02 |
| JDS UNIPHASE CORP | COM | 46612J507 | 469 | 34943 | SH | DEFINED | 01 | 03 |
| JDS UNIPHASE CORP | COM | 46612J507 | 246 | 18354 | SH | DEFINED | 01 | 15 |
| JDA SOFTWARE GROUP INC | COM | 46612K108 | 3631 | 184961 | SH | DEFINED | 01 | 02 |
| JDA SOFTWARE GROUP INC | COM | 46612K108 | 889 | 45260 | SH | DEFINED | 01 | 02 |
| JDA SOFTWARE GROUP INC | COM | 46612K108 | 332 | 16900 | SH | DEFINED | 01 | 03 |
| JER INVESTORS TRUST INC | COM | 46614H301 | 153 | 10178 | SH | DEFINED | 01 | 02 |
| JL FRENCH AUTOMOTIVE WTS EXP 0 | WT | 46620Q154 | 0 | 49676 | SH | DEFINED | 01 | 02 |
| J L HALSEY CORP COM | COM | 46622H103 | 0 | 50 | SH | DEFINED | 01 | 15 |
| JP MORGAN CHASE CAP X PFD 7% 0 | COM | 46623D200 | 57 | 2260 | SH | DEFINED | 01 | 15 |
| J SAINSBURY PLC SPONS ADR | COM | 466249208 | 4 | 87 | SH | DEFINED | 01 | 15 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 264059 | 5450132 | SH | DEFINED | 01 | 02 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 27389 | 565307 | SH | DEFINED | 01 | 03 |
| JPMORGAN CHASE & CO | COM | 46625H100 | 33698 | 696543 | SH | DEFINED | 01 | 10 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| JPMORGAN CHASE & CO | COM | 46625H100 | 10309 | 212779 | SH | DEFINED | 01 | 15 |
| J2 GLOBAL COMMUNICATIONS INC | COM | 46626E205 | 3143 | 90041 | SH | DEFINED | 01 | 02 |
| J2 GLOBAL COMMUNICATIONS INC | COM | 46626E205 | 3154 | 90384 | SH | DEFINED | 01 | 10 |
| J2 GLOBAL COMMUNICATIONS INC | COM | 46626E205 | 6 | 183 | SH | DEFINED | 01 | 15 |
| JP MORGAN CHASE CAP XI CAP SEC | COM | 46626V207 | 170 | 7523 | SH | DEFINED | 01 | 15 |
| J P MORGAN CHASE CAP XII TR | COM | 46626X203 | 80 | 3340 | SH | DEFINED | 01 | 15 |
| JMP GROUP INC | COM | 46629U107 | 292 | 27900 | SH | DEFINED | 01 | 02 |
| JABIL CIRCUIT INC | COM | 466313103 | 196 | 8841 | SH | DEFINED | 01 | 02 |
| JABIL CIRCUIT INC | COM | 466313103 | 654 | 29654 | SH | DEFINED | 01 | 03 |
| JABIL CIRCUIT INC | COM | 466313103 | 420 | 19027 | SH | DEFINED | 01 | 15 |
| JACK IN THE BOX INC | COM | 466367109 | 18708 | 263747 | SH | DEFINED | 01 | 02 |
| JACK IN THE BOX INC | COM | 466367109 | 873 | 12280 | SH | DEFINED | 01 | 02 |
| JACK IN THE BOX INC | COM | 466367109 | 303 | 4270 | SH | DEFINED | 01 | 03 |
| JACK IN THE BOX INC | COM | 466367109 | 4 | 50 | SH | DEFINED | 01 | 15 |
| JACKSON HEWITT TAX SVC INC | COM | 468202106 | 10 | 350 | SH | DEFINED | 01 | 15 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 1 | 16 | SH | DEFINED | 01 | 02 |
| JACOBS ENGINEERING GROUP INC | COM | 469814107 | 2705 | 47031 | SH | DEFINED | 01 | 15 |
| JAGGED EDGE MOUNTAINGEAR | COM | 470082108 | 0 | 21000 | SH | DEFINED | 01 | 15 |
| JAKKS PACIFIC INC | COM | 47012E106 | 5956 | 211597 | SH | DEFINED | 01 | 02 |
| JAKKS PACIFIC INC | COM | 47012E106 | 1437 | 51080 | SH | DEFINED | 01 | 02 |
| JAKKS PACIFIC INC | COM | 47012E106 | 508 | 18050 | SH | DEFINED | 01 | 03 |
| JAKKS PACIFIC INC | COM | 47012E106 | 224 | 7965 | SH | DEFINED | 01 | 15 |
| JAMBA INC COM | COM | 47023A101 | 1 | 100 | SH | DEFINED | 01 | 15 |
| JAMES HARDIE INDS N V SPONSO | COM | 47030M106 | 11 | 300 | SH | DEFINED | 01 | 15 |
| JAMES RIV COAL CO COM NEW | COM | 470355207 | 14 | 1100 | SH | DEFINED | 01 | 15 |
| JAMES RIVER GROUP INC | COM | 470359100 | 1053 | 31638 | SH | DEFINED | 01 | 02 |
| JAMES RIVER GROUP INC | COM | 470359100 | 703 | 21140 | SH | DEFINED | 01 | 02 |
| JAMES RIVER GROUP INC | COM | 470359100 | 246 | 7400 | SH | DEFINED | 01 | 03 |
| JANUS CAPITAL GROUP INC | COM | 47102X105 | 254 | 9117 | SH | DEFINED | 01 | 02 |
| JANUS CAPITAL GROUP INC | COM | 47102X105 | 854 | 30678 | SH | DEFINED | 01 | 03 |
| JANUS CAPITAL GROUP INC | COM | 47102X105 | 710 | 25511 | SH | DEFINED | 01 | 15 |
| JAPAN SMALLER CAPITALIZA | COM | 47109U104 | 121 | 10682 | SH | DEFINED | 01 | 15 |
| JARDEN CORP | COM | 471109108 | 1804 | 41960 | SH | DEFINED | 01 | 02 |
| JARDEN CORP | COM | 471109108 | 11 | 250 | SH | DEFINED | 01 | 15 |
| JARDINE MATHESON HLDGS LTD | COM | 471115402 | 2 | 100 | SH | DEFINED | 01 | 15 |
| JARDINE MATHESON HLDGS - USD | COM | 471115998 | 1795 | 75422 | SH | DEFINED | 01 | 02 |
| JAZZ AIR INCOME FD UNIT ISIN | COM | 47214X100 | 34 | 4390 | SH | DEFINED | 01 | 15 |
| JEFFERIES GROUP INC NEW | COM | 472319102 | 17 | 629 | SH | DEFINED | 01 | 15 |
| JEFFERSONVILLE BANCORP N | COM | 47559A103 | 35 | 1891 | SH | DEFINED | 01 | 15 |
| JENEX GOLD CORP | COM | 476025101 | 0 | 100 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| JETBLUE AIRWAYS CORP | COM | 477143101 | 2097 | 178450 | SH | DEFINED | 01 | 02 |
| JETBLUE AIRWAYS CORP | COM | 477143101 | 628 | 53400 | SH | DEFINED | 01 | 02 |
| JETBLUE AIRWAYS CORP | COM | 477143101 | 223 | 19000 | SH | DEFINED | 01 | 03 |
| JETBLUE AIRWAYS CORP | COM | 477143101 | 15 | 1300 | SH | DEFINED | 01 | 15 |
| JO-ANN STORES INC | COM | 47758P307 | 5678 | 199780 | SH | DEFINED | 01 | 02 |
| JO-ANN STORES INC | COM | 47758P307 | 1276 | 44900 | SH | DEFINED | 01 | 02 |
| JO-ANN STORES INC | COM | 47758P307 | 466 | 16400 | SH | DEFINED | 01 | 03 |
| JOHNSON & JOHNSON | COM | 478160104 | 174695 | 2835065 | SH | DEFINED | 01 | 02 |
| JOHNSON & JOHNSON | COM | 478160104 | 29536 | 479327 | SH | DEFINED | 01 | 03 |
| JOHNSON & JOHNSON | COM | 478160104 | 52193 | 847696 | SH | DEFINED | 01 | 10 |
| JOHNSON & JOHNSON | COM | 478160104 | 15738 | 255397 | SH | DEFINED | 01 | 15 |
| JOHNSON CONTROLS INC | COM | 478366107 | 28393 | 245254 | SH | DEFINED | 01 | 02 |
| JOHNSON CONTROLS INC | COM | 478366107 | 3780 | 32650 | SH | DEFINED | 01 | 03 |
| JOHNSON CONTROLS INC | COM | 478366107 | 649 | 5606 | SH | DEFINED | 01 | 15 |
| JONES APPAREL GROUP | COM | 480074103 | 3157 | 111731 | SH | DEFINED | 01 | 02 |
| JONES APPAREL GROUP | COM | 480074103 | 509 | 18024 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| JONES APPAREL GROUP | COM | 480074103 | 66 | 2325 | SH | DEFINED | 01 | 15 |
| JONES LANG LASALLE INC | COM | 48020Q107 | 7237 | 63756 | SH | DEFINED | 01 | 02 |
| JONES LANG LASALLE INC | COM | 48020Q107 | 79300 | 699598 | SH | DEFINED | 01 | 10 |
| JONES LANG LASALLE INC | COM | 48020Q107 | 7 | 60 | SH | DEFINED | 01 | 15 |
| JONES SODA CO - PIPE | COM | 48023P106 | 658 | 46850 | SH | DEFINED | 01 | 02 |
| JONES SODA CO - PIPE | COM | 48023P106 | 41 | 2950 | SH | DEFINED | 01 | 15 |
| JOS A BANK CLOTHIERS INC | COM | 480838101 | 2877 | 69374 | SH | DEFINED | 01 | 02 |
| JOS A BANK CLOTHIERS INC | COM | 480838101 | 76 | 1826 | SH | DEFINED | 01 | 15 |
| JOURNAL COMMUNICATIONS INC-A | COM | 481130102 | 327 | 25100 | SH | DEFINED | 01 | 02 |
| JOURNAL COMMUNICATIONS INC-A | COM | 481130102 | 13 | 1014 | SH | DEFINED | 01 | 15 |
| JOURNAL REGISTER CO | COM | 481138105 | 120 | 26700 | SH | DEFINED | 01 | 15 |
| JOY GLOBAL INC | COM | 481165108 | 21056 | 360990 | SH | DEFINED | 01 | 02 |
| JOY GLOBAL INC | COM | 481165108 | 539 | 9243 | SH | DEFINED | 01 | 03 |
| JOY GLOBAL INC | COM | 481165108 | 1090 | 18689 | SH | DEFINED | 01 | 15 |
| JPMCHASE CAPITAL XVITR PFD SEC | COM | 481228203 | 19 | 800 | SH | DEFINED | 01 | 15 |
| JP MORGAN CHASE CAP XIV CAP SE | COM | 48122F207 | 29 | 1200 | SH | DEFINED | 01 | 15 |
| KKR PRIVATE EQ INV EUROCLEAR | COM | 481930212 | 86545 | 3846469 | SH | DEFINED | 01 | 02 |
| JUNIPER NETWORKS INC | COM | 48203R104 | 29559 | 1174412 | SH | DEFINED | 01 | 02 |
| JUNIPER NETWORKS INC | COM | 48203R104 | 3432 | 136320 | SH | DEFINED | 01 | 03 |
| JUNIPER NETWORKS INC | COM | 48203R104 | 508 | 20178 | SH | DEFINED | 01 | 15 |
| JUPITERMEDIA CORP | COM | 48207D101 | 22 | 3000 | SH | DEFINED | 01 | 15 |
| JUST FOR FEET INC | COM | 48213P106 | 0 | 6000 | SH | DEFINED | 01 | 15 |
| KBR INC | COM | 48242W106 | 31768 | 1211162 | SH | DEFINED | 01 | 02 |
| KBR INC | COM | 48242W106 | 7452 | 284300 | SH | DEFINED | 01 | 10 |
| KBR INC | COM | 48242W106 | 2 | 65 | SH | DEFINED | 01 | 15 |
| KLA-TENCOR CORP | COM | 482480100 | 21704 | 394973 | SH | DEFINED | 01 | 02 |
| KLA-TENCOR CORP | COM | 482480100 | 2161 | 39339 | SH | DEFINED | 01 | 03 |
| KLA-TENCOR CORP | COM | 482480100 | 317 | 5777 | SH | DEFINED | 01 | 15 |
| KKR FINANCIAL HOLDING LLC | COM | 48248A306 | 6843 | 274711 | SH | DEFINED | 01 | 02 |
| KKR FINANCIAL HOLDING LLC | COM | 48248A306 | 26 | 1046 | SH | DEFINED | 01 | 15 |
| K MART CORP | COM | 482584109 | 0 | 96064 | SH | DEFINED | 01 | 15 |
| K-SWISS INC -CL A | COM | 482686102 | 1234 | 43544 | SH | DEFINED | 01 | 02 |
| K-SWISS INC -CL A | COM | 482686102 | 1 | 50 | SH | DEFINED | 01 | 15 |
| KT CORP-SP ADR | COM | 48268K101 | 563 | 23980 | SH | DEFINED | 01 | 02 |
| KT CORP-SP ADR | COM | 48268K101 | 98 | 4175 | SH | DEFINED | 01 | 15 |
| K2 INC | COM | 482732104 | 5807 | 382269 | SH | DEFINED | 01 | 02 |
| K2 INC | COM | 482732104 | 10 | 648 | SH | DEFINED | 01 | 15 |
| KV PHARMACEUTICAL CO - CL A | COM | 482740206 | 2209 | 81100 | SH | DEFINED | 01 | 02 |
| KV PHARMACEUTICAL CO - CL A | COM | 482740206 | 17 | 629 | SH | DEFINED | 01 | 15 |
| KAANAPALI LD LLC CL A | COM | 48282H100 | 1 | 20 | SH | DEFINED | 01 | 15 |
| KADANT INC | COM | 48282T104 | 1987 | 63672 | SH | DEFINED | 01 | 02 |
| KADANT INC | COM | 48282T104 | 735 | 23570 | SH | DEFINED | 01 | 02 |
| KADANT INC | COM | 48282T104 | 253 | 8100 | SH | DEFINED | 01 | 03 |
| KADANT INC | COM | 48282T104 | 5 | 164 | SH | DEFINED | 01 | 15 |
| KNBT BANCORP INC | COM | 482921103 | 1533 | 104300 | SH | DEFINED | 01 | 02 |
| KNBT BANCORP INC | COM | 482921103 | 37 | 2500 | SH | DEFINED | 01 | 15 |
| KAISER VENTURES LLC UT MEMBERS | COM | 483101101 | 0 | 200 | SH | DEFINED | 01 | 15 |
| KAMAN CORP | COM | 483548103 | 1430 | 45853 | SH | DEFINED | 01 | 02 |
| KAMAN CORP | COM | 483548103 | 10 | 310 | SH | DEFINED | 01 | 15 |
| KANSAS CITY SOUTHERN | COM | 485170302 | 17853 | 475546 | SH | DEFINED | 01 | 02 |
| KANSAS CITY SOUTHERN | COM | 485170302 | 82958 | 2209854 | SH | DEFINED | 01 | 10 |
| KANSAS CITY SOUTHERN | COM | 485170302 | 93 | 2490 | SH | DEFINED | 01 | 15 |
| KAO CORP SPONS ADR | COM | 485537302 | 370 | 1434 | SH | DEFINED | 01 | 15 |
| KAYDON CORP | COM | 486587108 | 23316 | 447335 | SH | DEFINED | 01 | 02 |
| KAYDON CORP | COM | 486587108 | 316 | 6070 | SH | DEFINED | 01 | 03 |
| KAYDON CORP | COM | 486587108 | 258 | 4956 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| KAYNE ANDERSON MLP INVT CO | COM | 486606106 | 108 | 3261 | SH | DEFINED | 01 | 15 |
| KAYNE ANDERSON ENERGY TOT | COM | 48660P104 | 76 | 2640 | SH | DEFINED | 01 | 15 |
| KB HOME | COM | 48666K109 | 3927 | 99756 | SH | DEFINED | 01 | 02 |
| KB HOME | COM | 48666K109 | 500 | 12710 | SH | DEFINED | 01 | 03 |
| KB HOME | COM | 48666K109 | 24 | 600 | SH | DEFINED | 01 | 15 |
| KEITHLEY INSTRUMENTS INC | COM | 487584104 | 290 | 23105 | SH | DEFINED | 01 | 02 |
| KELLOGG CO | COM | 487836108 | 48512 | 936675 | SH | DEFINED | 01 | 02 |
| KELLOGG CO | COM | 487836108 | 2147 | 41449 | SH | DEFINED | 01 | 03 |
| KELLOGG CO | COM | 487836108 | 488 | 9414 | SH | DEFINED | 01 | 15 |
| KELLWOOD CO | COM | 488044108 | 5250 | 186753 | SH | DEFINED | 01 | 02 |
| KELLWOOD CO | COM | 488044108 | 654 | 23270 | SH | DEFINED | 01 | 02 |
| KELLWOOD CO | COM | 488044108 | 251 | 8910 | SH | DEFINED | 01 | 03 |
| KELLWOOD CO | COM | 488044108 | 67 | 2374 | SH | DEFINED | 01 | 15 |
| KELLY SERVICES | COM | 488152208 | 2186 | 79590 | SH | DEFINED | 01 | 02 |
| KEMET CORP | COM | 488360108 | 2527 | 358418 | SH | DEFINED | 01 | 02 |
| KEMET CORP | COM | 488360108 | 791 | 112120 | SH | DEFINED | 01 | 02 |
| KEMET CORP | COM | 488360108 | 272 | 38520 | SH | DEFINED | 01 | 03 |
| KEMET CORP | COM | 488360108 | 3 | 444 | SH | DEFINED | 01 | 15 |
| KENDLE INTERNATIONAL INC | COM | 48880L107 | 3096 | 84249 | SH | DEFINED | 01 | 02 |
| KENDLE INTERNATIONAL INC | COM | 48880L107 | 685 | 18635 | SH | DEFINED | 01 | 10 |
| KENEXA CORP | COM | 488879107 | 2376 | 62987 | SH | DEFINED | 01 | 02 |
| KENEXA CORP | COM | 488879107 | 188 | 4974 | SH | DEFINED | 01 | 15 |
| KENNAMETAL INC | COM | 489170100 | 56 | 683 | SH | DEFINED | 01 | 15 |
| KENSEY NASH CORP | COM | 490057106 | 1702 | 63479 | SH | DEFINED | 01 | 02 |
| KERYX BIOPHARMACEUTICALS | COM | 492515101 | 199 | 20400 | SH | DEFINED | 01 | 02 |
| KERYX BIOPHARMACEUTICALS | COM | 492515101 | 724 | 74298 | SH | DEFINED | 01 | 10 |
| KEY ENERGY SERVICES INC | COM | 492914106 | 171 | 9230 | SH | DEFINED | 01 | 15 |
| KEYCORP NEW | COM | 493267108 | 10314 | 300442 | SH | DEFINED | 01 | 02 |
| KEYCORP NEW | COM | 493267108 | 2229 | 64921 | SH | DEFINED | 01 | 03 |
| KEYCORP NEW | COM | 493267108 | 6633 | 193203 | SH | DEFINED | 01 | 15 |
| KEYCORP CAPITAL VI PFD TRUPS | COM | 49327K207 | 17 | 750 | SH | DEFINED | 01 | 15 |
| KEYNOTE SYSTEMS INC | COM | 493308100 | 602 | 36721 | SH | DEFINED | 01 | 02 |
| KEYNOTE SYSTEMS INC | COM | 493308100 | 8 | 500 | SH | DEFINED | 01 | 15 |
| KEYSPAN CORP | COM | 49337W100 | 13775 | 328120 | SH | DEFINED | 01 | 02 |
| KEYSPAN CORP | COM | 49337W100 | 1221 | 29084 | SH | DEFINED | 01 | 03 |
| KEYSPAN CORP | COM | 49337W100 | 355 | 8445 | SH | DEFINED | 01 | 15 |
| KEYSTONE AUTOMOTIVE INDUSTRIES | COM | 49338N109 | 3569 | 86260 | SH | DEFINED | 01 | 02 |
| KFORCE INC | COM | 493732101 | 1128 | 70550 | SH | DEFINED | 01 | 02 |
| KFORCE INC | COM | 493732101 | 159 | 9972 | SH | DEFINED | 01 | 15 |
| KIDEO PRODUCTIONS INC | COM | 49380R107 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| KIDS STUFF INC PFD SER 1 | COM | 49380U407 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| KIMBALL INTERNATIONAL INC | COM | 494274103 | 769 | 54941 | SH | DEFINED | 01 | 02 |
| KIMBALL INTERNATIONAL INC | COM | 494274103 | 512 | 36600 | SH | DEFINED | 01 | 02 |
| KIMBALL INTERNATIONAL INC | COM | 494274103 | 189 | 13500 | SH | DEFINED | 01 | 03 |
| KIMBALL INTERNATIONAL INC | COM | 494274103 | 3 | 200 | SH | DEFINED | 01 | 15 |
| KILROY REALTY CORP | COM | 49427F108 | 6916 | 97614 | SH | DEFINED | 01 | 02 |
| KIMBERLY-CLARK CORP | COM | 494368103 | 29395 | 439457 | SH | DEFINED | 01 | 02 |
| KIMBERLY-CLARK CORP | COM | 494368103 | 5049 | 75486 | SH | DEFINED | 01 | 03 |
| KIMBERLY-CLARK CORP | COM | 494368103 | 1005 | 15026 | SH | DEFINED | 01 | 15 |
| KIMBERLY CLARK DE MEXICO S A | COM | 494386204 | 37 | 1717 | SH | DEFINED | 01 | 15 |
| KIMCO REALTY CORP | COM | 49446R109 | 11463 | 301122 | SH | DEFINED | 01 | 02 |
| KIMCO REALTY CORP | COM | 49446R109 | 1429 | 37530 | SH | DEFINED | 01 | 03 |
| KIMCO REALTY CORP DEPOSITARY | COM | 49446R869 | 486 | 19854 | SH | DEFINED | 01 | 15 |
| KINDER MORGAN ENERGY PRNRS | COM | 494550106 | 1455 | 26368 | SH | DEFINED | 01 | 02 |
| KINDER MORGAN ENERGY PRNRS | COM | 494550106 | 17649 | 319784 | SH | DEFINED | 01 | 10 |
| KINDER MORGAN MGMT | COM | 49455U100 | 0 | 2 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| KINDER MORGAN MGMT | COM | 49455U100 | 1360 | 26202 | SH | DEFINED | 01 | 15 |
| KINDRED HEALTHCARE INC | COM | 494580103 | 1504 | 48950 | SH | DEFINED | 01 | 02 |
| KINDRED HEALTHCARE INC | COM | 494580103 | 8 | 250 | SH | DEFINED | 01 | 15 |
| KINETIC CONCEPTS INC | COM | 49460W208 | 1350 | 25979 | SH | DEFINED | 01 | 02 |
| KINETIC CONCEPTS INC | COM | 49460W208 | 163 | 3142 | SH | DEFINED | 01 | 15 |
| KING PHARMACEUTICALS INC | COM | 495582108 | 22396 | 1094518 | SH | DEFINED | 01 | 02 |
| KING PHARMACEUTICALS INC | COM | 495582108 | 825 | 40319 | SH | DEFINED | 01 | 03 |
| KING PHARMACEUTICALS INC | COM | 495582108 | 64 | 3126 | SH | DEFINED | 01 | 15 |
| KINGFISHER PLC SPONSORED | COM | 495724403 | 23 | 2544 | SH | DEFINED | 01 | 15 |
| KINROSS GOLD CORP | COM | 496902404 | 2777 | 237745 | SH | DEFINED | 01 | 02 |
| KINROSS GOLD CORP | COM | 496902404 | 48 | 4130 | SH | DEFINED | 01 | 15 |
| KIRBY CORP | COM | 497266106 | 14527 | 378397 | SH | DEFINED | 01 | 02 |
| KIRIN HLDGS CO LTD SPON ADR | COM | 497350306 | 4 | 267 | SH | DEFINED | 01 | 15 |
| KIRLIN HLDG CORP NEW | COM | 497629303 | 0 | 288 | SH | DEFINED | 01 | 15 |
| KITE REALTY GROUP TRUST | COM | 49803T102 | 1575 | 82756 | SH | DEFINED | 01 | 02 |
| KITTY HAWK INC NO 100HLDR | COM | 498326107 | 0 | 800 | SH | DEFINED | 01 | 15 |
| KLEVER MARKETING INCNEW | COM | 498589100 | 0 | 7 | SH | DEFINED | 01 | 15 |
| KNOLL INC | COM | 498904200 | 6313 | 281776 | SH | DEFINED | 01 | 02 |
| KNIGHT CAPITAL GRP INC | COM | 499005106 | 7864 | 473638 | SH | DEFINED | 01 | 02 |
| KNIGHT CAPITAL GRP INC | COM | 499005106 | 1297 | 78210 | SH | DEFINED | 01 | 02 |
| KNIGHT CAPITAL GRP INC | COM | 499005106 | 457 | 27510 | SH | DEFINED | 01 | 03 |
| KNIGHT CAPITAL GRP INC | COM | 499005106 | 6142 | 370451 | SH | DEFINED | 01 | 10 |
| KNIGHT CAPITAL GRP INC | COM | 499005106 | 32 | 1925 | SH | DEFINED | 01 | 15 |
| KNIGHT TRANSPORTATION INC | COM | 499064103 | 2113 | 108998 | SH | DEFINED | 01 | 02 |
| KNIGHT TRANSPORTATION INC | COM | 499064103 | 301 | 15556 | SH | DEFINED | 01 | 03 |
| KNIGHT TRANSPORTATION INC | COM | 499064103 | 15 | 751 | SH | DEFINED | 01 | 15 |
| KNOT INC (THE) | COM | 499184109 | 384 | 19000 | SH | DEFINED | 01 | 02 |
| KNOT INC (THE) | COM | 499184109 | 2 | 100 | SH | DEFINED | 01 | 15 |
| KODIAK ENERGY INC | COM | 500117106 | 5 | 1450 | SH | DEFINED | 01 | 15 |
| KOHL'S CORP | COM | 500255104 | 24141 | 339860 | SH | DEFINED | 01 | 02 |
| KOHL'S CORP | COM | 500255104 | 3792 | 53390 | SH | DEFINED | 01 | 03 |
| KOHL'S CORP | COM | 500255104 | 731 | 10287 | SH | DEFINED | 01 | 15 |
| KOMAG INC NO 100HOLD | COM | 500453105 | 0 | 5736 | SH | DEFINED | 01 | 15 |
| KOMAG INC | COM | 500453204 | 6805 | 213366 | SH | DEFINED | 01 | 02 |
| KOMAG INC | COM | 500453204 | 16 | 500 | SH | DEFINED | 01 | 15 |
| KOMATSU LTD SPON ADR I | COM | 500458401 | 49 | 422 | SH | DEFINED | 01 | 15 |
| KONINKLIJKE AHOLD NV ADR NEW | COM | 500467303 | 3696 | 295224 | SH | DEFINED | 01 | 15 |
| KONINKLIJKE PHILIPS ELECTRS NV | COM | 500472303 | 411 | 9705 | SH | DEFINED | 01 | 15 |
| KONGZHONG CORP SPONS ADR | COM | 50047P104 | 1 | 100 | SH | DEFINED | 01 | 15 |
| KOOKMIN BANK-SPON ADR | COM | 50049M109 | 1182 | 13474 | SH | DEFINED | 01 | 02 |
| KOOKMIN BANK-SPON ADR | COM | 50049M109 | 163 | 1857 | SH | DEFINED | 01 | 15 |
| KOOR INDS LTD ADR | COM | 500507108 | 9 | 650 | SH | DEFINED | 01 | 15 |
| KOPIN CORP | COM | 500600101 | 434 | 111400 | SH | DEFINED | 01 | 02 |
| KOPIN CORP | COM | 500600101 | 12 | 3200 | SH | DEFINED | 01 | 15 |
| KOPPERS HOLDINGS INC | COM | 50060P106 | 577 | 17134 | SH | DEFINED | 01 | 02 |
| KOPPERS HOLDINGS INC | COM | 50060P106 | 7 | 200 | SH | DEFINED | 01 | 15 |
| KOREA ELEC POWER CORP-SP ADR (| COM | 500631106 | 383 | 17480 | SH | DEFINED | 01 | 02 |
| KOREA ELEC POWER CORP-SP ADR (| COM | 500631106 | 1375 | 63000 | SH | DEFINED | 01 | 10 |
| KOREA ELEC POWER CORP-SP ADR (| COM | 500631106 | 22 | 1000 | SH | DEFINED | 01 | 15 |
| KOREA FUND INC | COM | 500634100 | 85 | 2135 | SH | DEFINED | 01 | 15 |
| KOREA EQUITY FD INC | COM | 50063B104 | 4 | 300 | SH | DEFINED | 01 | 15 |
| KORN/FERRY INTERNATIONAL | COM | 500643200 | 197 | 7500 | SH | DEFINED | 01 | 02 |
| KOSAN BIOSCIENCES INC | COM | 50064W107 | 76 | 14661 | SH | DEFINED | 01 | 02 |
| KRAFT FOODS INC-A | COM | 50075N104 | 240772 | 6830443 | SH | DEFINED | 01 | 02 |
| KRAFT FOODS INC-A | COM | 50075N104 | 9708 | 275417 | SH | DEFINED | 01 | 03 |
| KRAFT FOODS INC-A | COM | 50075N104 | 3868 | 109763 | SH | DEFINED | 01 | 10 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| KRAFT FOODS INC-A | COM | 50075N104 | 3225 | 91486 | SH | DEFINED | 01 | 15 |
| KRISPY KREME DOUGHNUTS INC | COM | 501014104 | 7 | 743 | SH | DEFINED | 01 | 02 |
| KRISPY KREME DOUGHNUTS INC | COM | 501014104 | 13 | 1375 | SH | DEFINED | 01 | 15 |
| KROGER CO/THE | COM | 501044101 | 18849 | 670018 | SH | DEFINED | 01 | 02 |
| KROGER CO/THE | COM | 501044101 | 3296 | 117157 | SH | DEFINED | 01 | 03 |
| KROGER CO/THE | COM | 501044101 | 1508 | 53620 | SH | DEFINED | 01 | 15 |
| KRONOS WORLDWIDE INC | COM | 50105F105 | 0 | 3 | SH | DEFINED | 01 | 15 |
| KRONOS ADVANCED TECHNOLOGI | COM | 50105X106 | 0 | 12000 | SH | DEFINED | 01 | 15 |
| KUBOTA LTD ADR | COM | 501173207 | 247 | 6100 | SH | DEFINED | 01 | 15 |
| KUHLMAN CO INC | COM | 501197107 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| KUHLMAN CO INC NV SER A CONV | COM | 501197990 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| KULICKE & SOFFA INDUSTRIES | COM | 501242101 | 9411 | 898888 | SH | DEFINED | 01 | 02 |
| KULICKE & SOFFA INDUSTRIES | COM | 501242101 | 422 | 40280 | SH | DEFINED | 01 | 03 |
| KULICKE & SOFFA INDUSTRIES | COM | 501242101 | 29 | 2729 | SH | DEFINED | 01 | 15 |
| KYOCERA ADR 1 ADR REPSNTG 1 | COM | 501556203 | 61 | 577 | SH | DEFINED | 01 | 15 |
| KYPHON INC | COM | 501577100 | 5540 | 115086 | SH | DEFINED | 01 | 02 |
| KYPHON INC | COM | 501577100 | 182 | 3784 | SH | DEFINED | 01 | 03 |
| KYPHON INC | COM | 501577100 | 3506 | 72802 | SH | DEFINED | 01 | 10 |
| LAN AIRLINES S A FORMERLY L | COM | 501723100 | 11 | 125 | SH | DEFINED | 01 | 15 |
| LAN AIRLINES S.A. CONTRA CUS | COM | 501723993 | 0 | 7 | SH | DEFINED | 01 | 15 |
| LCA-VISION INC | COM | 501803308 | 5936 | 125604 | SH | DEFINED | 01 | 02 |
| LCA-VISION INC | COM | 501803308 | 12 | 257 | SH | DEFINED | 01 | 15 |
| LEEP INC | COM | 50184Q105 | 0 | 3765 | SH | DEFINED | 01 | 15 |
| LG. PHILIPS LCD CO LTD-ADR | COM | 50186V102 | 3084 | 136263 | SH | DEFINED | 01 | 02 |
| LG. PHILIPS LCD CO LTD-ADR | COM | 50186V102 | 9 | 400 | SH | DEFINED | 01 | 15 |
| LHC GROUP INC | COM | 50187A107 | 411 | 15704 | SH | DEFINED | 01 | 02 |
| LHC GROUP INC | COM | 50187A107 | 116 | 4420 | SH | DEFINED | 01 | 15 |
| LKQ CORP | COM | 501889208 | 2432 | 98610 | SH | DEFINED | 01 | 02 |
| LKQ CORP | COM | 501889208 | 652 | 26448 | SH | DEFINED | 01 | 03 |
| LKQ CORP | COM | 501889208 | 14 | 550 | SH | DEFINED | 01 | 15 |
| LTV CORP NEW NO 100HOLD | COM | 501921100 | 0 | 30151 | SH | DEFINED | 01 | 15 |
| LL&E ROYALTY TR UBI | COM | 502003106 | 0 | 70 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|---------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| LMP CAP & INCOME FD INC COM | COM | 50208A102 | 35 | 1693 | SH | DEFINED | 01 | 15 |
| LMP CORPORATE LN FD INC AUCTION | COM | 50208B209 | 150 | 6 | SH | DEFINED | 01 | 15 |
| LMP CORPORATE LN FD INC AUCTION | COM | 50208B308 | 500 | 20 | SH | DEFINED | 01 | 15 |
| LMP REAL ESTATE INCOME FD | COM | 50208C108 | 136 | 6305 | SH | DEFINED | 01 | 15 |
| L OREAL CO ADR | COM | 502117203 | 5 | 194 | SH | DEFINED | 01 | 15 |
| LPBP INC CL A VTG ISIN #CA50 | COM | 502118102 | 0 | 500 | SH | DEFINED | 01 | 15 |
| L-1 IDENTITY SOLUTIONS INC | COM | 50212A106 | 424 | 20715 | SH | DEFINED | 01 | 02 |
| L-1 IDENTITY SOLUTIONS INC | COM | 50212A106 | 705 | 34467 | SH | DEFINED | 01 | 15 |
| LSI CORP | COM | 502161102 | 68119 | 9070358 | SH | DEFINED | 01 | 02 |
| LSI CORP | COM | 502161102 | 957 | 127482 | SH | DEFINED | 01 | 03 |
| LSI CORP | COM | 502161102 | 89 | 11913 | SH | DEFINED | 01 | 15 |
| LTC PROPERTIES INC | COM | 502175102 | 2189 | 96222 | SH | DEFINED | 01 | 02 |
| LTWC CORP NO 100HOLD | COM | 502386105 | 0 | 47 | SH | DEFINED | 01 | 15 |
| LTX CORP | COM | 502392103 | 372 | 66778 | SH | DEFINED | 01 | 02 |
| L-3 COMMUNICATIONS HOLDINGS IN | COM | 502424104 | 30787 | 316117 | SH | DEFINED | 01 | 02 |
| L-3 COMMUNICATIONS HOLDINGS IN | COM | 502424104 | 2013 | 20670 | SH | DEFINED | 01 | 03 |
| L-3 COMMUNICATIONS HOLDINGS IN | COM | 502424104 | 16857 | 173349 | SH | DEFINED | 01 | 10 |
| L-3 COMMUNICATIONS HOLDINGS IN | COM | 502424104 | 938 | 9632 | SH | DEFINED | 01 | 15 |
| LA BARGE INC | COM | 502470107 | 118 | 9581 | SH | DEFINED | 01 | 15 |
| LA-Z-BOY INC | COM | 505336107 | 1002 | 87419 | SH | DEFINED | 01 | 02 |
| LA-Z-BOY INC | COM | 505336107 | 1 | 82 | SH | DEFINED | 01 | 15 |
| LABOR READY INC | COM | 505401208 | 2812 | 121676 | SH | DEFINED | 01 | 02 |
| LABOR READY INC | COM | 505401208 | 366 | 15829 | SH | DEFINED | 01 | 15 |
| LABORATORY CRP OF AMER HLDGS | COM | 50540R409 | 2915 | 37257 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|------|--------|----|---------|----|----|
| LABORATORY CRP OF AMER HLDGS | COM | 50540R409 | 1523 | 19460 | SH | DEFINED | 01 | 03 |
| LABORATORY CRP OF AMER HLDGS | COM | 50540R409 | 343 | 4389 | SH | DEFINED | 01 | 15 |
| LABRANCHE & CO INC | COM | 505447102 | 646 | 87585 | SH | DEFINED | 01 | 02 |
| LACLEDE CAP TR I GTD TR ORI | COM | 50558V201 | 31 | 1200 | SH | DEFINED | 01 | 15 |
| LACLEDE GROUP INC/THE | COM | 505597104 | 1738 | 54500 | SH | DEFINED | 01 | 02 |
| LACLEDE GROUP INC/THE | COM | 505597104 | 35 | 1104 | SH | DEFINED | 01 | 15 |
| LADISH CO INC | COM | 505754200 | 135 | 3135 | SH | DEFINED | 01 | 02 |
| LADISH CO INC | COM | 505754200 | 43 | 1000 | SH | DEFINED | 01 | 15 |
| LADENBURG THALMANN FINL SVCS | COM | 50575Q102 | 5 | 2242 | SH | DEFINED | 01 | 15 |
| LAFARGE SPONS ADR | COM | 505861401 | 12 | 270 | SH | DEFINED | 01 | 15 |
| LAKES ENTERTAINMENT INC | COM | 51206P109 | 742 | 62800 | SH | DEFINED | 01 | 02 |
| LAKES ENTERTAINMENT INC | COM | 51206P109 | 24 | 2060 | SH | DEFINED | 01 | 15 |
| LAM RESEARCH CORP | COM | 512807108 | 575 | 11201 | SH | DEFINED | 01 | 03 |
| LAM RESEARCH CORP | COM | 512807108 | 334 | 6503 | SH | DEFINED | 01 | 15 |
| LAMAR ADVERTISING CO-CL A | COM | 512815101 | 8088 | 128892 | SH | DEFINED | 01 | 02 |
| LAMAR ADVERTISING CO-CL A | COM | 512815101 | 184 | 2944 | SH | DEFINED | 01 | 03 |
| LAMAR ADVERTISING CO-CL A | COM | 512815101 | 38 | 603 | SH | DEFINED | 01 | 15 |
| LAMSON & SESSIONS CO | COM | 513696104 | 3 | 100 | SH | DEFINED | 01 | 15 |
| LANCASTER COLONY CORP | COM | 513847103 | 116 | 2765 | SH | DEFINED | 01 | 15 |
| LANCE INC | COM | 514606102 | 1198 | 50863 | SH | DEFINED | 01 | 02 |
| LANCE INC | COM | 514606102 | 12 | 500 | SH | DEFINED | 01 | 15 |
| LANDEC CORP | COM | 514766104 | 4146 | 309390 | SH | DEFINED | 01 | 02 |
| LANDAUER INC | COM | 51476K103 | 10 | 200 | SH | DEFINED | 01 | 15 |
| LANDMARK GLOBAL FINLCORP | COM | 514934108 | 0 | 2 | SH | DEFINED | 01 | 15 |
| LANDAMERICA FINANCIAL GROUP | COM | 514936103 | 4875 | 50541 | SH | DEFINED | 01 | 02 |
| LANDAMERICA FINANCIAL GROUP | COM | 514936103 | 626 | 6490 | SH | DEFINED | 01 | 02 |
| LANDAMERICA FINANCIAL GROUP | COM | 514936103 | 232 | 2400 | SH | DEFINED | 01 | 03 |
| LANDAMERICA FINANCIAL GROUP | COM | 514936103 | 58 | 606 | SH | DEFINED | 01 | 15 |
| LANDRY'S RESTAURANTS INC | COM | 51508L103 | 842 | 27829 | SH | DEFINED | 01 | 02 |
| LANDRY'S RESTAURANTS INC | COM | 51508L103 | 1 | 22 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| LANDSTAR SYSTEM INC | COM | 515098101 | 9095 | 188485 | SH | DEFINED | 01 | 02 |
| LANDSTAR SYSTEM INC | COM | 515098101 | 87 | 1800 | SH | DEFINED | 01 | 15 |
| LARAMIDE RES LTD ISIN #CA51 | COM | 51669T101 | 10 | 1000 | SH | DEFINED | 01 | 15 |
| LAS AMERS BROADBAND INC | COM | 517422101 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| LAS VEGAS SANDS CORP | COM | 517834107 | 3006 | 39364 | SH | DEFINED | 01 | 02 |
| LAS VEGAS SANDS CORP | COM | 517834107 | 343 | 4491 | SH | DEFINED | 01 | 15 |
| LASALLE HOTEL PROPERTIES | COM | 517942108 | 9213 | 212169 | SH | DEFINED | 01 | 02 |
| LASALLE HOTEL PROPERTIES | COM | 517942108 | 732 | 16840 | SH | DEFINED | 01 | 02 |
| LASALLE HOTEL PROPERTIES | COM | 517942108 | 234 | 5400 | SH | DEFINED | 01 | 03 |
| LASIK VISION CORP | COM | 51808E106 | 0 | 7000 | SH | DEFINED | 01 | 15 |
| LATIN AMER EQUITY FDINC NEW | COM | 51827Q106 | 9 | 170 | SH | DEFINED | 01 | 15 |
| LATIN AMERN DISCOVERY | COM | 51828C106 | 34 | 1150 | SH | DEFINED | 01 | 15 |
| LATTICE SEMICONDUCTRCORP | COM | 518415104 | 9 | 1500 | SH | DEFINED | 01 | 15 |
| ESTEE LAUDER COMPANIES | COM | 518439104 | 1648 | 36231 | SH | DEFINED | 01 | 02 |
| ESTEE LAUDER COMPANIES | COM | 518439104 | 888 | 19520 | SH | DEFINED | 01 | 03 |
| ESTEE LAUDER COMPANIES | COM | 518439104 | 88 | 1935 | SH | DEFINED | 01 | 15 |
| LAUREATE ED INC | COM | 518613104 | 14 | 235 | SH | DEFINED | 01 | 15 |
| LAW ENFORCEMENT ASSOCIATES | COM | 519485106 | 3 | 4250 | SH | DEFINED | 01 | 15 |
| LAWSON PRODUCTS | COM | 520776105 | 265 | 6851 | SH | DEFINED | 01 | 02 |
| LAWSON SOFTWARE INC | COM | 52078P102 | 12790 | 1293204 | SH | DEFINED | 01 | 02 |
| LAWSON SOFTWARE INC | COM | 52078P102 | 927 | 93730 | SH | DEFINED | 01 | 02 |
| LAWSON SOFTWARE INC | COM | 52078P102 | 540 | 54631 | SH | DEFINED | 01 | 03 |
| LAWSON SOFTWARE INC | COM | 52078P102 | 4 | 450 | SH | DEFINED | 01 | 15 |
| LAYNE CHRISTENSEN COMPANY | COM | 521050104 | 853 | 20831 | SH | DEFINED | 01 | 02 |
| LAZARD WORLD DIVID &INCOME FD | COM | 521076109 | 23 | 1000 | SH | DEFINED | 01 | 15 |
| LAZARE KAPLAN INTERNATIONAL | COM | 521078105 | 620 | 78300 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| LEAP WIRELESS INTL INC NO 100 | COM | 521863100 | 0 | 1145 | SH | DEFINED | 01 | 15 |
| LEAP WIRELESS INTL INC | COM | 521863308 | 14522 | 171867 | SH | DEFINED | 01 | 02 |
| LEAP WIRELESS INTL INC | COM | 521863308 | 7155 | 84679 | SH | DEFINED | 01 | 02 |
| LEAP WIRELESS INTL INC | COM | 521863308 | 195188 | 2311549 | SH | DEFINED | 01 | 10 |
| LEAP WIRELESS INTL INC | COM | 521863308 | 34 | 400 | SH | DEFINED | 01 | 15 |
| LEAR CORP | COM | 521865105 | 18895 | 530600 | SH | DEFINED | 01 | 02 |
| LEAR CORP | COM | 521865105 | 1 | 20 | SH | DEFINED | 01 | 15 |
| LEAPFROG ENTERPRISES INC CL A | COM | 52186N106 | 4 | 400 | SH | DEFINED | 01 | 15 |
| LEARNING ANNEX INC | COM | 522005107 | 0 | 300 | SH | DEFINED | 01 | 15 |
| LECROY CORP | COM | 52324W109 | 372 | 38247 | SH | DEFINED | 01 | 02 |
| LEE ENTERPRISES INC | COM | 523768109 | 44 | 2100 | SH | DEFINED | 01 | 15 |
| LEGGETT & PLATT INC | COM | 524660107 | 1198 | 54298 | SH | DEFINED | 01 | 02 |
| LEGGETT & PLATT INC | COM | 524660107 | 646 | 29311 | SH | DEFINED | 01 | 03 |
| LEGGETT & PLATT INC | COM | 524660107 | 3 | 150 | SH | DEFINED | 01 | 15 |
| LEGG MASON INC | COM | 524901105 | 16849 | 171265 | SH | DEFINED | 01 | 02 |
| LEGG MASON INC | COM | 524901105 | 2527 | 25682 | SH | DEFINED | 01 | 03 |
| LEGG MASON INC | COM | 524901105 | 840 | 8537 | SH | DEFINED | 01 | 15 |
| LEHMAN BROTHERS HOLDINGS INC | COM | 524908100 | 118581 | 1590953 | SH | DEFINED | 01 | 02 |
| LEHMAN BROTHERS HOLDINGS INC | COM | 524908100 | 4062 | 54513 | SH | DEFINED | 01 | 02 |
| LEHMAN BROTHERS HOLDINGS INC | COM | 524908100 | 6809 | 91366 | SH | DEFINED | 01 | 03 |
| LEHMAN BROTHERS HOLDINGS INC | COM | 524908100 | 791 | 10433 | SH | DEFINED | 01 | 15 |
| 52168Q101DA INC ISIN #CA52 | COM | 524911104 | 0 | 300 | SH | DEFINED | 01 | 15 |
| LEHMAN BROS / FIRST TR INCOME | COM | 525178109 | 466 | 30412 | SH | DEFINED | 01 | 15 |
| LEHMAN BROTHERS HLD CAP TR IV | COM | 52520B206 | 95 | 4000 | SH | DEFINED | 01 | 15 |
| LEHMAN BROS HLDGS CAP TR V P | COM | 52520E200 | 9 | 400 | SH | DEFINED | 01 | 15 |
| LENNAR CORP | COM | 526057104 | 17611 | 481797 | SH | DEFINED | 01 | 02 |
| LENNAR CORP | COM | 526057104 | 843 | 23045 | SH | DEFINED | 01 | 03 |
| LENNAR CORP | COM | 526057104 | 8 | 220 | SH | DEFINED | 01 | 15 |
| LENNAR CORP CL B | COM | 526057302 | 1 | 20 | SH | DEFINED | 01 | 15 |
| LENNOX INTERNATIONAL INC | COM | 526107107 | 3971 | 116027 | SH | DEFINED | 01 | 02 |
| LENOVO GROUP LTD SPONS ADR | COM | 526250105 | 6 | 500 | SH | DEFINED | 01 | 15 |
| LESCARDEN INC | COM | 526867106 | 14 | 90459 | SH | DEFINED | 01 | 15 |
| LEUCADIA NATL CORP | COM | 527288104 | 237 | 6721 | SH | DEFINED | 01 | 15 |
| LEVCOR INTL INC | COM | 52729D102 | 0 | 8 | SH | DEFINED | 01 | 15 |
| LEVEL 3 COMMUNICATIONS INC | COM | 52729N100 | 7691 | 1314833 | SH | DEFINED | 01 | 02 |
| LEVEL 3 COMMUNICATIONS INC | COM | 52729N100 | 108 | 18507 | SH | DEFINED | 01 | 03 |
| LEVEL 3 COMMUNICATIONS INC | COM | 52729N100 | 432 | 73879 | SH | DEFINED | 01 | 15 |
| LEVITT CORP FLA CL A | COM | 52742P108 | 2 | 216 | SH | DEFINED | 01 | 15 |
| LEVITZ FURNITURE INCNO STKHLDE | COM | 527482103 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| LEXINGTON REALTY TRUST | COM | 529043101 | 2259 | 108600 | SH | DEFINED | 01 | 02 |
| LEXMARK INTERNATIONAL INC-A | COM | 529771107 | 8194 | 166195 | SH | DEFINED | 01 | 02 |
| LEXMARK INTERNATIONAL INC-A | COM | 529771107 | 772 | 15646 | SH | DEFINED | 01 | 03 |
| LEXMARK INTERNATIONAL INC-A | COM | 529771107 | 3 | 55 | SH | DEFINED | 01 | 15 |
| LIBBEY INC | COM | 529898108 | 509 | 23593 | SH | DEFINED | 01 | 02 |
| LIBERTY ALL STAR EQUITY FD | COM | 530158104 | 225 | 25076 | SH | DEFINED | 01 | 15 |
| LIBERTY GLOBAL INC-A | COM | 530555101 | 7419 | 180784 | SH | DEFINED | 01 | 02 |
| LIBERTY GLOBAL INC-A | COM | 530555101 | 29 | 716 | SH | DEFINED | 01 | 03 |
| LIBERTY GLOBAL INC-A | COM | 530555101 | 166 | 4043 | SH | DEFINED | 01 | 15 |
| LIBERTY GLOBAL INC COM SER C | COM | 530555309 | 597 | 15180 | SH | DEFINED | 01 | 15 |
| LIBERTY MEDIA-INTERACTIVE A | COM | 53071M104 | 22572 | 1010793 | SH | DEFINED | 01 | 02 |
| LIBERTY MEDIA-INTERACTIVE A | COM | 53071M104 | 49 | 2210 | SH | DEFINED | 01 | 03 |
| LIBERTY MEDIA-INTERACTIVE A | COM | 53071M104 | 352 | 15775 | SH | DEFINED | 01 | 15 |
| LIBERTY MEDIA CORP-CAP SER A | COM | 53071M302 | 6291 | 53450 | SH | DEFINED | 01 | 02 |
| LIBERTY MEDIA CORP-CAP SER A | COM | 53071M302 | 354 | 3010 | SH | DEFINED | 01 | 15 |
| LIBERTY PROPERTY TRUST | COM | 531172104 | 154 | 3500 | SH | DEFINED | 01 | 02 |
| LIFECCELL CORPORATION | COM | 531927101 | 770 | 25200 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| LIFECCELL CORPORATION | COM | 531927101 | 18 | 575 | SH | DEFINED | 01 | 15 |
| LIFECORE INC CDT SHS | COM | 531942100 | 0 | 7750 | SH | DEFINED | 01 | 15 |
| LIFE PARTNERS HOLDINGS INC | COM | 53215T106 | 123 | 3787 | SH | DEFINED | 01 | 02 |
| LIFE TIME FITNESS INC | COM | 53217R207 | 497 | 9330 | SH | DEFINED | 01 | 02 |
| LIFE TIME FITNESS INC | COM | 53217R207 | 3509 | 65939 | SH | DEFINED | 01 | 10 |
| LIFE TIME FITNESS INC | COM | 53217R207 | 183 | 3445 | SH | DEFINED | 01 | 15 |
| LIFECORE BIOMEDICAL INC | COM | 532187101 | 100 | 6330 | SH | DEFINED | 01 | 15 |
| LIFEPOINT HOSPS INC | COM | 53219L109 | 19 | 500 | SH | DEFINED | 01 | 15 |
| LIGAND PHARMACEUT | COM | 53220K207 | 0 | 50 | SH | DEFINED | 01 | 15 |
| LIGHT MGT GROUP INC | COM | 53222E209 | 0 | 290 | SH | DEFINED | 01 | 15 |
| LIFETIME BRANDS INC | COM | 53222Q103 | 305 | 14938 | SH | DEFINED | 01 | 02 |
| LIFETIME BRANDS INC | COM | 53222Q103 | 7 | 350 | SH | DEFINED | 01 | 15 |
| LIGHTPATH TECHNOLOGI | COM | 532257805 | 0 | 75 | SH | DEFINED | 01 | 15 |
| ELI LILLY & CO | COM | 532457108 | 174807 | 3128288 | SH | DEFINED | 01 | 02 |
| ELI LILLY & CO | COM | 532457108 | 9519 | 170362 | SH | DEFINED | 01 | 03 |
| ELI LILLY & CO | COM | 532457108 | 1504 | 26907 | SH | DEFINED | 01 | 15 |
| LIMELIGHT NETWORKS INC | COM | 53261M104 | 788 | 39864 | SH | DEFINED | 01 | 02 |
| LIMITED BRANDS INC | COM | 532716107 | 21383 | 778925 | SH | DEFINED | 01 | 02 |
| LIMITED BRANDS INC | COM | 532716107 | 1738 | 63309 | SH | DEFINED | 01 | 03 |
| LIMITED BRANDS INC | COM | 532716107 | 526 | 19168 | SH | DEFINED | 01 | 15 |
| LIN TV CORP-CL A | COM | 532774106 | 625 | 33194 | SH | DEFINED | 01 | 02 |
| LIN TV CORP-CL A | COM | 532774106 | 362 | 19259 | SH | DEFINED | 01 | 15 |
| LINCARE HOLDINGS INC | COM | 532791100 | 15424 | 387042 | SH | DEFINED | 01 | 02 |
| LINCARE HOLDINGS INC | COM | 532791100 | 348 | 8739 | SH | DEFINED | 01 | 03 |
| LINCARE HOLDINGS INC | COM | 532791100 | 124 | 3113 | SH | DEFINED | 01 | 15 |
| LIGHTWAVE ENTERPRISE | COM | 532998812 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| LINCOLN ELECTRIC HOLDINGS | COM | 533900106 | 161 | 2168 | SH | DEFINED | 01 | 15 |
| LINCOLN NATL CAP VI 6.75% 09/1 | COM | 53404M201 | 6 | 251 | SH | DEFINED | 01 | 15 |
| LINCOLN NATIONAL CORP | COM | 534187109 | 172219 | 2427342 | SH | DEFINED | 01 | 02 |
| LINCOLN NATIONAL CORP | COM | 534187109 | 3443 | 48526 | SH | DEFINED | 01 | 03 |
| LINCOLN NATIONAL CORP | COM | 534187109 | 13020 | 183580 | SH | DEFINED | 01 | 10 |
| LINCOLN NATIONAL CORP | COM | 534187109 | 1207 | 17015 | SH | DEFINED | 01 | 15 |
| LINDSAY CORP | COM | 535555106 | 846 | 19101 | SH | DEFINED | 01 | 02 |
| LINDSAY CORP | COM | 535555106 | 17 | 392 | SH | DEFINED | 01 | 15 |
| LINEAR TECHNOLOGY CORP | COM | 535678106 | 4143 | 114515 | SH | DEFINED | 01 | 02 |
| LINEAR TECHNOLOGY CORP | COM | 535678106 | 1565 | 43259 | SH | DEFINED | 01 | 03 |
| LINEAR TECHNOLOGY CORP | COM | 535678106 | 26 | 727 | SH | DEFINED | 01 | 15 |
| LINK ENERGY LLC | COM | 535763106 | 60 | 2369201 | SH | DEFINED | 01 | 02 |
| LINK ENERGY LLC | COM | 535763106 | 0 | 180 | SH | DEFINED | 01 | 15 |
| LINK ENERGY LLC WTS EXP 03 | COM | 535763114 | 0 | 492 | SH | DEFINED | 01 | 15 |
| LIONS GATE ENTERTAINMENT COR | COM | 535919203 | 588 | 53317 | SH | DEFINED | 01 | 02 |
| LIONS GATE ENTERTAINMENT COR | COM | 535919203 | 28 | 2572 | SH | DEFINED | 01 | 15 |
| LINN ENERGY LLC UNIT REPST | COM | 536020100 | 16 | 500 | SH | DEFINED | 01 | 15 |
| LIONBRIDGE TECHNOLOGIES INC | COM | 536252109 | 640 | 108600 | SH | DEFINED | 01 | 02 |
| LIPOMED INC CDT PFD CONV SER C | COM | 53630R305 | 0 | 12500 | SH | DEFINED | 01 | 15 |
| LIQUITEK ENTERPRISES | COM | 53634U106 | 0 | 48336 | SH | DEFINED | 01 | 15 |
| LIQUIDITY SERVICES INC | COM | 53635B107 | 1385 | 73740 | SH | DEFINED | 01 | 02 |
| LITHIA MOTORS INC-CL A | COM | 536797103 | 1224 | 48321 | SH | DEFINED | 01 | 02 |
| LITHIA MOTORS INC-CL A | COM | 536797103 | 66 | 2600 | SH | DEFINED | 01 | 15 |
| LITHIUM TECHNOLOGY CRP COM PA | COM | 536808405 | 0 | 15 | SH | DEFINED | 01 | 15 |
| LITTELFUSE INC | COM | 537008104 | 16223 | 480375 | SH | DEFINED | 01 | 02 |
| LITTELFUSE INC | COM | 537008104 | 499 | 14820 | SH | DEFINED | 01 | 02 |
| LITTELFUSE INC | COM | 537008104 | 169 | 5000 | SH | DEFINED | 01 | 03 |
| LIVE NATION INC | COM | 538034109 | 2407 | 107535 | SH | DEFINED | 01 | 02 |
| LIVE NATION INC | COM | 538034109 | 1 | 36 | SH | DEFINED | 01 | 15 |
| LIVEPERSON INC | COM | 538146101 | 339 | 63429 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| LIVEPERSON INC | COM | 538146101 | 5 | 1000 | SH | DEFINED | 01 | 15 |
| LIZ CLAIBORNE INC | COM | 539320101 | 24760 | 663806 | SH | DEFINED | 01 | 02 |
| LIZ CLAIBORNE INC | COM | 539320101 | 645 | 17294 | SH | DEFINED | 01 | 03 |
| LIZ CLAIBORNE INC | COM | 539320101 | 74 | 1975 | SH | DEFINED | 01 | 15 |
| LLOYDS TSB GROUP PLC | COM | 539439109 | 1035 | 23143 | SH | DEFINED | 01 | 15 |
| LOJACK CORPORATION | COM | 539451104 | 1036 | 46437 | SH | DEFINED | 01 | 02 |
| LOJACK CORPORATION | COM | 539451104 | 43 | 1915 | SH | DEFINED | 01 | 15 |
| LOCKHEED MARTIN CORP | COM | 539830109 | 72580 | 771088 | SH | DEFINED | 01 | 02 |
| LOCKHEED MARTIN CORP | COM | 539830109 | 5528 | 58728 | SH | DEFINED | 01 | 03 |
| LOCKHEED MARTIN CORP | COM | 539830109 | 4715 | 50086 | SH | DEFINED | 01 | 15 |
| LODGENET ENTERTAINMENT CORP | COM | 540211109 | 3273 | 102100 | SH | DEFINED | 01 | 02 |
| LODGENET ENTERTAINMENT CORP | COM | 540211109 | 534 | 16650 | SH | DEFINED | 01 | 02 |
| LODGENET ENTERTAINMENT CORP | COM | 540211109 | 199 | 6200 | SH | DEFINED | 01 | 03 |
| LODGENET ENTERTAINMENT CORP | COM | 540211109 | 57 | 1781 | SH | DEFINED | 01 | 15 |
| LODGIAN INC | COM | 54021P403 | 9046 | 601848 | SH | DEFINED | 01 | 02 |
| LOEWS CINEPLEX ENTERTAINM | COM | 540423100 | 0 | 10 | SH | DEFINED | 01 | 15 |
| LOEWS CORP | COM | 540424108 | 326691 | 6408174 | SH | DEFINED | 01 | 02 |
| LOEWS CORP | COM | 540424108 | 5380 | 105513 | SH | DEFINED | 01 | 03 |
| LOEWS CORP | COM | 540424108 | 6055 | 118766 | SH | DEFINED | 01 | 15 |
| LOEWS CORP - CAROLINA GROUP | COM | 540424207 | 393952 | 5098382 | SH | DEFINED | 01 | 02 |
| LOEWS CORP - CAROLINA GROUP | COM | 540424207 | 847 | 10956 | SH | DEFINED | 01 | 03 |
| LOEWS CORP - CAROLINA GROUP | COM | 540424207 | 12 | 150 | SH | DEFINED | 01 | 15 |
| LOEWEN GROUP INC NO 100HOLD | COM | 54042L100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| LONGS DRUG STORES CORP | COM | 543162101 | 7311 | 139203 | SH | DEFINED | 01 | 02 |
| LONGS DRUG STORES CORP | COM | 543162101 | 19 | 362 | SH | DEFINED | 01 | 15 |
| LOOKSMART LTD COM NEW | COM | 543442503 | 0 | 100 | SH | DEFINED | 01 | 15 |
| LOOPNET INC | COM | 543524300 | 2177 | 93330 | SH | DEFINED | 01 | 02 |
| LORAL SPACE & COMMUNICATIONS | COM | 543881106 | 735 | 14918 | SH | DEFINED | 01 | 02 |
| LOUISIANA-PACIFIC CORP | COM | 546347105 | 781 | 41281 | SH | DEFINED | 01 | 02 |
| LOUISIANA-PACIFIC CORP | COM | 546347105 | 6 | 300 | SH | DEFINED | 01 | 15 |
| LOWE'S COS INC | COM | 548661107 | 79285 | 2583363 | SH | DEFINED | 01 | 02 |
| LOWE'S COS INC | COM | 548661107 | 7644 | 249056 | SH | DEFINED | 01 | 03 |
| LOWE'S COS INC | COM | 548661107 | 1881 | 61301 | SH | DEFINED | 01 | 15 |
| LUBRIZOL CORP | COM | 549271104 | 20587 | 318933 | SH | DEFINED | 01 | 02 |
| LUBRIZOL CORP | COM | 549271104 | 8 | 123 | SH | DEFINED | 01 | 15 |
| LUBY'S INC | COM | 549282101 | 2661 | 275502 | SH | DEFINED | 01 | 02 |
| LUBY'S INC | COM | 549282101 | 620 | 64290 | SH | DEFINED | 01 | 02 |
| LUBY'S INC | COM | 549282101 | 232 | 23970 | SH | DEFINED | 01 | 03 |
| LUCENT TECH INC EXCH'D FOR | COM | 549463107 | 0 | 40 | SH | DEFINED | 01 | 15 |
| LUCENT TECHNOLOGIES INC WT EXP | COM | 549463131 | 0 | 1294 | SH | DEFINED | 01 | 15 |
| LUFKIN INDUSTRIES INC | COM | 549764108 | 1594 | 24690 | SH | DEFINED | 01 | 02 |
| LUMENON INNOVATIVE LIGHTWAVE | COM | 55024L109 | 0 | 10281 | SH | DEFINED | 01 | 15 |
| LUMERA CORP | COM | 55024R106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| LUMINENT MORTGAGE CAPITAL IN | COM | 550278303 | 108 | 10719 | SH | DEFINED | 01 | 02 |
| LUMINEX CORP | COM | 55027E102 | 389 | 31572 | SH | DEFINED | 01 | 02 |
| LUMINEX CORP | COM | 55027E102 | 201 | 16361 | SH | DEFINED | 01 | 15 |
| LUNDIN MNG CORP ISIN #CA55 | COM | 550372106 | 252 | 20728 | SH | DEFINED | 01 | 15 |
| LUXOTTICA GROUP S P A SPON | COM | 55068R202 | 15 | 380 | SH | DEFINED | 01 | 15 |
| LYDALL INC | COM | 550819106 | 786 | 53784 | SH | DEFINED | 01 | 02 |
| LYON LAKE MINES LTD | COM | 552031502 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| LYONDELL CHEMICAL COMPANY | COM | 552078107 | 22611 | 609094 | SH | DEFINED | 01 | 02 |
| LYONDELL CHEMICAL COMPANY | COM | 552078107 | 2780 | 75000 | SH | DEFINED | 01 | 10 |
| LYONDELL CHEMICAL COMPANY | COM | 552078107 | 408 | 10988 | SH | DEFINED | 01 | 15 |
| M&F WORLDWIDE CORP | COM | 552541104 | 860 | 12905 | SH | DEFINED | 01 | 02 |
| MACC PRIVATE EQUITIES I | COM | 552617102 | 0 | 91 | SH | DEFINED | 01 | 15 |
| M & T BANK CORP | COM | 55261F104 | 2511 | 23490 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| M & T BANK CORP | COM | 55261F104 | 1341 | 12540 | SH | DEFINED | 01 | 03 |
| M & T BANK CORP | COM | 55261F104 | 11 | 103 | SH | DEFINED | 01 | 15 |
| MAF BANCORP INC | COM | 55261R108 | 2494 | 45969 | SH | DEFINED | 01 | 02 |
| MAF BANCORP INC | COM | 55261R108 | 149 | 2742 | SH | DEFINED | 01 | 15 |
| MBIA INC | COM | 55262C100 | 21941 | 352651 | SH | DEFINED | 01 | 02 |
| MBIA INC | COM | 55262C100 | 1347 | 21649 | SH | DEFINED | 01 | 03 |
| MBIA INC | COM | 55262C100 | 32 | 515 | SH | DEFINED | 01 | 15 |
| MBNA CAPITAL D TR PFD SEC | COM | 55266J200 | 50 | 1975 | SH | DEFINED | 01 | 15 |
| MDC HOLDINGS INC | COM | 552676108 | 961 | 19868 | SH | DEFINED | 01 | 02 |
| MDC HOLDINGS INC | COM | 552676108 | 5 | 100 | SH | DEFINED | 01 | 15 |
| MDU RESOURCES GROUP INC | COM | 552690109 | 220 | 7835 | SH | DEFINED | 01 | 02 |
| MDU RESOURCES GROUP INC | COM | 552690109 | 130 | 4651 | SH | DEFINED | 01 | 15 |
| MBNA CAP E TR ORIGINA | COM | 55270B201 | 5 | 200 | SH | DEFINED | 01 | 15 |
| MEMC ELECTRONIC MATERIALS | COM | 552715104 | 12515 | 204753 | SH | DEFINED | 01 | 02 |
| MEMC ELECTRONIC MATERIALS | COM | 552715104 | 2269 | 37130 | SH | DEFINED | 01 | 03 |
| MEMC ELECTRONIC MATERIALS | COM | 552715104 | 504 | 8252 | SH | DEFINED | 01 | 15 |
| MFS CHARTER INCOME TRUST SH B | COM | 552727109 | 25 | 3000 | SH | DEFINED | 01 | 15 |
| MFA MORTGAGE INVESTMENTS INC | COM | 55272X102 | 509 | 69886 | SH | DEFINED | 01 | 02 |
| MFS MULTIMARKET INCOME TR | COM | 552737108 | 6 | 1000 | SH | DEFINED | 01 | 15 |
| MFS MUN INCOME TR SH BEN INT | COM | 552738106 | 24 | 3000 | SH | DEFINED | 01 | 15 |
| MFS INTER INCOME TR SH BEN INT | COM | 55273C107 | 36 | 5910 | SH | DEFINED | 01 | 15 |
| MFS SPL VALUE TR SH BEN INT | COM | 55274E102 | 8 | 740 | SH | DEFINED | 01 | 15 |
| MGE ENERGY INC | COM | 55277P104 | 1852 | 56700 | SH | DEFINED | 01 | 02 |
| MGE ENERGY INC | COM | 55277P104 | 29 | 878 | SH | DEFINED | 01 | 15 |
| MGIC INVESTMENT CORP | COM | 552848103 | 7579 | 133314 | SH | DEFINED | 01 | 02 |
| MGIC INVESTMENT CORP | COM | 552848103 | 782 | 13747 | SH | DEFINED | 01 | 03 |
| MGIC INVESTMENT CORP | COM | 552848103 | 162 | 2855 | SH | DEFINED | 01 | 15 |
| MGI PHARMA INC | COM | 552880106 | 4581 | 204822 | SH | DEFINED | 01 | 02 |
| MGI PHARMA INC | COM | 552880106 | 172 | 7708 | SH | DEFINED | 01 | 03 |
| MGI PHARMA INC | COM | 552880106 | 2104 | 94066 | SH | DEFINED | 01 | 10 |
| MGI PHARMA INC | COM | 552880106 | 171 | 7666 | SH | DEFINED | 01 | 15 |
| MGM MIRAGE | COM | 552953101 | 1473 | 17853 | SH | DEFINED | 01 | 02 |
| MGM MIRAGE | COM | 552953101 | 515 | 6246 | SH | DEFINED | 01 | 15 |
| M.G PRODS INC | COM | 55301K105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| MI DEVELOPMENTS INC CL-A | COM | 55304X104 | 15450 | 424000 | SH | DEFINED | 01 | 02 |
| M/I HOMES INC | COM | 55305B101 | 550 | 20653 | SH | DEFINED | 01 | 02 |
| M/I HOMES INC | COM | 55305B101 | 3 | 106 | SH | DEFINED | 01 | 15 |
| MKS INSTRUMENTS INC | COM | 55306N104 | 7177 | 259043 | SH | DEFINED | 01 | 02 |
| MKS INSTRUMENTS INC | COM | 55306N104 | 1017 | 36680 | SH | DEFINED | 01 | 02 |
| MKS INSTRUMENTS INC | COM | 55306N104 | 348 | 12560 | SH | DEFINED | 01 | 03 |
| MKS INSTRUMENTS INC | COM | 55306N104 | 192 | 6942 | SH | DEFINED | 01 | 15 |
| MIVA INC | COM | 55311R108 | 314 | 48280 | SH | DEFINED | 01 | 02 |
| MPS GROUP INC | COM | 553409103 | 6278 | 469685 | SH | DEFINED | 01 | 02 |
| MPS GROUP INC | COM | 553409103 | 889 | 66550 | SH | DEFINED | 01 | 02 |
| MPS GROUP INC | COM | 553409103 | 302 | 22570 | SH | DEFINED | 01 | 03 |
| MPS GROUP INC | COM | 553409103 | 12 | 925 | SH | DEFINED | 01 | 15 |
| MPTV INC COM NEW | COM | 553424409 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| MRV COMMUNICATIONS | COM | 553477100 | 17 | 5200 | SH | DEFINED | 01 | 15 |
| MSC INDUSTRIAL DIRECT CO-A | COM | 553530106 | 253 | 4597 | SH | DEFINED | 01 | 02 |
| MSC INDUSTRIAL DIRECT CO-A | COM | 553530106 | 106 | 1935 | SH | DEFINED | 01 | 15 |
| MSC.SOFTWARE CORP | COM | 553531104 | 6241 | 460981 | SH | DEFINED | 01 | 02 |
| MSGI SEC SOLUTIONS INC | COM | 553570102 | 0 | 2 | SH | DEFINED | 01 | 15 |
| M T R GAMING GROUP INC | COM | 553769100 | 100 | 6500 | SH | DEFINED | 01 | 15 |
| MTS SYSTEMS CORP | COM | 553777103 | 4091 | 91598 | SH | DEFINED | 01 | 02 |
| MTS SYSTEMS CORP | COM | 553777103 | 995 | 22280 | SH | DEFINED | 01 | 02 |
| MTS SYSTEMS CORP | COM | 553777103 | 353 | 7900 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| M 2003 PLC SPONSORED | COM | 553793100 | 0 | 1300 | SH | DEFINED | 01 | 15 |
| MVC CAPITAL INC | COM | 553829102 | 2369 | 126100 | SH | DEFINED | 01 | 10 |
| MAC-RAY CORP | COM | 554153106 | 5182 | 338700 | SH | DEFINED | 01 | 02 |
| MACATAWA BANK CORP | COM | 554225102 | 289 | 18165 | SH | DEFINED | 01 | 02 |
| MACERICH CO/THE | COM | 554382101 | 6460 | 78381 | SH | DEFINED | 01 | 02 |
| MACROCHEM CORP DEL COM PAR \$0 | COM | 555903301 | 0 | 27 | SH | DEFINED | 01 | 15 |
| MACROVISION CORP | COM | 555904101 | 2229 | 74149 | SH | DEFINED | 01 | 02 |
| MACROVISION CORP | COM | 555904101 | 44 | 1474 | SH | DEFINED | 01 | 15 |
| MACQUARIE/FIRST TR GLOBAL INF | COM | 55607W100 | 259 | 9260 | SH | DEFINED | 01 | 15 |
| MACQUARIE INFRASTRUC | COM | 55608B105 | 235 | 5670 | SH | DEFINED | 01 | 15 |
| MACQUARIE GLOBAL INFRASTRUC | COM | 55608D101 | 99 | 3094 | SH | DEFINED | 01 | 15 |
| MACY'S INC | COM | 55616P104 | 71905 | 1807609 | SH | DEFINED | 01 | 02 |
| MACY'S INC | COM | 55616P104 | 3213 | 80763 | SH | DEFINED | 01 | 03 |
| MACY'S INC | COM | 55616P104 | 3490 | 87737 | SH | DEFINED | 01 | 15 |
| MADDEN STEVEN LTD | COM | 556269108 | 16 | 475 | SH | DEFINED | 01 | 15 |
| MADISON / CLAYMORE COVERED CA | COM | 556582104 | 19 | 1311 | SH | DEFINED | 01 | 15 |
| MADISON SPORTS ENTMTNETWORK GR | COM | 558248100 | 0 | 1060 | SH | DEFINED | 01 | 15 |
| MADISON STRATEGIC SECTOR PRE | COM | 558268108 | 34 | 1699 | SH | DEFINED | 01 | 15 |
| MAGELLAN HEALTH SVCS INC W | COM | 559079140 | 0 | 1 | SH | DEFINED | 01 | 15 |
| MAGELLAN HEALTH SERVICES INC | COM | 559079207 | 12198 | 262478 | SH | DEFINED | 01 | 02 |

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| MAGELLAN HEALTH SERVICES INC | COM | 559079207 | 1814 | 39050 | SH | DEFINED | 01 | 02 |
| MAGELLAN HEALTH SERVICES INC | COM | 559079207 | 667 | 14350 | SH | DEFINED | 01 | 03 |
| MAGMA DESIGN AUTOMATION | COM | 559181102 | 1149 | 81850 | SH | DEFINED | 01 | 02 |
| MAGNA ENTMT CORP SUB VTG CL | COM | 559211107 | 0 | 65 | SH | DEFINED | 01 | 15 |
| MAGNA INTL | COM | 559222401 | 8507 | 93490 | SH | DEFINED | 01 | 02 |
| MAGNA INTL | COM | 559222401 | 20316 | 223300 | SH | DEFINED | 01 | 10 |
| MAGNA INTL | COM | 559222401 | 238 | 2611 | SH | DEFINED | 01 | 15 |
| MAGNETEK INC | COM | 559424106 | 252 | 48976 | SH | DEFINED | 01 | 02 |
| MAGNITUDE INFORMATIO | COM | 559534102 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| MAGUIRE PROPERTIES INC | COM | 559775101 | 1979 | 57660 | SH | DEFINED | 01 | 02 |
| MAGUIRE PPTYS INC PFD SER A | COM | 559775200 | 16 | 636 | SH | DEFINED | 01 | 15 |
| MAHANAGAR TEL NIGAM LTD SPON A | COM | 559778402 | 1 | 100 | SH | DEFINED | 01 | 15 |
| MAIDENFORM BRANDS INC | COM | 560305104 | 4097 | 206383 | SH | DEFINED | 01 | 02 |
| MAINSOURCE FINANCIAL GROUP I | COM | 56062Y102 | 70 | 4197 | SH | DEFINED | 01 | 02 |
| MAIR HLDGS INC | COM | 560635104 | 3 | 450 | SH | DEFINED | 01 | 15 |
| MAMMATECH CORP COM NEW | COM | 561508201 | 0 | 50 | SH | DEFINED | 01 | 15 |
| MANAGEMENT GRAPHICS INC | COM | 56169C102 | 0 | 1300 | SH | DEFINED | 01 | 15 |
| MANAGED HIGH YIELD PLUS FD IN | COM | 561911108 | 265 | 54504 | SH | DEFINED | 01 | 15 |
| MANHATTAN ASSOCIATES INC | COM | 562750109 | 6431 | 230446 | SH | DEFINED | 01 | 02 |
| MANHATTAN ASSOCIATES INC | COM | 562750109 | 662 | 23760 | SH | DEFINED | 01 | 02 |
| MANHATTAN ASSOCIATES INC | COM | 562750109 | 219 | 7860 | SH | DEFINED | 01 | 03 |
| MANHATTAN ASSOCIATES INC | COM | 562750109 | 23 | 835 | SH | DEFINED | 01 | 15 |
| MANITOWOC CO INC/THE | COM | 563571108 | 39557 | 492143 | SH | DEFINED | 01 | 02 |
| MANITOWOC CO INC/THE | COM | 563571108 | 44 | 545 | SH | DEFINED | 01 | 15 |
| MANNATECH INC | COM | 563771104 | 414 | 26025 | SH | DEFINED | 01 | 02 |
| MANNATECH INC | COM | 563771104 | 9 | 575 | SH | DEFINED | 01 | 15 |
| MANNKIND CORP | COM | 56400P201 | 19 | 1578 | SH | DEFINED | 01 | 15 |
| MANOR CARE INC | COM | 564055101 | 4921 | 75385 | SH | DEFINED | 01 | 02 |
| MANOR CARE INC | COM | 564055101 | 790 | 12104 | SH | DEFINED | 01 | 03 |
| MANOR CARE INC | COM | 564055101 | 381 | 5845 | SH | DEFINED | 01 | 10 |
| MANOR CARE INC | COM | 564055101 | 489 | 7484 | SH | DEFINED | 01 | 15 |
| MANPOWER INC-WIS | COM | 56418H100 | 1456 | 15780 | SH | DEFINED | 01 | 02 |
| MANPOWER INC-WIS | COM | 56418H100 | 49 | 531 | SH | DEFINED | 01 | 15 |
| MANTECH INTL CORP-A | COM | 564563104 | 981 | 31799 | SH | DEFINED | 01 | 02 |
| MANULIFE FINL CORP ISIN #CA56 | COM | 56501R106 | 922 | 24695 | SH | DEFINED | 01 | 15 |
| MARATHON OIL CORP | COM | 565849106 | 232320 | 3874586 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| MARATHON OIL CORP | COM | 565849106 | 7551 | 125930 | SH | DEFINED | 01 | 02 |
| MARATHON OIL CORP | COM | 565849106 | 6806 | 113510 | SH | DEFINED | 01 | 03 |
| MARATHON OIL CORP | COM | 565849106 | 19554 | 326130 | SH | DEFINED | 01 | 10 |
| MARATHON OIL CORP | COM | 565849106 | 1300 | 21689 | SH | DEFINED | 01 | 15 |
| MARCHFIRST INC | COM | 566244109 | 0 | 559 | SH | DEFINED | 01 | 15 |
| MARCUS CORP | COM | 566330106 | 996 | 41887 | SH | DEFINED | 01 | 02 |
| MARINEMAX INC | COM | 567908108 | 8080 | 403620 | SH | DEFINED | 01 | 02 |
| MARINER POST ACUTE NETWORK IN | COM | 568459101 | 0 | 123 | SH | DEFINED | 01 | 15 |
| MARINER ENERGY INC | COM | 56845T305 | 3266 | 134710 | SH | DEFINED | 01 | 02 |
| MARINER ENERGY INC | COM | 56845T305 | 2581 | 106619 | SH | DEFINED | 01 | 10 |
| MARINER ENERGY INC | COM | 56845T305 | 10 | 410 | SH | DEFINED | 01 | 15 |
| MARITIME FRUIT CARRIERS T | COM | 570323105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| MARKEL CORP | COM | 570535104 | 2187 | 4513 | SH | DEFINED | 01 | 02 |
| MARKEL CORP | COM | 570535104 | 116 | 240 | SH | DEFINED | 01 | 15 |
| MARKETAXESS HOLDINGS INC | COM | 57060D108 | 385 | 21380 | SH | DEFINED | 01 | 02 |
| MARKET VECTORS ETF TR GOLD MI | COM | 57060U100 | 10 | 275 | SH | DEFINED | 01 | 15 |
| MARKET VECTORS ETF TR STEEL E | COM | 57060U308 | 1251 | 19030 | SH | DEFINED | 01 | 15 |
| MARKET VECTORS ETF TR GLOBAL | COM | 57060U407 | 6 | 130 | SH | DEFINED | 01 | 15 |
| MARKET VECTORS TR RUSSIA ETF | COM | 57060U506 | 14 | 340 | SH | DEFINED | 01 | 15 |
| MARKWEST HYDROCARBON INC | COM | 570762104 | 970 | 16898 | SH | DEFINED | 01 | 02 |
| MARKS & SPENCER GROUP PLC | COM | 570912105 | 23 | 300 | SH | DEFINED | 01 | 15 |
| MARSH & MCLENNAN COS | COM | 571748102 | 176373 | 5711527 | SH | DEFINED | 01 | 02 |
| MARSH & MCLENNAN COS | COM | 571748102 | 3399 | 110076 | SH | DEFINED | 01 | 03 |
| MARSH & MCLENNAN COS | COM | 571748102 | 303 | 9807 | SH | DEFINED | 01 | 15 |
| MARSHALL & ILSLEY CORP | COM | 571834100 | 891 | 18691 | SH | DEFINED | 01 | 02 |
| MARSHALL & ILSLEY CORP | COM | 571834100 | 2042 | 42880 | SH | DEFINED | 01 | 03 |
| MARSHALL & ILSLEY CORP | COM | 571834100 | 109 | 2281 | SH | DEFINED | 01 | 15 |
| MARRIOTT INTERNATIONAL-CL A | COM | 571903202 | 37479 | 866773 | SH | DEFINED | 01 | 02 |
| MARRIOTT INTERNATIONAL-CL A | COM | 571903202 | 2350 | 54342 | SH | DEFINED | 01 | 03 |
| MARRIOTT INTERNATIONAL-CL A | COM | 571903202 | 972 | 22481 | SH | DEFINED | 01 | 15 |
| MARTEK BIOSCIENCES CORP | COM | 572901106 | 2194 | 84458 | SH | DEFINED | 01 | 02 |
| MARTEK BIOSCIENCES CORP | COM | 572901106 | 1 | 24 | SH | DEFINED | 01 | 15 |
| MARTEN TRANSPORT LTD | COM | 573075108 | 2081 | 115543 | SH | DEFINED | 01 | 02 |
| MARTHA STEWART LIVING | COM | 573083102 | 88 | 5098 | SH | DEFINED | 01 | 15 |
| MARTIN MARIETTA MATERIALS | COM | 573284106 | 25631 | 158204 | SH | DEFINED | 01 | 02 |
| MARTIN MARIETTA MATERIALS | COM | 573284106 | 110 | 680 | SH | DEFINED | 01 | 03 |
| MARTIN MARIETTA MATERIALS | COM | 573284106 | 182 | 1122 | SH | DEFINED | 01 | 15 |
| MARVEL ENTERTAINMENT INC | COM | 57383T103 | 5676 | 222799 | SH | DEFINED | 01 | 02 |
| MARVEL ENTERTAINMENT INC | COM | 57383T103 | 864 | 33960 | SH | DEFINED | 01 | 02 |
| MARVEL ENTERTAINMENT INC | COM | 57383T103 | 305 | 11970 | SH | DEFINED | 01 | 03 |
| MARVEL ENTERTAINMENT INC | COM | 57383T103 | 15308 | 600800 | SH | DEFINED | 01 | 10 |
| MARVEL ENTERTAINMENT INC | COM | 57383T103 | 18 | 725 | SH | DEFINED | 01 | 15 |
| MASCO CORP | COM | 574599106 | 20211 | 709861 | SH | DEFINED | 01 | 02 |
| MASCO CORP | COM | 574599106 | 1780 | 62505 | SH | DEFINED | 01 | 03 |
| MASCO CORP | COM | 574599106 | 90 | 3172 | SH | DEFINED | 01 | 15 |
| MASSEY ENERGY CO | COM | 576206106 | 3547 | 133100 | SH | DEFINED | 01 | 02 |
| MASSEY ENERGY CO | COM | 576206106 | 796 | 29857 | SH | DEFINED | 01 | 15 |
| MASSMUTUAL CORP INVS | COM | 576292106 | 77 | 2400 | SH | DEFINED | 01 | 15 |
| MASSMUTUAL PARTN INVS | COM | 576299101 | 109 | 7370 | SH | DEFINED | 01 | 15 |
| MASTEC INC | COM | 576323109 | 295 | 18645 | SH | DEFINED | 01 | 15 |
| MASTERCARD INC | COM | 57636Q104 | 3341 | 20142 | SH | DEFINED | 01 | 02 |
| MASTERCARD INC | COM | 57636Q104 | 370 | 2231 | SH | DEFINED | 01 | 15 |
| MATERIAL SCIENCES CORP | COM | 576674105 | 246 | 20867 | SH | DEFINED | 01 | 02 |
| MATRIA HEALTHCARE INC | COM | 576817209 | 2132 | 70394 | SH | DEFINED | 01 | 02 |
| MATRIA HEALTHCARE INC | COM | 576817209 | 158 | 5215 | SH | DEFINED | 01 | 15 |
| MATRIX SERVICE CO | COM | 576853105 | 3502 | 140912 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| MATRIX INITIATIVES INC COM | COM | 57685L105 | 26 | 1225 | SH | DEFINED | 01 | 15 |
| MATSUSHITA ELEC INDLLTD ADR NE | COM | 576879209 | 22 | 1087 | SH | DEFINED | 01 | 15 |
| MATTEL INC | COM | 577081102 | 23840 | 942799 | SH | DEFINED | 01 | 02 |
| MATTEL INC | COM | 577081102 | 1646 | 65086 | SH | DEFINED | 01 | 03 |
| MATTEL INC | COM | 577081102 | 504 | 19914 | SH | DEFINED | 01 | 15 |
| MATTHEWS INTL CORP CL A | COM | 577128101 | 22 | 514 | SH | DEFINED | 01 | 15 |
| MAUI LAND & PINEAPPLE CO | COM | 577345101 | 1049 | 28550 | SH | DEFINED | 01 | 02 |
| MAXIM INTEGRATED | COM | 57772K101 | 62611 | 1873968 | SH | DEFINED | 01 | 02 |
| MAXIM INTEGRATED | COM | 57772K101 | 3203 | 95877 | SH | DEFINED | 01 | 03 |
| MAXIM INTEGRATED | COM | 57772K101 | 514 | 15387 | SH | DEFINED | 01 | 15 |
| MAXWELL TECH INC | COM | 577767106 | 75 | 5271 | SH | DEFINED | 01 | 15 |
| MAXIMUS INC | COM | 577933104 | 17311 | 399060 | SH | DEFINED | 01 | 02 |
| MCAFEE INC | COM | 579064106 | 22797 | 647633 | SH | DEFINED | 01 | 02 |
| MCAFEE INC | COM | 579064106 | 2255 | 64140 | SH | DEFINED | 01 | 10 |
| MCAFEE INC | COM | 579064106 | 13 | 363 | SH | DEFINED | 01 | 15 |
| MCCLATCHY CO HLDGS CL A | COM | 579489105 | 67 | 2640 | SH | DEFINED | 01 | 15 |
| MCCORMICK & CO INC VTG | COM | 579780107 | 1 | 21 | SH | DEFINED | 01 | 15 |
| MCCORMICK & CO | COM | 579780206 | 2464 | 64548 | SH | DEFINED | 01 | 02 |
| MCCORMICK & CO | COM | 579780206 | 822 | 21540 | SH | DEFINED | 01 | 03 |
| MCCORMICK & CO | COM | 579780206 | 2572 | 67358 | SH | DEFINED | 01 | 15 |
| MCCORMICK & SCHMICK'S SEAFOOD | COM | 579793100 | 592 | 22830 | SH | DEFINED | 01 | 02 |
| MCCRORY PARENT CORP LIQUIDATIN | COM | 579869108 | 0 | 19 | SH | DEFINED | 01 | 15 |
| MCDERMOTT INTL INC | COM | 580037109 | 369252 | 4442398 | SH | DEFINED | 01 | 02 |
| MCDERMOTT INTL INC | COM | 580037109 | 364 | 4377 | SH | DEFINED | 01 | 15 |
| MCDONALD'S CORP | COM | 580135101 | 120865 | 2381080 | SH | DEFINED | 01 | 02 |
| MCDONALD'S CORP | COM | 580135101 | 10028 | 197565 | SH | DEFINED | 01 | 03 |
| MCDONALD'S CORP | COM | 580135101 | 3107 | 61212 | SH | DEFINED | 01 | 15 |
| MCG CAPITAL CORP | COM | 58047P107 | 2282 | 142448 | SH | DEFINED | 01 | 02 |
| MCG CAPITAL CORP | COM | 58047P107 | 553 | 34550 | SH | DEFINED | 01 | 02 |
| MCG CAPITAL CORP | COM | 58047P107 | 186 | 11600 | SH | DEFINED | 01 | 03 |
| MCG CAPITAL CORP | COM | 58047P107 | 62 | 3900 | SH | DEFINED | 01 | 15 |
| MCGRAW-HILL COMPANIES INC | COM | 580645109 | 5957 | 87500 | SH | DEFINED | 01 | 02 |
| MCGRAW-HILL COMPANIES INC | COM | 580645109 | 3867 | 56798 | SH | DEFINED | 01 | 03 |
| MCGRAW-HILL COMPANIES INC | COM | 580645109 | 37359 | 548854 | SH | DEFINED | 01 | 10 |
| MCGRAW-HILL COMPANIES INC | COM | 580645109 | 1463 | 21484 | SH | DEFINED | 01 | 15 |
| MCKESSON CORP | COM | 58155Q103 | 108191 | 1814073 | SH | DEFINED | 01 | 02 |
| MCKESSON CORP | COM | 58155Q103 | 2916 | 48885 | SH | DEFINED | 01 | 03 |
| MCKESSON CORP | COM | 58155Q103 | 285 | 4788 | SH | DEFINED | 01 | 10 |
| MCKESSON CORP | COM | 58155Q103 | 120 | 2011 | SH | DEFINED | 01 | 15 |
| MCLEODUSA INC FORMERLY M | COM | 582266706 | 0 | 725 | SH | DEFINED | 01 | 15 |
| MCMORAN EXPLORATION CO | COM | 582411104 | 0 | 31 | SH | DEFINED | 01 | 15 |
| MEADOWBROOK INSURANCE GROUP | COM | 58319P108 | 2703 | 246682 | SH | DEFINED | 01 | 02 |
| MEADOWBROOK INSURANCE GROUP | COM | 58319P108 | 919 | 83920 | SH | DEFINED | 01 | 02 |
| MEADOWBROOK INSURANCE GROUP | COM | 58319P108 | 319 | 29100 | SH | DEFINED | 01 | 03 |
| MEADWESTVACO CORP | COM | 583334107 | 34293 | 970921 | SH | DEFINED | 01 | 02 |
| MEADWESTVACO CORP | COM | 583334107 | 1078 | 30518 | SH | DEFINED | 01 | 03 |
| MEADWESTVACO CORP | COM | 583334107 | 299 | 8462 | SH | DEFINED | 01 | 15 |
| MEASUREMENT SPECIALTIES INC | COM | 583421102 | 1752 | 74000 | SH | DEFINED | 01 | 02 |
| MEASUREMENT SPECIALTIES INC | COM | 583421102 | 18 | 772 | SH | DEFINED | 01 | 15 |
| MECHANICAL TECH INC | COM | 583538103 | 3 | 2200 | SH | DEFINED | 01 | 15 |
| MECHEL OAO-ADR | COM | 583840103 | 621 | 17000 | SH | DEFINED | 01 | 02 |
| MECHEL OAO-ADR | COM | 583840103 | 15050 | 412022 | SH | DEFINED | 01 | 02 |
| MECHEL OAO-ADR | COM | 583840103 | 473 | 12969 | SH | DEFINED | 01 | 10 |
| MECHEL OAO-ADR | COM | 583840103 | 8 | 229 | SH | DEFINED | 01 | 15 |
| MEDAREX INC | COM | 583916101 | 2197 | 153836 | SH | DEFINED | 01 | 02 |
| MEDAREX INC | COM | 583916101 | 497 | 34755 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| MEDALLION FINANCIAL CORP | COM | 583928106 | 3180 | 268808 | SH | DEFINED | 01 | 02 |
| MEDALLION FINANCIAL CORP | COM | 583928106 | 4 | 330 | SH | DEFINED | 01 | 15 |
| MED DIVERSIFIED INC NO 100HOLD | COM | 58401N102 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| MEDCATH CORPORATION | COM | 58404W109 | 4519 | 142087 | SH | DEFINED | 01 | 02 |
| MEDCATH CORPORATION | COM | 58404W109 | 1260 | 39570 | SH | DEFINED | 01 | 02 |
| MEDCATH CORPORATION | COM | 58404W109 | 448 | 14100 | SH | DEFINED | 01 | 03 |
| MEDCO HEALTH SOLUTIONS INC | COM | 58405U102 | 12097 | 155094 | SH | DEFINED | 01 | 02 |
| MEDCO HEALTH SOLUTIONS INC | COM | 58405U102 | 3615 | 46353 | SH | DEFINED | 01 | 03 |
| MEDCO HEALTH SOLUTIONS INC | COM | 58405U102 | 1504 | 19325 | SH | DEFINED | 01 | 10 |
| MEDCO HEALTH SOLUTIONS INC | COM | 58405U102 | 1104 | 14161 | SH | DEFINED | 01 | 15 |
| MEDCOR INC | COM | 584065106 | 0 | 200 | SH | DEFINED | 01 | 15 |
| MEDIA GENERAL INC -CL A | COM | 584404107 | 1584 | 47600 | SH | DEFINED | 01 | 02 |
| MEDIA GENERAL INC -CL A | COM | 584404107 | 45 | 1346 | SH | DEFINED | 01 | 15 |
| MEDIAONE GROUP INC EXCHANGED | COM | 58440J104 | 0 | 107 | SH | DEFINED | 01 | 15 |
| MEDIA LOGIC INC | COM | 58441B100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| MEDIASET S P A SPON ADR R | COM | 584469407 | 1 | 45 | SH | DEFINED | 01 | 15 |
| MEDIABAY INC COM NEW | COM | 58446J207 | 0 | 75 | SH | DEFINED | 01 | 15 |
| MEDIACOM COMM CORP | COM | 58446K105 | 586 | 60500 | SH | DEFINED | 01 | 02 |
| MEDIAX CORPORATION | COM | 58447C201 | 0 | 1400 | SH | DEFINED | 01 | 15 |
| MEDICAL ACTION IND INC | COM | 58449L100 | 1056 | 58480 | SH | DEFINED | 01 | 02 |
| MEDICAL ASSET MANAGEMENT | COM | 58449R107 | 0 | 500 | SH | DEFINED | 01 | 15 |
| MEDICAL RESOURCES INC NO 100 | COM | 58461Q409 | 0 | 150 | SH | DEFINED | 01 | 15 |
| MEDICAL PROPERTIES TRUST INC | COM | 58463J304 | 3982 | 301007 | SH | DEFINED | 01 | 02 |
| MEDICALOGIC/MEDSCAPEINC | COM | 584642102 | 0 | 100 | SH | DEFINED | 01 | 15 |
| MEDICINES COMPANY | COM | 584688105 | 2044 | 115957 | SH | DEFINED | 01 | 02 |
| MEDICINES COMPANY | COM | 584688105 | 105 | 5985 | SH | DEFINED | 01 | 15 |
| MEDICINOVA INC | COM | 58468P206 | 24 | 2948 | SH | DEFINED | 01 | 02 |
| MEDICIS PHARMACEUTICAL CORP | COM | 584690309 | 1171 | 38330 | SH | DEFINED | 01 | 02 |
| MEDICIS PHARMACEUTICAL CORP | COM | 584690309 | 29 | 950 | SH | DEFINED | 01 | 15 |
| MEDIMMUNE INC | COM | 584699102 | 2 | 26 | SH | DEFINED | 01 | 02 |
| MEDIMMUNE INC | COM | 584699102 | 149 | 2577 | SH | DEFINED | 01 | 15 |
| MEDIQ INC PFD SER A | COM | 584906408 | 0 | 295 | SH | DEFINED | 01 | 15 |
| MEDQUIST INC | COM | 584949101 | 0 | 6 | SH | DEFINED | 01 | 15 |
| MEGAFOODS STORES INC | COM | 584951107 | 0 | 75 | SH | DEFINED | 01 | 15 |
| MEDTOX SCIENTIFIC INC | COM | 584977201 | 580 | 19786 | SH | DEFINED | 01 | 02 |
| MEDTOX SCIENTIFIC INC | COM | 584977201 | 4 | 150 | SH | DEFINED | 01 | 15 |
| MEDIS TECHNOLOGIES LTD COM | COM | 58500P107 | 2 | 150 | SH | DEFINED | 01 | 15 |
| MEDIZONE INTL INC | COM | 585013105 | 0 | 21200 | SH | DEFINED | 01 | 15 |
| MEDIVATION INC | COM | 58501N101 | 20 | 990 | SH | DEFINED | 01 | 02 |
| MEDTRONIC INC | COM | 585055106 | 62365 | 1202565 | SH | DEFINED | 01 | 02 |
| MEDTRONIC INC | COM | 585055106 | 9883 | 190567 | SH | DEFINED | 01 | 03 |
| MEDTRONIC INC | COM | 585055106 | 3140 | 60588 | SH | DEFINED | 01 | 10 |
| MEDTRONIC INC | COM | 585055106 | 3460 | 66727 | SH | DEFINED | 01 | 15 |
| MEDRA CORP COM | COM | 58505W107 | 0 | 1 | SH | DEFINED | 01 | 15 |
| MEGASTAR DEV CORP COM ISIN # | COM | 58516P109 | 4 | 30000 | SH | DEFINED | 01 | 15 |
| MEGA URANIUM LTD COM ISIN # | COM | 58516W104 | 10 | 2000 | SH | DEFINED | 01 | 15 |
| MELCO PBL ENTERTAINMENT-ADR | COM | 585464100 | 1388 | 110480 | SH | DEFINED | 01 | 02 |
| MELCO PBL ENTERTAINMENT-ADR | COM | 585464100 | 2325 | 185297 | SH | DEFINED | 01 | 10 |
| MELCO PBL ENTERTAINMENT-ADR | COM | 585464100 | 1118 | 89056 | SH | DEFINED | 01 | 11 |
| MELLON FINANCIAL CORP | COM | 58551A108 | 26080 | 592724 | SH | DEFINED | 01 | 02 |
| MELLON FINANCIAL CORP | COM | 58551A108 | 3032 | 68899 | SH | DEFINED | 01 | 03 |
| MELLON FINANCIAL CORP | COM | 58551A108 | 622 | 14132 | SH | DEFINED | 01 | 15 |
| MEMBERS SVCS CORP. | COM | 585898703 | 0 | 280 | SH | DEFINED | 01 | 15 |
| MENS WEARHOUSE INC | COM | 587118100 | 24374 | 477256 | SH | DEFINED | 01 | 02 |
| MENS WEARHOUSE INC | COM | 587118100 | 10 | 201 | SH | DEFINED | 01 | 15 |
| MENTOR CORP | COM | 587188103 | 6084 | 149525 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| MENTOR CORP | COM | 587188103 | 29 | 709 | SH | DEFINED | 01 | 15 |
| MENTOR GRAPHICS CORP | COM | 587200106 | 14573 | 1106600 | SH | DEFINED | 01 | 02 |
| MERCANTILE BANK CORP | COM | 587376104 | 821 | 30288 | SH | DEFINED | 01 | 02 |
| MERCER INTL INC-SBI | COM | 588056101 | 1718 | 168500 | SH | DEFINED | 01 | 02 |
| MERCHANTS & MANFTRS BANCORP | COM | 588327106 | 82 | 2867 | SH | DEFINED | 01 | 15 |
| MERCHANTS BANCSHARESVT | COM | 588448100 | 41 | 1781 | SH | DEFINED | 01 | 15 |
| MERCK & CO INC | COM | 589331107 | 509141 | 10223688 | SH | DEFINED | 01 | 02 |
| MERCK & CO INC | COM | 589331107 | 18136 | 364189 | SH | DEFINED | 01 | 03 |
| MERCK & CO INC | COM | 589331107 | 3315 | 66564 | SH | DEFINED | 01 | 15 |
| MERCK SERONO S A SPONSORED | COM | 589345107 | 0 | 18 | SH | DEFINED | 01 | 15 |
| MERCURY COMPUTER SYSTEMS INC | COM | 589378108 | 5893 | 483069 | SH | DEFINED | 01 | 02 |
| MERCURY GENERAL CORP | COM | 589400100 | 113 | 2052 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| MEREDITH CORP | COM | 589433101 | 4581 | 74366 | SH | DEFINED | 01 | 02 |
| MEREDITH CORP | COM | 589433101 | 397 | 6448 | SH | DEFINED | 01 | 03 |
| MEREDITH CORP | COM | 589433101 | 59 | 960 | SH | DEFINED | 01 | 15 |
| MERIDIAN BIOSCIENCE INC | COM | 589584101 | 1812 | 83636 | SH | DEFINED | 01 | 02 |
| MERIDIAN BIOSCIENCE INC | COM | 589584101 | 12 | 570 | SH | DEFINED | 01 | 15 |
| MERIDIAN RESOURCE CORP | COM | 58977Q109 | 61 | 20127 | SH | DEFINED | 01 | 02 |
| MERIT MEDICAL SYSTEMS INC | COM | 589889104 | 734 | 61440 | SH | DEFINED | 01 | 02 |
| MERIT MEDICAL SYSTEMS INC | COM | 589889104 | 2 | 133 | SH | DEFINED | 01 | 15 |
| MERITAGE HOMES CORP | COM | 59001A102 | 3922 | 146562 | SH | DEFINED | 01 | 02 |
| MERITAGE HOMES CORP | COM | 59001A102 | 124 | 4637 | SH | DEFINED | 01 | 15 |
| MERIX CORP | COM | 590049102 | 33 | 4193 | SH | DEFINED | 01 | 02 |
| MERRILL LYNCH & CO INC | COM | 590188108 | 206779 | 2474026 | SH | DEFINED | 01 | 02 |
| MERRILL LYNCH & CO INC | COM | 590188108 | 12703 | 151986 | SH | DEFINED | 01 | 03 |
| MERRILL LYNCH & CO INC | COM | 590188108 | 652 | 7796 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH CAP TRI GTD CAP | COM | 590199204 | 24 | 1000 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH CAP TRIII 7.00% | COM | 59021F206 | 92 | 3663 | SH | DEFINED | 01 | 15 |
| ML CAPITAL TRUST IV TR ORIG | COM | 59021G204 | 15 | 600 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH CAPITAL TR | COM | 59021K205 | 123 | 4900 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH & CO INC MONTHL | COM | 59021S422 | 74 | 7700 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH & CO INC LINKED | COM | 59021W290 | 9 | 1000 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH & CO INC MEDIUM | COM | 59022C392 | 110 | 10700 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH & CO INC MEDIUM | COM | 59022W778 | 2 | 200 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH & CO INC MTN SE | COM | 59022W802 | 2 | 200 | SH | DEFINED | 01 | 15 |
| MERRILL LYNCH CAP TRII GTD TR | COM | 59024T203 | 48 | 2000 | SH | DEFINED | 01 | 15 |
| MESA AIR GROUP INC | COM | 590479101 | 380 | 57473 | SH | DEFINED | 01 | 02 |
| MESA AIR GROUP INC | COM | 590479101 | 14 | 2115 | SH | DEFINED | 01 | 15 |
| MESABI TRUST CTF BENINT | COM | 590672101 | 119 | 5727 | SH | DEFINED | 01 | 15 |
| MET PRO CORP | COM | 590876306 | 579 | 36853 | SH | DEFINED | 01 | 15 |
| METAL MANAGEMENT INC | COM | 591097209 | 3081 | 69865 | SH | DEFINED | 01 | 02 |
| METAL MANAGEMENT INC | COM | 591097209 | 752 | 17060 | SH | DEFINED | 01 | 02 |
| METAL MANAGEMENT INC | COM | 591097209 | 238 | 5400 | SH | DEFINED | 01 | 03 |
| METAL MANAGEMENT INC | COM | 591097209 | 2 | 50 | SH | DEFINED | 01 | 15 |
| METAL RECOVERY TECHNOLOGI | COM | 591098108 | 0 | 900 | SH | DEFINED | 01 | 15 |
| METALICO INC | COM | 591176102 | 1 | 100 | SH | DEFINED | 01 | 15 |
| METHANEX CORP | COM | 59151K108 | 13 | 525 | SH | DEFINED | 01 | 15 |
| METHODE ELECTRONICS INC | COM | 591520200 | 3390 | 216656 | SH | DEFINED | 01 | 02 |
| METHODE ELECTRONICS INC | COM | 591520200 | 926 | 59100 | SH | DEFINED | 01 | 02 |
| METHODE ELECTRONICS INC | COM | 591520200 | 341 | 21800 | SH | DEFINED | 01 | 03 |
| METHODE ELECTRONICS INC | COM | 591520200 | 11 | 733 | SH | DEFINED | 01 | 15 |
| METLIFE INC | COM | 59156R108 | 37295 | 578398 | SH | DEFINED | 01 | 02 |
| METLIFE INC | COM | 59156R108 | 7913 | 122718 | SH | DEFINED | 01 | 03 |
| METLIFE INC | COM | 59156R108 | 44743 | 694114 | SH | DEFINED | 01 | 10 |
| METLIFE INC | COM | 59156R108 | 415 | 6430 | SH | DEFINED | 01 | 15 |
| METLIFE INC PFD 5.875% 11/ | COM | 59156R405 | 78 | 3300 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| METLIFE INC PFD SER B | COM | 59156R603 | 10 | 400 | SH | DEFINED | 01 | 15 |
| METLIFE INC COM EQUITY | COM | 59156R702 | 10 | 300 | SH | DEFINED | 01 | 15 |
| METROCALL INC NO 100HOLD | COM | 591647102 | 0 | 6300 | SH | DEFINED | 01 | 15 |
| METROMEDIA FIBER NETWORK IN | COM | 591689104 | 0 | 15998 | SH | DEFINED | 01 | 15 |
| METROMEDIA INTL GROUP INC | COM | 591695101 | 0 | 316 | SH | DEFINED | 01 | 15 |
| METROMEDIA INTL GRP INC PFD CO | COM | 591695200 | 25 | 500 | SH | DEFINED | 01 | 15 |
| METROPCS COMMUNICATIONS INC | COM | 591708102 | 3504 | 106058 | SH | DEFINED | 01 | 02 |
| METROPOLITAN FED BK FSB | COM | 591911102 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| METROPOLITAN HEALTH NETWORKS I | COM | 592142103 | 9 | 5000 | SH | DEFINED | 01 | 15 |
| METTLER-TOLEDO INTERNATIONAL | COM | 592688105 | 5124 | 53658 | SH | DEFINED | 01 | 02 |
| METTLER-TOLEDO INTERNATIONAL | COM | 592688105 | 50 | 526 | SH | DEFINED | 01 | 15 |
| MEXICO EQUITY & INCOME FD | COM | 592834105 | 106 | 2750 | SH | DEFINED | 01 | 15 |
| MEXICO FUND INC | COM | 592835102 | 21 | 491 | SH | DEFINED | 01 | 15 |
| MICREL INC | COM | 594793101 | 1899 | 149270 | SH | DEFINED | 01 | 02 |
| MICROS SYSTEMS INC | COM | 594901100 | 6455 | 118654 | SH | DEFINED | 01 | 02 |
| MICROS SYSTEMS INC | COM | 594901100 | 2237 | 41124 | SH | DEFINED | 01 | 10 |
| MICROS SYSTEMS INC | COM | 594901100 | 37 | 685 | SH | DEFINED | 01 | 15 |
| MICROSOFT CORP | COM | 594918104 | 525356 | 17826780 | SH | DEFINED | 01 | 02 |
| MICROSOFT CORP | COM | 594918104 | 19483 | 661123 | SH | DEFINED | 01 | 02 |
| MICROSOFT CORP | COM | 594918104 | 42291 | 1435051 | SH | DEFINED | 01 | 03 |
| MICROSOFT CORP | COM | 594918104 | 208145 | 7067711 | SH | DEFINED | 01 | 10 |
| MICROSOFT CORP | COM | 594918104 | 12748 | 432586 | SH | DEFINED | 01 | 15 |
| MICRO STRATEGY | COM | 594972408 | 1232 | 13030 | SH | DEFINED | 01 | 02 |
| MICRO STRATEGY | COM | 594972408 | 111 | 1178 | SH | DEFINED | 01 | 15 |
| MICROCHIP TECHNOLOGY INC | COM | 595017104 | 59751 | 1613108 | SH | DEFINED | 01 | 02 |
| MICROCHIP TECHNOLOGY INC | COM | 595017104 | 1121 | 30252 | SH | DEFINED | 01 | 03 |
| MICROCHIP TECHNOLOGY INC | COM | 595017104 | 518 | 13988 | SH | DEFINED | 01 | 15 |
| MICRON ENVIRO SYS INC COM NE | COM | 59510E207 | 2 | 60000 | SH | DEFINED | 01 | 15 |
| MICRON TECHNOLOGY INC | COM | 595112103 | 34706 | 2770056 | SH | DEFINED | 01 | 02 |
| MICRON TECHNOLOGY INC | COM | 595112103 | 2101 | 167681 | SH | DEFINED | 01 | 03 |
| MICRON TECHNOLOGY INC | COM | 595112103 | 87 | 6917 | SH | DEFINED | 01 | 15 |
| MICROSEMI CORP | COM | 595137100 | 5593 | 233548 | SH | DEFINED | 01 | 02 |
| MICROSIZE INC NO CURRENT | COM | 59514D106 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| Microtek Medical Holdings Inc | COM | 59515B109 | 243 | 52842 | SH | DEFINED | 01 | 02 |
| MID-AMERICA APARTMENT COMM | COM | 59522J103 | 7725 | 147225 | SH | DEFINED | 01 | 02 |
| MID-AMERN WASTE SYS INC COM NO | COM | 59523F100 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| MIDAS INC | COM | 595626102 | 2054 | 90611 | SH | DEFINED | 01 | 02 |
| S & P MID CAP 400 DEP RCPTS | COM | 595635103 | 2474 | 15180 | SH | DEFINED | 01 | 15 |
| MIDDLEBROOK PHARMACEUTICAL | COM | 596087106 | 27 | 10721 | SH | DEFINED | 01 | 02 |
| MIDDLEBROOK PHARMACEUTICAL | COM | 596087106 | 1 | 250 | SH | DEFINED | 01 | 15 |
| MIDDLEBURG FINL CORP COM | COM | 596094102 | 26 | 800 | SH | DEFINED | 01 | 15 |
| MIDDLEBY CORP | COM | 596278101 | 3329 | 55626 | SH | DEFINED | 01 | 02 |
| MIDDLEBY CORP | COM | 596278101 | 13 | 220 | SH | DEFINED | 01 | 15 |
| MIDDLESEX WTR CO | COM | 596680108 | 48 | 2500 | SH | DEFINED | 01 | 15 |
| MIDDLETON DOLL COMPANY PF | COM | 59669P201 | 2 | 107 | SH | DEFINED | 01 | 15 |
| MIDGARDXXI INC | COM | 59741R100 | 0 | 32 | SH | DEFINED | 01 | 15 |
| MIDWEST AIR GROUP INC | COM | 597911106 | 14 | 945 | SH | DEFINED | 01 | 15 |
| MIDWEST BANC HLDGS INC | COM | 598251106 | 6 | 430 | SH | DEFINED | 01 | 15 |
| MIGENIX INC COM ISIN #CA59 | COM | 59861R104 | 1 | 2750 | SH | DEFINED | 01 | 15 |
| MIKROS SYS CORP COM NEW | COM | 598626307 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| MILACRON INC COM NEW | COM | 598709301 | 0 | 50 | SH | DEFINED | 01 | 15 |
| RTS MILACRON INC RTS EXP 12 | COM | 598709996 | 0 | 226 | SH | DEFINED | 01 | 15 |
| MILLENNIUM PHARM INC | COM | 599902103 | 53 | 5021 | SH | DEFINED | 01 | 15 |
| MILLEA HLDGS INC ADR | COM | 60032R106 | 442 | 10767 | SH | DEFINED | 01 | 15 |
| MILLENNIUM BANKSHARES | COM | 60037B106 | 21 | 2500 | SH | DEFINED | 01 | 15 |
| MILLENNIUM CELL INC | COM | 60038B105 | 0 | 600 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| HERMAN MILLER INC | COM | 600544100 | 2309 | 73080 | SH | DEFINED | 01 | 02 |
| HERMAN MILLER INC | COM | 600544100 | 8 | 259 | SH | DEFINED | 01 | 15 |
| MILLIPORE CORP | COM | 601073109 | 4134 | 55046 | SH | DEFINED | 01 | 02 |
| MILLIPORE CORP | COM | 601073109 | 671 | 8933 | SH | DEFINED | 01 | 03 |
| MILLIPORE CORP | COM | 601073109 | 592 | 7886 | SH | DEFINED | 01 | 15 |
| MILLS CORP DEPOSITORY | COM | 601148877 | 0 | 500 | SH | DEFINED | 01 | 15 |
| MINDRAY MEDICAL INTL LTD-ADR | COM | 602675100 | 21 | 700 | SH | DEFINED | 01 | 15 |
| MINDSPEED TECHNOLOGI | COM | 602682106 | 7 | 3207 | SH | DEFINED | 01 | 15 |
| MINE SAFETY APPLIANCES | COM | 602720104 | 179 | 4100 | SH | DEFINED | 01 | 15 |
| MINERALS TECHNOLOGIE | COM | 603158106 | 1250 | 18684 | SH | DEFINED | 01 | 02 |
| MINRAD INTL INC | COM | 60443P103 | 18 | 3000 | SH | DEFINED | 01 | 15 |
| MIPS TECHNOLOGIES INC COM | COM | 604567107 | 0 | 1 | SH | DEFINED | 01 | 15 |
| MIRACLE ENTERTAINM | COM | 604593103 | 0 | 27 | SH | DEFINED | 01 | 15 |
| MIRANT CORP COM ESC | COM | 604675991 | 0 | 21728 | SH | DEFINED | 01 | 15 |
| MIRANT CORP | COM | 60467R100 | 17889 | 419419 | SH | DEFINED | 01 | 02 |
| MIRANT CORP | COM | 60467R100 | 109 | 2576 | SH | DEFINED | 01 | 10 |
| MIRANT CORP | COM | 60467R100 | 8 | 185 | SH | DEFINED | 01 | 15 |
| MIRANT COREP NEW WTS SER A | COM | 60467R118 | 26 | 1129 | SH | DEFINED | 01 | 15 |
| MISSISSIPPI CHEMICALCORP COM N | COM | 605288208 | 0 | 500 | SH | DEFINED | 01 | 15 |
| MISSISSIPPI PWR CO SR NT SER | COM | 605417799 | 195 | 8400 | SH | DEFINED | 01 | 15 |
| MITCHAM INDUSTRIES INC | COM | 606501104 | 225 | 11807 | SH | DEFINED | 01 | 02 |
| MITCHAM INDUSTRIES INC | COM | 606501104 | 2 | 100 | SH | DEFINED | 01 | 15 |
| MITEK SYS INC NEW | COM | 606710200 | 1 | 2000 | SH | DEFINED | 01 | 15 |
| MITOPHARM CORP | COM | 60671V103 | 3 | 1700 | SH | DEFINED | 01 | 15 |
| MITSUBISHI CORP ADR ISIN #US60 | COM | 606769305 | 302 | 5764 | SH | DEFINED | 01 | 15 |
| MITSUBISHI UFJ FINL GROUP INC | COM | 606822104 | 317 | 28791 | SH | DEFINED | 01 | 15 |
| MITSUI MARINE & FIRE INS L | COM | 606839207 | 6 | 49 | SH | DEFINED | 01 | 15 |
| MIZUHO FINL GROUP INC SPONSO | COM | 60687Y109 | 71 | 5102 | SH | DEFINED | 01 | 15 |
| MOBICLEAR INC COM | COM | 60705L105 | 0 | 5347 | SH | DEFINED | 01 | 15 |
| MOBILE TELESYSTM ADR | COM | 607409109 | 24675 | 407367 | SH | DEFINED | 01 | 02 |
| MOBILE TELESYSTM ADR | COM | 607409109 | 1253 | 20685 | SH | DEFINED | 01 | 10 |
| MOBILE TELESYSTM ADR | COM | 607409109 | 14 | 235 | SH | DEFINED | 01 | 15 |
| MOBILE MINI INC | COM | 60740F105 | 1898 | 65002 | SH | DEFINED | 01 | 02 |
| MOBILE MINI INC | COM | 60740F105 | 10 | 345 | SH | DEFINED | 01 | 15 |
| MOBILITY ELECTRONICSINC | COM | 60741U101 | 2 | 500 | SH | DEFINED | 01 | 15 |
| MODINE MANUFACTURING CO | COM | 607828100 | 1652 | 73123 | SH | DEFINED | 01 | 02 |
| MODINE MANUFACTURING CO | COM | 607828100 | 5 | 200 | SH | DEFINED | 01 | 15 |
| MOHAWK INDUSTRIES INC | COM | 608190104 | 11874 | 117806 | SH | DEFINED | 01 | 02 |
| MOHAWK INDUSTRIES INC | COM | 608190104 | 64806 | 643750 | SH | DEFINED | 01 | 10 |
| MOHAWK INDUSTRIES INC | COM | 608190104 | 247 | 2446 | SH | DEFINED | 01 | 15 |
| MOLECULAR IMAGING CORP | COM | 60852N102 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| MOLECULAR PHARMACOLO | COM | 60852T109 | 0 | 500 | SH | DEFINED | 01 | 15 |
| MOLEX INC | COM | 608554101 | 960 | 31988 | SH | DEFINED | 01 | 02 |
| MOLEX INC | COM | 608554101 | 705 | 23508 | SH | DEFINED | 01 | 03 |
| MOLEX INC | COM | 608554101 | 9 | 293 | SH | DEFINED | 01 | 15 |
| MOLINA HEALTHCARE INC | COM | 60855R100 | 540 | 17691 | SH | DEFINED | 01 | 02 |
| MOLINA HEALTHCARE INC | COM | 60855R100 | 15 | 482 | SH | DEFINED | 01 | 15 |
| MOLTEN METAL TECH INC NO 100 | COM | 608712105 | 0 | 600 | SH | DEFINED | 01 | 15 |
| MOLSON COORS BREWING CO | COM | 60871R209 | 10473 | 113272 | SH | DEFINED | 01 | 02 |
| MOLSON COORS BREWING CO | COM | 60871R209 | 724 | 7832 | SH | DEFINED | 01 | 03 |
| MOLSON COORS BREWING CO | COM | 60871R209 | 56 | 610 | SH | DEFINED | 01 | 15 |
| MONACO FIN INC CL A NEW | COM | 608868303 | 0 | 20 | SH | DEFINED | 01 | 15 |
| MONACO COACH CORP | COM | 60886R103 | 646 | 45021 | SH | DEFINED | 01 | 02 |
| MONARCH CASINO & RESORT INC | COM | 609027107 | 1895 | 70560 | SH | DEFINED | 01 | 02 |
| MONARCH STAFFING INCCOM | COM | 609180104 | 0 | 14 | SH | DEFINED | 01 | 15 |
| MONEYGRAM INTERNATIONAL INC | COM | 60935Y109 | 389 | 13915 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| MONEYGRAM INTERNATIONAL INC | COM | 60935Y109 | 24 | 876 | SH | DEFINED | 01 | 15 |
| MONITERM CORP | COM | 609395108 | 0 | 200 | SH | DEFINED | 01 | 15 |
| MONOGRAM BIOSCIENCESINC COM | COM | 60975U108 | 19 | 11000 | SH | DEFINED | 01 | 15 |
| MONOLITHIC POWER SYSTEMS INC | COM | 609839105 | 299 | 17154 | SH | DEFINED | 01 | 02 |
| MONRO MUFFLER BRAKE INC | COM | 610236101 | 5 | 145 | SH | DEFINED | 01 | 15 |
| MONSANTO CO NEW | COM | 61166W101 | 30306 | 448709 | SH | DEFINED | 01 | 02 |
| MONSANTO CO NEW | COM | 61166W101 | 6074 | 89938 | SH | DEFINED | 01 | 03 |
| MONSANTO CO NEW | COM | 61166W101 | 21408 | 317438 | SH | DEFINED | 01 | 10 |
| MONSANTO CO NEW | COM | 61166W101 | 643 | 9527 | SH | DEFINED | 01 | 15 |
| MONSTER WORLDWIDE INC | COM | 611742107 | 16036 | 390207 | SH | DEFINED | 01 | 02 |
| MONSTER WORLDWIDE INC | COM | 611742107 | 1201 | 29243 | SH | DEFINED | 01 | 03 |
| MONSTER WORLDWIDE INC | COM | 611742107 | 21 | 500 | SH | DEFINED | 01 | 15 |
| MONTGOMERY ST INCOMESEC INC MA | COM | 614115103 | 82 | 4730 | SH | DEFINED | 01 | 15 |
| MOODY`S CORP | COM | 615369105 | 24455 | 393159 | SH | DEFINED | 01 | 02 |
| MOODY`S CORP | COM | 615369105 | 2367 | 38062 | SH | DEFINED | 01 | 03 |
| MOODY`S CORP | COM | 615369105 | 91888 | 1478942 | SH | DEFINED | 01 | 10 |
| MOODY`S CORP | COM | 615369105 | 349 | 5614 | SH | DEFINED | 01 | 15 |
| MOOG INC CL A | COM | 615394202 | 4114 | 93250 | SH | DEFINED | 01 | 02 |
| MOOG INC CL A | COM | 615394202 | 699 | 15840 | SH | DEFINED | 01 | 02 |
| MOOG INC CL A | COM | 615394202 | 238 | 5400 | SH | DEFINED | 01 | 03 |
| MOOG INC CL A | COM | 615394202 | 66 | 1500 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY EASTN EURO | COM | 616988101 | 4 | 100 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY | COM | 617446448 | 170134 | 2028315 | SH | DEFINED | 01 | 02 |
| MORGAN STANLEY | COM | 617446448 | 14937 | 178079 | SH | DEFINED | 01 | 03 |
| MORGAN STANLEY | COM | 617446448 | 2158 | 25726 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY EMERGING M | COM | 61744G107 | 62 | 2280 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY EMERGING M | COM | 61744H105 | 17 | 1650 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY HIGH YIELD FD I | COM | 61744M104 | 6 | 950 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY ASIA PACIFIC FD | COM | 61744U106 | 74 | 3601 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY MARKET PAR | COM | 61744Y165 | 75 | 4545 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY INDIAINVT FD IN | COM | 61745C105 | 719 | 15992 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P429 | 11 | 1200 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P437 | 0 | 17 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P445 | 39 | 4345 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER MUN | COM | 61745P452 | 68 | 7300 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER QUA | COM | 61745P585 | 349 | 24550 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER QUA | COM | 61745P668 | 14 | 1046 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER QUA | COM | 61745P734 | 22 | 1553 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER INS | COM | 61745P791 | 67 | 4771 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY DEAN WITTER INC | COM | 61745P874 | 3 | 175 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY CAP TR III CAP | COM | 617460209 | 43 | 1795 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY CAP TR VI CAP | COM | 617461207 | 7 | 300 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY CAP TR IV GTD | COM | 617462205 | 78 | 3300 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY CAP TR V GTD C | COM | 617466206 | 24 | 1100 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY TARGETED I | COM | 61746Y734 | 238 | 24900 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY SPARQS LKD | COM | 61747S835 | 39 | 4000 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY SPARQS LKD | COM | 61747S868 | 19 | 2500 | SH | DEFINED | 01 | 15 |
| MORGAN STANLEY TARGETED I | COM | 61748A684 | 285 | 30500 | SH | DEFINED | 01 | 15 |
| MORGANS HOTEL GROUP CO | COM | 61748W108 | 1845 | 75666 | SH | DEFINED | 01 | 02 |
| MORGAN STANLEY CAP TR VIII GT | COM | 61753R200 | 237 | 9800 | SH | DEFINED | 01 | 15 |
| MORNINGSTAR INC | COM | 617700109 | 673 | 14305 | SH | DEFINED | 01 | 03 |
| MORNINGSTAR INC | COM | 617700109 | 22 | 461 | SH | DEFINED | 01 | 15 |
| MOSAIC CO/THE | COM | 61945A107 | 51881 | 1329609 | SH | DEFINED | 01 | 02 |
| MOSAIC CO/THE | COM | 61945A107 | 32761 | 841320 | SH | DEFINED | 01 | 10 |
| MOSAIC CO/THE | COM | 61945A107 | 148 | 3781 | SH | DEFINED | 01 | 15 |
| MOSYS INC COM | COM | 619718109 | 7 | 855 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| MOTHERS WORK INC | COM | 619903107 | 306 | 9788 | SH | DEFINED | 01 | 02 |
| MOTORCAR PARTS OF AMERICA IN | COM | 620071100 | 13 | 1000 | SH | DEFINED | 01 | 15 |
| MOTOROLA INC | COM | 620076109 | 31264 | 1766288 | SH | DEFINED | 01 | 02 |
| MOTOROLA INC | COM | 620076109 | 6780 | 383030 | SH | DEFINED | 01 | 03 |
| MOTOROLA INC | COM | 620076109 | 2711 | 153138 | SH | DEFINED | 01 | 15 |
| MOUNTAIN 1ST BK & TRCO HENDERS | COM | 62384Q108 | 16 | 843 | SH | DEFINED | 01 | 15 |
| MOVADO GROUP | COM | 624580106 | 3914 | 115979 | SH | DEFINED | 01 | 02 |
| MOVADO GROUP | COM | 624580106 | 947 | 28040 | SH | DEFINED | 01 | 02 |
| MOVADO GROUP | COM | 624580106 | 312 | 9260 | SH | DEFINED | 01 | 03 |
| MPHASE TECHNOLOGIES INC | COM | 62472C102 | 0 | 3175 | SH | DEFINED | 01 | 15 |
| MP WESTN PPTYS INC COM CL A I | COM | 624730107 | 0 | 2 | SH | DEFINED | 01 | 15 |
| MP WESTN PPTYS INC COM CL B | COM | 624730206 | 0 | 2 | SH | DEFINED | 01 | 15 |
| MUELLER INDUSTRIES INC | COM | 624756102 | 3492 | 101368 | SH | DEFINED | 01 | 02 |
| MUELLER INDUSTRIES INC | COM | 624756102 | 77 | 2242 | SH | DEFINED | 01 | 15 |
| MUELLER WTR PRODS INC COM SE | COM | 624758108 | 17 | 1000 | SH | DEFINED | 01 | 15 |
| MUELLER WTR PRODS INC COM SE | COM | 624758207 | 13 | 867 | SH | DEFINED | 01 | 15 |
| MULTI MINERALS LTD | COM | 625421102 | 0 | 100 | SH | DEFINED | 01 | 15 |
| MULTIMEDIA GAMES INC | COM | 625453105 | 583 | 45660 | SH | DEFINED | 01 | 02 |
| MUNICIPAL MTG & EQUITY LLC | COM | 62624B101 | 111 | 4570 | SH | DEFINED | 01 | 15 |
| MURPHY OIL CORP | COM | 626717102 | 8387 | 141104 | SH | DEFINED | 01 | 02 |
| MURPHY OIL CORP | COM | 626717102 | 1988 | 33453 | SH | DEFINED | 01 | 03 |
| MURPHY OIL CORP | COM | 626717102 | 1257 | 21143 | SH | DEFINED | 01 | 15 |
| MUTUAL RISK MGMT LTDISIN #BM62 | COM | 628351108 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| MYERS INDUSTRIES INC | COM | 628464109 | 982 | 44417 | SH | DEFINED | 01 | 02 |
| MYLAN LABORATORIES INC | COM | 628530107 | 26633 | 1464068 | SH | DEFINED | 01 | 02 |
| MYLAN LABORATORIES INC | COM | 628530107 | 748 | 41105 | SH | DEFINED | 01 | 03 |
| MYLAN LABORATORIES INC | COM | 628530107 | 171 | 9382 | SH | DEFINED | 01 | 15 |
| MYRIAD GENETICS INC | COM | 62855J104 | 2281 | 61330 | SH | DEFINED | 01 | 02 |
| MYRIAD GENETICS INC | COM | 62855J104 | 352 | 9476 | SH | DEFINED | 01 | 15 |
| MYZIPSOFIT INC | COM | 628703100 | 0 | 100 | SH | DEFINED | 01 | 15 |
| MY ZIPSOFIT INC (RESTRICTE | COM | 628703993 | 0 | 678 | SH | DEFINED | 01 | 15 |
| NBG RADIO NETWORK INC | COM | 62873Q106 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| NB CAPITAL CORP 8.35% SERI | COM | 62874N607 | 166 | 6365 | SH | DEFINED | 01 | 15 |
| N B T BANCORP INC | COM | 628778102 | 1431 | 63495 | SH | DEFINED | 01 | 02 |
| N B T BANCORP INC | COM | 628778102 | 956 | 42400 | SH | DEFINED | 01 | 02 |
| N B T BANCORP INC | COM | 628778102 | 332 | 14700 | SH | DEFINED | 01 | 03 |
| N B T BANCORP INC | COM | 628778102 | 252 | 11188 | SH | DEFINED | 01 | 15 |
| NBTY INC | COM | 628782104 | 6226 | 144122 | SH | DEFINED | 01 | 02 |
| NBTY INC | COM | 628782104 | 4 | 100 | SH | DEFINED | 01 | 15 |
| N C A MINERALS CORP | COM | 628800104 | 0 | 36000 | SH | DEFINED | 01 | 15 |
| NCI BUILDING SYSTEMS INC | COM | 628852105 | 1621 | 32855 | SH | DEFINED | 01 | 02 |
| NCP LITIGATION TR OC TR CTF | COM | 62886D100 | 0 | 13600 | SH | DEFINED | 01 | 15 |
| NCR CORPORATION | COM | 62886E108 | 7544 | 143585 | SH | DEFINED | 01 | 02 |
| NCR CORPORATION | COM | 62886E108 | 1564 | 29772 | SH | DEFINED | 01 | 03 |
| NCR CORPORATION | COM | 62886E108 | 57 | 1083 | SH | DEFINED | 01 | 15 |
| NCT GROUP INC | COM | 62888Q109 | 0 | 2100 | SH | DEFINED | 01 | 15 |
| NAL OIL & GAS TR TR UNIT IS | COM | 628949109 | 10 | 850 | SH | DEFINED | 01 | 15 |
| NGP CAP RES CO COM | COM | 62912R107 | 64 | 3820 | SH | DEFINED | 01 | 15 |
| NII HOLDINGS INC | COM | 62913F201 | 42429 | 525501 | SH | DEFINED | 01 | 02 |
| NII HOLDINGS INC | COM | 62913F201 | 442 | 5474 | SH | DEFINED | 01 | 03 |
| NII HOLDINGS INC | COM | 62913F201 | 2 | 28 | SH | DEFINED | 01 | 15 |
| NIC INC | COM | 62914B100 | 1416 | 207018 | SH | DEFINED | 01 | 02 |
| NIC INC | COM | 62914B100 | 8 | 1100 | SH | DEFINED | 01 | 15 |
| NL INDS INC COM NEW | COM | 629156407 | 5 | 452 | SH | DEFINED | 01 | 15 |
| NMS COMMUNICATIONS CORP | COM | 629248105 | 0 | 30 | SH | DEFINED | 01 | 15 |
| NP ENERGY CORP COM NO PAR | COM | 629362302 | 0 | 212 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| NPS TECHNOLOGIES GROUP INC | COM | 629366105 | 0 | 400 | SH | DEFINED | 01 | 15 |
| NPS PHARMACEUTICALS INC | COM | 62936P103 | 1 | 300 | SH | DEFINED | 01 | 15 |
| NRG ENERGY INC | COM | 629377508 | 432 | 10359 | SH | DEFINED | 01 | 02 |
| NRG ENERGY INC | COM | 629377508 | 49578 | 1195248 | SH | DEFINED | 01 | 10 |
| NRG ENERGY INC | COM | 629377508 | 56 | 1341 | SH | DEFINED | 01 | 15 |
| NTN BUZZTIME, INC. | COM | 629410309 | 0 | 100 | SH | DEFINED | 01 | 15 |
| NUCO2 INC | COM | 629428103 | 2992 | 116574 | SH | DEFINED | 01 | 02 |
| NUCO2 INC | COM | 629428103 | 14 | 538 | SH | DEFINED | 01 | 15 |
| NTT DOCOMO INC SPON ADR I | COM | 62942M201 | 8 | 522 | SH | DEFINED | 01 | 15 |
| NVE CORP NEW | COM | 629445206 | 3 | 75 | SH | DEFINED | 01 | 15 |
| NVR INC | COM | 62944T105 | 3588 | 5277 | SH | DEFINED | 01 | 02 |
| NVR INC | COM | 62944T105 | 105 | 155 | SH | DEFINED | 01 | 15 |
| NX NETWORKS INC NO 100HOLD | COM | 629478108 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| NYMAGIC INC | COM | 629484106 | 20 | 488 | SH | DEFINED | 01 | 02 |
| NYMAGIC INC | COM | 629484106 | 6 | 150 | SH | DEFINED | 01 | 15 |
| NYMEX HOLDINGS INC | COM | 62948N104 | 2903 | 23110 | SH | DEFINED | 01 | 02 |
| NYMEX HOLDINGS INC | COM | 62948N104 | 41 | 325 | SH | DEFINED | 01 | 15 |
| NYSE EURONEXT | COM | 629491101 | 11860 | 161093 | SH | DEFINED | 01 | 02 |
| NYSE EURONEXT | COM | 629491101 | 282 | 3829 | SH | DEFINED | 01 | 03 |
| NYSE EURONEXT | COM | 629491101 | 4964 | 67432 | SH | DEFINED | 01 | 15 |
| NACCO INDUSTRIES-CL A | COM | 629579103 | 786 | 5048 | SH | DEFINED | 01 | 02 |
| NACCO INDUSTRIES-CL A | COM | 629579103 | 485 | 3120 | SH | DEFINED | 01 | 02 |
| NACCO INDUSTRIES-CL A | COM | 629579103 | 171 | 1100 | SH | DEFINED | 01 | 03 |
| NALCO HOLDING CO | COM | 62985Q101 | 22 | 816 | SH | DEFINED | 01 | 02 |
| NALCO HOLDING CO | COM | 62985Q101 | 50839 | 1852070 | SH | DEFINED | 01 | 10 |
| NAM TAI ELECTRONICS INC COM PA | COM | 629865205 | 59 | 4911 | SH | DEFINED | 01 | 15 |
| NANOGEN INC ISIN #US63 | COM | 630075109 | 0 | 250 | SH | DEFINED | 01 | 15 |
| NAPSTER INC | COM | 630797108 | 259 | 76153 | SH | DEFINED | 01 | 02 |
| NARA BANCORP INC | COM | 63080P105 | 549 | 34445 | SH | DEFINED | 01 | 02 |
| NARA BANCORP INC | COM | 63080P105 | 18 | 1145 | SH | DEFINED | 01 | 15 |
| NASDAQ STOCK MARKET INC | COM | 631103108 | 1763 | 59360 | SH | DEFINED | 01 | 02 |
| NASDAQ STOCK MARKET INC | COM | 631103108 | 18 | 600 | SH | DEFINED | 01 | 05 |
| NASDAQ STOCK MARKET INC | COM | 631103108 | 208 | 7007 | SH | DEFINED | 01 | 15 |
| NASH FINCH CO | COM | 631158102 | 2844 | 57446 | SH | DEFINED | 01 | 02 |
| NASH FINCH CO | COM | 631158102 | 99 | 2000 | SH | DEFINED | 01 | 15 |
| NASTECH PHARMACEUT | COM | 631728409 | 11 | 1000 | SH | DEFINED | 01 | 15 |
| NATHAN'S FAMOUS INC | COM | 632347100 | 464 | 26832 | SH | DEFINED | 01 | 02 |
| NATIONAL AUSTRALIA BK LTD SPO | COM | 632525408 | 643 | 3695 | SH | DEFINED | 01 | 15 |
| NATL BK OF GREECE ADR | COM | 633643408 | 5 | 443 | SH | DEFINED | 01 | 15 |
| NATIONAL BANKSHARES INC VA | COM | 634865109 | 15 | 746 | SH | DEFINED | 01 | 15 |
| NATIONAL BEVERAGE CORP | COM | 635017106 | 1794 | 155869 | SH | DEFINED | 01 | 02 |
| NATIONAL CINEMEDIA INC | COM | 635309107 | 23701 | 846131 | SH | DEFINED | 01 | 02 |
| NATIONAL CINEMEDIA INC | COM | 635309107 | 8 | 300 | SH | DEFINED | 01 | 15 |
| NATIONAL CITY CORP | COM | 635405103 | 24228 | 727136 | SH | DEFINED | 01 | 02 |
| NATIONAL CITY CORP | COM | 635405103 | 3175 | 95278 | SH | DEFINED | 01 | 03 |
| NATIONAL CITY CORP | COM | 635405103 | 1867 | 56034 | SH | DEFINED | 01 | 15 |
| NATIONAL CITY CAP TRIII TR PFD | COM | 63540X201 | 40 | 1600 | SH | DEFINED | 01 | 15 |
| NATIONAL FINL PARTNERS C | COM | 63607P208 | 13 | 275 | SH | DEFINED | 01 | 15 |
| NATIONAL FUEL GAS CO | COM | 636180101 | 1318 | 30432 | SH | DEFINED | 01 | 02 |
| NATIONAL FUEL GAS CO | COM | 636180101 | 745 | 17193 | SH | DEFINED | 01 | 15 |
| NATIONAL GRID PLC-SP ADR | COM | 636274300 | 176 | 2387 | SH | DEFINED | 01 | 02 |
| NATIONAL GRID PLC-SP ADR | COM | 636274300 | 535 | 7257 | SH | DEFINED | 01 | 15 |
| NATIONAL HEALTH INVESTORS INC | COM | 63633D104 | 238 | 7520 | SH | DEFINED | 01 | 02 |
| NATIONAL INSTITUTE COMPANIES | COM | 636500100 | 0 | 3700000 | SH | DEFINED | 01 | 15 |
| NATIONAL INSTRUMENT CORP | COM | 636518102 | 46 | 1400 | SH | DEFINED | 01 | 15 |
| NATIONAL INTERSTATE CORP | COM | 63654U100 | 170 | 6533 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| NATIONAL OILWELL VARCO INC | COM | 637071101 | 40900 | 392379 | SH | DEFINED | 01 | 02 |
| NATIONAL OILWELL VARCO INC | COM | 637071101 | 3195 | 30653 | SH | DEFINED | 01 | 03 |
| NATIONAL OILWELL VARCO INC | COM | 637071101 | 170 | 1630 | SH | DEFINED | 01 | 15 |
| NATIONNAL PENN BANCSHARES INC | COM | 637138108 | 1599 | 95860 | SH | DEFINED | 01 | 02 |
| NATIONNAL PENN BANCSHARES INC | COM | 637138108 | 35 | 2081 | SH | DEFINED | 01 | 15 |
| NATIONAL PRESTO INDUSTRIES INC | COM | 637215104 | 482 | 7729 | SH | DEFINED | 01 | 02 |
| NATIONAL RETAIL PROPERTIES INC | COM | 637417106 | 6665 | 304915 | SH | DEFINED | 01 | 02 |
| NATL RURAL UTILITY COOP FIN C | COM | 637432709 | 115 | 4600 | SH | DEFINED | 01 | 15 |
| NATIONAL RURAL UTILSCOOP FIN C | COM | 637432808 | 28 | 1200 | SH | DEFINED | 01 | 15 |
| NATIONAL RURAL UTILSCOOP FIN C | COM | 637432873 | 28 | 1200 | SH | DEFINED | 01 | 15 |
| NATIONAL SCIENTIFIC CORP | COM | 637479106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| NATIONAL SEMICONDUCTOR CORP | COM | 637640103 | 41870 | 1481070 | SH | DEFINED | 01 | 02 |
| NATIONAL SEMICONDUCTOR CORP | COM | 637640103 | 1305 | 46172 | SH | DEFINED | 01 | 03 |
| NATIONAL SEMICONDUCTOR CORP | COM | 637640103 | 38 | 1330 | SH | DEFINED | 01 | 15 |
| NATL WESTERN LIFE INS-CL A | COM | 638522102 | 515 | 2037 | SH | DEFINED | 01 | 02 |
| NATIONWIDE FINL | COM | 638612101 | 179 | 2839 | SH | DEFINED | 01 | 02 |
| NATIONWIDE FINL | COM | 638612101 | 30 | 482 | SH | DEFINED | 01 | 15 |
| NATIONWIDE HEALTH PPTYS INC | COM | 638620104 | 5254 | 193116 | SH | DEFINED | 01 | 02 |
| NAVIGATORS GROUP INC | COM | 638904102 | 4885 | 90643 | SH | DEFINED | 01 | 02 |
| NAVIGATORS GROUP INC | COM | 638904102 | 1307 | 24280 | SH | DEFINED | 01 | 02 |
| NAVIGATORS GROUP INC | COM | 638904102 | 444 | 8236 | SH | DEFINED | 01 | 03 |
| NATURE COAST BK CRYSTAL RI | COM | 63901Y103 | 2 | 200 | SH | DEFINED | 01 | 15 |
| NATURES SUNSHINE PRODUCTS I | COM | 639027101 | 5 | 438 | SH | DEFINED | 01 | 15 |
| NAUTILUS INC | COM | 63910B102 | 1233 | 102475 | SH | DEFINED | 01 | 02 |
| NAUTILUS INC | COM | 63910B102 | 13 | 1048 | SH | DEFINED | 01 | 15 |
| NAVARRE CORP | COM | 639208107 | 0 | 5 | SH | DEFINED | 01 | 15 |
| NAVISTAR INTERNATIONAL | COM | 63934E108 | 4732 | 71570 | SH | DEFINED | 01 | 02 |
| NAVISTAR INTERNATIONAL | COM | 63934E108 | 0 | 2 | SH | DEFINED | 01 | 15 |
| NAVISITE INC | COM | 63935M208 | 196 | 25821 | SH | DEFINED | 01 | 02 |
| NAVIGANT CONSULTING INC | COM | 63935N107 | 5238 | 282200 | SH | DEFINED | 01 | 02 |
| NAVIGANT CONSULTING INC | COM | 63935N107 | 144 | 7782 | SH | DEFINED | 01 | 15 |
| NAVTEQ CORP | COM | 63936L100 | 17376 | 410392 | SH | DEFINED | 01 | 02 |
| NAVTEQ CORP | COM | 63936L100 | 804 | 18992 | SH | DEFINED | 01 | 03 |
| NAVTEQ CORP | COM | 63936L100 | 1 | 19 | SH | DEFINED | 01 | 15 |
| NEENAH PAPER INC | COM | 640079109 | 5899 | 142982 | SH | DEFINED | 01 | 02 |
| NEENAH PAPER INC | COM | 640079109 | 4 | 109 | SH | DEFINED | 01 | 15 |
| NEKTAR THERAPEUTICS | COM | 640268108 | 908 | 95683 | SH | DEFINED | 01 | 02 |
| NEKTAR THERAPEUTICS | COM | 640268108 | 175 | 18488 | SH | DEFINED | 01 | 15 |
| NELNET INC CL A | COM | 64031N108 | 45 | 1850 | SH | DEFINED | 01 | 15 |
| NEOGEN CORP | COM | 640491106 | 409 | 14230 | SH | DEFINED | 01 | 02 |
| NEOGENOMICS INC | COM | 64049M209 | 584 | 360434 | SH | DEFINED | 01 | 02 |
| NEOMEDIA TECH INC | COM | 640505103 | 1 | 16200 | SH | DEFINED | 01 | 15 |
| NEON COMMUNICAT | COM | 640506101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| NEON COMMUNICAT | COM | 64050T101 | 0 | 10 | SH | DEFINED | 01 | 15 |
| NEOSE TECH INC | COM | 640522108 | 52 | 20985 | SH | DEFINED | 01 | 15 |
| NEOWARE INC | COM | 64065P102 | 445 | 32845 | SH | DEFINED | 01 | 02 |
| NEOWARE INC | COM | 64065P102 | 14 | 1000 | SH | DEFINED | 01 | 15 |
| NEOPHARM INC | COM | 640919106 | 8 | 6888 | SH | DEFINED | 01 | 15 |
| NET TEL INTL INC NEW | COM | 640926200 | 0 | 655 | SH | DEFINED | 01 | 15 |
| NESS ENERGY INTL INC | COM | 64104P105 | 3 | 64847 | SH | DEFINED | 01 | 15 |
| NESS TECHNOLOGIES INC | COM | 64104X108 | 4890 | 375816 | SH | DEFINED | 01 | 02 |
| NESS TECHNOLOGIES INC | COM | 64104X108 | 126 | 9671 | SH | DEFINED | 01 | 15 |
| NESTLE S A SPONSOREDADR REPSTG | COM | 641069406 | 962 | 10122 | SH | DEFINED | 01 | 15 |
| NET 1 UEPS TECHNOLOGIES INC | COM | 64107N206 | 312 | 12930 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| NET PERCEPTIONS INC | COM | 64107U101 | 1 | 460 | SH | DEFINED | 01 | 15 |
| NETCOMMERCE INC | COM | 641082102 | 0 | 950 | SH | DEFINED | 01 | 15 |
| NETFLIX COM INC COM | COM | 64110L106 | 28 | 1420 | SH | DEFINED | 01 | 15 |
| NETEASE COM INC | COM | 64110W102 | 29 | 1700 | SH | DEFINED | 01 | 15 |
| NETCURRENTS INFORMATIO | COM | 64111M103 | 0 | 34 | SH | DEFINED | 01 | 15 |
| NETGEAR INC | COM | 64111Q104 | 3637 | 100356 | SH | DEFINED | 01 | 02 |
| NETGEAR INC | COM | 64111Q104 | 293 | 8092 | SH | DEFINED | 01 | 15 |
| NETPLEX GROUP INC | COM | 641147103 | 0 | 800 | SH | DEFINED | 01 | 15 |
| NETLOGIC MICROSYSTEMS INC | COM | 64118B100 | 3152 | 98996 | SH | DEFINED | 01 | 02 |
| NETWORK EQUIPMENT TECH INC | COM | 641208103 | 396 | 41477 | SH | DEFINED | 01 | 02 |
| NETWORK APPLIANCE INC | COM | 64120L104 | 10353 | 354604 | SH | DEFINED | 01 | 02 |
| NETWORK APPLIANCE INC | COM | 64120L104 | 2143 | 73369 | SH | DEFINED | 01 | 03 |
| NETWORK APPLIANCE INC | COM | 64120L104 | 659 | 22566 | SH | DEFINED | 01 | 15 |
| NETWORK COMPUTING DEVICES | COM | 64120N100 | 0 | 1107 | SH | DEFINED | 01 | 15 |
| NETWORK ENGINES INC | COM | 64121A107 | 22 | 12000 | SH | DEFINED | 01 | 15 |
| NETWORK COMMERCE INC COM NEW N | COM | 64122T204 | 0 | 87 | SH | DEFINED | 01 | 15 |
| NEURO HITECH INC COM | COM | 641244108 | 1 | 200 | SH | DEFINED | 01 | 15 |
| NEUROGEN CORP | COM | 64124E106 | 0 | 50 | SH | DEFINED | 01 | 15 |
| NEUROMETRIX INC | COM | 641255104 | 3 | 350 | SH | DEFINED | 01 | 15 |
| NEUROCRINE BIOSCIENCE | COM | 64125C109 | 0 | 13 | SH | DEFINED | 01 | 15 |
| NEUBERGER BERMAN REAL ESTAT | COM | 64126D106 | 29 | 1100 | SH | DEFINED | 01 | 15 |
| NEUBERGER BERMAN RLTY INCOM | COM | 64126G109 | 91 | 4050 | SH | DEFINED | 01 | 15 |
| NEUBERGER BERMAN INCOME OPP | COM | 64126L108 | 70 | 4450 | SH | DEFINED | 01 | 15 |
| NEUSTAR INC-CLASS A | COM | 64126X201 | 1315 | 45400 | SH | DEFINED | 01 | 02 |
| NEUSTAR INC-CLASS A | COM | 64126X201 | 37 | 1265 | SH | DEFINED | 01 | 15 |
| NEUBERGER BERMAN DIVID ADVA | COM | 64127J102 | 169 | 7488 | SH | DEFINED | 01 | 15 |
| NEW AMER HIGH INC FD | COM | 641876107 | 4 | 1749 | SH | DEFINED | 01 | 15 |
| NEUBERGER BERMAN REAL ESTAT | COM | 64190A103 | 37 | 2150 | SH | DEFINED | 01 | 15 |
| NEW CENTY FINL CORP MD COM | COM | 6435EV108 | 0 | 250 | SH | DEFINED | 01 | 15 |
| NEW ENG BANCSHARES INC COM NE | COM | 643863202 | 15 | 1184 | SH | DEFINED | 01 | 15 |
| NEW FRONTIER MEDIA INC | COM | 644398109 | 40 | 4596 | SH | DEFINED | 01 | 02 |
| NEW GERMANY FUND | COM | 644465106 | 39 | 2200 | SH | DEFINED | 01 | 15 |
| NEW HAMPSHIRE THRIFT B | COM | 644722100 | 5 | 355 | SH | DEFINED | 01 | 15 |
| NEW IRELAND FD INC | COM | 645673104 | 120 | 3963 | SH | DEFINED | 01 | 15 |
| NEW JERSEY RESOURCES CORP | COM | 646025106 | 8329 | 163241 | SH | DEFINED | 01 | 02 |
| NEW JERSEY RESOURCES CORP | COM | 646025106 | 225 | 4410 | SH | DEFINED | 01 | 15 |
| NEW ORIENTAL EDUCATIO-SP ADR | COM | 647581107 | 527 | 9827 | SH | DEFINED | 01 | 02 |
| NEW ORIENTAL EDUCATIO-SP ADR | COM | 647581107 | 170 | 3172 | SH | DEFINED | 01 | 11 |
| NEW ORIENTAL EDUCATIO-SP ADR | COM | 647581107 | 27 | 500 | SH | DEFINED | 01 | 15 |
| NEW WORLD WINE GRP LTD COM NE | COM | 649294204 | 0 | 1 | SH | DEFINED | 01 | 15 |
| NEW YORK BAGEL ENTERPRISE | COM | 64938P107 | 0 | 285 | SH | DEFINED | 01 | 15 |
| NEW YORK COMMUNITY BANCORP | COM | 649445103 | 5676 | 333500 | SH | DEFINED | 01 | 02 |
| NEW YORK COMMUNITY BANCORP | COM | 649445103 | 133 | 7812 | SH | DEFINED | 01 | 15 |
| NEW YORK TIMES CO -CL A | COM | 650111107 | 1994 | 78480 | SH | DEFINED | 01 | 02 |
| NEW YORK TIMES CO -CL A | COM | 650111107 | 605 | 23820 | SH | DEFINED | 01 | 03 |
| NEW YORK TIMES CO -CL A | COM | 650111107 | 66 | 2581 | SH | DEFINED | 01 | 15 |
| NEWALLIANCE BANCSHARES | COM | 650203102 | 36 | 2456 | SH | DEFINED | 01 | 15 |
| NEWCASTLE INVESTMENT CORP | COM | 65105M108 | 3274 | 130554 | SH | DEFINED | 01 | 02 |
| NEWCASTLE INVESTMENT CORP | COM | 65105M108 | 943 | 37650 | SH | DEFINED | 01 | 02 |
| NEWCASTLE INVESTMENT CORP | COM | 65105M108 | 324 | 12920 | SH | DEFINED | 01 | 03 |
| NEWCASTLE INVESTMENT CORP | COM | 65105M108 | 77 | 3077 | SH | DEFINED | 01 | 15 |
| NEWCOR INC NO STKHOLD | COM | 651186108 | 0 | 1074 | SH | DEFINED | 01 | 15 |
| NEWELL FINL TR I 5.25% 12/0 | COM | 651195307 | 1 | 30 | SH | DEFINED | 01 | 15 |
| NEWELL RUBBERMAID INC | COM | 651229106 | 9463 | 321542 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| NEWELL RUBBERMAID INC | COM | 651229106 | 1358 | 46154 | SH | DEFINED | 01 | 03 |
| NEWELL RUBBERMAID INC | COM | 651229106 | 145 | 4940 | SH | DEFINED | 01 | 15 |
| NEWFIELD EXPLORATION CO | COM | 651290108 | 49979 | 1097227 | SH | DEFINED | 01 | 02 |
| NEWFIELD EXPLORATION CO | COM | 651290108 | 834 | 18313 | SH | DEFINED | 01 | 03 |
| NEWFIELD EXPLORATION CO | COM | 651290108 | 21 | 472 | SH | DEFINED | 01 | 15 |
| NEWMARKET CORP | COM | 651587107 | 10 | 205 | SH | DEFINED | 01 | 02 |
| NEWMARKET TECHNOLOGY | COM | 651627101 | 2 | 6000 | SH | DEFINED | 01 | 15 |
| NEWMONT MINING CORP | COM | 651639106 | 59029 | 1511226 | SH | DEFINED | 01 | 02 |
| NEWMONT MINING CORP | COM | 651639106 | 2916 | 74651 | SH | DEFINED | 01 | 03 |
| NEWMONT MINING CORP | COM | 651639106 | 931 | 23823 | SH | DEFINED | 01 | 15 |
| NEWPORT CORP | COM | 651824104 | 2564 | 165625 | SH | DEFINED | 01 | 02 |
| NEWPORT CORP | COM | 651824104 | 11 | 711 | SH | DEFINED | 01 | 15 |
| NEWS CORP INC-CL A | COM | 65248E104 | 163261 | 7697444 | SH | DEFINED | 01 | 02 |
| NEWS CORP INC-CL A | COM | 65248E104 | 8321 | 392314 | SH | DEFINED | 01 | 03 |
| NEWS CORP INC-CL A | COM | 65248E104 | 251 | 11846 | SH | DEFINED | 01 | 15 |
| NEWS CORP-CLASS B | COM | 65248E203 | 2270 | 98960 | SH | DEFINED | 01 | 02 |
| NEWS CORP-CLASS B | COM | 65248E203 | 1315 | 57307 | SH | DEFINED | 01 | 15 |
| NEWTEK BUS SERV -RESTRICTED | COM | 652526104 | 10 | 5000 | SH | DEFINED | 01 | 05 |
| NEXTCARD INC | COM | 65332K107 | 0 | 1375 | SH | DEFINED | 01 | 15 |
| NEXTPATH TECHNOLOGI | COM | 65333J109 | 0 | 4015 | SH | DEFINED | 01 | 15 |
| NEXPRISE INC NEW COM NEW | COM | 65333Q202 | 0 | 1 | SH | DEFINED | 01 | 15 |
| NI CAL TECHNOLOGY LTD | COM | 653346106 | 0 | 25 | SH | DEFINED | 01 | 15 |
| NEXEN INC ISIN #CA65 | COM | 65334H102 | 6 | 200 | SH | DEFINED | 01 | 15 |
| NFJ DIVID INT & PREMSTRATEGY F | COM | 65337H109 | 1231 | 48610 | SH | DEFINED | 01 | 15 |
| NEXIA BIOTECHNOL | COM | 65337U100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| NICE SYSTEMS LTD ADR | COM | 653656108 | 1407 | 40490 | SH | DEFINED | 01 | 02 |
| NICHOLAS APPLGATE INTL & PRE | COM | 65370C108 | 77 | 2718 | SH | DEFINED | 01 | 15 |
| NICHOLAS APPLGATE CONV & INC | COM | 65370F101 | 49 | 3074 | SH | DEFINED | 01 | 15 |
| NICHOLAS APPLGATE CONV & INC | COM | 65370G109 | 287 | 18676 | SH | DEFINED | 01 | 15 |
| NICOR INC | COM | 654086107 | 24221 | 564300 | SH | DEFINED | 01 | 02 |
| NICOR INC | COM | 654086107 | 1345 | 31350 | SH | DEFINED | 01 | 02 |
| NICOR INC | COM | 654086107 | 773 | 18014 | SH | DEFINED | 01 | 03 |
| NICOR INC | COM | 654086107 | 122 | 2835 | SH | DEFINED | 01 | 15 |
| NIDEC CORP SPON ADR | COM | 654090109 | 43 | 2917 | SH | DEFINED | 01 | 15 |
| NIKE INC -CL B | COM | 654106103 | 23921 | 410398 | SH | DEFINED | 01 | 02 |
| NIKE INC -CL B | COM | 654106103 | 3657 | 62736 | SH | DEFINED | 01 | 03 |
| NIKE INC -CL B | COM | 654106103 | 782 | 13432 | SH | DEFINED | 01 | 10 |
| NIKE INC -CL B | COM | 654106103 | 1102 | 18913 | SH | DEFINED | 01 | 15 |
| NIGHTHAWK RADIOLOGY HOLDINGS | COM | 65411N105 | 1693 | 93809 | SH | DEFINED | 01 | 02 |
| NIGHTHAWK RADIOLOGY HOLDINGS | COM | 65411N105 | 11 | 600 | SH | DEFINED | 01 | 15 |
| 99 CENTS ONLY STORES | COM | 65440K106 | 6 | 435 | SH | DEFINED | 01 | 15 |
| NINTENDO CO LTD UNSPON ADR | COM | 654445303 | 3 | 65 | SH | DEFINED | 01 | 15 |
| NIPPON TELEGRAPH & TELEPHONE | COM | 654624105 | 635 | 28627 | SH | DEFINED | 01 | 15 |
| NISOURCE INC | COM | 65473P105 | 71134 | 3434779 | SH | DEFINED | 01 | 02 |
| NISOURCE INC | COM | 65473P105 | 939 | 45348 | SH | DEFINED | 01 | 03 |
| NISOURCE INC | COM | 65473P105 | 345 | 16648 | SH | DEFINED | 01 | 15 |
| NISSAN MTR LTD SPONSORED | COM | 654744408 | 502 | 23414 | SH | DEFINED | 01 | 15 |
| NITROMED INC | COM | 654798503 | 6 | 2500 | SH | DEFINED | 01 | 15 |
| NOVA BIOSOURCE FUELSINC COM | COM | 65488W103 | 33 | 12810 | SH | DEFINED | 01 | 15 |
| NOKIA ADR A | COM | 654902204 | 29179 | 1037988 | SH | DEFINED | 01 | 02 |
| NOKIA ADR A | COM | 654902204 | 202 | 7200 | SH | DEFINED | 01 | 03 |
| NOKIA ADR A | COM | 654902204 | 1659 | 59026 | SH | DEFINED | 01 | 15 |
| NOBLE ENERGY INC | COM | 655044105 | 438 | 7012 | SH | DEFINED | 01 | 02 |
| NOBLE ENERGY INC | COM | 655044105 | 67 | 1070 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| NOBLE INTL LTD | COM | 655053106 | 287 | 14030 | SH | DEFINED | 01 | 15 |
| NOLEX CORP ACQUIRED B | COM | 655312106 | 0 | 50 | SH | DEFINED | 01 | 15 |
| NOMURA HLDGS INC SPON ADR | COM | 65535H208 | 274 | 14125 | SH | DEFINED | 01 | 15 |
| NORDSON CORP | COM | 655663102 | 2931 | 58380 | SH | DEFINED | 01 | 02 |
| NORDSON CORP | COM | 655663102 | 597 | 11900 | SH | DEFINED | 01 | 02 |
| NORDSON CORP | COM | 655663102 | 211 | 4200 | SH | DEFINED | 01 | 03 |
| NORDSON CORP | COM | 655663102 | 2 | 40 | SH | DEFINED | 01 | 15 |
| NORDSTROM INC | COM | 655664100 | 20455 | 400118 | SH | DEFINED | 01 | 02 |
| NORDSTROM INC | COM | 655664100 | 1899 | 37142 | SH | DEFINED | 01 | 03 |
| NORDSTROM INC | COM | 655664100 | 554 | 10843 | SH | DEFINED | 01 | 15 |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 6820 | 129736 | SH | DEFINED | 01 | 02 |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 7603 | 144625 | SH | DEFINED | 01 | 02 |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 3421 | 65076 | SH | DEFINED | 01 | 03 |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 18871 | 359298 | SH | DEFINED | 01 | 10 |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 786 | 14951 | SH | DEFINED | 01 | 15 |
| NON-RECEIVABLE EQUITY ACA | COM | 655990141 | 0 | 40 | SH | DEFINED | 01 | 15 |
| NORSK HYDRO A S SPONSORED | COM | 656531605 | 70 | 1837 | SH | DEFINED | 01 | 15 |
| NORTEL NETWORKS CORP | COM | 656568508 | 151 | 6285 | SH | DEFINED | 01 | 15 |
| North Amer Palladium Ltd | COM | 656912102 | 80 | 8444 | SH | DEFINED | 01 | 02 |
| North Amer Palladium Ltd | COM | 656912102 | 2 | 250 | SH | DEFINED | 01 | 15 |
| NORTH AMERICAN RESOURCES | COM | 657101101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| NORTH EUROPEAN OIL RTY TR CTF | COM | 659310106 | 309 | 7830 | SH | DEFINED | 01 | 15 |
| NORTH LILY MINING CONEW | COM | 660461203 | 0 | 24 | SH | DEFINED | 01 | 15 |
| NORTH PITTSBURGH SYS INC | COM | 661562108 | 53 | 2500 | SH | DEFINED | 01 | 15 |
| NORTH STAR & ZALE INC RSTD | COM | 662554997 | 0 | 1 | SH | DEFINED | 01 | 15 |
| NORTH STAR STRATEGICMINERALS R | COM | 662586999 | 0 | 5 | SH | DEFINED | 01 | 15 |
| NORTHCORE TECHNOLOGI | COM | 66401P100 | 0 | 600 | SH | DEFINED | 01 | 15 |
| NORTHEAST UTILITIES | COM | 664397106 | 7845 | 276654 | SH | DEFINED | 01 | 02 |
| NORTHEAST UTILITIES | COM | 664397106 | 248 | 8736 | SH | DEFINED | 01 | 15 |
| NORTHERN STS PWR CO MINN PUBLI | COM | 665772794 | 10 | 400 | SH | DEFINED | 01 | 15 |
| NORTHERN TRUST CORP | COM | 665859104 | 28600 | 445213 | SH | DEFINED | 01 | 02 |
| NORTHERN TRUST CORP | COM | 665859104 | 2373 | 36933 | SH | DEFINED | 01 | 03 |
| NORTHERN TRUST CORP | COM | 665859104 | 401 | 6241 | SH | DEFINED | 01 | 15 |
| NORTHFIELD LABORATORI | COM | 666135108 | 3 | 2300 | SH | DEFINED | 01 | 15 |
| NORTHGATE MINERALS CORP FORME | COM | 666416102 | 5 | 1775 | SH | DEFINED | 01 | 15 |
| NORTHPOINT COMMUNICAT | COM | 666610100 | 0 | 15000 | SH | DEFINED | 01 | 15 |
| NORTHROP GRUMMAN CORP | COM | 666807102 | 21331 | 273925 | SH | DEFINED | 01 | 02 |
| NORTHROP GRUMMAN CORP | COM | 666807102 | 4447 | 57106 | SH | DEFINED | 01 | 03 |
| NORTHROP GRUMMAN CORP | COM | 666807102 | 2098 | 26937 | SH | DEFINED | 01 | 15 |
| NORTHSTAR REALTY FINANCE COR | COM | 66704R100 | 457 | 36419 | SH | DEFINED | 01 | 02 |
| NORTHWAY FINL INC | COM | 667270102 | 7 | 206 | SH | DEFINED | 01 | 15 |
| NORTHWEST AIRLINES CORP NO 10 | COM | 667280101 | 0 | 1980 | SH | DEFINED | 01 | 15 |
| NORTHWEST AIRLS CORP | COM | 667280408 | 3 | 126 | SH | DEFINED | 01 | 15 |
| NORTHWEST AIRLINES \$27.00 RTS | COM | 667281976 | 0 | 17 | SH | DEFINED | 01 | 15 |
| NORTHWEST BANCORP INC | COM | 667328108 | 116 | 4454 | SH | DEFINED | 01 | 15 |
| NORTHWEST NATURAL GAS CO | COM | 667655104 | 5758 | 124651 | SH | DEFINED | 01 | 02 |
| NORTHWEST NATURAL GAS CO | COM | 667655104 | 955 | 20680 | SH | DEFINED | 01 | 02 |
| NORTHWEST NATURAL GAS CO | COM | 667655104 | 333 | 7200 | SH | DEFINED | 01 | 03 |
| NORTHWEST NATURAL GAS CO | COM | 667655104 | 372 | 8051 | SH | DEFINED | 01 | 15 |
| NORTHWEST PIPE CO | COM | 667746101 | 16 | 460 | SH | DEFINED | 01 | 15 |
| NORTHWESTERN CORP NO 100HOLD | COM | 668074107 | 0 | 300 | SH | DEFINED | 01 | 15 |
| NORTHWESTERN CORP | COM | 668074305 | 64 | 1999 | SH | DEFINED | 01 | 02 |
| NOVA CHEMICALS CORP | COM | 66977W109 | 0 | 11 | SH | DEFINED | 01 | 15 |
| NOVA CONTINENTAL DEVELOPMEN | COM | 66978E108 | 0 | 20 | SH | DEFINED | 01 | 15 |
| NOVAMED INC DEL | COM | 66986W108 | 1 | 150 | SH | DEFINED | 01 | 15 |
| NOVADEL PHARMA INC | COM | 66986X106 | 0 | 200 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| NOVAGOLD RESOURCES INC | COM | 66987E206 | 3770 | 250809 | SH | DEFINED | 01 | 02 |
| NOVATEL WIRELESS INC | COM | 66987M604 | 1701 | 65384 | SH | DEFINED | 01 | 02 |
| NOVARTIS AG-ADR | COM | 66987V109 | 10681 | 190480 | SH | DEFINED | 01 | 02 |
| NOVARTIS AG-ADR | COM | 66987V109 | 1863 | 33223 | SH | DEFINED | 01 | 15 |
| NOVATEL INC | COM | 669954109 | 4 | 108 | SH | DEFINED | 01 | 15 |
| NOVELL INC | COM | 670006105 | 299 | 38426 | SH | DEFINED | 01 | 02 |
| NOVELL INC | COM | 670006105 | 449 | 57634 | SH | DEFINED | 01 | 03 |
| NOVELL INC | COM | 670006105 | 49 | 6268 | SH | DEFINED | 01 | 15 |
| NOVELLUS SYSTEMS INC | COM | 670008101 | 420 | 14794 | SH | DEFINED | 01 | 02 |
| NOVELLUS SYSTEMS INC | COM | 670008101 | 593 | 20907 | SH | DEFINED | 01 | 03 |
| NOVELLUS SYSTEMS INC | COM | 670008101 | 281 | 9906 | SH | DEFINED | 01 | 15 |
| NOVEN PHARMACEUTICALS INC | COM | 670009109 | 2346 | 100066 | SH | DEFINED | 01 | 02 |
| NOVO NORDISK A/S ADR FMLY NOV | COM | 670100205 | 156 | 1433 | SH | DEFINED | 01 | 15 |
| NOVOSTE CORP COM NEW | COM | 67010C209 | 0 | 10 | SH | DEFINED | 01 | 15 |
| NOVOLIPETSK STEEL-GDR 144A | COM | 67011E105 | 14429 | 491617 | SH | DEFINED | 01 | 02 |
| NOVOLIPET STEEL-GDR WI REG S | COM | 67011E204 | 1057 | 36000 | SH | DEFINED | 01 | 02 |
| NOXSO CORP COM NEW | COM | 670155209 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| NU SKIN ENTERPRISES | COM | 67018T105 | 1246 | 75500 | SH | DEFINED | 01 | 02 |
| NU SKIN ENTERPRISES | COM | 67018T105 | 16 | 1000 | SH | DEFINED | 01 | 15 |
| NSTAR | COM | 67019E107 | 9008 | 277585 | SH | DEFINED | 01 | 02 |
| NSTAR | COM | 67019E107 | 250 | 7715 | SH | DEFINED | 01 | 15 |
| NTELOS HOLDINGS CORP | COM | 67020Q107 | 4251 | 153800 | SH | DEFINED | 01 | 02 |
| NUANCE COMMUNICATIONS INC | COM | 67020Y100 | 6589 | 393803 | SH | DEFINED | 01 | 02 |
| NUANCE COMMUNICATIONS INC | COM | 67020Y100 | 80 | 4778 | SH | DEFINED | 01 | 15 |
| NUCLEAR SOLUTIONS INC | COM | 67030P107 | 4 | 7000 | SH | DEFINED | 01 | 15 |
| NUCOR CORP | COM | 670346105 | 28072 | 478611 | SH | DEFINED | 01 | 02 |
| NUCOR CORP | COM | 670346105 | 3062 | 52205 | SH | DEFINED | 01 | 03 |
| NUCOR CORP | COM | 670346105 | 376 | 6419 | SH | DEFINED | 01 | 15 |
| NUTRI BEVCO INC | COM | 670609106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| NUVEEN CONN PREMIUM INC MUN FD | COM | 67060D107 | 8 | 500 | SH | DEFINED | 01 | 15 |
| NUVEEN GA PREMIUM INC MUNICI | COM | 67060F102 | 6 | 424 | SH | DEFINED | 01 | 15 |
| NUTRACEA COM NEW | COM | 67060N204 | 15 | 4400 | SH | DEFINED | 01 | 15 |
| NUVEEN NO CAROLINA PREMIUM IN | COM | 67060P100 | 33 | 2368 | SH | DEFINED | 01 | 15 |
| NUTRACEUTICAL INTERNATIONAL CO | COM | 67060Y101 | 172 | 10384 | SH | DEFINED | 01 | 02 |
| NUVEEN PA PREMIUM INC MUN FD | COM | 67061F101 | 23 | 1725 | SH | DEFINED | 01 | 15 |
| NUVEEN SELECT MAT MUN FD SH | COM | 67061T101 | 40 | 4200 | SH | DEFINED | 01 | 15 |
| NUVEEN INVT QUALITY MUNICIPAL | COM | 67062E103 | 255 | 17157 | SH | DEFINED | 01 | 15 |
| NUVEEN SELECT TAX FREE INCOM | COM | 67062F100 | 24 | 1700 | SH | DEFINED | 01 | 15 |
| NUVEEN MUN ADVANTAGEFD INC | COM | 67062H106 | 308 | 20615 | SH | DEFINED | 01 | 15 |
| NUVEEN N Y MUN VALUEFD INC | COM | 67062M105 | 41 | 4362 | SH | DEFINED | 01 | 15 |
| NUVEEN INSD QUALITY MUNICIPAL | COM | 67062N103 | 160 | 11306 | SH | DEFINED | 01 | 15 |
| NUVEEN PERFORMANCE PLUS MUN F | COM | 67062P108 | 255 | 17928 | SH | DEFINED | 01 | 15 |
| NUVEEN PREM INCOME MUN FD INC | COM | 67062T100 | 197 | 14301 | SH | DEFINED | 01 | 15 |
| NUVEEN MUN MKT OPPORTUNIT | COM | 67062W103 | 97 | 6905 | SH | DEFINED | 01 | 15 |
| NUVEEN N Y INVT QUALITY MU | COM | 67062X101 | 14 | 1000 | SH | DEFINED | 01 | 15 |
| NUVEEN SELECT TAX FREE INCOM | COM | 67063C106 | 50 | 3633 | SH | DEFINED | 01 | 15 |
| NUVEEN CALIF SELECT TAX FREE I | COM | 67063R103 | 85 | 6000 | SH | DEFINED | 01 | 15 |
| NUVEEN PREM INCOME MUN FD 2 I | COM | 67063W102 | 65 | 4696 | SH | DEFINED | 01 | 15 |
| NUVEEN SELECT TAX FREE INCOM | COM | 67063X100 | 20 | 1460 | SH | DEFINED | 01 | 15 |
| NUVEEN INSD TAX FREEADVANTAGE | COM | 670657105 | 58 | 4027 | SH | DEFINED | 01 | 15 |
| NYCAL CDA INC COM | COM | 670659101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| NVIDIA CORP | COM | 67066G104 | 36425 | 881708 | SH | DEFINED | 01 | 02 |
| NVIDIA CORP | COM | 67066G104 | 2811 | 68051 | SH | DEFINED | 01 | 03 |
| NVIDIA CORP | COM | 67066G104 | 285 | 6904 | SH | DEFINED | 01 | 15 |
| NUVEEN DIVID ADVANTAGE | COM | 67066V101 | 86 | 5835 | SH | DEFINED | 01 | 15 |
| NUVEEN N Y MUN DIV ADVANT | COM | 67066X107 | 18 | 1234 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| NUVEEN CONN DIVID ADVANTAGE | COM | 67069T103 | 54 | 3400 | SH | DEFINED | 01 | 15 |
| NUVEEN CALIF DIVID ADVANTAGE | COM | 67069X104 | 21 | 1500 | SH | DEFINED | 01 | 15 |
| NUVEEN FLOATING RATEINCOME OPP | COM | 6706EN100 | 42 | 2907 | SH | DEFINED | 01 | 15 |
| NUVEEN DIVERSIFIED &INCOME FD | COM | 6706EP303 | 100 | 4 | SH | DEFINED | 01 | 15 |
| NUVEEN EQUITY PREMIUM IN | COM | 6706ER101 | 607 | 33229 | SH | DEFINED | 01 | 15 |
| NUVEEN EQTY PREM ADVANTAGE | COM | 6706ET107 | 19 | 1000 | SH | DEFINED | 01 | 15 |
| NUVEEN PREM INCOME MUN FD 4 I | COM | 6706K4105 | 48 | 3898 | SH | DEFINED | 01 | 15 |
| NUVASIVE INC | COM | 670704105 | 285 | 10540 | SH | DEFINED | 01 | 02 |
| NUVASIVE INC | COM | 670704105 | 584 | 21610 | SH | DEFINED | 01 | 03 |
| NUVASIVE INC | COM | 670704105 | 888 | 32898 | SH | DEFINED | 01 | 10 |
| NUVASIVE INC | COM | 670704105 | 7 | 250 | SH | DEFINED | 01 | 15 |
| NUVEEN DIVID ADVANTAGE | COM | 67070F100 | 36 | 2280 | SH | DEFINED | 01 | 15 |
| NUVEEN OHIO DIVID ADVANTAGE | COM | 67070R203 | 75 | 3 | SH | DEFINED | 01 | 15 |
| NUVEEN DIVID ADVANTAGE | COM | 67070X101 | 46 | 3060 | SH | DEFINED | 01 | 15 |
| NUVEEN CALIF DIVID ADVANTAGE | COM | 67070Y109 | 18 | 1300 | SH | DEFINED | 01 | 15 |
| NUVEEN REAL ESTATE INCOME FD | COM | 67071B108 | 146 | 6054 | SH | DEFINED | 01 | 15 |
| NUVEEN INSD DIVID ADVANTAGE | COM | 67071L106 | 10 | 730 | SH | DEFINED | 01 | 15 |
| NUVEEN QUALITY PFD INCOME FD | COM | 67071S101 | 210 | 16002 | SH | DEFINED | 01 | 15 |
| NUVEEN CONN DIVID ADVANTAGE | COM | 67071Y108 | 62 | 4229 | SH | DEFINED | 01 | 15 |
| NUVEEN QUALITY PFD INCOME FD | COM | 67072C105 | 105 | 7619 | SH | DEFINED | 01 | 15 |
| NUVELO INC COM NEW | COM | 67072M301 | 1 | 383 | SH | DEFINED | 01 | 15 |
| NUVEEN FLOATING RATE INCOM | COM | 67072T108 | 56 | 3942 | SH | DEFINED | 01 | 15 |
| NUVEEN QUALITY PFD INCOME FD | COM | 67072W101 | 49 | 3681 | SH | DEFINED | 01 | 15 |
| NUVEEN MULTI STRATEGY I | COM | 67073B106 | 292 | 22058 | SH | DEFINED | 01 | 15 |
| NUVEEN MULTI STRATEGY I | COM | 67073D102 | 179 | 13511 | SH | DEFINED | 01 | 15 |
| O`CHARLEYS INC | COM | 670823103 | 4133 | 205038 | SH | DEFINED | 01 | 02 |
| O`CHARLEYS INC | COM | 670823103 | 1162 | 57650 | SH | DEFINED | 01 | 02 |
| O`CHARLEYS INC | COM | 670823103 | 429 | 21300 | SH | DEFINED | 01 | 03 |
| OGE ENERGY CORP HOLDING CO | COM | 670837103 | 129 | 3516 | SH | DEFINED | 01 | 15 |
| OM GROUP INC | COM | 670872100 | 5826 | 110058 | SH | DEFINED | 01 | 02 |
| OM GROUP INC | COM | 670872100 | 1161 | 21930 | SH | DEFINED | 01 | 02 |
| OM GROUP INC | COM | 670872100 | 296 | 5600 | SH | DEFINED | 01 | 03 |
| OM GROUP INC | COM | 670872100 | 16 | 295 | SH | DEFINED | 01 | 15 |
| NUVEEN INVESTMENTS INC | COM | 67090F106 | 615 | 9896 | SH | DEFINED | 01 | 02 |
| NUVEEN INVESTMENTS INC | COM | 67090F106 | 3325 | 53500 | SH | DEFINED | 01 | 10 |
| NUVEEN INVESTMENTS INC | COM | 67090F106 | 36 | 580 | SH | DEFINED | 01 | 15 |
| NUVEEN TAX ADVANTAGED | COM | 67090H102 | 8 | 301 | SH | DEFINED | 01 | 15 |
| NUVEEN MUN VALUE FD | COM | 670928100 | 125 | 12796 | SH | DEFINED | 01 | 15 |
| NUVEEN FLA INVT QUALFD AUCTION | COM | 670970300 | 250 | 10 | SH | DEFINED | 01 | 15 |
| NUVEEN N J INVT QLTYMUN FD INC | COM | 670971100 | 11 | 833 | SH | DEFINED | 01 | 15 |
| NUVEEN PA INVT QLT Y MUN FD | COM | 670972108 | 69 | 5183 | SH | DEFINED | 01 | 15 |
| NUVEEN SELECT QUALITY MU | COM | 670973106 | 47 | 3142 | SH | DEFINED | 01 | 15 |
| NUVEEN N Y SELECT QUALITY MU | COM | 670976109 | 17 | 1267 | SH | DEFINED | 01 | 15 |
| NUVEEN QUALITY INCOME MUN | COM | 670977107 | 230 | 16472 | SH | DEFINED | 01 | 15 |
| NUVEEN MICH QUALITY INCOME MUN | COM | 670979103 | 57 | 4000 | SH | DEFINED | 01 | 15 |
| NUVEEN OHIO QUALITY INC MUN FD | COM | 670980309 | 175 | 7 | SH | DEFINED | 01 | 15 |
| NUVEEN INSD MUN OPPTY FD I | COM | 670984103 | 80 | 5584 | SH | DEFINED | 01 | 15 |
| NUVEEN CALIF QUALITYINCOME MUN | COM | 670985100 | 43 | 2910 | SH | DEFINED | 01 | 15 |
| NUVEEN PREMIER INSD MUN INCOME | COM | 670987106 | 358 | 25952 | SH | DEFINED | 01 | 15 |
| NUVEEN PREMIER MUN INCOME FD | COM | 670988104 | 64 | 4672 | SH | DEFINED | 01 | 15 |
| NUVEEN INSD N Y PREMINCOME MUN | COM | 67101R107 | 65 | 4775 | SH | DEFINED | 01 | 15 |
| NUVEEN INSD FLA PREMINCOME MUN | COM | 67101V108 | 21 | 1478 | SH | DEFINED | 01 | 15 |
| OSI PHARMACEUTICALS | COM | 671040103 | 11518 | 318087 | SH | DEFINED | 01 | 02 |
| OSI PHARMACEUTICALS | COM | 671040103 | 222 | 6137 | SH | DEFINED | 01 | 03 |
| OSI PHARMACEUTICALS | COM | 671040103 | 326 | 9006 | SH | DEFINED | 01 | 10 |
| OSI PHARMACEUTICALS | COM | 671040103 | 75 | 2074 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| OSI PHARMACEUTICALS INC CONTIN | COM | 671040111 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| OSI SYSTEMS INC | COM | 671044105 | 383 | 14051 | SH | DEFINED | 01 | 02 |
| O SULLIVAN INDS HLDGS INC | COM | 67104Q205 | 0 | 1490 | SH | DEFINED | 01 | 15 |
| O2DIESEL CORP | COM | 67106S100 | 1 | 1200 | SH | DEFINED | 01 | 15 |
| OYO GEOSPACE CORP | COM | 671074102 | 107 | 1440 | SH | DEFINED | 01 | 02 |
| OAKTREE CAP GRP LLC CL A UNITS | COM | 671746998 | 23821 | 581000 | SH | DEFINED | 01 | 02 |
| OAKLEY INC | COM | 673662102 | 158 | 5552 | SH | DEFINED | 01 | 02 |
| OAKLEY INC | COM | 673662102 | 82 | 2900 | SH | DEFINED | 01 | 02 |
| OAKWOOD HOMES CORP COM NEW | COM | 674098207 | 0 | 320 | SH | DEFINED | 01 | 15 |
| OBAGI MEDICAL PRODUCTS INC | COM | 67423R108 | 139 | 7817 | SH | DEFINED | 01 | 02 |
| OCCIDENTAL DEV CORP | COM | 674575105 | 0 | 126 | SH | DEFINED | 01 | 15 |
| OCCIDENTAL NEB FED SVGS BK OM | COM | 674586102 | 0 | 300 | SH | DEFINED | 01 | 15 |
| OCCIDENTAL PETROLEUM CORP | COM | 674599105 | 95935 | 1657484 | SH | DEFINED | 01 | 02 |
| OCCIDENTAL PETROLEUM CORP | COM | 674599105 | 7986 | 137980 | SH | DEFINED | 01 | 03 |
| OCCIDENTAL PETROLEUM CORP | COM | 674599105 | 16487 | 285000 | SH | DEFINED | 01 | 10 |
| OCCIDENTAL PETROLEUM CORP | COM | 674599105 | 1344 | 23218 | SH | DEFINED | 01 | 15 |
| OCEANEERING INTL INC | COM | 675232102 | 10948 | 207979 | SH | DEFINED | 01 | 02 |
| OCEANEERING INTL INC | COM | 675232102 | 31 | 580 | SH | DEFINED | 01 | 15 |
| OCWEN FINANCIAL CORP | COM | 675746309 | 5570 | 417856 | SH | DEFINED | 01 | 02 |
| OCWEN FINANCIAL CORP | COM | 675746309 | 868 | 65190 | SH | DEFINED | 01 | 02 |
| OCWEN FINANCIAL CORP | COM | 675746309 | 324 | 24300 | SH | DEFINED | 01 | 03 |
| OCWEN FINANCIAL CORP | COM | 675746309 | 259 | 19453 | SH | DEFINED | 01 | 15 |
| Odyssey Marine Exploration | COM | 676118102 | 58 | 9650 | SH | DEFINED | 01 | 02 |
| Odyssey Marine Exploration | COM | 676118102 | 89 | 14750 | SH | DEFINED | 01 | 15 |
| ODYSSEY HEALTHCARE INC | COM | 67611V101 | 657 | 55437 | SH | DEFINED | 01 | 02 |
| ODYSSEY HEALTHCARE INC | COM | 67611V101 | 8 | 666 | SH | DEFINED | 01 | 15 |
| ODYSSEY PICTURES CORP | COM | 676121106 | 0 | 166 | SH | DEFINED | 01 | 15 |
| ODYSSEY RE HOLDINGS CORP | COM | 67612W108 | 9329 | 217464 | SH | DEFINED | 01 | 02 |
| ODYSSEY RE HOLDINGS CORP | COM | 67612W108 | 931 | 21720 | SH | DEFINED | 01 | 02 |
| ODYSSEY RE HOLDINGS CORP | COM | 67612W108 | 305 | 7120 | SH | DEFINED | 01 | 03 |
| OFFICE DEPOT INC | COM | 676220106 | 5649 | 186451 | SH | DEFINED | 01 | 02 |
| OFFICE DEPOT INC | COM | 676220106 | 1386 | 45750 | SH | DEFINED | 01 | 03 |
| OFFICE DEPOT INC | COM | 676220106 | 144 | 4745 | SH | DEFINED | 01 | 15 |
| OFFICEMAX INC | COM | 67622P101 | 902 | 22915 | SH | DEFINED | 01 | 02 |
| OFFICEMAX INC | COM | 67622P101 | 490 | 12471 | SH | DEFINED | 01 | 03 |
| OFFICEMAX INC | COM | 67622P101 | 8 | 191 | SH | DEFINED | 01 | 15 |
| OGLEBAY NORTON CO | COM | 677007106 | 0 | 364 | SH | DEFINED | 01 | 15 |
| OHIO CASUALTY CORP | COM | 677240103 | 4796 | 110749 | SH | DEFINED | 01 | 02 |
| OHIO LEGACY CORP | COM | 677399107 | 9 | 1113 | SH | DEFINED | 01 | 15 |
| OHIO ST BANCSHARES INC EXCHAN | COM | 677531105 | 18 | 200 | SH | DEFINED | 01 | 15 |
| LUKOIL OIL CO SPONS ADR ISIN # | COM | 677862104 | 92 | 1194 | SH | DEFINED | 01 | 15 |
| OIL SVC HOLDRS TR OIL SVC HO | COM | 678002106 | 2132 | 12200 | SH | DEFINED | 01 | 15 |
| OIL STATES INTERNATIONAL INC | COM | 678026105 | 11921 | 288368 | SH | DEFINED | 01 | 02 |
| OIL STATES INTERNATIONAL INC | COM | 678026105 | 1430 | 34566 | SH | DEFINED | 01 | 02 |
| OIL STATES INTERNATIONAL INC | COM | 678026105 | 507 | 12272 | SH | DEFINED | 01 | 03 |
| OIL STATES INTERNATIONAL INC | COM | 678026105 | 144 | 3495 | SH | DEFINED | 01 | 15 |
| OLD DOMINION FREIGHT LINE | COM | 679580100 | 2833 | 93974 | SH | DEFINED | 01 | 02 |
| OLD NATL BANCORP IND | COM | 680033107 | 5 | 297 | SH | DEFINED | 01 | 15 |
| OLD REPUBLIC INTL CORP | COM | 680223104 | 13503 | 635074 | SH | DEFINED | 01 | 02 |
| OLD REPUBLIC INTL CORP | COM | 680223104 | 58 | 2744 | SH | DEFINED | 01 | 15 |
| OLIN CORP | COM | 680665205 | 7860 | 374302 | SH | DEFINED | 01 | 02 |
| OLIN CORP | COM | 680665205 | 61 | 2925 | SH | DEFINED | 01 | 15 |
| OLYMPIC STEEL INC | COM | 68162K106 | 0 | 1 | SH | DEFINED | 01 | 15 |
| OMNICARE INC | COM | 681904108 | 16044 | 444910 | SH | DEFINED | 01 | 02 |
| OMNICARE INC | COM | 681904108 | 11 | 300 | SH | DEFINED | 01 | 15 |
| OMNICOM GROUP | COM | 681919106 | 861 | 16284 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| OMNICOM GROUP | COM | 681919106 | 2897 | 54742 | SH | DEFINED | 01 | 03 |
| OMNICOM GROUP | COM | 681919106 | 1218 | 23007 | SH | DEFINED | 01 | 15 |
| OMEGA HEALTHCARE INVESTORS | COM | 681936100 | 2488 | 157138 | SH | DEFINED | 01 | 02 |
| OMNI ENERGY SVCS CRP COM NEW | COM | 68210T208 | 1 | 100 | SH | DEFINED | 01 | 15 |
| OMNIVISION TECHNOLOGIES | COM | 682128103 | 298 | 16448 | SH | DEFINED | 01 | 02 |
| OMNIVISION TECHNOLOGIES | COM | 682128103 | 258 | 14230 | SH | DEFINED | 01 | 15 |
| OMNOVA SOLUTIONS INC | COM | 682129101 | 418 | 69024 | SH | DEFINED | 01 | 02 |
| OMNITURE INC | COM | 68212S109 | 352 | 15350 | SH | DEFINED | 01 | 02 |
| OMNITURE INC | COM | 68212S109 | 28 | 1200 | SH | DEFINED | 01 | 15 |
| OMNICELL INC | COM | 68213N109 | 3182 | 153091 | SH | DEFINED | 01 | 02 |
| ON ASSIGNMENT INC | COM | 682159108 | 618 | 57666 | SH | DEFINED | 01 | 02 |
| ON SEMICONDUCTOR CORPORATION | COM | 682189105 | 8067 | 752480 | SH | DEFINED | 01 | 02 |
| ON SEMICONDUCTOR CORPORATION | COM | 682189105 | 15 | 1410 | SH | DEFINED | 01 | 15 |
| ONCOLYTICS BIOTECH INC ISIN # | COM | 682310107 | 21 | 10000 | SH | DEFINED | 01 | 15 |
| ONCOLOGY MED DEL | COM | 68231G102 | 0 | 143 | SH | DEFINED | 01 | 15 |
| ONE GEORGIA BANK COM | COM | 68234E104 | 0 | 6500 | SH | DEFINED | 01 | 15 |
| 1-800-FLOWERS.COM INC | COM | 68243Q106 | 658 | 69733 | SH | DEFINED | 01 | 02 |
| ONEIDA VALLEY SECURITIES | COM | 682607106 | 0 | 2 | SH | DEFINED | 01 | 15 |
| ONEOK INC | COM | 682680103 | 20674 | 410136 | SH | DEFINED | 01 | 02 |
| ONEOK INC | COM | 682680103 | 220 | 4359 | SH | DEFINED | 01 | 15 |
| ON2 TECHNOLOGIES INC | COM | 68338A107 | 6 | 2100 | SH | DEFINED | 01 | 15 |
| ONYX PHARMACEUTICALS INC | COM | 683399109 | 2094 | 77834 | SH | DEFINED | 01 | 02 |
| ONYX PHARMACEUTICALS INC | COM | 683399109 | 259 | 9633 | SH | DEFINED | 01 | 10 |
| ONYX PHARMACEUTICALS INC | COM | 683399109 | 3 | 100 | SH | DEFINED | 01 | 15 |
| OPAL TECH INC | COM | 683475107 | 0 | 500 | SH | DEFINED | 01 | 15 |
| VIMPELCOM-SP ADR | COM | 68370R109 | 21980 | 208608 | SH | DEFINED | 01 | 02 |
| VIMPELCOM-SP ADR | COM | 68370R109 | 723 | 6882 | SH | DEFINED | 01 | 10 |
| VIMPELCOM-SP ADR | COM | 68370R109 | 173 | 1646 | SH | DEFINED | 01 | 15 |
| OPEN TEXT CORP | COM | 683715106 | 81 | 3735 | SH | DEFINED | 01 | 15 |
| OPENWAVE SYS INC COM NEW | COM | 683718308 | 10 | 1537 | SH | DEFINED | 01 | 15 |
| OPNET TECHNOLOGIES INC | COM | 683757108 | 2237 | 194400 | SH | DEFINED | 01 | 02 |
| OPNET TECHNOLOGIES INC | COM | 683757108 | 85 | 7400 | SH | DEFINED | 01 | 15 |
| OPLINK COMMUNICATIONS INC | COM | 68375Q403 | 2853 | 190200 | SH | DEFINED | 01 | 02 |
| OPPENHEIMER HOLDINGS-CL A | COM | 683797104 | 4701 | 91272 | SH | DEFINED | 01 | 02 |
| OPPENHEIMER HOLDINGS-CL A | COM | 683797104 | 221 | 4300 | SH | DEFINED | 01 | 15 |
| OPSWARE INC | COM | 68383A101 | 970 | 102000 | SH | DEFINED | 01 | 02 |
| OPSWARE INC | COM | 68383A101 | 1 | 105 | SH | DEFINED | 01 | 15 |
| OPTEUM INC CL A | COM | 68384A100 | 0 | 176 | SH | DEFINED | 01 | 15 |
| OPTICNET INC COM RESTRI | COM | 683868103 | 0 | 50 | SH | DEFINED | 01 | 15 |
| OPTIMAL GROUP INC CL A NEW F | COM | 68388R208 | 3 | 400 | SH | DEFINED | 01 | 15 |
| ORACLE CORP | COM | 68389X105 | 162752 | 8257398 | SH | DEFINED | 01 | 02 |
| ORACLE CORP | COM | 68389X105 | 13526 | 686237 | SH | DEFINED | 01 | 03 |
| ORACLE CORP | COM | 68389X105 | 21035 | 1068319 | SH | DEFINED | 01 | 10 |
| ORACLE CORP | COM | 68389X105 | 2187 | 110974 | SH | DEFINED | 01 | 15 |
| OPTIMUM HLDG CORP | COM | 683904106 | 0 | 500 | SH | DEFINED | 01 | 15 |
| OPTIMUM SOURCE INTL LTD | COM | 683945208 | 0 | 1274 | SH | DEFINED | 01 | 15 |
| OPTION CARE INC | COM | 683948103 | 2274 | 147662 | SH | DEFINED | 01 | 02 |
| OPTION CARE INC | COM | 683948103 | 5 | 316 | SH | DEFINED | 01 | 15 |
| OPTIONSPRESS HOLDINGS INC | COM | 684010101 | 1499 | 58400 | SH | DEFINED | 01 | 02 |
| OPTIONSPRESS HOLDINGS INC | COM | 684010101 | 30 | 1150 | SH | DEFINED | 01 | 15 |
| ORASURE TECHNOLOGIESINC COM | COM | 68554V108 | 26 | 3200 | SH | DEFINED | 01 | 15 |
| ORASCOM TELECOM - GDR FSUSD | COM | 68554W205 | 18062 | 278291 | SH | DEFINED | 01 | 02 |
| ORBIT INTL CORP NEW | COM | 685559304 | 4 | 400 | SH | DEFINED | 01 | 15 |
| ORBITAL CORP LTD FORMERLY O | COM | 685563405 | 0 | 40 | SH | DEFINED | 01 | 15 |
| ORBITAL SCIENCES CORP | COM | 685564106 | 3961 | 188562 | SH | DEFINED | 01 | 02 |
| ORBITAL SCIENCES CORP | COM | 685564106 | 21 | 1000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| ORBIT DROP INC | COM | 68556G109 | 0 | 150 | SH | DEFINED | 01 | 15 |
| ORCHID CELLMARK INC | COM | 68573C107 | 0 | 32 | SH | DEFINED | 01 | 15 |
| ORCHESTRA THERAPEUTI | COM | 68573E103 | 0 | 1 | SH | DEFINED | 01 | 15 |
| ORGANOGENESIS INC NO 100HOLD | COM | 685906109 | 0 | 625 | SH | DEFINED | 01 | 15 |
| O'REILLY AUTOMOTIVE INC | COM | 686091109 | 2132 | 58326 | SH | DEFINED | 01 | 02 |
| O'REILLY AUTOMOTIVE INC | COM | 686091109 | 157 | 4299 | SH | DEFINED | 01 | 15 |
| ORGANA TECHNOLOGIES GROUP INC | COM | 68618B106 | 0 | 20 | SH | DEFINED | 01 | 15 |
| ORIENTAL FOCUS INTL LTD | COM | 68618V102 | 0 | 5 | SH | DEFINED | 01 | 15 |
| ORIX CORP ADR REPSNTG 1/ | COM | 686330101 | 238 | 1783 | SH | DEFINED | 01 | 15 |
| ORMAT TECHNOLOGIES INC | COM | 686688102 | 5 | 140 | SH | DEFINED | 01 | 15 |
| OSCIENT PHARMACEUT | COM | 68812R303 | 0 | 25 | SH | DEFINED | 01 | 15 |
| OSHKOSH TRUCK CORP | COM | 688239201 | 1537 | 24425 | SH | DEFINED | 01 | 02 |
| OSHKOSH TRUCK CORP | COM | 688239201 | 317 | 5042 | SH | DEFINED | 01 | 15 |
| OSIRIS THERAPEUTICS INC NEW CO | COM | 68827R108 | 3 | 200 | SH | DEFINED | 01 | 15 |
| OSTEOTECH INC | COM | 688582105 | 206 | 28600 | SH | DEFINED | 01 | 02 |
| OTELCO INC INCOME DEP | COM | 688823202 | 83 | 4238 | SH | DEFINED | 01 | 15 |
| OTTER TAIL CORP FORMERLY O | COM | 689648103 | 69 | 2147 | SH | DEFINED | 01 | 15 |
| OVERSEAS SHIPHOLDING GROUP | COM | 690368105 | 1214 | 14905 | SH | DEFINED | 01 | 02 |
| OVER100 COM INC DEL COM | COM | 690370101 | 4 | 200 | SH | DEFINED | 01 | 15 |
| OWENS & MINOR INC | COM | 690732102 | 6998 | 200323 | SH | DEFINED | 01 | 02 |
| OWENS & MINOR INC | COM | 690732102 | 735 | 21070 | SH | DEFINED | 01 | 02 |
| OWENS & MINOR INC | COM | 690732102 | 259 | 7400 | SH | DEFINED | 01 | 03 |
| OWENS & MINOR INC | COM | 690732102 | 3 | 100 | SH | DEFINED | 01 | 15 |
| OWENS CORNING NEW WT EXP SER | COM | 690742127 | 2 | 304 | SH | DEFINED | 01 | 15 |
| OWENS-ILLINOIS INC | COM | 690768403 | 7307 | 208760 | SH | DEFINED | 01 | 02 |
| OXFORD INDUSTRIES INC | COM | 691497309 | 1116 | 25168 | SH | DEFINED | 01 | 02 |
| OXFORD INDUSTRIES INC | COM | 691497309 | 4 | 100 | SH | DEFINED | 01 | 15 |
| OXIGENE INC | COM | 691828107 | 23 | 5864 | SH | DEFINED | 01 | 02 |
| OXIGENE INC | COM | 691828107 | 5 | 1350 | SH | DEFINED | 01 | 15 |
| PC MALL INC | COM | 69323K100 | 138 | 11260 | SH | DEFINED | 01 | 02 |
| PCM FD INC | COM | 69323T101 | 8 | 700 | SH | DEFINED | 01 | 15 |
| PC-TEL INC | COM | 69325Q105 | 322 | 36835 | SH | DEFINED | 01 | 02 |
| PDL BIOPHARMA INC COM | COM | 69329Y104 | 326 | 13989 | SH | DEFINED | 01 | 15 |
| PECO ENERGY \$4.40 SER 1 PFD | COM | 693304404 | 16 | 200 | SH | DEFINED | 01 | 15 |
| P G & E CORP | COM | 69331C108 | 17319 | 382382 | SH | DEFINED | 01 | 02 |
| P G & E CORP | COM | 69331C108 | 2635 | 58171 | SH | DEFINED | 01 | 03 |
| P G & E CORP | COM | 69331C108 | 3164 | 69852 | SH | DEFINED | 01 | 15 |
| PFF BANCORP INC | COM | 69331W104 | 805 | 28800 | SH | DEFINED | 01 | 02 |
| PHH CORP | COM | 693320202 | 119 | 3814 | SH | DEFINED | 01 | 02 |
| PHH CORP | COM | 693320202 | 3 | 91 | SH | DEFINED | 01 | 15 |
| PF CHANG'S CHINA BISTRO INC | COM | 69333Y108 | 2620 | 74400 | SH | DEFINED | 01 | 02 |
| PF CHANG'S CHINA BISTRO INC | COM | 69333Y108 | 63 | 1791 | SH | DEFINED | 01 | 15 |
| PICO HOLDINGS INC | COM | 693366205 | 10 | 224 | SH | DEFINED | 01 | 15 |
| PLC CAP TR IV GTD TRORIGINATED | COM | 69340N202 | 10 | 400 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| PMC - SIERRA INC | COM | 69344F106 | 16544 | 2140124 | SH | DEFINED | 01 | 02 |
| PMC - SIERRA INC | COM | 69344F106 | 455 | 58864 | SH | DEFINED | 01 | 03 |
| PMC - SIERRA INC | COM | 69344F106 | 35033 | 4543971 | SH | DEFINED | 01 | 10 |
| PMC - SIERRA INC | COM | 69344F106 | 39 | 5074 | SH | DEFINED | 01 | 15 |
| PMI GROUP INC/THE | COM | 69344M101 | 6962 | 155839 | SH | DEFINED | 01 | 02 |
| PMI GROUP INC/THE | COM | 69344M101 | 1256 | 28115 | SH | DEFINED | 01 | 15 |
| PNC FINANCIAL SERVICES GROUP | COM | 693475105 | 33976 | 474662 | SH | DEFINED | 01 | 02 |
| PNC FINANCIAL SERVICES GROUP | COM | 693475105 | 4085 | 57074 | SH | DEFINED | 01 | 03 |
| PNC FINANCIAL SERVICES GROUP | COM | 693475105 | 10054 | 140465 | SH | DEFINED | 01 | 15 |
| PNC FINL SVCS GROUP PFD SER C | COM | 693475402 | 1 | 5 | SH | DEFINED | 01 | 15 |
| POSCO SPON ADR REP 1/4 ORD LVL | COM | 693483109 | 56 | 470 | SH | DEFINED | 01 | 02 |
| POSCO SPON ADR REP 1/4 ORD LVL | COM | 693483109 | 839 | 7000 | SH | DEFINED | 01 | 10 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | |
|------------------------------------|-----|-----------|-------|------------|---------|----|----|
| POSCO SPON ADR REP 1/4 ORD LVL COM | | 693483109 | 470 | 3913 SH | DEFINED | 01 | 15 |
| PNM RESOURCES INC | COM | 69349H107 | 3225 | 116057 SH | DEFINED | 01 | 02 |
| PNM RESOURCES INC | COM | 69349H107 | 849 | 30570 SH | DEFINED | 01 | 02 |
| PNM RESOURCES INC | COM | 69349H107 | 284 | 10230 SH | DEFINED | 01 | 03 |
| PNM RESOURCES INC | COM | 69349H107 | 11 | 400 SH | DEFINED | 01 | 15 |
| PPG INDUSTRIES INC | COM | 693506107 | 49574 | 651323 SH | DEFINED | 01 | 02 |
| PPG INDUSTRIES INC | COM | 693506107 | 2068 | 27169 SH | DEFINED | 01 | 03 |
| PPG INDUSTRIES INC | COM | 693506107 | 961 | 12624 SH | DEFINED | 01 | 15 |
| PNI TECHNOLOGIES INCNO 100HOLD | COM | 69350F107 | 0 | 773 SH | DEFINED | 01 | 15 |
| PNC CAP TR D CAP SECS 6.125 | COM | 69350H202 | 28 | 1200 SH | DEFINED | 01 | 15 |
| PPT VISION INC COM NEW | COM | 693519209 | 0 | 75 SH | DEFINED | 01 | 15 |
| PPL CORPORATION | COM | 69351T106 | 59338 | 1268211 SH | DEFINED | 01 | 02 |
| PPL CORPORATION | COM | 69351T106 | 2982 | 63724 SH | DEFINED | 01 | 03 |
| PPL CORPORATION | COM | 69351T106 | 4700 | 100439 SH | DEFINED | 01 | 15 |
| PS BUSINESS PARKS INC | COM | 69360J107 | 4355 | 68733 SH | DEFINED | 01 | 02 |
| PVF CAPITAL CORP | COM | 693654105 | 7 | 486 SH | DEFINED | 01 | 15 |
| P T TOBA PULP LESTARI SP | COM | 69365W103 | 0 | 1138 SH | DEFINED | 01 | 15 |
| PSS WORLD MEDICAL INC | COM | 69366A100 | 2077 | 114004 SH | DEFINED | 01 | 02 |
| PSS WORLD MEDICAL INC | COM | 69366A100 | 4 | 211 SH | DEFINED | 01 | 15 |
| PTV INC | PRF | 69367F306 | 0 | 17 SH | DEFINED | 01 | 02 |
| PACCAR INC | COM | 693718108 | 39685 | 455936 SH | DEFINED | 01 | 02 |
| PACCAR INC | COM | 693718108 | 3667 | 42123 SH | DEFINED | 01 | 03 |
| PACCAR INC | COM | 693718108 | 379 | 4357 SH | DEFINED | 01 | 15 |
| PAC-WEST TELECOM INC | COM | 69371Y101 | 0 | 50 SH | DEFINED | 01 | 15 |
| PACER INTL INC TENN COM | COM | 69373H106 | 192 | 8184 SH | DEFINED | 01 | 15 |
| PACIFIC CAPITAL BANCORP | COM | 69404P101 | 5885 | 218082 SH | DEFINED | 01 | 02 |
| PACIFIC CAPITAL BANCORP | COM | 69404P101 | 832 | 30828 SH | DEFINED | 01 | 02 |
| PACIFIC CAPITAL BANCORP | COM | 69404P101 | 278 | 10295 SH | DEFINED | 01 | 03 |
| PACIFIC CAPITAL BANCORP | COM | 69404P101 | 351 | 13002 SH | DEFINED | 01 | 15 |
| PACIFIC ETHANOL INC | COM | 69423U107 | 10 | 750 SH | DEFINED | 01 | 15 |
| PACIFIC GAS & ELEC CO VTG 1ST | COM | 694308206 | 20 | 800 SH | DEFINED | 01 | 15 |
| PACIFIC GAS & ELEC CO REDM VT | COM | 694308800 | 4 | 200 SH | DEFINED | 01 | 15 |
| PACIFIC GATEWAY EXCHANGE I | COM | 694327107 | 0 | 1000 SH | DEFINED | 01 | 15 |
| PACIFIC SUNWEAR OF CALIF | COM | 694873100 | 564 | 25621 SH | DEFINED | 01 | 02 |
| PACIFIC WEBWORKS INC | COM | 69506E103 | 0 | 90 SH | DEFINED | 01 | 15 |
| PACIFICORP PFD 5% - | COM | 695114504 | 1 | 8 SH | DEFINED | 01 | 15 |
| PACKAGING CORP OF AMERICA | COM | 695156109 | 15989 | 631722 SH | DEFINED | 01 | 02 |
| PACKAGING CORP OF AMERICA | COM | 695156109 | 51 | 2020 SH | DEFINED | 01 | 15 |
| PACKETEER INC | COM | 695210104 | 955 | 122199 SH | DEFINED | 01 | 02 |
| PACKETEER INC | COM | 695210104 | 74 | 9470 SH | DEFINED | 01 | 15 |
| PACTIV CORP | COM | 695257105 | 6797 | 213100 SH | DEFINED | 01 | 02 |
| PACTIV CORP | COM | 695257105 | 688 | 21573 SH | DEFINED | 01 | 03 |
| PACTIV CORP | COM | 695257105 | 8 | 262 SH | DEFINED | 01 | 15 |
| PAETEC HOLDING CORP | COM | 695459107 | 4149 | 367482 SH | DEFINED | 01 | 02 |
| PAETEC HOLDING CORP | COM | 695459107 | 1022 | 90440 SH | DEFINED | 01 | 02 |
| PAETEC HOLDING CORP | COM | 695459107 | 366 | 32400 SH | DEFINED | 01 | 03 |
| PAETEC HOLDING CORP | COM | 695459107 | 121 | 10750 SH | DEFINED | 01 | 15 |
| PAIN THERAPEUTICS INC | COM | 69562K100 | 1365 | 156760 SH | DEFINED | 01 | 02 |
| PAIN THERAPEUTICS INC | COM | 69562K100 | 3 | 400 SH | DEFINED | 01 | 15 |
| PALATIN TECHNOLOGIESINC | COM | 696077304 | 34 | 17250 SH | DEFINED | 01 | 15 |
| PALL CORP | COM | 696429307 | 277 | 6018 SH | DEFINED | 01 | 02 |
| PALL CORP | COM | 696429307 | 933 | 20289 SH | DEFINED | 01 | 03 |
| PALL CORP | COM | 696429307 | 134 | 2920 SH | DEFINED | 01 | 15 |
| PALM INC | COM | 696643105 | 1199 | 74950 SH | DEFINED | 01 | 02 |
| PALM INC | COM | 696643105 | 451 | 28140 SH | DEFINED | 01 | 02 |
| PALM INC | COM | 696643105 | 175 | 10900 SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| PALM INC | COM | 696643105 | 34 | 2100 | SH | DEFINED | 01 | 15 |
| PALOMAR MEDICAL TECHNOLOGIES | COM | 697529303 | 2574 | 74155 | SH | DEFINED | 01 | 02 |
| PALOMAR MEDICAL TECHNOLOGIES | COM | 697529303 | 19 | 538 | SH | DEFINED | 01 | 15 |
| PAN AMERICAN SILVER CORP | COM | 697900108 | 1219 | 46269 | SH | DEFINED | 01 | 02 |
| PANERA BREAD COMPANY-CL A | COM | 69840W108 | 18396 | 399392 | SH | DEFINED | 01 | 02 |
| PANERA BREAD COMPANY-CL A | COM | 69840W108 | 456 | 9909 | SH | DEFINED | 01 | 03 |
| PANERA BREAD COMPANY-CL A | COM | 69840W108 | 109 | 2366 | SH | DEFINED | 01 | 15 |
| PANGEA PETROLEUM CORP | COM | 698410107 | 0 | 950 | SH | DEFINED | 01 | 15 |
| PAPA JOHN'S INTL INC | COM | 698813102 | 1575 | 54769 | SH | DEFINED | 01 | 02 |
| PAPA JOHN'S INTL INC | COM | 698813102 | 6 | 194 | SH | DEFINED | 01 | 15 |
| PAR PHARMACEUTICAL COS INC | COM | 69888P106 | 692 | 24528 | SH | DEFINED | 01 | 02 |
| PAR PHARMACEUTICAL COS INC | COM | 69888P106 | 3 | 100 | SH | DEFINED | 01 | 15 |
| PARADIGM ADV TECH INC | COM | 699004107 | 0 | 1750 | SH | DEFINED | 01 | 15 |
| PARADISE HOLDINGS INC | COM | 699062105 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| PARADISE MUSIC & ENT | COM | 699071106 | 0 | 6000 | SH | DEFINED | 01 | 15 |
| PARALLEL PETROLEUM CORP | COM | 699157103 | 3668 | 167431 | SH | DEFINED | 01 | 02 |
| PARAMAX RESOURCES LTD COM IS | COM | 699167102 | 0 | 98 | SH | DEFINED | 01 | 15 |
| PARAMETRIC TECHNOLOGY CORP | COM | 699173209 | 2845 | 131810 | SH | DEFINED | 01 | 02 |
| PARAMETRIC TECHNOLOGY CORP | COM | 699173209 | 940 | 43450 | SH | DEFINED | 01 | 02 |
| PARAMETRIC TECHNOLOGY CORP | COM | 699173209 | 318 | 14720 | SH | DEFINED | 01 | 03 |
| PARAMETRIC TECHNOLOGY CORP | COM | 699173209 | 68 | 3148 | SH | DEFINED | 01 | 15 |
| PARAMOUNT VENTURES & FIN INC | COM | 69932X303 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| PAREXEL INTERNATIONAL CORP | COM | 699462107 | 4873 | 115870 | SH | DEFINED | 01 | 02 |
| PARK CITY GROUP INC COM NEW | COM | 700215304 | 0 | 110 | SH | DEFINED | 01 | 15 |
| PARK ELECTROCHEMICAL CORP | COM | 700416209 | 1782 | 63250 | SH | DEFINED | 01 | 02 |
| PARK MEDITECH INC | COM | 70057L108 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| PARK NATIONAL CORP | COM | 700658107 | 297 | 3500 | SH | DEFINED | 01 | 02 |
| PARK NATIONAL CORP | COM | 700658107 | 89 | 1050 | SH | DEFINED | 01 | 15 |
| PARK OHIO HLDGS CORP | COM | 700666100 | 136 | 5000 | SH | DEFINED | 01 | 15 |
| PARKER DRILLING CO | COM | 701081101 | 3439 | 326155 | SH | DEFINED | 01 | 02 |
| PARKER DRILLING CO | COM | 701081101 | 691 | 65520 | SH | DEFINED | 01 | 02 |
| PARKER DRILLING CO | COM | 701081101 | 239 | 22630 | SH | DEFINED | 01 | 03 |
| PARKER DRILLING CO | COM | 701081101 | 10 | 902 | SH | DEFINED | 01 | 15 |
| PARKER HANNIFIN CORP | COM | 701094104 | 135608 | 1385021 | SH | DEFINED | 01 | 02 |
| PARKER HANNIFIN CORP | COM | 701094104 | 2037 | 20804 | SH | DEFINED | 01 | 03 |
| PARKER HANNIFIN CORP | COM | 701094104 | 1084 | 11068 | SH | DEFINED | 01 | 15 |
| PARKERVISION INC | COM | 701354102 | 113 | 9483 | SH | DEFINED | 01 | 02 |
| PARKVALE FINL CORP | COM | 701492100 | 29 | 964 | SH | DEFINED | 01 | 15 |
| PARKWAY PROPERTIES INC | COM | 70159Q104 | 1252 | 26075 | SH | DEFINED | 01 | 02 |
| PARLUX FRAGRANCES INC | COM | 701645103 | 827 | 186350 | SH | DEFINED | 01 | 02 |
| PARLUX FRAGRANCES INC | COM | 701645103 | 76 | 17070 | SH | DEFINED | 01 | 15 |
| PARTNER COMM CO LTD ADR | COM | 70211M109 | 31 | 1911 | SH | DEFINED | 01 | 15 |
| PASON SYSTEMS INC | COM | 702925108 | 5 | 300 | SH | DEFINED | 01 | 15 |
| PATIENT SAFETY TECHNOLOGI | COM | 70322H106 | 1 | 630 | SH | DEFINED | 01 | 15 |
| PATIENT PORTAL TECHNOLOGI | COM | 70322M105 | 0 | 9 | SH | DEFINED | 01 | 15 |
| PATRIOT FED BK PALATINE B | COM | 70335K104 | 270 | 22500 | SH | DEFINED | 01 | 15 |
| PATRIOT SCIENTIFIC CORP | COM | 70336N107 | 2 | 2830 | SH | DEFINED | 01 | 15 |
| PATTERSON COS INC | COM | 703395103 | 6334 | 169909 | SH | DEFINED | 01 | 02 |
| PATTERSON COS INC | COM | 703395103 | 988 | 26509 | SH | DEFINED | 01 | 03 |
| PATTERSON COS INC | COM | 703395103 | 496 | 13296 | SH | DEFINED | 01 | 15 |
| PATTERSON-UTI ENERGY | COM | 703481101 | 5491 | 209492 | SH | DEFINED | 01 | 02 |
| PATTERSON-UTI ENERGY | COM | 703481101 | 458 | 17477 | SH | DEFINED | 01 | 03 |
| PATTERSON-UTI ENERGY | COM | 703481101 | 23 | 859 | SH | DEFINED | 01 | 15 |
| PAVICHEVICH BREWING CO | COM | 703836106 | 0 | 400 | SH | DEFINED | 01 | 15 |
| PAYCHEX INC | COM | 704326107 | 15931 | 407237 | SH | DEFINED | 01 | 02 |
| PAYCHEX INC | COM | 704326107 | 2252 | 57584 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| PAYCHEX INC | COM | 704326107 | 2021 | 51658 | SH | DEFINED | 01 | 15 |
| PAYLESS CASHWAYS INCNO 100HOLD | COM | 704378405 | 0 | 10 | SH | DEFINED | 01 | 15 |
| PAYLESS SHOESOURCE INC | COM | 704379106 | 9199 | 291575 | SH | DEFINED | 01 | 02 |
| PAYLESS SHOESOURCE INC | COM | 704379106 | 2196 | 69610 | SH | DEFINED | 01 | 02 |
| PAYLESS SHOESOURCE INC | COM | 704379106 | 742 | 23510 | SH | DEFINED | 01 | 03 |
| PAYLESS SHOESOURCE INC | COM | 704379106 | 3 | 100 | SH | DEFINED | 01 | 15 |
| PEABODY ENERGY CORP | COM | 704549104 | 7684 | 158823 | SH | DEFINED | 01 | 02 |
| PEABODY ENERGY CORP | COM | 704549104 | 2121 | 43845 | SH | DEFINED | 01 | 03 |
| PEABODY ENERGY CORP | COM | 704549104 | 728 | 15044 | SH | DEFINED | 01 | 15 |
| PCCW LTD SPON ADR NEW | COM | 70454G207 | 0 | 50 | SH | DEFINED | 01 | 15 |
| PEARSON PLC SPONS ADR | COM | 705015105 | 6 | 365 | SH | DEFINED | 01 | 15 |
| PEDIATRIX MEDICAL GROUP INC | COM | 705324101 | 6039 | 109502 | SH | DEFINED | 01 | 02 |
| PEDIATRIX MEDICAL GROUP INC | COM | 705324101 | 135 | 2446 | SH | DEFINED | 01 | 15 |
| PEETS COFFEE & TEA INC | COM | 705560100 | 546 | 22180 | SH | DEFINED | 01 | 02 |
| PEGASUS GOLD INC NO 100HOLD | COM | 70556K106 | 0 | 800 | SH | DEFINED | 01 | 15 |
| PEGASUS WIRELESS CORP NEV C | COM | 70558E207 | 0 | 120 | SH | DEFINED | 01 | 15 |
| PEGASUS WIRELESS CORP CL A | COM | 70599AA07 | 0 | 60 | SH | DEFINED | 01 | 15 |
| PENGROWTH ENERGY TR UNIT NEW I | COM | 706902509 | 4231 | 221635 | SH | DEFINED | 01 | 15 |
| PENFORD CORP | COM | 707051108 | 402 | 14743 | SH | DEFINED | 01 | 02 |
| PENN NATL GAMING INC | COM | 707569109 | 156 | 2589 | SH | DEFINED | 01 | 15 |
| PENN TRAFFIC CO NEW COM NEW NO | COM | 707832200 | 0 | 78 | SH | DEFINED | 01 | 15 |
| PENN TREATY AMERN CORP COM N | COM | 707874400 | 22 | 3825 | SH | DEFINED | 01 | 15 |
| PENN VIRGINIA CORP | COM | 707882106 | 5966 | 148466 | SH | DEFINED | 01 | 02 |
| PENN VIRGINIA CORP | COM | 707882106 | 911 | 22680 | SH | DEFINED | 01 | 02 |
| PENN VIRGINIA CORP | COM | 707882106 | 303 | 7540 | SH | DEFINED | 01 | 03 |
| PENN VIRGINIA CORP | COM | 707882106 | 34 | 848 | SH | DEFINED | 01 | 15 |
| PENN WEST ENERGY TR TR UNIT IS | COM | 707885109 | 2102 | 62982 | SH | DEFINED | 01 | 15 |
| PENNANTPARK INVESTMENT CORP | COM | 708062104 | 1404 | 100000 | SH | DEFINED | 01 | 10 |
| J.C. PENNEY CO INC | COM | 708160106 | 25082 | 346539 | SH | DEFINED | 01 | 02 |
| J.C. PENNEY CO INC | COM | 708160106 | 2694 | 37227 | SH | DEFINED | 01 | 03 |
| J.C. PENNEY CO INC | COM | 708160106 | 653 | 9016 | SH | DEFINED | 01 | 15 |
| PENNSYLVANIA RAILROAD C | COM | 709085104 | 0 | 30 | SH | DEFINED | 01 | 15 |
| PENN REAL ESTATE INVEST TST | COM | 709102107 | 1497 | 33760 | SH | DEFINED | 01 | 02 |
| PENSON WORLDWIDE INC | COM | 709600100 | 315 | 12840 | SH | DEFINED | 01 | 02 |
| PENTACON INC | COM | 709620108 | 0 | 5150 | SH | DEFINED | 01 | 15 |
| PENTAIR INC | COM | 709631105 | 1 | 13 | SH | DEFINED | 01 | 02 |
| PENTAIR INC | COM | 709631105 | 300 | 7781 | SH | DEFINED | 01 | 15 |
| PENTASTAR COMMUNICAT | COM | 709632103 | 0 | 100 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| PENWEST PHARMACEUTICALS CO | COM | 709754105 | 263 | 21062 | SH | DEFINED | 01 | 02 |
| PEOPLES BK COMM MEDFORD OR | COM | 710311101 | 48 | 2678 | SH | DEFINED | 01 | 15 |
| PEOPLES BANCORP OF NC INC | COM | 710577107 | 13 | 681 | SH | DEFINED | 01 | 15 |
| PEOPLES UNITED FINANCIAL INC | COM | 712704105 | 459 | 25874 | SH | DEFINED | 01 | 02 |
| PEOPLES UNITED FINANCIAL INC | COM | 712704105 | 216 | 12194 | SH | DEFINED | 01 | 15 |
| PEOPLESUPPORT INC | COM | 712714302 | 400 | 35222 | SH | DEFINED | 01 | 02 |
| PEOPLESUPPORT INC | COM | 712714302 | 11 | 980 | SH | DEFINED | 01 | 15 |
| PEP BOYS-MANNY MOE & JACK | COM | 713278109 | 3019 | 149703 | SH | DEFINED | 01 | 02 |
| PEP BOYS-MANNY MOE & JACK | COM | 713278109 | 831 | 41230 | SH | DEFINED | 01 | 02 |
| PEP BOYS-MANNY MOE & JACK | COM | 713278109 | 294 | 14600 | SH | DEFINED | 01 | 03 |
| PEPCO HLDGS INC | COM | 713291102 | 218 | 7734 | SH | DEFINED | 01 | 15 |
| PEPSI BOTTLING GROUP INC | COM | 713409100 | 14049 | 417161 | SH | DEFINED | 01 | 02 |
| PEPSI BOTTLING GROUP INC | COM | 713409100 | 939 | 27887 | SH | DEFINED | 01 | 03 |
| PEPSI BOTTLING GROUP INC | COM | 713409100 | 130 | 3846 | SH | DEFINED | 01 | 15 |
| PEPSIAMERICAS INC | COM | 71343P200 | 8 | 330 | SH | DEFINED | 01 | 15 |
| PEPSICO | COM | 713448108 | 225322 | 3474512 | SH | DEFINED | 01 | 02 |
| PEPSICO | COM | 713448108 | 10312 | 159007 | SH | DEFINED | 01 | 02 |
| PEPSICO | COM | 713448108 | 17481 | 269555 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| PEPSICO | COM | 713448108 | 114540 | 1767567 | SH | DEFINED | 01 | 10 |
| PEPSICO | COM | 713448108 | 6542 | 100879 | SH | DEFINED | 01 | 15 |
| PEREGRINE INVTS HLDGS LTD | COM | 713659100 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| PEREGRINE PHARMACEUTICALS INC | COM | 713661106 | 28 | 36000 | SH | DEFINED | 01 | 15 |
| PEREGRINE SYS INC NO 100HOLD | COM | 71366Q101 | 0 | 6622 | SH | DEFINED | 01 | 15 |
| PERFORMANCE FOOD GROUP CO | COM | 713755106 | 10169 | 312935 | SH | DEFINED | 01 | 02 |
| PERFORMANCE FOOD GROUP CO | COM | 713755106 | 1199 | 36910 | SH | DEFINED | 01 | 02 |
| PERFORMANCE FOOD GROUP CO | COM | 713755106 | 426 | 13100 | SH | DEFINED | 01 | 03 |
| PERFORMANCE FOOD GROUP CO | COM | 713755106 | 11 | 325 | SH | DEFINED | 01 | 15 |
| PERFICIENT INC | COM | 71375U101 | 978 | 47244 | SH | DEFINED | 01 | 02 |
| PERFICIENT INC | COM | 71375U101 | 21 | 1000 | SH | DEFINED | 01 | 15 |
| PERICOM SEMICONDUCTOR CORP | COM | 713831105 | 648 | 57999 | SH | DEFINED | 01 | 02 |
| PERINI CORP | COM | 713839108 | 8268 | 134416 | SH | DEFINED | 01 | 02 |
| PERINI CORP | COM | 713839108 | 1008 | 16400 | SH | DEFINED | 01 | 02 |
| PERINI CORP | COM | 713839108 | 381 | 6200 | SH | DEFINED | 01 | 03 |
| PERINI CORP | COM | 713839108 | 25 | 400 | SH | DEFINED | 01 | 15 |
| PERKINELMER INC | COM | 714046109 | 11999 | 460394 | SH | DEFINED | 01 | 02 |
| PERKINELMER INC | COM | 714046109 | 516 | 19816 | SH | DEFINED | 01 | 03 |
| PERKINELMER INC | COM | 714046109 | 40 | 1536 | SH | DEFINED | 01 | 15 |
| PERMIAN BASIN RTY TR | COM | 714236106 | 36 | 2705 | SH | DEFINED | 01 | 15 |
| PEROT SYSTEMS CORP-CL A | COM | 714265105 | 1470 | 86217 | SH | DEFINED | 01 | 02 |
| PEROT SYSTEMS CORP-CL A | COM | 714265105 | 555 | 32600 | SH | DEFINED | 01 | 02 |
| PEROT SYSTEMS CORP-CL A | COM | 714265105 | 187 | 11000 | SH | DEFINED | 01 | 03 |
| PEROT SYSTEMS CORP-CL A | COM | 714265105 | 174 | 10212 | SH | DEFINED | 01 | 15 |
| PERPETUAL FED SVGS BK URBANA | COM | 714273109 | 147 | 5754 | SH | DEFINED | 01 | 15 |
| PERRIGO CO | COM | 714290103 | 3685 | 188207 | SH | DEFINED | 01 | 02 |
| PERRIGO CO | COM | 714290103 | 768 | 39250 | SH | DEFINED | 01 | 02 |
| PERRIGO CO | COM | 714290103 | 258 | 13200 | SH | DEFINED | 01 | 03 |
| PERRIGO CO | COM | 714290103 | 188 | 9599 | SH | DEFINED | 01 | 15 |
| PT TELEKOMUNIKASI INDONESIA | COM | 715684106 | 247 | 5738 | SH | DEFINED | 01 | 15 |
| PETMED EXPRESS INC | COM | 716382106 | 930 | 72440 | SH | DEFINED | 01 | 02 |
| PETMED EXPRESS INC | COM | 716382106 | 84 | 6550 | SH | DEFINED | 01 | 15 |
| PETRO CANADA COM ISIN #CA71 | COM | 71644E102 | 84 | 1571 | SH | DEFINED | 01 | 15 |
| PETROCHINA CO LTD SPON ADR I | COM | 71646E100 | 2343 | 15759 | SH | DEFINED | 01 | 15 |
| PETROHAWK ENERGY CORP | COM | 716495106 | 2355 | 148250 | SH | DEFINED | 01 | 02 |
| PETROHAWK ENERGY CORP | COM | 716495106 | 706 | 44480 | SH | DEFINED | 01 | 02 |
| PETROHAWK ENERGY CORP | COM | 716495106 | 251 | 15800 | SH | DEFINED | 01 | 03 |
| PETROHAWK ENERGY CORP | COM | 716495106 | 155 | 9765 | SH | DEFINED | 01 | 15 |
| PETROLEUM & RES CORP | COM | 716549100 | 144 | 3716 | SH | DEFINED | 01 | 15 |
| PETROBRAS ADR | COM | 71654V101 | 1910 | 17904 | SH | DEFINED | 01 | 02 |
| PETROBRAS ADR | COM | 71654V101 | 139103 | 1305152 | SH | DEFINED | 01 | 10 |
| PETROBRAS ADR | COM | 71654V101 | 115 | 1076 | SH | DEFINED | 01 | 15 |
| PETROLEO BRASILEIRO | COM | 71654V408 | 118344 | 975884 | SH | DEFINED | 01 | 02 |
| PETROLEO BRASILEIRO | COM | 71654V408 | 48955 | 403685 | SH | DEFINED | 01 | 02 |
| PETROLEO BRASILEIRO | COM | 71654V408 | 741 | 6107 | SH | DEFINED | 01 | 03 |
| PETROLEO BRASILEIRO | COM | 71654V408 | 197854 | 1633420 | SH | DEFINED | 01 | 10 |
| PETROLEO BRASILEIRO | COM | 71654V408 | 619 | 5100 | SH | DEFINED | 01 | 15 |
| PETROLEUM DEVELOPMENT CORP | COM | 716578109 | 2713 | 57120 | SH | DEFINED | 01 | 02 |
| PETROLEUM DEVELOPMENT CORP | COM | 716578109 | 32 | 672 | SH | DEFINED | 01 | 15 |
| PETROLEUM GEO SVCS ASA NEW SP | COM | 716599105 | 96 | 3909 | SH | DEFINED | 01 | 15 |
| PETROQUEST ENERGY INC | COM | 716748108 | 160 | 11000 | SH | DEFINED | 01 | 02 |
| PETROQUEST ENERGY INC | COM | 716748108 | 1 | 79 | SH | DEFINED | 01 | 15 |
| PETSMART INC | COM | 716768106 | 4678 | 144122 | SH | DEFINED | 01 | 02 |
| PETSMART INC | COM | 716768106 | 126 | 3869 | SH | DEFINED | 01 | 03 |
| PETSMART INC | COM | 716768106 | 179 | 5519 | SH | DEFINED | 01 | 15 |
| PFIZER INC | COM | 717081103 | 702921 | 27490048 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| PFIZER INC | COM | 717081103 | 30684 | 1199980 | SH | DEFINED | 01 | 03 |
| PFIZER INC | COM | 717081103 | 75724 | 2966020 | SH | DEFINED | 01 | 10 |
| PFIZER INC | COM | 717081103 | 9666 | 378027 | SH | DEFINED | 01 | 15 |
| PHARMACEUTICAL PRODUCT DE | COM | 717124101 | 35 | 925 | SH | DEFINED | 01 | 15 |
| PHARMACEUTICAL HOLDERS TRU | COM | 71712A206 | 82 | 1000 | SH | DEFINED | 01 | 15 |
| PHARMSTANDARD-CLS | COM | 717137996 | 16148 | 240589 | SH | DEFINED | 01 | 02 |
| PHARMOS CORP COM PAR \$. | COM | 717139307 | 0 | 27 | SH | DEFINED | 01 | 15 |
| PHARMANET DEVELOPMENT GROUP IN | COM | 717148100 | 1216 | 38159 | SH | DEFINED | 01 | 02 |
| PHARMANET DEVELOPMENT GROUP IN | COM | 717148100 | 1 | 38 | SH | DEFINED | 01 | 15 |
| PHARMION CORP | COM | 71715B409 | 351 | 12133 | SH | DEFINED | 01 | 02 |
| PHASE FORWARD INC | COM | 71721R406 | 692 | 41100 | SH | DEFINED | 01 | 02 |
| PHILADELPHIA CONS HLDG CO | COM | 717528103 | 4395 | 105135 | SH | DEFINED | 01 | 02 |
| PHILADELPHIA CONS HLDG CO | COM | 717528103 | 115 | 2758 | SH | DEFINED | 01 | 15 |
| PHILIP SVCS CORP DELNO 100HOLD | COM | 718193105 | 0 | 15 | SH | DEFINED | 01 | 15 |
| PHILIP SERVICES CORPNO 100HOLD | COM | 71819M103 | 0 | 2633 | SH | DEFINED | 01 | 15 |
| PHILIPPINE LNG DIS SPON ADR-RE | COM | 718252604 | 4334 | 75756 | SH | DEFINED | 01 | 10 |
| PHILIPPINE LNG DIS SPON ADR-RE | COM | 718252604 | 3035 | 53063 | SH | DEFINED | 01 | 11 |
| PHILIPPINE LNG DIS SPON ADR-RE | COM | 718252604 | 6 | 100 | SH | DEFINED | 01 | 15 |
| PHILLIPS-VAN HEUSEN | COM | 718592108 | 15962 | 263540 | SH | DEFINED | 01 | 02 |
| PHILLIPS-VAN HEUSEN | COM | 718592108 | 626 | 10320 | SH | DEFINED | 01 | 02 |
| PHILLIPS-VAN HEUSEN | COM | 718592108 | 230 | 3800 | SH | DEFINED | 01 | 03 |
| PHOENIX COMPANIES INC | COM | 71902E109 | 8304 | 553348 | SH | DEFINED | 01 | 02 |
| PHOENIX COMPANIES INC | COM | 71902E109 | 1366 | 91070 | SH | DEFINED | 01 | 02 |
| PHOENIX COMPANIES INC | COM | 71902E109 | 476 | 31700 | SH | DEFINED | 01 | 03 |
| PHOENIX COMPANIES INC | COM | 71902E109 | 17 | 1157 | SH | DEFINED | 01 | 15 |
| PHOENIX COS INC NEW QUARTERLY | COM | 71902E208 | 10 | 400 | SH | DEFINED | 01 | 15 |
| PHOENIX TECHNOLOGY LTD | COM | 719153108 | 358 | 42415 | SH | DEFINED | 01 | 02 |
| PHOTON DYNAMICS INC | COM | 719364101 | 298 | 27329 | SH | DEFINED | 01 | 02 |
| PHOTRONICS INC | COM | 719405102 | 2125 | 142794 | SH | DEFINED | 01 | 02 |
| PHOTRONICS INC | COM | 719405102 | 633 | 42590 | SH | DEFINED | 01 | 02 |
| PHOTRONICS INC | COM | 719405102 | 213 | 14300 | SH | DEFINED | 01 | 03 |
| PHOTRONICS INC | COM | 719405102 | 51 | 3410 | SH | DEFINED | 01 | 15 |
| PHYCOR INC NO 100HOLD | COM | 71940F100 | 0 | 600 | SH | DEFINED | 01 | 15 |
| PHYSICIAN COMPUTER NETWORK IN | COM | 71940K109 | 0 | 1250 | SH | DEFINED | 01 | 15 |
| PICCADILLY CAFETERIAS | COM | 719567109 | 0 | 12150 | SH | DEFINED | 01 | 15 |
| PIEDMONT CMNTY BK GROUP INC | COM | 72013P108 | 54 | 3600 | SH | DEFINED | 01 | 15 |
| PIEDMONT NATURAL GAS CO | COM | 720186105 | 6007 | 243708 | SH | DEFINED | 01 | 02 |
| PIEDMONT NATURAL GAS CO | COM | 720186105 | 47 | 1907 | SH | DEFINED | 01 | 15 |
| PIER 1 IMPORTS INC | COM | 720279108 | 1 | 159 | SH | DEFINED | 01 | 15 |
| PIKE ELECTRIC CORP | COM | 721283109 | 6639 | 296630 | SH | DEFINED | 01 | 02 |
| PIKE ELECTRIC CORP | COM | 721283109 | 4 | 200 | SH | DEFINED | 01 | 15 |
| PILGRIMS PRIDE CORP | COM | 721467108 | 3541 | 92778 | SH | DEFINED | 01 | 02 |
| PILGRIMS PRIDE CORP | COM | 721467108 | 881 | 23070 | SH | DEFINED | 01 | 02 |
| PILGRIMS PRIDE CORP | COM | 721467108 | 294 | 7700 | SH | DEFINED | 01 | 03 |
| PILGRIMS PRIDE CORP | COM | 721467108 | 19 | 500 | SH | DEFINED | 01 | 15 |
| PILLOWTEX CORP NO 100HOLD | COM | 721501104 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| PIMCO CALIF MUN INCOME FD | COM | 72200N106 | 43 | 2648 | SH | DEFINED | 01 | 15 |
| PIMCO MUN INCOME FD COM | COM | 72200R107 | 36 | 2239 | SH | DEFINED | 01 | 15 |
| PIMCO NEW YORK MUN INCOME FD | COM | 72200T103 | 0 | 18 | SH | DEFINED | 01 | 15 |
| PIMCO CORPORATE INCOME FD | COM | 72200U100 | 136 | 9172 | SH | DEFINED | 01 | 15 |
| PIMCO CORPORATE INCOME FD | COM | 72200U308 | 150 | 6 | SH | DEFINED | 01 | 15 |
| PIMCO MUN INCOME FD II COM | COM | 72200W106 | 86 | 5854 | SH | DEFINED | 01 | 15 |
| PIMCO STRATEGIC GLOBAL GOV | COM | 72200X104 | 29 | 2782 | SH | DEFINED | 01 | 15 |
| PIMCO NEW YORK MUN FD II COM | COM | 72200Y102 | 64 | 4213 | SH | DEFINED | 01 | 15 |
| PIMCO GLOBAL 100SPPLUS I | COM | 722011103 | 141 | 5263 | SH | DEFINED | 01 | 15 |
| PIMCO HIGH INCOME FDCOM SHS | COM | 722014107 | 490 | 31719 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| PIMCO CORPORATE OPPORTUNIT | COM | 72201B101 | 982 | 65004 | SH | DEFINED | 01 | 15 |
| PIMCO NEW YORK MUN INCOME FD | COM | 72201E105 | 19 | 1234 | SH | DEFINED | 01 | 15 |
| PIMCO FLOATING RATE INCOME FD | COM | 72201H108 | 153 | 7500 | SH | DEFINED | 01 | 15 |
| PIMCO FLOATING RATE STRATEGY F | COM | 72201J104 | 74 | 3760 | SH | DEFINED | 01 | 15 |
| PINNACLE AIRLINES CORP | COM | 723443107 | 1252 | 66760 | SH | DEFINED | 01 | 02 |
| PINNACLE ENTERTAINMENT INC | COM | 723456109 | 55143 | 1958948 | SH | DEFINED | 01 | 02 |
| PINNACLE ENTERTAINMENT INC | COM | 723456109 | 14 | 480 | SH | DEFINED | 01 | 15 |
| PINNACLE MICRO INC NO 100HOLD | COM | 723469102 | 0 | 1800 | SH | DEFINED | 01 | 15 |
| PINNACLE FINL PARTNERS I | COM | 72346Q104 | 69 | 2340 | SH | DEFINED | 01 | 15 |
| PINNACLE WEST CAPITAL | COM | 723484101 | 49595 | 1244546 | SH | DEFINED | 01 | 02 |
| PINNACLE WEST CAPITAL | COM | 723484101 | 661 | 16586 | SH | DEFINED | 01 | 03 |
| PINNACLE WEST CAPITAL | COM | 723484101 | 271 | 6801 | SH | DEFINED | 01 | 15 |
| PIONEER COS INC | COM | 723643300 | 396 | 11518 | SH | DEFINED | 01 | 02 |
| PIONEER DRILLING COMPANY | COM | 723655106 | 20 | 1364 | SH | DEFINED | 01 | 02 |
| PIONEER DRILLING COMPANY | COM | 723655106 | 18 | 1225 | SH | DEFINED | 01 | 15 |
| PIONEER HIGH INCOME TR | COM | 72369H106 | 150 | 8810 | SH | DEFINED | 01 | 15 |
| PIONEER FLOATING RATE TR | COM | 72369J102 | 152 | 7690 | SH | DEFINED | 01 | 15 |
| PIONEER INTEREST SHSFRMLY PION | COM | 723703104 | 2 | 200 | SH | DEFINED | 01 | 15 |
| PIONEER MUN HIGH INCOME ADV | COM | 723762100 | 21 | 1335 | SH | DEFINED | 01 | 15 |
| PIONEER MUN HIGH INCOME TR | COM | 723763108 | 7 | 455 | SH | DEFINED | 01 | 15 |
| PIONEER NATURAL RESOURCES CO | COM | 723787107 | 70800 | 1453478 | SH | DEFINED | 01 | 02 |
| PIONEER NATURAL RESOURCES CO | COM | 723787107 | 1151 | 23636 | SH | DEFINED | 01 | 15 |
| PIONEER SYSTEMS INC | COM | 723886107 | 0 | 500 | SH | DEFINED | 01 | 15 |
| PIPER JAFFRAY COS | COM | 724078100 | 3500 | 62785 | SH | DEFINED | 01 | 02 |
| PIPER JAFFRAY COS | COM | 724078100 | 529 | 9470 | SH | DEFINED | 01 | 02 |
| PIPER JAFFRAY COS | COM | 724078100 | 178 | 3190 | SH | DEFINED | 01 | 03 |
| PIPER JAFFRAY COS | COM | 724078100 | 12 | 224 | SH | DEFINED | 01 | 15 |
| PIPEX PHARMACEUT | COM | 724153200 | 0 | 33 | SH | DEFINED | 01 | 15 |
| PITNEY BOWES INC | COM | 724479100 | 26050 | 556390 | SH | DEFINED | 01 | 02 |
| PITNEY BOWES INC | COM | 724479100 | 1702 | 36350 | SH | DEFINED | 01 | 03 |
| PITNEY BOWES INC | COM | 724479100 | 260 | 5544 | SH | DEFINED | 01 | 15 |
| PIXELWORKS INC | COM | 72581M107 | 1 | 500 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| PLAINS EXPL & PRODTNCO | COM | 726505100 | 100 | 2100 | SH | DEFINED | 01 | 15 |
| PLANAR SYSTEMS INC | COM | 726900103 | 215 | 28645 | SH | DEFINED | 01 | 02 |
| PLANKTOS CORP | COM | 72706H108 | 0 | 150 | SH | DEFINED | 01 | 15 |
| PLANTRONICS INC | COM | 727493108 | 29 | 1088 | SH | DEFINED | 01 | 02 |
| PLANTRONICS INC | COM | 727493108 | 58 | 2202 | SH | DEFINED | 01 | 15 |
| PLAYBOY ENTERPRISES INC CL B | COM | 728117300 | 400 | 35300 | SH | DEFINED | 01 | 15 |
| PLAYTEX PRODUCTS INC | COM | 72813P100 | 1438 | 97114 | SH | DEFINED | 01 | 02 |
| PLEXUS CORP | COM | 729132100 | 26487 | 1152064 | SH | DEFINED | 01 | 02 |
| PLUG PWR INC | COM | 72919P103 | 14 | 4536 | SH | DEFINED | 01 | 15 |
| PLUM CREEK TIMBER CO | COM | 729251108 | 2641 | 63393 | SH | DEFINED | 01 | 02 |
| PLUM CREEK TIMBER CO | COM | 729251108 | 9824 | 235814 | SH | DEFINED | 01 | 02 |
| PLUM CREEK TIMBER CO | COM | 729251108 | 1219 | 29250 | SH | DEFINED | 01 | 03 |
| PLUM CREEK TIMBER CO | COM | 729251108 | 63667 | 1528260 | SH | DEFINED | 01 | 10 |
| POCKETOP CORP | COM | 73035T102 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| POGO PRODUCING CO | COM | 730448107 | 1412 | 27788 | SH | DEFINED | 01 | 02 |
| POGO PRODUCING CO | COM | 730448107 | 185 | 3642 | SH | DEFINED | 01 | 03 |
| POGO PRODUCING CO | COM | 730448107 | 150 | 2963 | SH | DEFINED | 01 | 15 |
| POKERTEK INC COM | COM | 730864105 | 13 | 1000 | SH | DEFINED | 01 | 15 |
| POLARIS INDUSTRIES INC | COM | 731068102 | 6666 | 123076 | SH | DEFINED | 01 | 02 |
| POLARIS INDUSTRIES INC | COM | 731068102 | 11 | 200 | SH | DEFINED | 01 | 15 |
| POLARIS MINERALS CORP COM I | COM | 731074100 | 2 | 165 | SH | DEFINED | 01 | 15 |
| POLAROID CORP NO STKHOLD | COM | 731095105 | 0 | 305 | SH | DEFINED | 01 | 15 |
| POLO RALPH LAUREN CORP | COM | 731572103 | 10897 | 111061 | SH | DEFINED | 01 | 02 |
| POLO RALPH LAUREN CORP | COM | 731572103 | 995 | 10145 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| POLO RALPH LAUREN CORP | COM | 731572103 | 39 | 395 | SH | DEFINED | 01 | 15 |
| POLYCOM INC | COM | 73172K104 | 7761 | 230992 | SH | DEFINED | 01 | 02 |
| POLYCOM INC | COM | 73172K104 | 642 | 19100 | SH | DEFINED | 01 | 02 |
| POLYCOM INC | COM | 73172K104 | 201 | 5990 | SH | DEFINED | 01 | 03 |
| POLYCOM INC | COM | 73172K104 | 2 | 71 | SH | DEFINED | 01 | 15 |
| POLYMEDICA CORP | COM | 731738100 | 1516 | 37102 | SH | DEFINED | 01 | 02 |
| POLYMEDICA CORP | COM | 731738100 | 26 | 625 | SH | DEFINED | 01 | 15 |
| POLYONE CORPORATION | COM | 73179P106 | 13897 | 1932823 | SH | DEFINED | 01 | 02 |
| POLYONE CORPORATION | COM | 73179P106 | 1 | 200 | SH | DEFINED | 01 | 15 |
| POLYMET MINING CORP ISIN #CA73 | COM | 731916102 | 6 | 1500 | SH | DEFINED | 01 | 15 |
| PONIARD PHARMACEUTICALS INC | COM | 732449301 | 20 | 3001 | SH | DEFINED | 01 | 02 |
| POOL CORP | COM | 73278L105 | 3154 | 80807 | SH | DEFINED | 01 | 02 |
| POOL CORP | COM | 73278L105 | 124 | 3178 | SH | DEFINED | 01 | 15 |
| POPE & TALBOT INC | COM | 732827100 | 107 | 26973 | SH | DEFINED | 01 | 02 |
| POPE & TALBOT INC | COM | 732827100 | 44 | 11120 | SH | DEFINED | 01 | 15 |
| POPULAR INC | COM | 733174106 | 132 | 8200 | SH | DEFINED | 01 | 02 |
| POPULAR INC | COM | 733174106 | 19 | 1200 | SH | DEFINED | 01 | 15 |
| PORTARIUS INC CDT | COM | 73619Q102 | 0 | 50000 | SH | DEFINED | 01 | 15 |
| PORTFOLIO RECOVERY ASSOCIATES | COM | 73640Q105 | 3661 | 61001 | SH | DEFINED | 01 | 02 |
| PORTFOLIO RECOVERY ASSOCIATES | COM | 73640Q105 | 222 | 3692 | SH | DEFINED | 01 | 15 |
| PORTLAND GENERAL ELECTRIC CO | COM | 736508847 | 6335 | 230820 | SH | DEFINED | 01 | 02 |
| PORTLAND GENERAL ELECTRIC CO | COM | 736508847 | 948 | 34530 | SH | DEFINED | 01 | 02 |
| PORTLAND GENERAL ELECTRIC CO | COM | 736508847 | 321 | 11700 | SH | DEFINED | 01 | 03 |
| PORTLAND GENERAL ELECTRIC CO | COM | 736508847 | 6 | 218 | SH | DEFINED | 01 | 15 |
| PORTUGAL TELECOM SA ADR | COM | 737273102 | 39 | 2802 | SH | DEFINED | 01 | 15 |
| POSSIS MEDICAL INC | COM | 737407106 | 308 | 28265 | SH | DEFINED | 01 | 02 |
| POST PPTYS INC PFD SER B | COM | 737464305 | 56 | 2250 | SH | DEFINED | 01 | 15 |
| POTASH CORP OF SASKATCHEWAN | COM | 73755L107 | 4710 | 60407 | SH | DEFINED | 01 | 02 |
| POTASH CORP OF SASKATCHEWAN | COM | 73755L107 | 414 | 5304 | SH | DEFINED | 01 | 15 |
| POTLATCH CORP | COM | 737630103 | 3177 | 73820 | SH | DEFINED | 01 | 02 |
| POTLATCH CORP | COM | 737630103 | 819 | 19020 | SH | DEFINED | 01 | 02 |
| POTLATCH CORP | COM | 737630103 | 306 | 7100 | SH | DEFINED | 01 | 03 |
| POWER PLUS CORP ISIN #CA73 | COM | 738908102 | 0 | 50 | SH | DEFINED | 01 | 15 |
| POWER INTEGRATIONS INC | COM | 739276103 | 1991 | 76200 | SH | DEFINED | 01 | 02 |
| POWER INTEGRATIONS INC | COM | 739276103 | 23 | 890 | SH | DEFINED | 01 | 15 |
| POWER-ONE INC | COM | 739308104 | 101 | 25292 | SH | DEFINED | 01 | 03 |
| POWERLINK INC COM NEW | COM | 73933F204 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| POWERLOCK INTL CORP COM | COM | 73933J107 | 0 | 9460 | SH | DEFINED | 01 | 15 |
| POWERSHARES QQQ TR UNIT SER 1 | COM | 73935A104 | 8955 | 188137 | SH | DEFINED | 01 | 15 |
| POWERSHARES DB COMMODITY | COM | 73935S105 | 1328 | 51675 | SH | DEFINED | 01 | 15 |
| POWERSHARES DYNAMIC MRKT PORTF | COM | 73935X104 | 785 | 14709 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X179 | 4 | 150 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X195 | 41 | 1505 | SH | DEFINED | 01 | 15 |
| POWERSHARES DYNAMIC OTC POTFOL | COM | 73935X203 | 181 | 3226 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X278 | 11 | 375 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X302 | 447 | 29055 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X401 | 82 | 3191 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X468 | 61 | 2154 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X500 | 598 | 28743 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X567 | 63 | 1079 | SH | DEFINED | 01 | 15 |
| POWERSHARES WATER PORT | COM | 73935X575 | 2154 | 102954 | SH | DEFINED | 01 | 15 |
| POWERSHARES FTSE RAFI | COM | 73935X583 | 183 | 2952 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X609 | 62 | 3500 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X625 | 176 | 6827 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X633 | 420 | 24168 | SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD | COM | 73935X641 | 697 | 36491 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | |
|------------------------------------|-----------|-------|------------|---------|----|----|
| POWERSHARES EXCHANGETRADED FD COM | 73935X658 | 37 | 1607 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X666 | 18 | 866 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X682 | 311 | 17682 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X690 | 595 | 27768 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X708 | 19 | 942 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X716 | 218 | 10487 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X724 | 77 | 4534 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X732 | 610 | 34810 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X740 | 1611 | 85689 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGED- COM | 73935X765 | 6 | 305 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X781 | 11 | 570 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X799 | 36 | 1887 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X807 | 20 | 900 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X856 | 27 | 1495 SH | DEFINED | 01 | 15 |
| POWERSHARES EXCHANGETRADED FD COM | 73935X880 | 20 | 980 SH | DEFINED | 01 | 15 |
| POWERSHARES DB G10 CURRENCY H COM | 73935Y102 | 169 | 5843 SH | DEFINED | 01 | 15 |
| POWERWAVE TECH INC COM | 739363109 | 2 | 360 SH | DEFINED | 01 | 15 |
| POWERSHARES DB MULTISECTOR COM COM | 73936B408 | 40 | 1500 SH | DEFINED | 01 | 15 |
| POWERSHARES DB MULTISECTOR COM COM | 73936B705 | 6 | 215 SH | DEFINED | 01 | 15 |
| POZEN INC COM | 73941U102 | 335 | 18565 SH | DEFINED | 01 | 02 |
| PPLUS TR SER GSG 1 TR CTF CL COM | 73941X601 | 72 | 3200 SH | DEFINED | 01 | 15 |
| PPLUS TR SER CSF 1 T CTF CL A COM | 73941X833 | 2 | 100 SH | DEFINED | 01 | 15 |
| PRANDIUM INC NO 100HOLD COM | 73972W100 | 0 | 2750 SH | DEFINED | 01 | 15 |
| PRAXAIR INC COM | 74005P104 | 12209 | 169591 SH | DEFINED | 01 | 02 |
| PRAXAIR INC COM | 74005P104 | 3938 | 54705 SH | DEFINED | 01 | 03 |
| PRAXAIR INC COM | 74005P104 | 4434 | 61595 SH | DEFINED | 01 | 15 |
| PRE-PAID LEGAL SERVICES INC COM | 740065107 | 2227 | 34630 SH | DEFINED | 01 | 02 |
| PRECISION CASTPARTS CORP COM | 740189105 | 50522 | 416284 SH | DEFINED | 01 | 02 |
| PRECISION CASTPARTS CORP COM | 740189105 | 2944 | 24255 SH | DEFINED | 01 | 03 |
| PRECISION CASTPARTS CORP COM | 740189105 | 6818 | 56181 SH | DEFINED | 01 | 15 |
| PRECISION DRILLING TR UNIT IS COM | 740215108 | 843 | 34488 SH | DEFINED | 01 | 15 |
| PRECISION IMAGING CORP AMER COM | 740251103 | 0 | 2000 SH | DEFINED | 01 | 15 |
| PREFERRED BANK/LOS ANGELES COM | 740367107 | 3625 | 90614 SH | DEFINED | 01 | 02 |
| PREFERRED BANK/LOS ANGELES COM | 740367107 | 843 | 21080 SH | DEFINED | 01 | 02 |
| PREFERRED BANK/LOS ANGELES COM | 740367107 | 244 | 6100 SH | DEFINED | 01 | 03 |
| PREFERRED VOICE INC COM NEW COM | 740432208 | 3 | 2300 SH | DEFINED | 01 | 15 |
| PREFERREDPLUS TR SER FRD 1 COM | 740434600 | 8 | 450 SH | DEFINED | 01 | 15 |
| PREFERREDPLUS TR SER QWS 2 COM | 740434816 | 26 | 1000 SH | DEFINED | 01 | 15 |
| PREMIER BK NORTHRIDGE COM | 74046N100 | 0 | 100 SH | DEFINED | 01 | 15 |
| PREMIER COML BANCORP COM | 74047N109 | 227 | 14203 SH | DEFINED | 01 | 15 |
| PREMIER LASER SYSTEMS IN COM | 740522107 | 0 | 362 SH | DEFINED | 01 | 15 |
| PREMIERE GLOBAL SERVICES INC COM | 740585104 | 3271 | 251142 SH | DEFINED | 01 | 02 |
| PREMIERE GLOBAL SERVICES INC COM | 740585104 | 957 | 73440 SH | DEFINED | 01 | 02 |
| PREMIERE GLOBAL SERVICES INC COM | 740585104 | 337 | 25900 SH | DEFINED | 01 | 03 |
| PREMIERE GLOBAL SERVICES INC COM | 740585104 | 63 | 4845 SH | DEFINED | 01 | 15 |
| PRESIDENTIAL LIFE CO COM | 740884101 | 1268 | 64519 SH | DEFINED | 01 | 02 |
| PRESCIENT APPLIED INTELLIGEN COM | 740925102 | 0 | 522 SH | DEFINED | 01 | 15 |
| PRESSTEK INC COM | 741113104 | 478 | 59844 SH | DEFINED | 01 | 02 |
| PRESTIGE BRANDS HLDGS INC COM | 74112D101 | 12 | 946 SH | DEFINED | 01 | 15 |
| PREVIO INC COM | 74138B105 | 0 | 15 SH | DEFINED | 01 | 15 |
| T ROWE PRICE GROUP INC COM | 74144T108 | 70607 | 1360701 SH | DEFINED | 01 | 02 |
| T ROWE PRICE GROUP INC COM | 74144T108 | 3208 | 61815 SH | DEFINED | 01 | 03 |
| T ROWE PRICE GROUP INC COM | 74144T108 | 4129 | 79564 SH | DEFINED | 01 | 15 |
| PRICELINE.COM COM | 741503403 | 7785 | 113261 SH | DEFINED | 01 | 02 |
| PRICELINE.COM COM | 741503403 | 12344 | 179682 SH | DEFINED | 01 | 10 |
| PRICELINE.COM COM | 741503403 | 155 | 2248 SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| PRICESMART INC | COM | 741511109 | 460 | 18586 | SH | DEFINED | 01 | 02 |
| PRIDE INTERNATIONAL INC | COM | 74153Q102 | 22944 | 612518 | SH | DEFINED | 01 | 02 |
| PRIDE INTERNATIONAL INC | COM | 74153Q102 | 37472 | 1001930 | SH | DEFINED | 01 | 10 |
| PRIDE INTERNATIONAL INC | COM | 74153Q102 | 38 | 1021 | SH | DEFINED | 01 | 15 |
| PRIMEDIA INC | COM | 74157K101 | 815 | 285910 | SH | DEFINED | 01 | 02 |
| PRIMEDIA INC | COM | 74157K101 | 557 | 195310 | SH | DEFINED | 01 | 02 |
| PRIMEDIA INC | COM | 74157K101 | 201 | 70500 | SH | DEFINED | 01 | 03 |
| PRIMEHOLDINGS COM INC COM PA | COM | 74158R303 | 0 | 1 | SH | DEFINED | 01 | 15 |
| PRIMUS TELECOMMS GP | COM | 741929103 | 0 | 125 | SH | DEFINED | 01 | 15 |
| PRIMEWEST ENERGY TR UT TR ISIN | COM | 741930309 | 602 | 28646 | SH | DEFINED | 01 | 15 |
| PRIDE REFINING INC TRUST CERT | COM | 741998801 | 0 | 1 | SH | DEFINED | 01 | 15 |
| PRINCIPAL FINANCIAL GROUP | COM | 74251V102 | 8727 | 149699 | SH | DEFINED | 01 | 02 |
| PRINCIPAL FINANCIAL GROUP | COM | 74251V102 | 2583 | 44310 | SH | DEFINED | 01 | 03 |
| PRINCIPAL FINANCIAL GROUP | COM | 74251V102 | 1135 | 19464 | SH | DEFINED | 01 | 15 |
| PRO FAC COOPERATIVE INC CL A P | COM | 74265P208 | 4 | 250 | SH | DEFINED | 01 | 15 |
| PROBEX CORP NEW | COM | 742670201 | 0 | 500 | SH | DEFINED | 01 | 15 |
| PROASSURANCE CORP | COM | 74267C106 | 11295 | 202887 | SH | DEFINED | 01 | 02 |
| PROASSURANCE CORP | COM | 74267C106 | 1345 | 24170 | SH | DEFINED | 01 | 02 |
| PROASSURANCE CORP | COM | 74267C106 | 455 | 8182 | SH | DEFINED | 01 | 03 |
| PROASSURANCE CORP | COM | 74267C106 | 100 | 1795 | SH | DEFINED | 01 | 15 |
| PRO CARD CORP COM | COM | 74270Q100 | 0 | 100 | SH | DEFINED | 01 | 15 |
| PRO CARD CORPORATION (RESTRICTE | COM | 74270Q993 | 0 | 314 | SH | DEFINED | 01 | 15 |
| PROCTER & GAMBLE CO | COM | 742718109 | 220486 | 3603341 | SH | DEFINED | 01 | 02 |
| PROCTER & GAMBLE CO | COM | 742718109 | 31886 | 521092 | SH | DEFINED | 01 | 03 |
| PROCTER & GAMBLE CO | COM | 742718109 | 29136 | 476763 | SH | DEFINED | 01 | 10 |
| PROCTER & GAMBLE CO | COM | 742718109 | 19253 | 314640 | SH | DEFINED | 01 | 15 |
| PRIVATEBANCORP INC | COM | 742962103 | 1870 | 64920 | SH | DEFINED | 01 | 02 |
| PRIVATEBANCORP INC | COM | 742962103 | 6 | 200 | SH | DEFINED | 01 | 15 |
| PROGENICS PHARMACEUTICALS INC | COM | 743187106 | 1683 | 78030 | SH | DEFINED | 01 | 02 |
| PROGENICS PHARMACEUTICALS INC | COM | 743187106 | 723 | 33551 | SH | DEFINED | 01 | 10 |
| PROGENICS PHARMACEUTICALS INC | COM | 743187106 | 9 | 395 | SH | DEFINED | 01 | 15 |
| PROGRESS ENERGY INC | COM | 743263105 | 17549 | 384925 | SH | DEFINED | 01 | 02 |
| PROGRESS ENERGY INC | COM | 743263105 | 1918 | 42065 | SH | DEFINED | 01 | 03 |
| PROGRESS ENERGY INC | COM | 743263105 | 964 | 21145 | SH | DEFINED | 01 | 15 |
| PROGRESS ENERGY INC CONTINGENT | COM | 743263AA3 | 0 | 4082 | SH | DEFINED | 01 | 15 |
| PROGRESS SOFTWARE CORP | COM | 743312100 | 3779 | 118931 | SH | DEFINED | 01 | 02 |
| PROGRESS SOFTWARE CORP | COM | 743312100 | 579 | 18210 | SH | DEFINED | 01 | 02 |
| PROGRESS SOFTWARE CORP | COM | 743312100 | 203 | 6400 | SH | DEFINED | 01 | 03 |
| PROGRESS SOFTWARE CORP | COM | 743312100 | 207 | 6500 | SH | DEFINED | 01 | 15 |
| PROGRESSIVE CORP OH | COM | 743315103 | 48510 | 2027229 | SH | DEFINED | 01 | 02 |
| PROGRESSIVE CORP OH | COM | 743315103 | 2916 | 121836 | SH | DEFINED | 01 | 03 |
| PROGRESSIVE CORP OH | COM | 743315103 | 389 | 16266 | SH | DEFINED | 01 | 15 |
| PROGRESSIVE GAMING INTERNATI | COM | 74332S102 | 358 | 61000 | SH | DEFINED | 01 | 02 |
| PROLIANCE INTL INC | COM | 74340R104 | 0 | 47 | SH | DEFINED | 01 | 15 |
| PROLOGIS | COM | 743410102 | 15943 | 280180 | SH | DEFINED | 01 | 02 |
| PROLOGIS | COM | 743410102 | 2416 | 42460 | SH | DEFINED | 01 | 03 |
| PROLOGIS | COM | 743410102 | 652 | 11458 | SH | DEFINED | 01 | 10 |
| PROLOGIS CUM PFD SER G 6.75 | COM | 743410805 | 57 | 2300 | SH | DEFINED | 01 | 15 |
| PROM RES INC COM 1 FOR 200 | COM | 743423105 | 0 | 95238 | SH | DEFINED | 01 | 15 |
| PROPERTY INVS COLO | COM | 743438103 | 0 | 25 | SH | DEFINED | 01 | 15 |
| PROMOTORA Y OPERADORA | COM | 74343W100 | 4 | 60 | SH | DEFINED | 01 | 15 |
| PROMISE CO LTD | COM | 74344G104 | 3 | 175 | SH | DEFINED | 01 | 15 |
| PROQUEST CO | COM | 74346P102 | 2 | 200 | SH | DEFINED | 01 | 15 |
| PROS HOLDINGS INC | COM | 74346Y103 | 718 | 55000 | SH | DEFINED | 01 | 10 |
| PROSHARES TR ULTRA S&P 500 PR | COM | 74347R107 | 71 | 751 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA DOW 30 PRO | COM | 74347R305 | 206 | 2200 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| PROSHARES TR SHORT S&P 500 PR | COM | 74347R503 | 54 | 900 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA SHORT OIL | COM | 74347R586 | 3 | 70 | SH | DEFINED | 01 | 15 |
| PROSHARES TR SHORT QQQ PROSHA | COM | 74347R602 | 23 | 400 | SH | DEFINED | 01 | 15 |
| PROSHARES TR SHORT DOW 30 PRO | COM | 74347R701 | 330 | 5600 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA GAS PROSHA | COM | 74347R719 | 207 | 2138 | SH | DEFINED | 01 | 15 |
| PROSHARES TR SHORT RUSS | COM | 74347R826 | 33 | 500 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA SHORT MID | COM | 74347R859 | 51 | 1000 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA SHORT 30 F | COM | 74347R867 | 642 | 12800 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA SHORT 100 | COM | 74347R875 | 64 | 1400 | SH | DEFINED | 01 | 15 |
| PROSHARES TR ULTRA SHORT 500 | COM | 74347R883 | 155 | 2940 | SH | DEFINED | 01 | 15 |
| PROSPECT CAPITAL CORP | COM | 74348T102 | 755 | 43203 | SH | DEFINED | 01 | 02 |
| PROSPECT CAPITAL CORP | COM | 74348T102 | 26 | 1462 | SH | DEFINED | 01 | 15 |
| PROSPECT STR HIGH INCOME POR | COM | 743586406 | 39 | 11860 | SH | DEFINED | 01 | 15 |
| PROSPECT STR INCOME SHS | COM | 743590101 | 32 | 5280 | SH | DEFINED | 01 | 15 |
| PROSPERITY BANCSHARE INC | COM | 743606105 | 8069 | 246375 | SH | DEFINED | 01 | 02 |
| PROSPERITY BANCSHARE INC | COM | 743606105 | 789 | 24100 | SH | DEFINED | 01 | 02 |
| PROSPERITY BANCSHARE INC | COM | 743606105 | 283 | 8650 | SH | DEFINED | 01 | 03 |
| PROTECTIVE LIFE CORP | COM | 743674103 | 368 | 7705 | SH | DEFINED | 01 | 15 |
| PROTECOM DEVICES INC | COM | 743718108 | 0 | 1200 | SH | DEFINED | 01 | 15 |
| PROVANT INC | COM | 743724106 | 0 | 2131 | SH | DEFINED | 01 | 15 |
| PROTON LABORATORIES INC | COM | 743729105 | 0 | 2310 | SH | DEFINED | 01 | 15 |
| PROVIDENT BANK | COM | 743859100 | 1735 | 52917 | SH | DEFINED | 01 | 02 |
| PROVIDENT BANK | COM | 743859100 | 276 | 8415 | SH | DEFINED | 01 | 15 |
| PROVIDENT FIN GROUP INC PFD 8. | COM | 743866204 | 13 | 530 | SH | DEFINED | 01 | 15 |
| PROVIDENT ENERGY TR ISIN# CA7 | COM | 74386K104 | 2784 | 234171 | SH | DEFINED | 01 | 15 |
| PROVIDENT FINL SVCS INC | COM | 74386T105 | 16 | 1000 | SH | DEFINED | 01 | 15 |
| PROVIDENT NEW YORK BANCORP CO | COM | 744028101 | 79 | 5844 | SH | DEFINED | 01 | 15 |
| PROXIM CORP CL A NEW N | COM | 744283201 | 0 | 37 | SH | DEFINED | 01 | 15 |
| PRUDENTIAL FINANCIAL INC | COM | 744320102 | 143804 | 1478999 | SH | DEFINED | 01 | 02 |
| PRUDENTIAL FINANCIAL INC | COM | 744320102 | 7523 | 77375 | SH | DEFINED | 01 | 03 |
| PRUDENTIAL FINANCIAL INC | COM | 744320102 | 665 | 6837 | SH | DEFINED | 01 | 15 |
| PRUDENTIAL PLC ADR ISIN #US74 | COM | 74435K204 | 263 | 9198 | SH | DEFINED | 01 | 15 |
| PRUDENTIAL RLTY TR CAP SH BEN | COM | 74435P203 | 0 | 200 | SH | DEFINED | 01 | 15 |
| PSINET INC NO 100HOLD | COM | 74437C101 | 0 | 2656 | SH | DEFINED | 01 | 15 |
| PSYCHIATRIC SOLUTIONS INC | COM | 74439H108 | 6580 | 181470 | SH | DEFINED | 01 | 02 |
| PSYCHIATRIC SOLUTIONS INC | COM | 74439H108 | 13720 | 378390 | SH | DEFINED | 01 | 10 |
| PSYCHIATRIC SOLUTIONS INC | COM | 74439H108 | 15 | 400 | SH | DEFINED | 01 | 15 |
| PUBLIC SVC CO N MEX PFD 4.58% | COM | 744499880 | 17 | 200 | SH | DEFINED | 01 | 15 |
| PUBLIC SERVICE ENTERPRISE GP | COM | 744573106 | 16453 | 187419 | SH | DEFINED | 01 | 02 |
| PUBLIC SERVICE ENTERPRISE GP | COM | 744573106 | 3672 | 41828 | SH | DEFINED | 01 | 03 |
| PUBLIC SERVICE ENTERPRISE GP | COM | 744573106 | 1055 | 12015 | SH | DEFINED | 01 | 15 |
| PUBLIC STORAGE | COM | 74460D109 | 15528 | 202129 | SH | DEFINED | 01 | 02 |
| PUBLIC STORAGE | COM | 74460D109 | 1561 | 20315 | SH | DEFINED | 01 | 03 |
| PUBLIC STORAGE MARYLAND D | COM | 74460D380 | 305 | 13100 | SH | DEFINED | 01 | 15 |
| PUBLIC STORAGE MARYLAND D | COM | 74460D497 | 186 | 8000 | SH | DEFINED | 01 | 15 |
| PUBLIC STORAGE MARYLAND D | COM | 74460D521 | 7 | 300 | SH | DEFINED | 01 | 15 |
| PUBLIC STORAGE MARYLAND D | COM | 74460D554 | 186 | 7825 | SH | DEFINED | 01 | 15 |
| PUBLIC STORAGE MARYLAND D | COM | 74460D570 | 33 | 1400 | SH | DEFINED | 01 | 15 |
| PUBLIC STORAGE MARYLAND D | COM | 74460D588 | 14 | 562 | SH | DEFINED | 01 | 15 |
| PUBLICIS GROUPE ADR | COM | 74463M106 | 83 | 1913 | SH | DEFINED | 01 | 15 |
| PUBLIX SUPER MARKETS INC (RESTR | COM | 744663154 | 626 | 29970 | SH | DEFINED | 01 | 15 |
| PUDA COAL INC COM NEW | COM | 744674201 | 1 | 400 | SH | DEFINED | 01 | 15 |
| PUGET ENERGY INC NEW | COM | 745310102 | 98 | 4072 | SH | DEFINED | 01 | 15 |
| PULTE HOMES INC | COM | 745867101 | 6576 | 292945 | SH | DEFINED | 01 | 02 |
| PULTE HOMES INC | COM | 745867101 | 789 | 35158 | SH | DEFINED | 01 | 03 |
| PULTE HOMES INC | COM | 745867101 | 73 | 3269 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| PULTE HOMES INC SR NT PFD | COM | 745867200 | 5 | 200 | SH | DEFINED | 01 | 15 |
| PUMA AG RUDOLF DASSLER SP | COM | 745878207 | 104 | 233 | SH | DEFINED | 01 | 15 |
| PURELY COTTON INC | COM | 745990101 | 0 | 18889 | SH | DEFINED | 01 | 15 |
| PURCHASEPRO COM INC COM NEW | COM | 746144302 | 0 | 21 | SH | DEFINED | 01 | 15 |
| PURE BIOSCIENCE | COM | 746218106 | 35 | 10000 | SH | DEFINED | 01 | 15 |
| PURITAN FINL GROUP INC COM | COM | 746337104 | 0 | 40 | SH | DEFINED | 01 | 15 |
| PUTNAM HIGH INCOME BOND FD | COM | 746779107 | 139 | 15652 | SH | DEFINED | 01 | 15 |
| PUTNAM HIGH YIELD MUN TR SH | COM | 746781103 | 8 | 1052 | SH | DEFINED | 01 | 15 |
| PUTNAM INVT GRADE MUN TR | COM | 746805100 | 16 | 1576 | SH | DEFINED | 01 | 15 |
| PUTNAM MANAGED MUN INCOME TR | COM | 746823103 | 23 | 2952 | SH | DEFINED | 01 | 15 |
| PUTNAM MUN BD FD INCSHS BEN IN | COM | 74683V100 | 42 | 3395 | SH | DEFINED | 01 | 15 |
| PUTNAM PREMIER INC TR SH BEN | COM | 746853100 | 83 | 12596 | SH | DEFINED | 01 | 15 |
| QIMONDA AG -SPONSORED ADR FS | COM | 746904101 | 4326 | 279980 | SH | DEFINED | 01 | 02 |
| PUTNAM MASTER INTER INCOME TR | COM | 746909100 | 97 | 14758 | SH | DEFINED | 01 | 15 |
| PUTNAM MUNI OPPOR TR | COM | 746922103 | 26 | 2200 | SH | DEFINED | 01 | 15 |
| QLT INC COM ISIN # | COM | 746927102 | 2 | 206 | SH | DEFINED | 01 | 15 |
| PUTNAM MASTER INTER INCOME TR (| COM | 746990993 | 42 | 6400 | SH | DEFINED | 01 | 15 |
| PUTNAM MUN BD FD INC (TENDERED | COM | 746991009 | 27 | 2213 | SH | DEFINED | 01 | 15 |
| PYRAMID BREWERIES INC | COM | 747135101 | 0 | 100 | SH | DEFINED | 01 | 15 |
| QLOGIC CORP | COM | 747277101 | 130 | 7804 | SH | DEFINED | 01 | 02 |
| QLOGIC CORP | COM | 747277101 | 438 | 26318 | SH | DEFINED | 01 | 03 |
| QLOGIC CORP | COM | 747277101 | 0 | 20 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| Q E P CO INC | COM | 74727K102 | 19 | 3000 | SH | DEFINED | 01 | 15 |
| QSOUND LABS INC | COM | 74728C307 | 1 | 125 | SH | DEFINED | 01 | 15 |
| QUAKER CHEMICAL CORP | COM | 747316107 | 390 | 16533 | SH | DEFINED | 01 | 02 |
| QUALCOMM INC | COM | 747525103 | 393921 | 9078630 | SH | DEFINED | 01 | 02 |
| QUALCOMM INC | COM | 747525103 | 12289 | 283231 | SH | DEFINED | 01 | 03 |
| QUALCOMM INC | COM | 747525103 | 22927 | 528864 | SH | DEFINED | 01 | 10 |
| QUALCOMM INC | COM | 747525103 | 7757 | 178777 | SH | DEFINED | 01 | 15 |
| QUALITY SYSTEMS INC | COM | 747582104 | 2889 | 76070 | SH | DEFINED | 01 | 02 |
| QUALITY SYSTEMS INC | COM | 747582104 | 60 | 1575 | SH | DEFINED | 01 | 15 |
| QUANEX CORP | COM | 747620102 | 7630 | 156711 | SH | DEFINED | 01 | 02 |
| QUANEX CORP | COM | 747620102 | 1124 | 23080 | SH | DEFINED | 01 | 02 |
| QUANEX CORP | COM | 747620102 | 278 | 5700 | SH | DEFINED | 01 | 03 |
| QUANEX CORP | COM | 747620102 | 5 | 106 | SH | DEFINED | 01 | 15 |
| QUANTA SERVICES INC | COM | 74762E102 | 8672 | 282741 | SH | DEFINED | 01 | 02 |
| QUANTA SERVICES INC | COM | 74762E102 | 376 | 12275 | SH | DEFINED | 01 | 15 |
| QUANTUM FUEL SYS TECHNOLOGI | COM | 74765E109 | 1 | 500 | SH | DEFINED | 01 | 15 |
| QUANTUM CORP | COM | 747906204 | 682 | 214880 | SH | DEFINED | 01 | 02 |
| QUANTUM CORP | COM | 747906204 | 464 | 146430 | SH | DEFINED | 01 | 02 |
| QUANTUM CORP | COM | 747906204 | 165 | 52000 | SH | DEFINED | 01 | 03 |
| Q MED INC | COM | 747914109 | 8 | 2000 | SH | DEFINED | 01 | 15 |
| QUATERRA RESOURCES INC ISIN # | COM | 747952109 | 4 | 1000 | SH | DEFINED | 01 | 15 |
| QUENTRA NETWORKS INC NO 100HOL | COM | 748337102 | 0 | 400 | SH | DEFINED | 01 | 15 |
| QUERYOBJECT SYS CORPNEW | COM | 74833H308 | 0 | 666 | SH | DEFINED | 01 | 15 |
| QUEPASA CORP COM NEW | COM | 74833W206 | 191 | 32465 | SH | DEFINED | 01 | 15 |
| QUEST DIAGNOSTICS | COM | 74834L100 | 6547 | 126777 | SH | DEFINED | 01 | 02 |
| QUEST DIAGNOSTICS | COM | 74834L100 | 1351 | 26150 | SH | DEFINED | 01 | 03 |
| QUEST DIAGNOSTICS | COM | 74834L100 | 703 | 13613 | SH | DEFINED | 01 | 15 |
| QUEST SOFTWARE INC | COM | 74834T103 | 3045 | 188087 | SH | DEFINED | 01 | 02 |
| QUESTAR CORP | COM | 748356102 | 31775 | 601259 | SH | DEFINED | 01 | 02 |
| QUESTAR CORP | COM | 748356102 | 1707 | 32296 | SH | DEFINED | 01 | 03 |
| QUESTAR CORP | COM | 748356102 | 480 | 9091 | SH | DEFINED | 01 | 15 |
| QUICKSILVER RESOURCES INC | COM | 74837R104 | 7919 | 177650 | SH | DEFINED | 01 | 02 |
| QUICKSILVER RESOURCES INC | COM | 74837R104 | 1999 | 44911 | SH | DEFINED | 01 | 10 |
| QUICKSILVER RESOURCES INC | COM | 74837R104 | 101 | 2257 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-----------------------------|----------|-----------|--------|----------|-----|---------|----|----|
| QUIKSILVER INC | COM | 74838C106 | 6001 | 424713 | SH | DEFINED | 01 | 02 |
| QUIKSILVER INC | COM | 74838C106 | 239 | 16901 | SH | DEFINED | 01 | 03 |
| QUIGLEY CORP | COM | 74838L304 | 10 | 2100 | SH | DEFINED | 01 | 15 |
| QWEST COMMUNICATIONS INTL | COM | 749121109 | 107437 | 11075974 | SH | DEFINED | 01 | 02 |
| QWEST COMMUNICATIONS INTL | COM | 749121109 | 2493 | 257043 | SH | DEFINED | 01 | 03 |
| QWEST COMMUNICATIONS INTL | COM | 749121109 | 202 | 20855 | SH | DEFINED | 01 | 15 |
| QWEST COMMS INTL | CONV BND | 749121BY4 | 81816 | 46912000 | PRN | DEFINED | 01 | 02 |
| QWEST COMMS INTL | CONV BND | 749121BY4 | 433 | 248000 | PRN | DEFINED | 01 | 03 |
| R & G FINL CORP CL B | COM | 749136107 | 1 | 230 | SH | DEFINED | 01 | 15 |
| RAIT FINANCIAL TRUST | COM | 749227104 | 3187 | 122532 | SH | DEFINED | 01 | 02 |
| RAIT FINANCIAL TRUST | COM | 749227104 | 807 | 31040 | SH | DEFINED | 01 | 02 |
| RAIT FINANCIAL TRUST | COM | 749227104 | 286 | 11000 | SH | DEFINED | 01 | 03 |
| RCN CORP | COM | 749361200 | 571 | 30400 | SH | DEFINED | 01 | 02 |
| RCN CORP | COM | 749361200 | 72 | 3818 | SH | DEFINED | 01 | 15 |
| RC2 CORP | COM | 749388104 | 6303 | 157530 | SH | DEFINED | 01 | 02 |
| RC2 CORP | COM | 749388104 | 824 | 20590 | SH | DEFINED | 01 | 02 |
| RC2 CORP | COM | 749388104 | 284 | 7100 | SH | DEFINED | 01 | 03 |
| RC2 CORP | COM | 749388104 | 4 | 100 | SH | DEFINED | 01 | 15 |
| RH DONNELLEY CORP | COM | 74955W307 | 85519 | 1128517 | SH | DEFINED | 01 | 02 |
| RH DONNELLEY CORP | COM | 74955W307 | 83 | 1100 | SH | DEFINED | 01 | 15 |
| RLI CORP | COM | 749607107 | 9958 | 177948 | SH | DEFINED | 01 | 02 |
| RLI CORP | COM | 749607107 | 1383 | 24710 | SH | DEFINED | 01 | 02 |
| RLI CORP | COM | 749607107 | 477 | 8528 | SH | DEFINED | 01 | 03 |
| RLI CORP | COM | 749607107 | 10 | 180 | SH | DEFINED | 01 | 15 |
| RPC ENERGY SERVICES INC | COM | 749660106 | 4 | 225 | SH | DEFINED | 01 | 15 |
| RPM INTERNATIONAL INC | COM | 749685103 | 4044 | 175000 | SH | DEFINED | 01 | 02 |
| RPM INTERNATIONAL INC | COM | 749685103 | 99 | 4297 | SH | DEFINED | 01 | 15 |
| RTI INTL METALS | COM | 74973W107 | 4712 | 62500 | SH | DEFINED | 01 | 02 |
| RTI INTL METALS | COM | 74973W107 | 55 | 724 | SH | DEFINED | 01 | 15 |
| RWE AG SPONS ADR REPSTG ORD | COM | 74975E303 | 614 | 5791 | SH | DEFINED | 01 | 15 |
| RF MICRO DEVICES INC | COM | 749941100 | 2377 | 380844 | SH | DEFINED | 01 | 02 |
| RF MICRO DEVICES INC | COM | 749941100 | 444 | 70860 | SH | DEFINED | 01 | 02 |
| RF MICRO DEVICES INC | COM | 749941100 | 142 | 22730 | SH | DEFINED | 01 | 03 |
| RF MICRO DEVICES INC | COM | 749941100 | 81 | 12997 | SH | DEFINED | 01 | 15 |
| RACKABLE SYS INC COM | COM | 750077109 | 12 | 1000 | SH | DEFINED | 01 | 15 |
| RADIAN GROUP INC | COM | 750236101 | 28 | 526 | SH | DEFINED | 01 | 02 |
| RADIAN GROUP INC | COM | 750236101 | 73 | 1346 | SH | DEFINED | 01 | 15 |
| RADIANT SYSTEMS INC | COM | 75025N102 | 2263 | 170913 | SH | DEFINED | 01 | 02 |
| RADIO ONE INC -CL D | COM | 75040P405 | 892 | 126365 | SH | DEFINED | 01 | 02 |
| RADIOSHACK CORP | COM | 750438103 | 6470 | 195219 | SH | DEFINED | 01 | 02 |
| RADIOSHACK CORP | COM | 750438103 | 744 | 22437 | SH | DEFINED | 01 | 03 |
| RADIOSHACK CORP | COM | 750438103 | 27 | 807 | SH | DEFINED | 01 | 15 |
| RADISYS CORP | COM | 750459109 | 1540 | 124131 | SH | DEFINED | 01 | 02 |
| RAE SYS INC | COM | 75061P102 | 5 | 2081 | SH | DEFINED | 01 | 15 |
| RAMBUS INC | COM | 750917106 | 2484 | 138143 | SH | DEFINED | 01 | 02 |
| RAMBUS INC | COM | 750917106 | 58 | 3228 | SH | DEFINED | 01 | 03 |
| RAMBUS INC | COM | 750917106 | 19 | 1081 | SH | DEFINED | 01 | 15 |
| RALCORP HOLDINGS INC | COM | 751028101 | 6080 | 113747 | SH | DEFINED | 01 | 02 |
| RALCORP HOLDINGS INC | COM | 751028101 | 931 | 17420 | SH | DEFINED | 01 | 02 |
| RALCORP HOLDINGS INC | COM | 751028101 | 350 | 6540 | SH | DEFINED | 01 | 03 |
| RALCORP HOLDINGS INC | COM | 751028101 | 21 | 393 | SH | DEFINED | 01 | 15 |
| RAMCO-GERSHENSON PROPERTIES | COM | 751452202 | 5173 | 143966 | SH | DEFINED | 01 | 02 |
| RANDGOLD RESOURCES LTD-ADR | COM | 752344309 | 3002 | 135273 | SH | DEFINED | 01 | 02 |
| RANDGOLD RESOURCES LTD-ADR | COM | 752344309 | 11 | 500 | SH | DEFINED | 01 | 15 |
| RANGE RESOURCES CORP | COM | 75281A109 | 3728 | 99653 | SH | DEFINED | 01 | 02 |
| RANGE RESOURCES CORP | COM | 75281A109 | 94 | 2525 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|--------|-----------|-------|---------|----|---------|----|----|
| RANGER CANYON ENERGY INC | COM | 75281H104 | 0 | 16 | SH | DEFINED | 01 | 15 |
| RAPID LINK INC DEL COM | COM | 753400100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| RARE HOSPITALITY INTERNATIONAL | COM | 753820109 | 1351 | 50472 | SH | DEFINED | 01 | 02 |
| RASER TECHNOLOGIES INC | COM | 754055101 | 11 | 1500 | SH | DEFINED | 01 | 15 |
| RAVEN INDS INC | COM | 754212108 | 7 | 204 | SH | DEFINED | 01 | 15 |
| RAYMOND JAMES FINANCIAL INC | COM | 754730109 | 477 | 15429 | SH | DEFINED | 01 | 02 |
| RAYMOND JAMES FINANCIAL INC | COM | 754730109 | 130 | 4205 | SH | DEFINED | 01 | 15 |
| RAYONIER INC | COM | 754907103 | 29346 | 650123 | SH | DEFINED | 01 | 02 |
| RAYONIER INC | COM | 754907103 | 2291 | 50836 | SH | DEFINED | 01 | 10 |
| RAYTHEON COMPANY | COM | 755111507 | 3656 | 67847 | SH | DEFINED | 01 | 02 |
| RAYTHEON COMPANY | COM | 755111507 | 3958 | 73446 | SH | DEFINED | 01 | 03 |
| RAYTHEON COMPANY | COM | 755111507 | 1771 | 32863 | SH | DEFINED | 01 | 15 |
| RBC INFORMATION SYS - ADR | FS COM | 75523Q102 | 826 | 22950 | SH | DEFINED | 01 | 02 |
| READ-RITE CORP COM NEW NO 100 | COM | 755246204 | 0 | 6 | SH | DEFINED | 01 | 15 |
| RBC BEARINGS INC | COM | 75524B104 | 1918 | 46480 | SH | DEFINED | 01 | 02 |
| RBC BEARINGS INC | COM | 75524B104 | 312 | 7566 | SH | DEFINED | 01 | 03 |
| REALNETWORKS INC | COM | 75605L104 | 3144 | 384724 | SH | DEFINED | 01 | 02 |
| REALNETWORKS INC | COM | 75605L104 | 441 | 53830 | SH | DEFINED | 01 | 02 |
| REALNETWORKS INC | COM | 75605L104 | 169 | 20700 | SH | DEFINED | 01 | 03 |
| REALNETWORKS INC | COM | 75605L104 | 4 | 470 | SH | DEFINED | 01 | 15 |
| REALTY INCOME CORP | COM | 756109104 | 9514 | 377688 | SH | DEFINED | 01 | 02 |
| REALTY INCOME CORP | COM | 756109104 | 512 | 20330 | SH | DEFINED | 01 | 02 |
| REALTY INCOME CORP | COM | 756109104 | 184 | 7300 | SH | DEFINED | 01 | 03 |
| REALTY INCOME CORP PFD SER D | COM | 756109609 | 14 | 550 | SH | DEFINED | 01 | 15 |
| REAVES UTIL INCOME FD COM SH | COM | 756158101 | 46 | 1843 | SH | DEFINED | 01 | 15 |
| RED HAT INC | COM | 756577102 | 10211 | 458336 | SH | DEFINED | 01 | 02 |
| RED HAT INC | COM | 756577102 | 16 | 732 | SH | DEFINED | 01 | 15 |
| RED OAK HEREFORD FARMS INC | COM | 756790101 | 0 | 300 | SH | DEFINED | 01 | 15 |
| RED ROBIN GOURMET BURGERS | COM | 75689M101 | 1109 | 27475 | SH | DEFINED | 01 | 02 |
| RED ROBIN GOURMET BURGERS | COM | 75689M101 | 4 | 100 | SH | DEFINED | 01 | 15 |
| REAL STAR DEV CLASS A | COM | 756990727 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| REDDI BRAKE SUPPLY CORP COM N | COM | 757275201 | 0 | 6 | SH | DEFINED | 01 | 15 |
| REDDY ICE HOLDINGS INC | COM | 75734R105 | 29427 | 1031802 | SH | DEFINED | 01 | 02 |
| REDDY ICE HOLDINGS INC | COM | 75734R105 | 1510 | 53000 | SH | DEFINED | 01 | 10 |
| REDDY ICE HOLDINGS INC | COM | 75734R105 | 119 | 4180 | SH | DEFINED | 01 | 15 |
| REDWOOD TRUST INC | COM | 758075402 | 3272 | 67579 | SH | DEFINED | 01 | 02 |
| REED ELSEVIER N V SPON ADR I | COM | 758204101 | 381 | 10037 | SH | DEFINED | 01 | 15 |
| REFCO INC COM | COM | 75866G109 | 0 | 51 | SH | DEFINED | 01 | 15 |
| REGAL BELOIT | COM | 758750103 | 17271 | 371093 | SH | DEFINED | 01 | 02 |
| REGAL BELOIT | COM | 758750103 | 182 | 3900 | SH | DEFINED | 01 | 15 |
| REGAL CONS VENTURES LTD CLA A | COM | 758758106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| REGAL ENTERTAINMENT. | COM | 758766109 | 56786 | 2589436 | SH | DEFINED | 01 | 02 |
| REGAL ENTERTAINMENT. | COM | 758766109 | 28145 | 1286322 | SH | DEFINED | 01 | 10 |
| REGAL ENTERTAINMENT. | COM | 758766109 | 221 | 10100 | SH | DEFINED | 01 | 15 |
| REGENCY CENTERS CORP | COM | 758849103 | 4355 | 61777 | SH | DEFINED | 01 | 02 |
| REGENERON PHARMACEUT | COM | 75886F107 | 3475 | 193928 | SH | DEFINED | 01 | 02 |
| REGENERON PHARMACEUT | COM | 75886F107 | 187 | 10452 | SH | DEFINED | 01 | 15 |
| REGIS CORP | COM | 758932107 | 7488 | 195739 | SH | DEFINED | 01 | 02 |
| REGIS CORP | COM | 758932107 | 993 | 25990 | SH | DEFINED | 01 | 02 |
| REGIS CORP | COM | 758932107 | 329 | 8600 | SH | DEFINED | 01 | 03 |
| REGIS CORP | COM | 758932107 | 4 | 101 | SH | DEFINED | 01 | 15 |
| REHABCARE GROUP INC | COM | 759148109 | 432 | 30330 | SH | DEFINED | 01 | 02 |
| REHABCARE GROUP INC | COM | 759148109 | 11 | 750 | SH | DEFINED | 01 | 15 |
| REGIONS FINANCIAL CORP | COM | 7591EP100 | 44503 | 1344472 | SH | DEFINED | 01 | 02 |
| REGIONS FINANCIAL CORP | COM | 7591EP100 | 3860 | 116615 | SH | DEFINED | 01 | 03 |
| REGIONS FINANCIAL CORP | COM | 7591EP100 | 2150 | 64967 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| REINSURANCE GROUP OF AMERICA | COM | 759351109 | 2939 | 48802 | SH | DEFINED | 01 | 02 |
| REINSURANCE GROUP OF AMERICA | COM | 759351109 | 137 | 2280 | SH | DEFINED | 01 | 15 |
| RELIANCE GROUP HLDGS INC | COM | 759464100 | 0 | 300 | SH | DEFINED | 01 | 15 |
| RELIANCE STEEL & ALUMINUM | COM | 759509102 | 2270 | 40362 | SH | DEFINED | 01 | 02 |
| RELIANCE STEEL & ALUMINUM | COM | 759509102 | 32 | 577 | SH | DEFINED | 01 | 15 |
| RELIANT ENERGY INC | COM | 75952B105 | 4000 | 148454 | SH | DEFINED | 01 | 02 |
| RELIANT ENERGY INC | COM | 75952B105 | 11571 | 430000 | SH | DEFINED | 01 | 10 |
| RELIANT ENERGY INC | COM | 75952B105 | 284 | 10547 | SH | DEFINED | 01 | 15 |
| RELIV INTL INC | COM | 75952R100 | 9 | 888 | SH | DEFINED | 01 | 15 |
| REMINGTON HALL CAP CORP | COM | 759587108 | 0 | 4275 | SH | DEFINED | 01 | 15 |
| REMOTEMDX INC COM | COM | 75961Q101 | 17 | 10040 | SH | DEFINED | 01 | 15 |
| RENOVIS INC | COM | 759885106 | 30 | 8098 | SH | DEFINED | 01 | 02 |
| REPCO INC | COM | 759909104 | 0 | 12 | SH | DEFINED | 01 | 15 |
| RENT-A-CENTER INC | COM | 76009N100 | 632 | 24116 | SH | DEFINED | 01 | 02 |
| RENTECH INC | COM | 760112102 | 34 | 13000 | SH | DEFINED | 01 | 15 |
| REPSOL YPF SA SPONS ADR | COM | 76026T205 | 104 | 2694 | SH | DEFINED | 01 | 15 |
| REPUBLIC AIRWAYS HOLDINGS IN | COM | 760276105 | 2322 | 114103 | SH | DEFINED | 01 | 02 |
| REPUBLIC AIRWAYS HOLDINGS IN | COM | 760276105 | 586 | 28800 | SH | DEFINED | 01 | 02 |
| REPUBLIC AIRWAYS HOLDINGS IN | COM | 760276105 | 177 | 8720 | SH | DEFINED | 01 | 03 |
| REPUBLIC BANCORP INC-CL A | COM | 760281204 | 1306 | 78723 | SH | DEFINED | 01 | 02 |
| REPUBLIC BANCORP INC-CL A | COM | 760281204 | 4 | 242 | SH | DEFINED | 01 | 15 |
| REPUBLIC RES INC NEV | COM | 76074P105 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| REPUBLIC SRVS CL-A | COM | 760759100 | 91 | 2980 | SH | DEFINED | 01 | 02 |
| REPUBLIC SRVS CL-A | COM | 760759100 | 4938 | 161173 | SH | DEFINED | 01 | 02 |
| REPUBLIC SRVS CL-A | COM | 760759100 | 117491 | 3843360 | SH | DEFINED | 01 | 10 |
| REPUBLIC SRVS CL-A | COM | 760759100 | 79 | 2582 | SH | DEFINED | 01 | 15 |
| RESEARCH FRONTIERS INC | COM | 760911107 | 1 | 100 | SH | DEFINED | 01 | 15 |
| RES-CARE INC | COM | 760943100 | 695 | 32885 | SH | DEFINED | 01 | 02 |
| RESEARCH IN MOTION | COM | 760975102 | 6093 | 30468 | SH | DEFINED | 01 | 02 |
| RESEARCH IN MOTION | COM | 760975102 | 137 | 685 | SH | DEFINED | 01 | 03 |
| RESEARCH IN MOTION | COM | 760975102 | 1350 | 6752 | SH | DEFINED | 01 | 15 |
| RESMED INC | COM | 761152107 | 12355 | 299439 | SH | DEFINED | 01 | 02 |
| RESMED INC | COM | 761152107 | 297 | 7203 | SH | DEFINED | 01 | 03 |
| RESMED INC | COM | 761152107 | 3236 | 78406 | SH | DEFINED | 01 | 10 |
| RESMED INC | COM | 761152107 | 8 | 195 | SH | DEFINED | 01 | 15 |
| RESORTS WORLD BHD SPON ADR | COM | 761191105 | 0 | 1225 | SH | DEFINED | 01 | 15 |
| RESOURCE AMERICA INC | COM | 761195205 | 248 | 12013 | SH | DEFINED | 01 | 02 |
| RESOURCE CAPITAL CORP | COM | 76120W302 | 2342 | 167500 | SH | DEFINED | 01 | 02 |
| RESOURCES CONNECTION | COM | 76122Q105 | 144 | 4343 | SH | DEFINED | 01 | 02 |
| RESOURCES CONNECTION | COM | 76122Q105 | 474 | 14297 | SH | DEFINED | 01 | 03 |
| RESPIRONICS INC | COM | 761230101 | 5148 | 120862 | SH | DEFINED | 01 | 02 |
| RESPIRONICS INC | COM | 761230101 | 326 | 7655 | SH | DEFINED | 01 | 15 |
| RESVERLOGIX CORP ISIN #CA76 | COM | 76128M108 | 5 | 350 | SH | DEFINED | 01 | 15 |
| RETAIL VENTURES INC | COM | 76128Y102 | 5 | 314 | SH | DEFINED | 01 | 02 |
| RETRACTABLE TECHNOLOGI | COM | 76129W105 | 1 | 450 | SH | DEFINED | 01 | 15 |
| REUTERS GRP PLC SPON ADR | COM | 76132M102 | 31 | 410 | SH | DEFINED | 01 | 15 |
| REVLON CL A | COM | 761525500 | 0 | 100 | SH | DEFINED | 01 | 15 |
| REWARDS NETWORK INC | COM | 761557107 | 231 | 56752 | SH | DEFINED | 01 | 02 |
| REWARDS NETWORK INC | COM | 761557107 | 8 | 2000 | SH | DEFINED | 01 | 15 |
| REXAM PLC SPON ADR NEW 2001 | COM | 761655406 | 5 | 102 | SH | DEFINED | 01 | 15 |
| REYNOLDS AMERICAN INC | COM | 761713106 | 74366 | 1140581 | SH | DEFINED | 01 | 02 |
| REYNOLDS AMERICAN INC | COM | 761713106 | 1846 | 28310 | SH | DEFINED | 01 | 03 |
| REYNOLDS AMERICAN INC | COM | 761713106 | 1628 | 25000 | SH | DEFINED | 01 | 10 |
| REYNOLDS AMERICAN INC | COM | 761713106 | 333 | 5112 | SH | DEFINED | 01 | 15 |
| RHEOLOGICS TECHNOLOGI | COM | 762072106 | 0 | 71 | SH | DEFINED | 01 | 15 |
| RHYTHMS NETCONNECT | COM | 762430205 | 0 | 5665 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| RICA FOODS INC | COM | 762582203 | 0 | 500 | SH | DEFINED | 01 | 15 |
| RICH COAST INC | COM | 762876100 | 0 | 500 | SH | DEFINED | 01 | 15 |
| RICKSHA INC | COM | 765642103 | 0 | 4100 | SH | DEFINED | 01 | 15 |
| RICOH LTD ADR | COM | 765658307 | 6 | 52 | SH | DEFINED | 01 | 15 |
| RIDGEVIEW INC NO 100HOLD | COM | 765905104 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| RIGHT NOW TECHNOLOGI | COM | 76657R106 | 7 | 405 | SH | DEFINED | 01 | 15 |
| RIMAGE CORP | COM | 766721104 | 102 | 3221 | SH | DEFINED | 01 | 02 |
| RINKER GROUP LTD SPON ADR | COM | 76687M101 | 40 | 500 | SH | DEFINED | 01 | 15 |
| RIO SIERRA SILVER | COM | 766902100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| RIO NARCEA GOLD MINELTD ISIN # | COM | 766909105 | 27 | 5300 | SH | DEFINED | 01 | 15 |
| RIO TINTO PLC ADR | COM | 767204100 | 28927 | 94495 | SH | DEFINED | 01 | 02 |
| RIO TINTO PLC ADR | COM | 767204100 | 286 | 933 | SH | DEFINED | 01 | 15 |
| RIPHEAN PLATINUM CRP | COM | 767307101 | 0 | 200 | SH | DEFINED | 01 | 15 |
| RITCHIE BROS. AUCTIONEERS | COM | 767744105 | 19543 | 312061 | SH | DEFINED | 01 | 02 |
| RITCHIE BROS. AUCTIONEERS | COM | 767744105 | 13 | 215 | SH | DEFINED | 01 | 15 |
| RITE AID CORP | COM | 767754104 | 576 | 90266 | SH | DEFINED | 01 | 02 |
| RITE AID CORP | COM | 767754104 | 204 | 31904 | SH | DEFINED | 01 | 15 |
| RIVERBED TECHNOLOGY INC | COM | 768573107 | 3772 | 86079 | SH | DEFINED | 01 | 02 |
| ESCROW RIVERSTONE NET | COM | 769320995 | 0 | 827 | SH | DEFINED | 01 | 15 |
| ROBBINS & MYERS INC | COM | 770196103 | 4321 | 81297 | SH | DEFINED | 01 | 02 |
| ROBBINS & MYERS INC | COM | 770196103 | 635 | 11950 | SH | DEFINED | 01 | 02 |
| ROBBINS & MYERS INC | COM | 770196103 | 218 | 4100 | SH | DEFINED | 01 | 03 |
| ROBERT HALF INTL INC | COM | 770323103 | 19877 | 544571 | SH | DEFINED | 01 | 02 |
| ROBERT HALF INTL INC | COM | 770323103 | 1458 | 39959 | SH | DEFINED | 01 | 03 |
| ROBERT HALF INTL INC | COM | 770323103 | 256 | 7022 | SH | DEFINED | 01 | 15 |
| ROBOTIC VISION SYSTEMS IN | COM | 771074309 | 0 | 700 | SH | DEFINED | 01 | 15 |
| ROCHE HLDG LTD ADR ISIN# | COM | 771195104 | 282 | 3180 | SH | DEFINED | 01 | 15 |
| ROCHEM ENVIRONMENTAL INC | COM | 771311107 | 0 | 12300 | SH | DEFINED | 01 | 15 |
| ROCHESTER ENERGY CORP NEW I | COM | 771357100 | 0 | 111 | SH | DEFINED | 01 | 15 |
| ROCHESTER GAS & ELECCORP PFD S | COM | 771367794 | 192 | 7690 | SH | DEFINED | 01 | 15 |
| ROCK TENN CO | COM | 772739207 | 6299 | 198629 | SH | DEFINED | 01 | 02 |
| ROCK TENN CO | COM | 772739207 | 799 | 25180 | SH | DEFINED | 01 | 02 |
| ROCK TENN CO | COM | 772739207 | 271 | 8550 | SH | DEFINED | 01 | 03 |
| ROCK TENN CO | COM | 772739207 | 16 | 509 | SH | DEFINED | 01 | 15 |
| ROCKWELL AUTOMATION INC | COM | 773903109 | 38676 | 556995 | SH | DEFINED | 01 | 02 |
| ROCKWELL AUTOMATION INC | COM | 773903109 | 2050 | 29515 | SH | DEFINED | 01 | 03 |
| ROCKWELL AUTOMATION INC | COM | 773903109 | 353 | 5076 | SH | DEFINED | 01 | 15 |
| ROCKVILLE FINL INC | COM | 774186100 | 5 | 300 | SH | DEFINED | 01 | 15 |
| ROCKWELL COLLINS INC. | COM | 774341101 | 21465 | 303868 | SH | DEFINED | 01 | 02 |
| ROCKWELL COLLINS INC. | COM | 774341101 | 2315 | 32767 | SH | DEFINED | 01 | 03 |
| ROCKWELL COLLINS INC. | COM | 774341101 | 771 | 10909 | SH | DEFINED | 01 | 15 |
| ROCKWOOD HLDG CO | COM | 774413108 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ROCKWOOD HOLDINGS INC | COM | 774415103 | 3605 | 98559 | SH | DEFINED | 01 | 02 |
| ROCKWOOD HOLDINGS INC | COM | 774415103 | 1174 | 32140 | SH | DEFINED | 01 | 02 |
| ROCKWOOD HOLDINGS INC | COM | 774415103 | 439 | 12000 | SH | DEFINED | 01 | 03 |
| ROCKWOOD HOLDINGS INC | COM | 774415103 | 19 | 513 | SH | DEFINED | 01 | 15 |
| ROFIN-SINAR TECHNOLOGIES INC | COM | 775043102 | 2131 | 30880 | SH | DEFINED | 01 | 02 |
| ROFIN-SINAR TECHNOLOGIES INC | COM | 775043102 | 17 | 248 | SH | DEFINED | 01 | 15 |
| ROGERS COMMUNICATION | COM | 775109200 | 6684 | 157327 | SH | DEFINED | 01 | 02 |
| ROGERS COMMUNICATION | COM | 775109200 | 35 | 826 | SH | DEFINED | 01 | 15 |
| ROGERS CORP | COM | 775133101 | 1072 | 28972 | SH | DEFINED | 01 | 02 |
| ROGERS CORP | COM | 775133101 | 18 | 500 | SH | DEFINED | 01 | 15 |
| ROHM & HAAS CO | COM | 775371107 | 2429 | 44442 | SH | DEFINED | 01 | 02 |
| ROHM & HAAS CO | COM | 775371107 | 1288 | 23550 | SH | DEFINED | 01 | 03 |
| ROHM & HAAS CO | COM | 775371107 | 5 | 100 | SH | DEFINED | 01 | 15 |
| ROLLINS INCORPORATED | COM | 775711104 | 1 | 45 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| ROLLS ROYCE GROUP PLC SPONSO | COM | 775781206 | 21 | 389 | SH | DEFINED | 01 | 15 |
| ROPER INDUSTRIES INC | COM | 776696106 | 7782 | 136283 | SH | DEFINED | 01 | 02 |
| ROPER INDUSTRIES INC | COM | 776696106 | 3107 | 54492 | SH | DEFINED | 01 | 10 |
| ROPER INDUSTRIES INC | COM | 776696106 | 549 | 9610 | SH | DEFINED | 01 | 15 |
| ROSETTA RESOURCES INC | COM | 777779307 | 2905 | 134848 | SH | DEFINED | 01 | 02 |
| ROSETTA RESOURCES INC | COM | 777779307 | 969 | 44970 | SH | DEFINED | 01 | 02 |
| ROSETTA RESOURCES INC | COM | 777779307 | 355 | 16500 | SH | DEFINED | 01 | 03 |
| ROSS STORES INC | COM | 778296103 | 16 | 508 | SH | DEFINED | 01 | 03 |
| ROSS STORES INC | COM | 778296103 | 1173 | 38078 | SH | DEFINED | 01 | 15 |
| ROWAN COMPANIES INC | COM | 779382100 | 224 | 5455 | SH | DEFINED | 01 | 02 |
| ROWAN COMPANIES INC | COM | 779382100 | 750 | 18309 | SH | DEFINED | 01 | 03 |
| ROWAN COMPANIES INC | COM | 779382100 | 15067 | 368487 | SH | DEFINED | 01 | 10 |
| ROWAN COMPANIES INC | COM | 779382100 | 114 | 2780 | SH | DEFINED | 01 | 15 |
| ROYAL ALLIANCE VENTURES C | COM | 78004W101 | 0 | 1 | SH | DEFINED | 01 | 15 |
| ROYAL BK OF CANADA ISIN #CA78 | COM | 780087102 | 43 | 810 | SH | DEFINED | 01 | 15 |
| ROYAL BK SCOTLAND GROUP PLC | COM | 780097762 | 37 | 1550 | SH | DEFINED | 01 | 15 |
| ROYAL BK SCOTLAND GROUP PLC | COM | 780097770 | 24 | 1000 | SH | DEFINED | 01 | 15 |
| ROYAL BK SCOTLAND GROUP PLC | COM | 780097796 | 605 | 24910 | SH | DEFINED | 01 | 15 |
| ROYAL BK OF SCOTLAND 7.65% NON- | COM | 780097804 | 26 | 1000 | SH | DEFINED | 01 | 15 |
| ROYAL BK SCOTLAND 7.25% SER | COM | 780097879 | 198 | 7807 | SH | DEFINED | 01 | 15 |
| ROYAL DUTCH SHELL PLC SPONS | COM | 780259107 | 508 | 6093 | SH | DEFINED | 01 | 15 |
| ROYAL DUTCH SHELL A | COM | 780259206 | 40649 | 500619 | SH | DEFINED | 01 | 02 |
| ROYAL DUTCH SHELL A | COM | 780259206 | 2210 | 27215 | SH | DEFINED | 01 | 15 |
| ROYAL GOLD INC | COM | 780287108 | 37 | 1558 | SH | DEFINED | 01 | 15 |
| ROYAL OAK MINES INC | COM | 78051D105 | 0 | 6300 | SH | DEFINED | 01 | 15 |
| ROYAL KPN N V SPON ADR | COM | 780641205 | 21 | 1250 | SH | DEFINED | 01 | 15 |
| ROYCE FOCUS TRUST INC | COM | 78080N108 | 25 | 2177 | SH | DEFINED | 01 | 15 |
| ROYCE FOCUS TR INC PFD 6.00% | COM | 78080N306 | 62 | 2535 | SH | DEFINED | 01 | 15 |
| ROYCE VALUE TR INC | COM | 780910105 | 233 | 10866 | SH | DEFINED | 01 | 15 |
| ROYCE VALUE TR INC PFD 5.90% | COM | 780910402 | 47 | 2010 | SH | DEFINED | 01 | 15 |
| ROYCE MICRO-CAP TRUST INC | COM | 780915104 | 60 | 3868 | SH | DEFINED | 01 | 15 |
| ROYCE MICRO CAP TRUST 6.00 | COM | 780915302 | 14 | 600 | SH | DEFINED | 01 | 15 |
| RUBY TUESDAY INC | COM | 781182100 | 2728 | 103600 | SH | DEFINED | 01 | 02 |
| RUBY TUESDAY INC | COM | 781182100 | 766 | 29075 | SH | DEFINED | 01 | 15 |
| RUDDICK CORP | COM | 781258108 | 11059 | 367168 | SH | DEFINED | 01 | 02 |
| RUDOLPH TECHNOLOGIES INC | COM | 781270103 | 1197 | 72066 | SH | DEFINED | 01 | 02 |
| RUDOLPH TECHNOLOGIES INC | COM | 781270103 | 146 | 8797 | SH | DEFINED | 01 | 15 |
| RUSH ENTERPRISES INC-CL A | COM | 781846209 | 1071 | 49287 | SH | DEFINED | 01 | 02 |
| RURAL CELLULAR | COM | 781904107 | 30 | 690 | SH | DEFINED | 01 | 02 |
| RUSS BERRIE & CO INC | COM | 782233100 | 354 | 19026 | SH | DEFINED | 01 | 02 |
| RUTH'S CHRIS STEAK HOUSE | COM | 783332109 | 485 | 28545 | SH | DEFINED | 01 | 02 |
| RYANAIR HOLDINGS ADR | COM | 783513104 | 16 | 430 | SH | DEFINED | 01 | 03 |
| RYANAIR HOLDINGS ADR | COM | 783513104 | 489 | 12961 | SH | DEFINED | 01 | 11 |
| RYANAIR HOLDINGS ADR | COM | 783513104 | 3363 | 89094 | SH | DEFINED | 01 | 15 |
| RYDER SYSTEM INC | COM | 783549108 | 1799 | 33423 | SH | DEFINED | 01 | 02 |
| RYDER SYSTEM INC | COM | 783549108 | 544 | 10115 | SH | DEFINED | 01 | 03 |
| RYDER SYSTEM INC | COM | 783549108 | 20 | 373 | SH | DEFINED | 01 | 15 |
| RYDEX ETF TR S&P 500 EQ | COM | 78355W106 | 19740 | 385556 | SH | DEFINED | 01 | 15 |
| RYDEX ETF TR RUSSELL TO | COM | 78355W205 | 105 | 950 | SH | DEFINED | 01 | 15 |
| RYDEX ETF TR S&P EQUAL WEIG | COM | 78355W866 | 9 | 155 | SH | DEFINED | 01 | 15 |
| RYERSON INC | COM | 78375P107 | 3623 | 96216 | SH | DEFINED | 01 | 02 |
| RYERSON INC | COM | 78375P107 | 459 | 12190 | SH | DEFINED | 01 | 02 |
| RYERSON INC | COM | 78375P107 | 177 | 4700 | SH | DEFINED | 01 | 03 |
| RYLAND GROUP INC | COM | 783764103 | 692 | 18516 | SH | DEFINED | 01 | 02 |
| RYLAND GROUP INC | COM | 783764103 | 52 | 1382 | SH | DEFINED | 01 | 15 |
| S & P 500 COVERED CALL FD IN | COM | 78381P109 | 614 | 32977 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| S & P 500 GEARED FD INC COM | COM | 78381R105 | 76 | 3700 | SH | DEFINED | 01 | 15 |
| S & T BANCORP INC | COM | 783859101 | 25 | 773 | SH | DEFINED | 01 | 02 |
| SBA COMMUNICATIONS | COM | 78388J106 | 19430 | 578457 | SH | DEFINED | 01 | 02 |
| SBA COMMUNICATIONS | COM | 78388J106 | 170 | 5075 | SH | DEFINED | 01 | 03 |
| SBA COMMUNICATIONS | COM | 78388J106 | 8525 | 253912 | SH | DEFINED | 01 | 10 |
| SAIC INC | COM | 78390X101 | 5217 | 288663 | SH | DEFINED | 01 | 02 |
| SAIC INC | COM | 78390X101 | 981 | 54260 | SH | DEFINED | 01 | 02 |
| SAIC INC | COM | 78390X101 | 367 | 20300 | SH | DEFINED | 01 | 03 |
| SAIC INC | COM | 78390X101 | 969 | 53598 | SH | DEFINED | 01 | 15 |
| SBT BANCORP INC CONNCOM | COM | 78391C106 | 17 | 559 | SH | DEFINED | 01 | 15 |
| SCMP GROUP LTD SPON ADR | COM | 78402H102 | 1 | 300 | SH | DEFINED | 01 | 15 |
| SCPIE HOLDINGS INC | COM | 78402P104 | 413 | 16530 | SH | DEFINED | 01 | 02 |
| SCOLR PHARMA INC FORMERLY S | COM | 78402X107 | 7 | 2970 | SH | DEFINED | 01 | 15 |
| SCO GROUP INC | COM | 78403A106 | 0 | 250 | SH | DEFINED | 01 | 15 |
| SEI INVESTMENTS COMPANY | COM | 784117103 | 654 | 22510 | SH | DEFINED | 01 | 02 |
| SEI INVESTMENTS COMPANY | COM | 784117103 | 739 | 25456 | SH | DEFINED | 01 | 15 |
| SEMCO ENERGY INC | COM | 78412D109 | 107 | 13769 | SH | DEFINED | 01 | 02 |
| SGL INTERNATIONAL COM NEW | COM | 784185209 | 0 | 65 | SH | DEFINED | 01 | 15 |
| SGL CARBON AG SPON ADR ISIN # | COM | 784188203 | 1 | 42 | SH | DEFINED | 01 | 15 |
| SI INTERNATIONAL INC | COM | 78427V102 | 705 | 21340 | SH | DEFINED | 01 | 02 |
| SI INTERNATIONAL INC | COM | 78427V102 | 19 | 561 | SH | DEFINED | 01 | 15 |
| SIMCO AMER INC COM | COM | 78427X108 | 0 | 5 | SH | DEFINED | 01 | 15 |
| SJW CORP | COM | 784305104 | 1958 | 58813 | SH | DEFINED | 01 | 02 |
| SJW CORP | COM | 784305104 | 234 | 7023 | SH | DEFINED | 01 | 15 |
| SKF AB SPONSORED ADR PAR S | COM | 784375404 | 4 | 200 | SH | DEFINED | 01 | 15 |
| SKG INTERACTIVE INC COM ISIN # | COM | 784404105 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| SK TELECOM CO LTD-ADR | COM | 78440P108 | 9472 | 346320 | SH | DEFINED | 01 | 02 |
| SK TELECOM CO LTD-ADR | COM | 78440P108 | 5822 | 213261 | SH | DEFINED | 01 | 10 |
| SK TELECOM CO LTD-ADR | COM | 78440P108 | 609 | 22258 | SH | DEFINED | 01 | 15 |
| SL GREEN REALTY CORP | COM | 78440X101 | 7341 | 59264 | SH | DEFINED | 01 | 02 |
| SL GREEN REALTY CORP | COM | 78440X101 | 617 | 4991 | SH | DEFINED | 01 | 10 |
| SLM CORP | COM | 78442P106 | 5862 | 101811 | SH | DEFINED | 01 | 02 |
| SLM CORP | COM | 78442P106 | 3920 | 68080 | SH | DEFINED | 01 | 03 |
| SLM CORP | COM | 78442P106 | 1592 | 27653 | SH | DEFINED | 01 | 10 |
| SLM CORP | COM | 78442P106 | 156 | 2717 | SH | DEFINED | 01 | 15 |
| SLM CORP SR NT 6.00% 12/1 | COM | 78442P304 | 53 | 2726 | SH | DEFINED | 01 | 15 |
| SLI INC NO 100HOLD | COM | 78442T108 | 0 | 100 | SH | DEFINED | 01 | 15 |
| SMC VENTURES INC CL A ISIN | COM | 784487100 | 0 | 50 | SH | DEFINED | 01 | 15 |
| S&P 500 DEP RECPT | COM | 78462F103 | 84254 | 561432 | SH | DEFINED | 01 | 10 |
| S&P 500 DEP RECPT | COM | 78462F103 | 15961 | 106101 | SH | DEFINED | 01 | 15 |
| SPSS INC | COM | 78462K102 | 3832 | 86854 | SH | DEFINED | 01 | 02 |
| SPX CORP | COM | 784635104 | 615 | 6997 | SH | DEFINED | 01 | 02 |
| SPX CORP | COM | 784635104 | 15 | 174 | SH | DEFINED | 01 | 15 |
| S1 CORP | COM | 78463B101 | 1295 | 162145 | SH | DEFINED | 01 | 02 |
| S1 CORP | COM | 78463B101 | 1 | 166 | SH | DEFINED | 01 | 15 |
| SRA INTERNATIONAL INC | COM | 78464R105 | 604 | 23900 | SH | DEFINED | 01 | 02 |
| SRA INTERNATIONAL INC | COM | 78464R105 | 356 | 14088 | SH | DEFINED | 01 | 03 |
| STEC INC | COM | 784774101 | 20 | 3135 | SH | DEFINED | 01 | 15 |
| SVB FINANCIAL GROUP | COM | 78486Q101 | 4732 | 89106 | SH | DEFINED | 01 | 02 |
| SVB FINANCIAL GROUP | COM | 78486Q101 | 1185 | 22300 | SH | DEFINED | 01 | 02 |
| SVB FINANCIAL GROUP | COM | 78486Q101 | 532 | 10012 | SH | DEFINED | 01 | 03 |
| SWS GROUP INC | COM | 78503N107 | 6164 | 285158 | SH | DEFINED | 01 | 02 |
| SWS GROUP INC | COM | 78503N107 | 660 | 30530 | SH | DEFINED | 01 | 02 |
| SWS GROUP INC | COM | 78503N107 | 249 | 11500 | SH | DEFINED | 01 | 03 |
| S.Y. BANCORP INC | COM | 785060104 | 352 | 14800 | SH | DEFINED | 01 | 02 |
| SABINE ROYALTY TR UNIT BEN I | COM | 785688102 | 13 | 315 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| SABRATEK CORP NO 100HOLD | COM | 78571U108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| SABMILLER PLC SPON ADR | COM | 78572M105 | 3 | 100 | SH | DEFINED | 01 | 15 |
| SADIA SA SPONSORED ADR | COM | 786326108 | 111 | 2375 | SH | DEFINED | 01 | 15 |
| SAF T LOK INC INC COM NE | COM | 78636P201 | 0 | 20 | SH | DEFINED | 01 | 15 |
| SAFECO CORP | COM | 786429100 | 77463 | 1244167 | SH | DEFINED | 01 | 02 |
| SAFECO CORP | COM | 786429100 | 1094 | 17572 | SH | DEFINED | 01 | 03 |
| SAFECO CORP | COM | 786429100 | 151 | 2428 | SH | DEFINED | 01 | 15 |
| SAFEGUARD SCIENTIFICINC | COM | 786449108 | 0 | 100 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| SAFETY COMPONENTS INTL INC | COM | 786474106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| SAFETY INSURANCE GROUP INC | COM | 78648T100 | 7382 | 178328 | SH | DEFINED | 01 | 02 |
| SAFETY INSURANCE GROUP INC | COM | 78648T100 | 1368 | 33047 | SH | DEFINED | 01 | 02 |
| SAFETY INSURANCE GROUP INC | COM | 78648T100 | 484 | 11691 | SH | DEFINED | 01 | 03 |
| SAFETY INSURANCE GROUP INC | COM | 78648T100 | 9 | 218 | SH | DEFINED | 01 | 15 |
| SAFEWAY INC | COM | 786514208 | 24607 | 723136 | SH | DEFINED | 01 | 02 |
| SAFEWAY INC | COM | 786514208 | 2487 | 73073 | SH | DEFINED | 01 | 03 |
| SAFEWAY INC | COM | 786514208 | 3788 | 111325 | SH | DEFINED | 01 | 15 |
| SAFLINK CORP COM NEW | COM | 786578302 | 0 | 600 | SH | DEFINED | 01 | 15 |
| SAGE GROUP PLC UNSPONSORE | COM | 78663S102 | 2 | 110 | SH | DEFINED | 01 | 15 |
| SAIA INC | COM | 78709Y105 | 2442 | 89579 | SH | DEFINED | 01 | 02 |
| THE ST JOE COMPANY | COM | 790148100 | 27941 | 602945 | SH | DEFINED | 01 | 02 |
| THE ST JOE COMPANY | COM | 790148100 | 293 | 6313 | SH | DEFINED | 01 | 03 |
| THE ST JOE COMPANY | COM | 790148100 | 231 | 4981 | SH | DEFINED | 01 | 15 |
| ST JUDE MEDICAL INC | COM | 790849103 | 48708 | 1173945 | SH | DEFINED | 01 | 02 |
| ST JUDE MEDICAL INC | COM | 790849103 | 8047 | 193944 | SH | DEFINED | 01 | 02 |
| ST JUDE MEDICAL INC | COM | 790849103 | 3234 | 77944 | SH | DEFINED | 01 | 03 |
| ST JUDE MEDICAL INC | COM | 790849103 | 5840 | 140957 | SH | DEFINED | 01 | 10 |
| ST JUDE MEDICAL INC | COM | 790849103 | 959 | 23114 | SH | DEFINED | 01 | 15 |
| ST MARY LAND & EXPLORATION | COM | 792228108 | 4061 | 110891 | SH | DEFINED | 01 | 02 |
| ST MARY LAND & EXPLORATION | COM | 792228108 | 7 | 195 | SH | DEFINED | 01 | 15 |
| SAKS INC | COM | 79377W108 | 579 | 27102 | SH | DEFINED | 01 | 02 |
| SAKS INC | COM | 79377W108 | 6 | 258 | SH | DEFINED | 01 | 15 |
| SALARY.COM INC | COM | 794006106 | 152 | 12673 | SH | DEFINED | 01 | 02 |
| SALEM COMMUNICATIONSCORP CL A | COM | 794093104 | 216 | 19500 | SH | DEFINED | 01 | 15 |
| SALESFORCE.COM INC | COM | 79466L302 | 5044 | 117678 | SH | DEFINED | 01 | 02 |
| SALESFORCE.COM INC | COM | 79466L302 | 11201 | 261892 | SH | DEFINED | 01 | 10 |
| SALESFORCE.COM INC | COM | 79466L302 | 48 | 1117 | SH | DEFINED | 01 | 15 |
| SALIX PHARMACEUT | COM | 795435106 | 96 | 7780 | SH | DEFINED | 01 | 15 |
| SALLY BEAUTY HLDGS INC COM | COM | 79546E104 | 64 | 7105 | SH | DEFINED | 01 | 15 |
| SALTON INC | COM | 795757103 | 0 | 60 | SH | DEFINED | 01 | 15 |
| SAMARITAN PHARMACEUT | COM | 79586Q108 | 1 | 5000 | SH | DEFINED | 01 | 15 |
| SAN DIEGO G & E | PRF | 797440831 | 817 | 32725 | SH | DEFINED | 01 | 04 |
| SAN JUAN BASIN RTY TR UNIT BE | COM | 798241105 | 290 | 9108 | SH | DEFINED | 01 | 15 |
| SANCON RES RECOVERY INC COM | COM | 79970T106 | 0 | 21 | SH | DEFINED | 01 | 15 |
| SANCTUARY WOODS MULTIMEDIA | COM | 79971E207 | 0 | 100 | SH | DEFINED | 01 | 15 |
| SANDERSON FARMS INC | COM | 800013104 | 1750 | 38870 | SH | DEFINED | 01 | 02 |
| SANDERSON FARMS INC | COM | 800013104 | 3 | 76 | SH | DEFINED | 01 | 15 |
| SANDISK CORP | COM | 80004C101 | 77044 | 1574269 | SH | DEFINED | 01 | 02 |
| SANDISK CORP | COM | 80004C101 | 2833 | 57908 | SH | DEFINED | 01 | 03 |
| SANDISK CORP | COM | 80004C101 | 960 | 19624 | SH | DEFINED | 01 | 15 |
| SANDSTON CORP | COM | 800111106 | 0 | 40 | SH | DEFINED | 01 | 15 |
| SANDVIK AB ADR | COM | 800212201 | 4 | 200 | SH | DEFINED | 01 | 15 |
| SANMINA-SCI CORP | COM | 800907107 | 82 | 26036 | SH | DEFINED | 01 | 02 |
| SANMINA-SCI CORP | COM | 800907107 | 274 | 87684 | SH | DEFINED | 01 | 03 |
| SANMINA-SCI CORP | COM | 800907107 | 17 | 5296 | SH | DEFINED | 01 | 15 |
| SANGUINE CORP | COM | 801006107 | 2 | 16600 | SH | DEFINED | 01 | 15 |
| SANOFI-AVENTIS-ADR | COM | 80105N105 | 3201 | 79497 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|----------|-----------|--------|---------|----|---------|----|----|
| SANOFI-AVENTIS-ADR | COM | 80105N105 | 601 | 14918 | SH | DEFINED | 01 | 15 |
| SANTA FE ENERGY TR SPERS RCPT | COM | 802013102 | 41 | 1650 | SH | DEFINED | 01 | 15 |
| SANTA FE INTL INC COLO | COM | 802054106 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| SANTARUS INC | COM | 802817304 | 0 | 82 | SH | DEFINED | 01 | 15 |
| SAP AG SPON ADR | COM | 803054204 | 192 | 3763 | SH | DEFINED | 01 | 15 |
| SAPIENT CORPORATION | COM | 803062108 | 1514 | 195840 | SH | DEFINED | 01 | 02 |
| | | | | | | | | |
| SARA LEE CORP | COM | 803111103 | 24549 | 1410937 | SH | DEFINED | 01 | 02 |
| SARA LEE CORP | COM | 803111103 | 2115 | 121563 | SH | DEFINED | 01 | 03 |
| SARA LEE CORP | COM | 803111103 | 410 | 23545 | SH | DEFINED | 01 | 15 |
| SASOL LTD SPON ADR | COM | 803866300 | 228 | 6066 | SH | DEFINED | 01 | 15 |
| SATCON TECHNOLOGY CORP | COM | 803893106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| SATURN FORD MTR CO 2003 5 TR | COM | 804085207 | 4 | 200 | SH | DEFINED | 01 | 15 |
| SATYAM COMPUTER SERVICES-ADR | COM | 804098101 | 2484 | 100346 | SH | DEFINED | 01 | 02 |
| SATYAM COMPUTER SERVICES-ADR | COM | 804098101 | 390 | 15764 | SH | DEFINED | 01 | 10 |
| SATYAM COMPUTER SERVICES-ADR | COM | 804098101 | 107 | 4337 | SH | DEFINED | 01 | 15 |
| SATURNS ALTRIA GROUP INC 2003 | COM | 804104206 | 8 | 300 | SH | DEFINED | 01 | 15 |
| SATURNS DPL 2002-3 PFD | COM | 80410A202 | 20 | 800 | SH | DEFINED | 01 | 15 |
| SATURNS VERIZON GLOBAL FDG | COM | 80410F201 | 5 | 200 | SH | DEFINED | 01 | 15 |
| SATURNS CR SUISSE FIRST BOST | COM | 80410G209 | 25 | 1000 | SH | DEFINED | 01 | 15 |
| SATURNS 2005 3 LTD BRANDS INC | COM | 80410Q207 | 4 | 150 | SH | DEFINED | 01 | 15 |
| SATURNS DAIMLERCHR | COM | 804110203 | 102 | 4000 | SH | DEFINED | 01 | 15 |
| SATURNS GOLDMAN SACHS CAP | COM | 804111201 | 87 | 3760 | SH | DEFINED | 01 | 15 |
| SATURNS GOLDMAN SACHS GROU | COM | 80411D106 | 92 | 4000 | SH | DEFINED | 01 | 15 |
| SAUER-DANFOSS INC | COM | 804137107 | 517 | 17342 | SH | DEFINED | 01 | 02 |
| SAUL CENTERS INC | COM | 804395101 | 1400 | 30863 | SH | DEFINED | 01 | 02 |
| SAVIENT PHARMACEUTICALS INC | COM | 80517Q100 | 2409 | 193834 | SH | DEFINED | 01 | 02 |
| SAVIENT PHARMACEUTICALS INC | COM | 80517Q100 | 31 | 2500 | SH | DEFINED | 01 | 15 |
| SAVVIS INC | COM | 805423308 | 5575 | 112598 | SH | DEFINED | 01 | 02 |
| SAVVIS INC | COM | 805423308 | 2200 | 44441 | SH | DEFINED | 01 | 10 |
| SBERBANK RF-\$ US | COM | 805650991 | 31594 | 8101 | SH | DEFINED | 01 | 02 |
| SCAN OPTICS INC NO 100HOLD | COM | 805894102 | 0 | 500 | SH | DEFINED | 01 | 15 |
| SCANA CORP NEW | COM | 80589M102 | 201 | 5254 | SH | DEFINED | 01 | 15 |
| SCANSOURCE INC | COM | 806037107 | 4246 | 132720 | SH | DEFINED | 01 | 02 |
| SCANSOURCE INC | COM | 806037107 | 137 | 4289 | SH | DEFINED | 01 | 15 |
| SCHAWK INC | COM | 806373106 | 3485 | 174040 | SH | DEFINED | 01 | 02 |
| SCHAWK INC | COM | 806373106 | 105 | 5230 | SH | DEFINED | 01 | 15 |
| HENRY SCHEIN INC | COM | 806407102 | 2180 | 40808 | SH | DEFINED | 01 | 02 |
| HENRY SCHEIN INC | COM | 806407102 | 10772 | 201719 | SH | DEFINED | 01 | 10 |
| HENRY SCHEIN INC | COM | 806407102 | 3401 | 63652 | SH | DEFINED | 01 | 15 |
| SCHERING-PLOUGH CORP | COM | 806605101 | 155385 | 5104602 | SH | DEFINED | 01 | 02 |
| SCHERING-PLOUGH CORP | COM | 806605101 | 7682 | 252384 | SH | DEFINED | 01 | 03 |
| SCHERING-PLOUGH CORP | COM | 806605101 | 1423 | 46785 | SH | DEFINED | 01 | 10 |
| SCHERING-PLOUGH CORP | COM | 806605101 | 1992 | 65431 | SH | DEFINED | 01 | 15 |
| SCHERING-PLOUGH CORP | CONV PRF | 806605606 | 32320 | 468900 | SH | DEFINED | 01 | 02 |
| SCHERING-PLOUGH CORP | CONV PRF | 806605606 | 14 | 200 | SH | DEFINED | 01 | 15 |
| SCHLOTZSKY'S INC | COM | 806832101 | 0 | 100 | SH | DEFINED | 01 | 15 |
| SCHLUMBERGER LTD | COM | 806857108 | 177243 | 2086685 | SH | DEFINED | 01 | 02 |
| SCHLUMBERGER LTD | COM | 806857108 | 17098 | 201293 | SH | DEFINED | 01 | 03 |
| SCHLUMBERGER LTD | COM | 806857108 | 1076 | 12670 | SH | DEFINED | 01 | 10 |
| SCHLUMBERGER LTD | COM | 806857108 | 3395 | 39969 | SH | DEFINED | 01 | 15 |
| SCHNITZER STEEL INDS INC-A | COM | 806882106 | 745 | 15544 | SH | DEFINED | 01 | 02 |
| SCHNITZER STEEL INDS INC-A | COM | 806882106 | 28 | 590 | SH | DEFINED | 01 | 15 |
| SCHOLASTIC CORP | COM | 807066105 | 383 | 10655 | SH | DEFINED | 01 | 02 |
| SCHOLASTIC CORP | COM | 807066105 | 4 | 119 | SH | DEFINED | 01 | 15 |
| SCHOOL SPECIALTY INC | COM | 807863105 | 7349 | 207384 | SH | DEFINED | 01 | 02 |
| SCHULMAN (A.) INC | COM | 808194104 | 969 | 39835 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| SCHWAB (CHARLES) CORP | COM | 808513105 | 11075 | 539763 | SH | DEFINED | 01 | 02 |
| SCHWAB (CHARLES) CORP | COM | 808513105 | 3436 | 167435 | SH | DEFINED | 01 | 03 |
| SCHWAB (CHARLES) CORP | COM | 808513105 | 35206 | 1718969 | SH | DEFINED | 01 | 10 |
| SCHWAB (CHARLES) CORP | COM | 808513105 | 935 | 45568 | SH | DEFINED | 01 | 15 |
| SCHWEITZER-MAUDUIT INTERNATION | COM | 808541106 | 904 | 29154 | SH | DEFINED | 01 | 02 |
| SCIELE PHARMA INC | COM | 808627103 | 8018 | 340385 | SH | DEFINED | 01 | 02 |
| SCIELE PHARMA INC | COM | 808627103 | 720 | 30560 | SH | DEFINED | 01 | 02 |
| SCIELE PHARMA INC | COM | 808627103 | 267 | 11330 | SH | DEFINED | 01 | 03 |
| SCIELE PHARMA INC | COM | 808627103 | 86 | 3640 | SH | DEFINED | 01 | 15 |
| SCIENT INC COM NEW NO | COM | 808649305 | 0 | 185 | SH | DEFINED | 01 | 15 |
| SCIENTIFIC SYS INC CL A NO ST | COM | 808797104 | 0 | 1200 | SH | DEFINED | 01 | 15 |
| SCIVANTA MED CORP | COM | 809120108 | 5 | 28694 | SH | DEFINED | 01 | 15 |
| THE SCOTTS MIRACLE GRO COMPAN | COM | 810186106 | 52 | 1214 | SH | DEFINED | 01 | 15 |
| EW SCRIPPS CO | COM | 811054204 | 188 | 4106 | SH | DEFINED | 01 | 02 |
| EW SCRIPPS CO | COM | 811054204 | 630 | 13790 | SH | DEFINED | 01 | 03 |
| EW SCRIPPS CO | COM | 811054204 | 55 | 1200 | SH | DEFINED | 01 | 15 |
| SEA LAND CORP RTS | COM | 811408111 | 0 | 8 | SH | DEFINED | 01 | 15 |
| SEABOARD CORP | COM | 811543107 | 3373 | 1438 | SH | DEFINED | 01 | 02 |
| SEABOARD CORP | COM | 811543107 | 2 | 1 | SH | DEFINED | 01 | 15 |
| SEABRIGHT INSURANCE HOLDINGS | COM | 811656107 | 5062 | 289543 | SH | DEFINED | 01 | 02 |
| SEABRIGHT INSURANCE HOLDINGS | COM | 811656107 | 1552 | 88788 | SH | DEFINED | 01 | 02 |
| SEABRIGHT INSURANCE HOLDINGS | COM | 811656107 | 484 | 27703 | SH | DEFINED | 01 | 03 |
| SEACHANGE INTL INC | COM | 811699107 | 0 | 50 | SH | DEFINED | 01 | 15 |
| SEACOAST BKG CORP FLA COM | COM | 811707306 | 226 | 10409 | SH | DEFINED | 01 | 15 |
| ESCROW SEAGATE TECHNOLOGY | COM | 811804988 | 0 | 10330 | SH | DEFINED | 01 | 15 |
| SEACOR HOLDINGS INC | COM | 811904101 | 3594 | 38488 | SH | DEFINED | 01 | 02 |
| SEAHAWK DEEP OCEAN TECH INC C | COM | 812015204 | 0 | 65 | SH | DEFINED | 01 | 15 |
| SEALED AIR CORP | COM | 81211K100 | 38971 | 1256336 | SH | DEFINED | 01 | 02 |
| SEALED AIR CORP | COM | 81211K100 | 829 | 26732 | SH | DEFINED | 01 | 03 |
| SEALED AIR CORP | COM | 81211K100 | 206 | 6626 | SH | DEFINED | 01 | 15 |
| SEALY CORP | COM | 812139301 | 460 | 27861 | SH | DEFINED | 01 | 15 |
| SEARCH FINANCIAL SERVICES N | COM | 812209104 | 0 | 162 | SH | DEFINED | 01 | 15 |
| SEARS HOLDINGS CORP | COM | 812350106 | 10234 | 60378 | SH | DEFINED | 01 | 02 |
| SEARS HOLDINGS CORP | COM | 812350106 | 2410 | 14222 | SH | DEFINED | 01 | 03 |
| SEARS HOLDINGS CORP | COM | 812350106 | 4652 | 27445 | SH | DEFINED | 01 | 15 |
| SEATTLE GENETICS INC | COM | 812578102 | 5 | 500 | SH | DEFINED | 01 | 15 |
| MATERIALS SELECT SECTOR SPDR | COM | 81369Y100 | 3367 | 83326 | SH | DEFINED | 01 | 02 |
| MATERIALS SELECT SECTOR SPDR | COM | 81369Y100 | 1006 | 24843 | SH | DEFINED | 01 | 15 |
| SELECT SECTOR SPDR TR HEALTH | COM | 81369Y209 | 215 | 6075 | SH | DEFINED | 01 | 15 |
| SECTOR SPDR TR SHS BEN INT CO | COM | 81369Y308 | 341 | 12596 | SH | DEFINED | 01 | 15 |
| CONSUMER DISCRETIONARY SELT | COM | 81369Y407 | 2515 | 64029 | SH | DEFINED | 01 | 02 |
| CONSUMER DISCRETIONARY SELT | COM | 81369Y407 | 135 | 3445 | SH | DEFINED | 01 | 15 |
| ENERGY SELECT SECTOR SPDR | COM | 81369Y506 | 1216 | 17629 | SH | DEFINED | 01 | 15 |
| FINANCIAL SELECT SECTOR SPDR | COM | 81369Y605 | 6650 | 183852 | SH | DEFINED | 01 | 02 |
| FINANCIAL SELECT SECTOR SPDR | COM | 81369Y605 | 904 | 24982 | SH | DEFINED | 01 | 15 |
| SECTOR SPDR TR SHS BEN INT IN | COM | 81369Y704 | 219 | 5618 | SH | DEFINED | 01 | 15 |
| SECTOR SPDR TR SHS BEN INT TE | COM | 81369Y803 | 360 | 14053 | SH | DEFINED | 01 | 15 |
| SECTOR SPDR TR SHS BEN INT UT | COM | 81369Y886 | 89 | 2255 | SH | DEFINED | 01 | 15 |
| SECURE COMPUTING CORP | COM | 813705100 | 838 | 110345 | SH | DEFINED | 01 | 02 |
| SECURE COMPUTING CORP | COM | 813705100 | 255 | 33550 | SH | DEFINED | 01 | 15 |
| SECURE ALLIANCE HLDGS CORP | COM | 81372F106 | 4 | 5000 | SH | DEFINED | 01 | 15 |
| SECURITY BANK CORP | COM | 814047106 | 1117 | 55600 | SH | DEFINED | 01 | 02 |
| SECURITY BANK CORP | COM | 814047106 | 82 | 4076 | SH | DEFINED | 01 | 15 |
| SEDONA CORP PA | COM | 815677109 | 0 | 500 | SH | DEFINED | 01 | 15 |
| SEGA SAMMY HLDGS INCSPONSORED | COM | 815794102 | 255 | 63105 | SH | DEFINED | 01 | 15 |
| SEISMED INSTRS INC | COM | 815906102 | 0 | 2500 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| SEILON INC | COM | 816051106 | 0 | 376 | SH | DEFINED | 01 | 15 |
| SELECT COMFORT CORPORATION | COM | 81616X103 | 8119 | 500562 | SH | DEFINED | 01 | 02 |
| SELECT COMFORT CORPORATION | COM | 81616X103 | 155 | 9557 | SH | DEFINED | 01 | 15 |
| SELECTIVE INSURANCE GROUP | COM | 816300107 | 11194 | 416496 | SH | DEFINED | 01 | 02 |
| SELECTIVE INSURANCE GROUP | COM | 816300107 | 1432 | 53320 | SH | DEFINED | 01 | 02 |
| SELECTIVE INSURANCE GROUP | COM | 816300107 | 505 | 18780 | SH | DEFINED | 01 | 03 |
| SELECTIVE INSURANCE GROUP | COM | 816300107 | 117 | 4346 | SH | DEFINED | 01 | 15 |
| SELIGMAN SELECT MUN FD INC | COM | 816344105 | 31 | 3133 | SH | DEFINED | 01 | 15 |
| SELWYN RES LTD COM ISIN #CA81 | COM | 81662B104 | 3 | 5000 | SH | DEFINED | 01 | 15 |
| SEMICONDUCTOR HOLDRSTR DEP RCP | COM | 816636203 | 263 | 6925 | SH | DEFINED | 01 | 15 |
| SEMICONDUCTOR MANUFACT-ADR | COM | 81663N206 | 18824 | 2732200 | SH | DEFINED | 01 | 02 |
| SEMOTUS SOLUTIONS INC 1 FOR | COM | 81684P107 | 0 | 7050 | SH | DEFINED | 01 | 15 |
| SEMTECH CORP | COM | 816850101 | 1713 | 98840 | SH | DEFINED | 01 | 02 |
| SEMPRA ENERGY | COM | 816851109 | 54063 | 912784 | SH | DEFINED | 01 | 02 |
| SEMPRA ENERGY | COM | 816851109 | 2586 | 43652 | SH | DEFINED | 01 | 03 |
| SEMPRA ENERGY | COM | 816851109 | 1479 | 24971 | SH | DEFINED | 01 | 15 |
| SENSE TECH INC ISIN #CA81 | COM | 816923106 | 0 | 5100 | SH | DEFINED | 01 | 15 |
| SENIOR HOUSING PROP TRUST | COM | 81721M109 | 3730 | 183288 | SH | DEFINED | 01 | 02 |
| SENIOR HOUSING PROP TRUST | COM | 81721M109 | 553 | 27220 | SH | DEFINED | 01 | 02 |
| SENIOR HOUSING PROP TRUST | COM | 81721M109 | 195 | 9600 | SH | DEFINED | 01 | 03 |
| SENSIENT TECHNOLOGIES CORP | COM | 81725T100 | 2949 | 116072 | SH | DEFINED | 01 | 02 |
| SENSIENT TECHNOLOGIES CORP | COM | 81725T100 | 690 | 27170 | SH | DEFINED | 01 | 02 |
| SENSIENT TECHNOLOGIES CORP | COM | 81725T100 | 205 | 8090 | SH | DEFINED | 01 | 03 |
| SEPRACOR INC | COM | 817315104 | 12133 | 295769 | SH | DEFINED | 01 | 02 |
| SEPRACOR INC | COM | 817315104 | 308 | 7498 | SH | DEFINED | 01 | 03 |
| SEPRACOR INC | COM | 817315104 | 36 | 870 | SH | DEFINED | 01 | 15 |
| SEQUA CORP-CL A | COM | 817320104 | 2263 | 20204 | SH | DEFINED | 01 | 02 |
| SEQUENOM INC COM NEW | COM | 817337405 | 8 | 1866 | SH | DEFINED | 01 | 15 |
| SERVICE CORP INTERNATIONAL/US | COM | 817565104 | 568 | 44415 | SH | DEFINED | 01 | 02 |
| SERVICE CORP INTERNATIONAL/US | COM | 817565104 | 57 | 4431 | SH | DEFINED | 01 | 15 |
| SERVICE MERCHANDISE INC NO 100 | COM | 817587108 | 0 | 1200 | SH | DEFINED | 01 | 15 |
| SERVICEMASTER CO CASH MERGE | COM | 81760N109 | 1487 | 96154 | SH | DEFINED | 01 | 15 |
| SHAMAN PHARMACEUT | COM | 819319500 | 0 | 311 | SH | DEFINED | 01 | 15 |
| SHANDA INTERACTIVE ENTER-ADR | COM | 81941Q203 | 559 | 18022 | SH | DEFINED | 01 | 02 |
| SHANGHAI ZHENHUA PORT MACH-B | COM | 819460999 | 293 | 157000 | SH | DEFINED | 01 | 02 |
| SHARP CORP ADR ISIN #US81 | COM | 819882200 | 205 | 10832 | SH | DEFINED | 01 | 15 |
| SHARPS COMPLIANCE CORP | COM | 820017101 | 33 | 10909 | SH | DEFINED | 01 | 02 |
| SHAW GROUP INC | COM | 820280105 | 6154 | 132962 | SH | DEFINED | 01 | 02 |
| SHAW GROUP INC | COM | 820280105 | 74 | 1595 | SH | DEFINED | 01 | 15 |
| SHAW COMMUNICATIONS INC-B | COM | 82028K200 | 4129 | 98200 | SH | DEFINED | 01 | 02 |
| SHC CORP | COM | 82086G109 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| SHELBOURNE PPTYS IIIINC NO 100 | COM | 82137E103 | 0 | 1260 | SH | DEFINED | 01 | 15 |
| SHELRON GROUP INC | COM | 822825105 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| SHENANDOAH TELECOMMUN CO | COM | 82312B106 | 53 | 1041 | SH | DEFINED | 01 | 02 |
| SHERWIN-WILLIAMS CO/THE | COM | 824348106 | 7758 | 116696 | SH | DEFINED | 01 | 02 |
| SHERWIN-WILLIAMS CO/THE | COM | 824348106 | 1204 | 18119 | SH | DEFINED | 01 | 03 |
| SHERWIN-WILLIAMS CO/THE | COM | 824348106 | 676 | 10176 | SH | DEFINED | 01 | 15 |
| SHINHAN FINANCIAL GRP-ADR | COM | 824596100 | 88 | 720 | SH | DEFINED | 01 | 02 |
| SHINHAN FINANCIAL GRP-ADR | COM | 824596100 | 4226 | 34504 | SH | DEFINED | 01 | 02 |
| SHINHAN FINANCIAL GRP-ADR | COM | 824596100 | 1948 | 15927 | SH | DEFINED | 01 | 10 |
| SHIRE PLC - ADR | COM | 82481R106 | 10220 | 137861 | SH | DEFINED | 01 | 02 |
| SHIRE PLC - ADR | COM | 82481R106 | 357 | 4817 | SH | DEFINED | 01 | 15 |
| SHIZUOKA BK LTD ADR | COM | 824882203 | 3 | 25 | SH | DEFINED | 01 | 15 |
| SHOE CARNIVAL INC | COM | 824889109 | 107 | 3908 | SH | DEFINED | 01 | 02 |
| SHININGBANK ENERGY INCOME FD | COM | 824916100 | 13 | 1025 | SH | DEFINED | 01 | 15 |
| SHUFFLE MASTER INC | COM | 825549108 | 960 | 57813 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| SHUFFLE MASTER INC | COM | 825549108 | 29 | 1739 | SH | DEFINED | 01 | 15 |
| SHUTTERFLY INC | COM | 82568P304 | 119 | 5501 | SH | DEFINED | 01 | 02 |
| SHUTTERFLY INC | COM | 82568P304 | 3 | 150 | SH | DEFINED | 01 | 15 |
| SIEMENS AG-SPONS ADR | COM | 826197501 | 1562 | 10920 | SH | DEFINED | 01 | 02 |
| SIEMENS AG-SPONS ADR | COM | 826197501 | 756 | 5284 | SH | DEFINED | 01 | 15 |
| SIERRA HEALTH SERVICES INC | COM | 826322109 | 3820 | 91860 | SH | DEFINED | 01 | 02 |
| SIERRA PACIFIC RESOURCES | COM | 826428104 | 160 | 9070 | SH | DEFINED | 01 | 02 |
| SIERRA PACIFIC RESOURCES | COM | 826428104 | 68 | 3880 | SH | DEFINED | 01 | 15 |
| SIERRA WIRELESS INC | COM | 826516106 | 784 | 31508 | SH | DEFINED | 01 | 02 |
| SIERRA WIRELESS INC | COM | 826516106 | 142 | 5720 | SH | DEFINED | 01 | 15 |
| SIGMA-ALDRICH | COM | 826552101 | 20874 | 489209 | SH | DEFINED | 01 | 02 |
| SIGMA-ALDRICH | COM | 826552101 | 1330 | 31175 | SH | DEFINED | 01 | 03 |
| SIGMA-ALDRICH | COM | 826552101 | 30 | 700 | SH | DEFINED | 01 | 15 |
| SIFY LTD SPON ADR | COM | 82655M107 | 18 | 2000 | SH | DEFINED | 01 | 15 |
| SIGMA DESIGNS INC | COM | 826565103 | 323 | 12375 | SH | DEFINED | 01 | 02 |
| SIGMA DESIGNS INC | COM | 826565103 | 13 | 500 | SH | DEFINED | 01 | 15 |
| SIGMATEL INC | COM | 82661W107 | 1 | 500 | SH | DEFINED | 01 | 15 |
| SIGNET GROUP PLC SPONS ADR | COM | 82668L872 | 2 | 104 | SH | DEFINED | 01 | 15 |
| SIGNATURE BANK | COM | 82669G104 | 1664 | 48810 | SH | DEFINED | 01 | 02 |
| SIGNATURE BANK | COM | 82669G104 | 158 | 4626 | SH | DEFINED | 01 | 03 |
| SIGNATURE BANK | COM | 82669G104 | 10 | 300 | SH | DEFINED | 01 | 15 |
| SILGAN HOLDINGS INC | COM | 827048109 | 6382 | 115436 | SH | DEFINED | 01 | 02 |
| SILGAN HOLDINGS INC | COM | 827048109 | 938 | 16980 | SH | DEFINED | 01 | 02 |
| SILGAN HOLDINGS INC | COM | 827048109 | 326 | 5900 | SH | DEFINED | 01 | 03 |
| SILGAN HOLDINGS INC | COM | 827048109 | 22 | 400 | SH | DEFINED | 01 | 15 |
| SILICON GRAPHICS INCNO 100HOLD | COM | 827056102 | 0 | 1011 | SH | DEFINED | 01 | 15 |
| SILICON STORAGE TECHNOLOGY INC | COM | 827057100 | 2129 | 570332 | SH | DEFINED | 01 | 02 |
| SILICON STORAGE TECHNOLOGY INC | COM | 827057100 | 471 | 125720 | SH | DEFINED | 01 | 02 |
| SILICON STORAGE TECHNOLOGY INC | COM | 827057100 | 163 | 43690 | SH | DEFINED | 01 | 03 |
| SILICON STORAGE TECHNOLOGY INC | COM | 827057100 | 297 | 79752 | SH | DEFINED | 01 | 15 |
| SILICON IMAGE INC | COM | 82705T102 | 184 | 21500 | SH | DEFINED | 01 | 02 |
| SILICON IMAGE INC | COM | 82705T102 | 112 | 13000 | SH | DEFINED | 01 | 15 |
| SILICON MOTION TECHNOLOGY | COM | 82706C108 | 99 | 4000 | SH | DEFINED | 01 | 15 |
| SILICONWARE PRECISION | COM | 827084864 | 617 | 56086 | SH | DEFINED | 01 | 15 |
| SILVERADO GOLD MINES LTD COM N | COM | 827906207 | 0 | 54 | SH | DEFINED | 01 | 15 |
| SILVER STAR ENERGY INC COM NE | COM | 828234203 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| SILVER STANDARD RESOURCES INC | COM | 82823L106 | 3020 | 87858 | SH | DEFINED | 01 | 02 |
| SILVER STANDARD RESOURCES INC | COM | 82823L106 | 112 | 3250 | SH | DEFINED | 01 | 15 |
| SILVER WHEATON CORP COM ISIN # | COM | 828336107 | 220 | 18793 | SH | DEFINED | 01 | 15 |
| SILVERCORP METALS INC ISIN # | COM | 82835P103 | 33 | 2000 | SH | DEFINED | 01 | 15 |
| SIME DARBY BERHAD ADR | COM | 828617209 | 2 | 701 | SH | DEFINED | 01 | 15 |
| SIMMONS FIRST NATL CORP-CL A | COM | 828730200 | 880 | 31900 | SH | DEFINED | 01 | 02 |
| SIMON PROPERTY GROUP INC | COM | 828806109 | 50712 | 545058 | SH | DEFINED | 01 | 02 |
| SIMON PROPERTY GROUP INC | COM | 828806109 | 3439 | 36965 | SH | DEFINED | 01 | 03 |
| SIMON PROPERTY GROUP INC | COM | 828806109 | 1017 | 10939 | SH | DEFINED | 01 | 10 |
| SIMON TRANSPORTATIONSVCS INC C | COM | 828813105 | 0 | 400 | SH | DEFINED | 01 | 15 |
| SIMPSON MANUFACTURING CO INC | COM | 829073105 | 2037 | 60386 | SH | DEFINED | 01 | 02 |
| SIMPSON MANUFACTURING CO INC | COM | 829073105 | 20 | 596 | SH | DEFINED | 01 | 15 |
| SIMTROL INC COM NEW | COM | 829205202 | 0 | 42 | SH | DEFINED | 01 | 15 |
| SINCLAIR BROADCAST GROUP-A | COM | 829226109 | 1195 | 84036 | SH | DEFINED | 01 | 02 |
| SINCLAIR BROADCAST GROUP-A | COM | 829226109 | 56 | 3941 | SH | DEFINED | 01 | 15 |
| SINGAPORE FD INC | COM | 82929L109 | 11 | 600 | SH | DEFINED | 01 | 15 |
| SINGER CO N V NO STKHLDR | COM | 82930F109 | 0 | 653 | SH | DEFINED | 01 | 15 |
| SINOPEC SHANGHAI PETROCHEMI | COM | 82935M109 | 1 | 15 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| SIRENZA MICRODEVICES INC | COM | 82966T106 | 555 | 46781 | SH | DEFINED | 01 | 02 |
| SIRENZA MICRODEVICES INC | COM | 82966T106 | 13 | 1104 | SH | DEFINED | 01 | 15 |
| SIRIUS SATELLITE RADIO INC | COM | 82966U103 | 24547 | 8128145 | SH | DEFINED | 01 | 02 |
| SIRIUS SATELLITE RADIO INC | COM | 82966U103 | 610 | 201831 | SH | DEFINED | 01 | 03 |
| SIRIUS SATELLITE RADIO INC | COM | 82966U103 | 533 | 176432 | SH | DEFINED | 01 | 15 |
| SIRF TECHNOLOGY HOLDINGS INC | COM | 82967H101 | 1059 | 51020 | SH | DEFINED | 01 | 02 |
| SIRF TECHNOLOGY HOLDINGS INC | COM | 82967H101 | 200 | 9659 | SH | DEFINED | 01 | 03 |
| SIRF TECHNOLOGY HOLDINGS INC | COM | 82967H101 | 35 | 1664 | SH | DEFINED | 01 | 15 |
| SITE HOLDINGS INC | COM | 829807205 | 0 | 20 | SH | DEFINED | 01 | 15 |
| SITI SITES.COM INC | COM | 82981P105 | 0 | 12 | SH | DEFINED | 01 | 15 |
| SIX FLAGS INC | COM | 83001P109 | 20 | 3242 | SH | DEFINED | 01 | 15 |
| SKECHERS U.S.A. INC | COM | 830566105 | 2609 | 89332 | SH | DEFINED | 01 | 02 |
| SKECHERS U.S.A. INC | COM | 830566105 | 34 | 1150 | SH | DEFINED | 01 | 15 |
| SKEENA RES LTD NEW ISIN #CA83 | COM | 83056P103 | 3 | 10000 | SH | DEFINED | 01 | 15 |
| SKY FINANCIAL GROUP INC EXCHAN | COM | 83080P103 | 632 | 22671 | SH | DEFINED | 01 | 15 |
| SKYLINE CORP | COM | 830830105 | 335 | 11158 | SH | DEFINED | 01 | 02 |
| SKYWEST INC | COM | 830879102 | 6467 | 271344 | SH | DEFINED | 01 | 02 |
| SKYWEST INC | COM | 830879102 | 453 | 18970 | SH | DEFINED | 01 | 02 |
| SKYWEST INC | COM | 830879102 | 151 | 6350 | SH | DEFINED | 01 | 03 |
| SKYWORKS SOLUTIONS INC | COM | 83088M102 | 2857 | 388943 | SH | DEFINED | 01 | 02 |
| SKYWORKS SOLUTIONS INC | COM | 83088M102 | 605 | 82420 | SH | DEFINED | 01 | 02 |
| SKYWORKS SOLUTIONS INC | COM | 83088M102 | 204 | 27730 | SH | DEFINED | 01 | 03 |
| SKYWORKS SOLUTIONS INC | COM | 83088M102 | 58 | 7941 | SH | DEFINED | 01 | 15 |
| SKILLSOFT PLC | COM | 830928107 | 640 | 68926 | SH | DEFINED | 01 | 02 |
| SMALL CAP PREM & DIVID INCO | COM | 83165P101 | 29 | 1500 | SH | DEFINED | 01 | 15 |
| SMARTALK TELESERVIC | COM | 83169A100 | 0 | 200 | SH | DEFINED | 01 | 15 |
| SMARTDISK CORP | COM | 83169Q105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| SMARTEK INC | COM | 83169T208 | 0 | 200 | SH | DEFINED | 01 | 15 |
| SMART TRUCK SYS INC | COM | 831702105 | 0 | 2 | SH | DEFINED | 01 | 15 |
| SMITH & WESSON HOLDING CORP | COM | 831756101 | 86 | 5140 | SH | DEFINED | 01 | 02 |
| SMITH & WESSON HOLDING CORP | COM | 831756101 | 25 | 1520 | SH | DEFINED | 01 | 15 |
| SMITH (A.O.) CORP | COM | 831865209 | 1492 | 37409 | SH | DEFINED | 01 | 02 |
| SMITH (A.O.) CORP | COM | 831865209 | 28 | 700 | SH | DEFINED | 01 | 15 |
| SMITH INTERNATIONAL INC | COM | 832110100 | 29234 | 498524 | SH | DEFINED | 01 | 02 |
| SMITH INTERNATIONAL INC | COM | 832110100 | 2279 | 38867 | SH | DEFINED | 01 | 03 |
| SMITH INTERNATIONAL INC | COM | 832110100 | 708 | 12081 | SH | DEFINED | 01 | 15 |
| SMITH MICRO SOFTWARE INC | COM | 832154108 | 134 | 8840 | SH | DEFINED | 01 | 02 |
| SMITH MICRO SOFTWARE INC | COM | 832154108 | 35 | 2350 | SH | DEFINED | 01 | 15 |
| SMITHFIELD FOODS INC | COM | 832248108 | 520 | 16894 | SH | DEFINED | 01 | 02 |
| SMITHFIELD FOODS INC | COM | 832248108 | 2 | 74 | SH | DEFINED | 01 | 15 |
| SMITHTOWN BANCORP INC | COM | 832449102 | 63 | 2500 | SH | DEFINED | 01 | 02 |
| SMUCKER (JM) CO WI | COM | 832696405 | 2880 | 45246 | SH | DEFINED | 01 | 02 |
| SMUCKER (JM) CO WI | COM | 832696405 | 261 | 4106 | SH | DEFINED | 01 | 15 |
| SMURFIT STONE CONTAINER CORP | COM | 832727101 | 1 | 65 | SH | DEFINED | 01 | 15 |
| SMURFIT STONE CONTAINER CORP | COM | 832727200 | 6 | 250 | SH | DEFINED | 01 | 15 |
| SNAP-ON INC | COM | 833034101 | 5581 | 110483 | SH | DEFINED | 01 | 02 |
| SNAP-ON INC | COM | 833034101 | 485 | 9596 | SH | DEFINED | 01 | 03 |
| SNAP-ON INC | COM | 833034101 | 2079 | 41193 | SH | DEFINED | 01 | 10 |
| SNAP-ON INC | COM | 833034101 | 8 | 150 | SH | DEFINED | 01 | 15 |
| SOCIEDAD QUIMICA | COM | 833635105 | 1256 | 7300 | SH | DEFINED | 01 | 02 |
| SOCIEDAD QUIMICA | COM | 833635105 | 34 | 200 | SH | DEFINED | 01 | 15 |
| SOCIETE GENERALE ADR | COM | 83364L109 | 936 | 25198 | SH | DEFINED | 01 | 15 |
| SODEXHO ALLIANCE SPONS ADR | COM | 833792104 | 4 | 51 | SH | DEFINED | 01 | 15 |
| SOFTECH INC | COM | 834021107 | 0 | 52 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| SOFTLOCK.COM INC | COM | 83402W109 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| SOHU.COM INC | COM | 83408W103 | 640 | 20000 | SH | DEFINED | 01 | 02 |
| SOLECTRON CORP | COM | 834182107 | 16937 | 4602323 | SH | DEFINED | 01 | 02 |
| SOLECTRON CORP | COM | 834182107 | 551 | 149598 | SH | DEFINED | 01 | 03 |
| SOLECTRON CORP | COM | 834182107 | 42 | 11541 | SH | DEFINED | 01 | 15 |
| SOLERA HOLDINGS INC | COM | 83421A104 | 1103 | 56900 | SH | DEFINED | 01 | 02 |
| SOLVEX CORP NEW | COM | 834382103 | 0 | 600 | SH | DEFINED | 01 | 15 |
| SOMANETICS CORP | COM | 834445405 | 63 | 3440 | SH | DEFINED | 01 | 15 |
| SOLVIS GROUP INC COM | COM | 83444U103 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| SONIC CORP | COM | 835451105 | 2526 | 114203 | SH | DEFINED | 01 | 02 |
| SONIC CORP | COM | 835451105 | 598 | 27013 | SH | DEFINED | 01 | 03 |
| SONIC CORP | COM | 835451105 | 212 | 9588 | SH | DEFINED | 01 | 15 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 2913 | 100587 | SH | DEFINED | 01 | 02 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 552 | 19030 | SH | DEFINED | 01 | 02 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 183 | 6300 | SH | DEFINED | 01 | 03 |
| SONIC AUTOMOTIVE INC | COM | 83545G102 | 11 | 396 | SH | DEFINED | 01 | 15 |
| SONIC INNOVATIONS INC | COM | 83545M109 | 437 | 49925 | SH | DEFINED | 01 | 02 |
| SONIC SOLUTIONS INC | COM | 835460106 | 538 | 42690 | SH | DEFINED | 01 | 02 |
| SONICBLUE INC COM | COM | 83546Q109 | 0 | 200 | SH | DEFINED | 01 | 15 |
| SONICWALL INC | COM | 835470105 | 909 | 105852 | SH | DEFINED | 01 | 02 |
| SONICWALL INC | COM | 835470105 | 620 | 72220 | SH | DEFINED | 01 | 02 |
| SONICWALL INC | COM | 835470105 | 232 | 26970 | SH | DEFINED | 01 | 03 |
| SONICWALL INC | COM | 835470105 | 4 | 500 | SH | DEFINED | 01 | 15 |
| SONOCO PRODUCTS CO | COM | 835495102 | 12231 | 285710 | SH | DEFINED | 01 | 02 |
| SONOCO PRODUCTS CO | COM | 835495102 | 67 | 1561 | SH | DEFINED | 01 | 15 |
| SONOMAWEST HOLDINGS INC | COM | 835637109 | 2087 | 78727 | SH | DEFINED | 01 | 02 |
| SONOSITE INC | COM | 83568G104 | 29 | 922 | SH | DEFINED | 01 | 02 |
| SONOSITE INC | COM | 83568G104 | 264 | 8405 | SH | DEFINED | 01 | 15 |
| SONY CORP ADR NEW | COM | 835699307 | 312 | 6065 | SH | DEFINED | 01 | 15 |
| SOTHEBY'S HOLDINGS -CL A | COM | 835898107 | 4820 | 104746 | SH | DEFINED | 01 | 02 |
| SOTHEBY'S HOLDINGS -CL A | COM | 835898107 | 15 | 316 | SH | DEFINED | 01 | 15 |
| SONUS NETWORKS INC | COM | 835916107 | 5319 | 624335 | SH | DEFINED | 01 | 02 |
| SONUS NETWORKS INC | COM | 835916107 | 4276 | 501928 | SH | DEFINED | 01 | 10 |
| SONUS NETWORKS INC | COM | 835916107 | 41 | 4850 | SH | DEFINED | 01 | 15 |
| SOURCE CAP INC | COM | 836144105 | 46 | 675 | SH | DEFINED | 01 | 15 |
| SOURCE CAP INC PFD \$2.40 | COM | 836144204 | 1 | 20 | SH | DEFINED | 01 | 15 |
| SOURCE INTERLINK COSCOM NEW | COM | 836151209 | 3 | 575 | SH | DEFINED | 01 | 15 |
| SOURCEFORGE INC | COM | 83616W101 | 452 | 107043 | SH | DEFINED | 01 | 02 |
| SOURCEFORGE INC | COM | 83616W101 | 0 | 10 | SH | DEFINED | 01 | 15 |
| SOUTH FINANCIAL GROUP INC | COM | 837841105 | 2755 | 121699 | SH | DEFINED | 01 | 02 |
| SOUTH JERSEY INDUSTRIES INC | COM | 838518108 | 1711 | 48360 | SH | DEFINED | 01 | 02 |
| SOUTH JERSEY INDUSTRIES INC | COM | 838518108 | 35 | 1000 | SH | DEFINED | 01 | 15 |
| SOUTHCOAST FINL CORP | COM | 84129R100 | 9 | 438 | SH | DEFINED | 01 | 15 |
| SOUTHERN CALIF EDISON CO | COM | 842400400 | 8 | 400 | SH | DEFINED | 01 | 15 |
| SOUTHERN CO | COM | 842587107 | 112786 | 3289180 | SH | DEFINED | 01 | 02 |
| SOUTHERN CO | COM | 842587107 | 4508 | 131459 | SH | DEFINED | 01 | 03 |
| SOUTHERN CO | COM | 842587107 | 1762 | 51378 | SH | DEFINED | 01 | 15 |
| SOUTHERN CO CAP TR VI GTD TR | COM | 84264C207 | 28 | 1137 | SH | DEFINED | 01 | 15 |
| SOUTHERN COPPER CORP | COM | 84265V105 | 14513 | 153963 | SH | DEFINED | 01 | 02 |
| SOUTHERN COPPER CORP | COM | 84265V105 | 1880 | 19944 | SH | DEFINED | 01 | 15 |
| SOUTHERN UNION CO | COM | 844030106 | 5851 | 179538 | SH | DEFINED | 01 | 02 |
| SOUTHERN UNION CO | COM | 844030106 | 1926 | 59113 | SH | DEFINED | 01 | 15 |
| SOUTHMARK CORP NEW | COM | 844544809 | 0 | 693 | SH | DEFINED | 01 | 15 |
| SOUTHWEST AIRLINES CO | COM | 844741108 | 9659 | 647813 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| SOUTHWEST AIRLINES CO | COM | 844741108 | 2152 | 144269 | SH | DEFINED | 01 | 03 |
| SOUTHWEST AIRLINES CO | COM | 844741108 | 208 | 13946 | SH | DEFINED | 01 | 15 |
| SOUTHWEST BANCORP INC | COM | 844767103 | 931 | 38722 | SH | DEFINED | 01 | 02 |
| SOUTHWEST BANCORP INC | COM | 844767103 | 635 | 26430 | SH | DEFINED | 01 | 02 |
| SOUTHWEST BANCORP INC | COM | 844767103 | 237 | 9840 | SH | DEFINED | 01 | 03 |
| SOUTHWEST GAS CORP | COM | 844895102 | 7388 | 218571 | SH | DEFINED | 01 | 02 |
| SOUTHWEST GAS CORP | COM | 844895102 | 1211 | 35830 | SH | DEFINED | 01 | 02 |
| SOUTHWEST GAS CORP | COM | 844895102 | 407 | 12030 | SH | DEFINED | 01 | 03 |
| SOUTHWEST GAS CORP | COM | 844895102 | 1 | 39 | SH | DEFINED | 01 | 15 |
| SOUTHWEST GEORGIA FINL CORP | COM | 84502A104 | 26 | 1320 | SH | DEFINED | 01 | 15 |
| SOUTHWEST WTR CO PFD A \$2.6 | COM | 845331206 | 0 | 10 | SH | DEFINED | 01 | 15 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 42832 | 962505 | SH | DEFINED | 01 | 02 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 100 | 2238 | SH | DEFINED | 01 | 03 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 21811 | 490602 | SH | DEFINED | 01 | 10 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 89 | 2008 | SH | DEFINED | 01 | 15 |
| SOVEREIGN BANCORP INC | COM | 845905108 | 490 | 23197 | SH | DEFINED | 01 | 02 |
| SOVEREIGN BANCORP INC | COM | 845905108 | 1263 | 59759 | SH | DEFINED | 01 | 03 |
| SOVEREIGN BANCORP INC | COM | 845905108 | 567 | 26804 | SH | DEFINED | 01 | 15 |
| SOVRAN SELF STORAGE INC | COM | 84610H108 | 1627 | 33790 | SH | DEFINED | 01 | 02 |
| SPACEDEV INC | COM | 846241107 | 1 | 2000 | SH | DEFINED | 01 | 15 |
| SPAIN FD INC | COM | 846330108 | 25 | 1500 | SH | DEFINED | 01 | 15 |
| SPANSION INC-CLASS A | COM | 84649R101 | 100199 | 9026683 | SH | DEFINED | 01 | 02 |
| SPARE BACKUP INC COM | COM | 84650T103 | 8 | 10000 | SH | DEFINED | 01 | 15 |
| SPARTAN MOTORS INC | COM | 846819100 | 74 | 4326 | SH | DEFINED | 01 | 15 |
| SPARTAN STORES INC | COM | 846822104 | 4035 | 122661 | SH | DEFINED | 01 | 02 |
| SPARTAN STORES INC | COM | 846822104 | 629 | 19120 | SH | DEFINED | 01 | 02 |
| SPARTAN STORES INC | COM | 846822104 | 210 | 6390 | SH | DEFINED | 01 | 03 |
| SPARTECH CORP | COM | 847220209 | 4952 | 186516 | SH | DEFINED | 01 | 02 |
| SPARTECH CORP | COM | 847220209 | 607 | 22862 | SH | DEFINED | 01 | 02 |
| SPARTECH CORP | COM | 847220209 | 199 | 7496 | SH | DEFINED | 01 | 03 |
| SPARTECH CORP | COM | 847220209 | 10 | 394 | SH | DEFINED | 01 | 15 |
| SPATIALIGHT INC | COM | 847248101 | 1 | 14000 | SH | DEFINED | 01 | 15 |
| SPECTRA ENERGY CORP | COM | 847560109 | 42716 | 1645495 | SH | DEFINED | 01 | 02 |
| SPECTRA ENERGY CORP | COM | 847560109 | 2818 | 108569 | SH | DEFINED | 01 | 03 |
| SPECTRA ENERGY CORP | COM | 847560109 | 1132 | 43604 | SH | DEFINED | 01 | 15 |
| SPECTRAVISION INC B | COM | 847607108 | 0 | 600 | SH | DEFINED | 01 | 15 |
| SPECTRANETICS CORP | COM | 84760C107 | 6 | 500 | SH | DEFINED | 01 | 15 |
| SPECTRASCIENCE INC COM PAR \$0 | COM | 84760E301 | 3 | 2583 | SH | DEFINED | 01 | 15 |
| SPECTRUM CONTROL INC | COM | 847615101 | 68 | 4043 | SH | DEFINED | 01 | 15 |
| SPECTRUM BRANDS INC | COM | 84762L105 | 11602 | 1713898 | SH | DEFINED | 01 | 02 |
| SPECTRUM BRANDS INC | COM | 84762L105 | 3 | 450 | SH | DEFINED | 01 | 15 |
| SPECTRUM PHARMACEUT | COM | 84763A108 | 9 | 1200 | SH | DEFINED | 01 | 15 |
| SPEEDWAY MOTORSPORTS INC | COM | 847788106 | 4601 | 115080 | SH | DEFINED | 01 | 02 |
| SPEEDWAY MOTORSPORTS INC | COM | 847788106 | 28 | 700 | SH | DEFINED | 01 | 15 |
| SPHERION CORPORATION | COM | 848420105 | 4124 | 439197 | SH | DEFINED | 01 | 02 |
| SPHERION CORPORATION | COM | 848420105 | 582 | 61930 | SH | DEFINED | 01 | 02 |
| SPHERION CORPORATION | COM | 848420105 | 192 | 20400 | SH | DEFINED | 01 | 03 |
| SPINTEK GAMING TECH INC | COM | 848554101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| SPIRIT FINANCE CORP | COM | 848568309 | 828 | 56900 | SH | DEFINED | 01 | 02 |
| SPIRIT FINANCE CORP | COM | 848568309 | 6 | 400 | SH | DEFINED | 01 | 15 |
| SPIRIT AEROSYSTEMS HLDGS INC | COM | 848574109 | 11 | 300 | SH | DEFINED | 01 | 15 |
| SPORTS MEDIA INC | COM | 848916102 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| SPREADTRUM COMMICATIION- ADR | COM | 849415203 | 139 | 9733 | SH | DEFINED | 01 | 02 |
| SPREADTRUM COMMICATIION- ADR | COM | 849415203 | 938 | 65584 | SH | DEFINED | 01 | 10 |
| SPREADTRUM COMMICATIION- ADR | COM | 849415203 | 353 | 24683 | SH | DEFINED | 01 | 11 |
| SPRINT NEXTEL CORP | COM | 852061100 | 387061 | 18689593 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| SPRINT NEXTEL CORP | COM | 852061100 | 10689 | 516109 | SH | DEFINED | 01 | 03 |
| SPRINT NEXTEL CORP | COM | 852061100 | 1113 | 53722 | SH | DEFINED | 01 | 15 |
| STAGE STORES INC | COM | 85254C305 | 1683 | 80324 | SH | DEFINED | 01 | 02 |
| STAMPS.COM INC | COM | 852857200 | 417 | 30225 | SH | DEFINED | 01 | 02 |
| STAMPS.COM INC | COM | 852857200 | 1 | 100 | SH | DEFINED | 01 | 15 |
| STANCORP FINANCIAL GROUP | COM | 852891100 | 4723 | 90000 | SH | DEFINED | 01 | 02 |
| STANCORP FINANCIAL GROUP | COM | 852891100 | 22 | 424 | SH | DEFINED | 01 | 15 |
| STANDARD HOLDINGS GROUP LTD | COM | 853471993 | 0 | 1558 | SH | DEFINED | 01 | 15 |
| STANDARD MICROSYSTEMS CORP | COM | 853626109 | 1866 | 54317 | SH | DEFINED | 01 | 02 |
| STANDARD MOTOR PRODS | COM | 853666105 | 317 | 21061 | SH | DEFINED | 01 | 02 |
| STANDARD-PACIFIC CORP | COM | 85375C101 | 11539 | 658211 | SH | DEFINED | 01 | 02 |
| STANDARD-PACIFIC CORP | COM | 85375C101 | 9914 | 565825 | SH | DEFINED | 01 | 10 |
| STANDARD-PACIFIC CORP | COM | 85375C101 | 178 | 10172 | SH | DEFINED | 01 | 15 |
| STANDARD PARKING CORP | COM | 853790103 | 503 | 14314 | SH | DEFINED | 01 | 02 |
| STANDARD REGISTER CO/THE | COM | 853887107 | 231 | 20249 | SH | DEFINED | 01 | 02 |
| STANDARD REGISTER CO/THE | COM | 853887107 | 43 | 3800 | SH | DEFINED | 01 | 15 |
| STANDEX INTERNATIONAL CORP | COM | 854231107 | 581 | 20425 | SH | DEFINED | 01 | 02 |
| STANLEY FURNITURE CO. | COM | 854305208 | 6 | 282 | SH | DEFINED | 01 | 15 |
| STANLEY WORKS/THE | COM | 854616109 | 32827 | 540783 | SH | DEFINED | 01 | 02 |
| STANLEY WORKS/THE | COM | 854616109 | 837 | 13796 | SH | DEFINED | 01 | 03 |
| STANLEY WORKS/THE | COM | 854616109 | 567 | 9343 | SH | DEFINED | 01 | 15 |
| STANTEC INC ISIN #CA85 | COM | 85472N109 | 48 | 1473 | SH | DEFINED | 01 | 15 |
| STAPLES INC | COM | 855030102 | 7293 | 307337 | SH | DEFINED | 01 | 02 |
| STAPLES INC | COM | 855030102 | 2851 | 120129 | SH | DEFINED | 01 | 03 |
| STAPLES INC | COM | 855030102 | 933 | 39338 | SH | DEFINED | 01 | 15 |
| STAR MARITIME MARITIME A | COM | 85516E107 | 3 | 250 | SH | DEFINED | 01 | 15 |
| STARBUCKS CORP | COM | 855244109 | 7215 | 274981 | SH | DEFINED | 01 | 02 |
| STARBUCKS CORP | COM | 855244109 | 3317 | 126380 | SH | DEFINED | 01 | 03 |
| STARBUCKS CORP | COM | 855244109 | 1663 | 63388 | SH | DEFINED | 01 | 15 |
| STARENT NETWORK CORP | COM | 85528P108 | 397 | 27005 | SH | DEFINED | 01 | 02 |
| STARTRONIX INTL INC NEW | COM | 85568C207 | 0 | 60 | SH | DEFINED | 01 | 15 |
| STARTEK INC | COM | 85569C107 | 270 | 24979 | SH | DEFINED | 01 | 02 |
| STARWOOD HOTELS & RESORTS | COM | 85590A401 | 21365 | 318557 | SH | DEFINED | 01 | 02 |
| STARWOOD HOTELS & RESORTS | COM | 85590A401 | 2626 | 39159 | SH | DEFINED | 01 | 03 |
| STARWOOD HOTELS & RESORTS | COM | 85590A401 | 102 | 1524 | SH | DEFINED | 01 | 15 |
| STATE BK INDIA GLOBAL DEP | COM | 856552203 | 9 | 100 | SH | DEFINED | 01 | 15 |
| STATE BANK OF INDIA | COM | 856552989 | 8511 | 96500 | SH | DEFINED | 01 | 02 |
| STATE STREET CORP | COM | 857477103 | 25257 | 369255 | SH | DEFINED | 01 | 02 |
| STATE STREET CORP | COM | 857477103 | 3807 | 55665 | SH | DEFINED | 01 | 03 |
| STATE STREET CORP | COM | 857477103 | 1698 | 24823 | SH | DEFINED | 01 | 15 |
| STATION CASINOS INC | COM | 857689103 | 61 | 700 | SH | DEFINED | 01 | 15 |
| STATOIL ASA SPON ADR | COM | 85771P102 | 103 | 3325 | SH | DEFINED | 01 | 15 |
| STATS CHIPPAK LTD SPONS ADR | COM | 85771T104 | 4 | 334 | SH | DEFINED | 01 | 15 |
| THE STEAK N SHAKE COMPANY | COM | 857873103 | 779 | 46698 | SH | DEFINED | 01 | 02 |
| THE STEAK N SHAKE COMPANY | COM | 857873103 | 0 | 20 | SH | DEFINED | 01 | 15 |
| STEEL DYNAMICS INC | COM | 858119100 | 5036 | 120169 | SH | DEFINED | 01 | 02 |
| STEEL DYNAMICS INC | COM | 858119100 | 228 | 5440 | SH | DEFINED | 01 | 15 |
| STEIN MART INC | COM | 858375108 | 562 | 45864 | SH | DEFINED | 01 | 02 |
| STEINWAY MUSICAL INSTRUMENTS | COM | 858495104 | 66 | 1922 | SH | DEFINED | 01 | 02 |
| STELAX INDUSTRIES LTD COM IS | COM | 858497100 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| STEMCELLS INC | COM | 85857R105 | 26 | 11297 | SH | DEFINED | 01 | 15 |
| STEPAN CO | COM | 858586100 | 1126 | 37186 | SH | DEFINED | 01 | 02 |
| STERLING BANCSHARES INC/TX | COM | 858907108 | 4467 | 395072 | SH | DEFINED | 01 | 02 |
| STERLING BANCSHARES INC/TX | COM | 858907108 | 1063 | 94010 | SH | DEFINED | 01 | 02 |
| STERLING BANCSHARES INC/TX | COM | 858907108 | 288 | 25500 | SH | DEFINED | 01 | 03 |
| STERICYCLE INC | COM | 858912108 | 104 | 2336 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| STERICYCLE INC | COM | 858912108 | 285 | 6408 | SH | DEFINED | 01 | 03 |
| STERICYCLE INC | COM | 858912108 | 4494 | 101158 | SH | DEFINED | 01 | 10 |
| STERICYCLE INC | COM | 858912108 | 3669 | 82515 | SH | DEFINED | 01 | 15 |
| STERIS CORP | COM | 859152100 | 2572 | 84071 | SH | DEFINED | 01 | 02 |
| STERIS CORP | COM | 859152100 | 1297 | 42400 | SH | DEFINED | 01 | 02 |
| STERIS CORP | COM | 859152100 | 449 | 14670 | SH | DEFINED | 01 | 03 |
| STERIS CORP | COM | 859152100 | 31 | 1000 | SH | DEFINED | 01 | 15 |
| STERLING BANCORP-N Y | COM | 859158107 | 491 | 30635 | SH | DEFINED | 01 | 02 |
| STERLING CONSTRUCTI | COM | 859241101 | 0 | 5 | SH | DEFINED | 01 | 15 |
| STERLING FINANCIAL CORP (PA) | COM | 859317109 | 38 | 3628 | SH | DEFINED | 01 | 02 |
| STERLING FINANCIAL CORP (PA) | COM | 859317109 | 84 | 7981 | SH | DEFINED | 01 | 15 |
| STERLING FINL CORP | COM | 859319105 | 8746 | 302171 | SH | DEFINED | 01 | 02 |
| STERLING FINL CORP | COM | 859319105 | 1384 | 47830 | SH | DEFINED | 01 | 02 |
| STERLING FINL CORP | COM | 859319105 | 495 | 17090 | SH | DEFINED | 01 | 03 |
| STERLING MINING | COM | 859410102 | 4 | 1000 | SH | DEFINED | 01 | 15 |
| STERLITE INDUSTRIES INDI-ADS | COM | 859737207 | 1203 | 82020 | SH | DEFINED | 01 | 02 |
| STEWART ENTERPRISES | COM | 860370105 | 3144 | 403643 | SH | DEFINED | 01 | 02 |
| STEWART ENTERPRISES | COM | 860370105 | 1040 | 133555 | SH | DEFINED | 01 | 02 |
| STEWART ENTERPRISES | COM | 860370105 | 373 | 47882 | SH | DEFINED | 01 | 03 |
| STEWART ENTERPRISES | COM | 860370105 | 9 | 1115 | SH | DEFINED | 01 | 15 |
| STEWART INFORMATION SERVICES | COM | 860372101 | 1411 | 35415 | SH | DEFINED | 01 | 02 |
| STIFEL FINL CORP | COM | 860630102 | 0 | 6 | SH | DEFINED | 01 | 15 |
| STILLWATER MINING CO | COM | 86074Q102 | 21971 | 1995484 | SH | DEFINED | 01 | 02 |
| STILLWATER MINING CO | COM | 86074Q102 | 56 | 5081 | SH | DEFINED | 01 | 15 |
| STINGER SYS INC COM | COM | 860837103 | 3 | 2550 | SH | DEFINED | 01 | 15 |
| STMICROELECTRONICS | COM | 861012102 | 60450 | 3150045 | SH | DEFINED | 01 | 02 |
| STMICROELECTRONICS | COM | 861012102 | 319 | 16600 | SH | DEFINED | 01 | 03 |
| STMICROELECTRONICS | COM | 861012102 | 5 | 250 | SH | DEFINED | 01 | 15 |
| 100ERYALE INC | COM | 86126T203 | 0 | 50 | SH | DEFINED | 01 | 15 |
| STOLT NIELSEN SA ADRREPSTG COM | COM | 861565109 | 2 | 70 | SH | DEFINED | 01 | 15 |
| STONE ENERGY CORP | COM | 861642106 | 15343 | 447878 | SH | DEFINED | 01 | 02 |
| STONEPATH GROUP INC | COM | 861837102 | 0 | 200 | SH | DEFINED | 01 | 15 |
| STONERIDGE INC | COM | 86183P102 | 852 | 69056 | SH | DEFINED | 01 | 02 |
| STONERIDGE INC | COM | 86183P102 | 568 | 46020 | SH | DEFINED | 01 | 02 |
| STONERIDGE INC | COM | 86183P102 | 217 | 17600 | SH | DEFINED | 01 | 03 |
| STORA ENSO CORP SPONS ADR | COM | 86210M106 | 538 | 28748 | SH | DEFINED | 01 | 15 |
| STORAGENETWORKS INC NO 100HOLD | COM | 86211E103 | 0 | 500 | SH | DEFINED | 01 | 15 |
| STRAT PETE LTD COM NEW | COM | 86267N205 | 0 | 884 | SH | DEFINED | 01 | 15 |
| STRATASYS INC | COM | 862685104 | 2735 | 58216 | SH | DEFINED | 01 | 02 |
| STRATASYS INC | COM | 862685104 | 13 | 268 | SH | DEFINED | 01 | 15 |
| STRATOSPHERE CORP NO STKHLDR | COM | 863106100 | 0 | 900 | SH | DEFINED | 01 | 15 |
| STRAYER EDUCATION INC | COM | 863236105 | 9609 | 72957 | SH | DEFINED | 01 | 02 |
| STRAYER EDUCATION INC | COM | 863236105 | 840 | 6377 | SH | DEFINED | 01 | 03 |
| STRAYER EDUCATION INC | COM | 863236105 | 55 | 417 | SH | DEFINED | 01 | 15 |
| STREAM COMMUNICAT | COM | 86323N108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| STREETTRACKS GOLD TRUST | COM | 863307104 | 1 | 21 | SH | DEFINED | 01 | 02 |
| STREETTRACKS GOLD TRUST | COM | 863307104 | 4256 | 66219 | SH | DEFINED | 01 | 15 |
| SPDR RUSSEL/NOMURA SMALL CAP | COM | 863308789 | 16 | 314 | SH | DEFINED | 01 | 15 |
| SPDR DJ WILSHIRE INTERNATIO | COM | 863308839 | 2713 | 41756 | SH | DEFINED | 01 | 15 |
| SPDR DJ WILSHIRE LARGE CAP | COM | 86330E208 | 261 | 2981 | SH | DEFINED | 01 | 15 |
| SPDR DJ WILSHIRE SMALL CAP | COM | 86330E307 | 52 | 495 | SH | DEFINED | 01 | 15 |
| SPDR DJ WILSHIRE SMALL CAP | COM | 86330E406 | 109 | 1460 | SH | DEFINED | 01 | 15 |
| SPDR DJ GLOBAL TITANS ETF | COM | 86330E505 | 49 | 625 | SH | DEFINED | 01 | 15 |
| DJ WILSHIRE REIT ETF | COM | 86330E604 | 392 | 4828 | SH | DEFINED | 01 | 15 |
| SPDR S & P OIL & GASEXPLORATIO | COM | 86330E620 | 1183 | 25799 | SH | DEFINED | 01 | 15 |
| STREETTRACKS SPDR HOMEBUILDE | COM | 86330E745 | 60 | 2000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| KBW BANK ETF | COM | 86330E794 | 11 | 200 | SH | DEFINED | 01 | 15 |
| SPDR DJ WILSHIRE SMALL CAP | COM | 86330E810 | 11 | 161 | SH | DEFINED | 01 | 15 |
| STRIDE RITE CORP | COM | 863314100 | 2356 | 116275 | SH | DEFINED | 01 | 02 |
| STRIDE RITE CORP | COM | 863314100 | 5 | 260 | SH | DEFINED | 01 | 15 |
| STRONGHOLD INDS INC | COM | 86336V105 | 0 | 350 | SH | DEFINED | 01 | 15 |
| STRUCTURED ASSET TR REPACKAGIN | COM | 86360Y207 | 24 | 932 | SH | DEFINED | 01 | 15 |
| STRYKER CORP | COM | 863667101 | 32687 | 518137 | SH | DEFINED | 01 | 02 |
| STRYKER CORP | COM | 863667101 | 3118 | 49416 | SH | DEFINED | 01 | 03 |
| STRYKER CORP | COM | 863667101 | 1249 | 19802 | SH | DEFINED | 01 | 15 |
| STUDIO COLOR | COM | 863899100 | 0 | 4 | SH | DEFINED | 01 | 15 |
| STUDENT LN CORP | COM | 863902102 | 8 | 38 | SH | DEFINED | 01 | 15 |
| STURM RUGER & CO INC | COM | 864159108 | 744 | 47964 | SH | DEFINED | 01 | 02 |
| STURM RUGER & CO INC | COM | 864159108 | 16 | 1000 | SH | DEFINED | 01 | 15 |
| SUEZ SPON ADR | COM | 864686100 | 19 | 327 | SH | DEFINED | 01 | 15 |
| SUMITOMO METAL INDS LTD SPONS | COM | 865621304 | 82 | 1400 | SH | DEFINED | 01 | 15 |
| SUMITOMO MITSUI FINL GROUP INC | COM | 86562M100 | 184 | 19790 | SH | DEFINED | 01 | 15 |
| SUN BANCORP INC-NJ | COM | 86663B102 | 1010 | 59958 | SH | DEFINED | 01 | 02 |
| SUN BANCORP INC-NJ | COM | 86663B102 | 668 | 39509 | SH | DEFINED | 01 | 02 |
| SUN BANCORP INC-NJ | COM | 86663B102 | 235 | 13935 | SH | DEFINED | 01 | 03 |
| SUN BANCORP INC-NJ | COM | 86663B102 | 3 | 163 | SH | DEFINED | 01 | 15 |
| SUN LIFE FINL SVCS | COM | 866796105 | 2670 | 55930 | SH | DEFINED | 01 | 02 |
| SUN LIFE FINL SVCS | COM | 866796105 | 1106 | 23173 | SH | DEFINED | 01 | 15 |
| SUN MICROSYSTEMS INC | COM | 866810104 | 14597 | 2775034 | SH | DEFINED | 01 | 02 |
| SUN MICROSYSTEMS INC | COM | 866810104 | 3138 | 596532 | SH | DEFINED | 01 | 03 |
| SUN MICROSYSTEMS INC | COM | 866810104 | 411 | 78119 | SH | DEFINED | 01 | 15 |
| SUN HEALTHCARE GROUP INC | COM | 866933401 | 384 | 26437 | SH | DEFINED | 01 | 02 |
| SUN HYDRAULICS CORP | COM | 866942105 | 393 | 7974 | SH | DEFINED | 01 | 02 |
| SUNAMERICA FOCUSED ALPHA GROW | COM | 867037103 | 13 | 700 | SH | DEFINED | 01 | 15 |
| SUNAMERICA FOCUSED ALPHA LARG | COM | 867038101 | 166 | 8757 | SH | DEFINED | 01 | 15 |
| SUNBEAM CORPORATION FRMLY SUNB | COM | 867071102 | 0 | 23250 | SH | DEFINED | 01 | 15 |
| SUNCOR ENERGY INC | COM | 867229106 | 8848 | 98398 | SH | DEFINED | 01 | 02 |
| SUNCOR ENERGY INC | COM | 867229106 | 148 | 1647 | SH | DEFINED | 01 | 03 |
| SUNCOR ENERGY INC | COM | 867229106 | 1994 | 22173 | SH | DEFINED | 01 | 15 |
| SUNCOM WIRELESS HOLDING-CL A | COM | 86722Q207 | 225 | 12164 | SH | DEFINED | 01 | 02 |
| SUNCOM WIRELESS HOLDING-CL A | COM | 86722Q207 | 3 | 150 | SH | DEFINED | 01 | 15 |
| SUNOCO LOGISTICS PARTNERS L | COM | 86764L108 | 88 | 1471 | SH | DEFINED | 01 | 15 |
| SUNOCO INC | COM | 86764P109 | 20670 | 259411 | SH | DEFINED | 01 | 02 |
| SUNOCO INC | COM | 86764P109 | 1601 | 20094 | SH | DEFINED | 01 | 03 |
| SUNOCO INC | COM | 86764P109 | 368 | 4619 | SH | DEFINED | 01 | 15 |
| SUNPOWER CORP-CLASS A | COM | 867652109 | 2483 | 39383 | SH | DEFINED | 01 | 02 |
| SUNPOWER CORP-CLASS A | COM | 867652109 | 54 | 850 | SH | DEFINED | 01 | 15 |
| SUNRISE SENIOR LIVING INC | COM | 86768K106 | 6790 | 169767 | SH | DEFINED | 01 | 02 |
| SUNRISE SENIOR LIVING INC | COM | 86768K106 | 602 | 15040 | SH | DEFINED | 01 | 02 |
| SUNRISE SENIOR LIVING INC | COM | 86768K106 | 216 | 5400 | SH | DEFINED | 01 | 03 |
| SUNRISE SENIOR LIVING INC | COM | 86768K106 | 114 | 2861 | SH | DEFINED | 01 | 15 |
| SUNRISE TECHNOLOGIESINTL INC | COM | 86769L103 | 0 | 600 | SH | DEFINED | 01 | 15 |
| SUNOPTA INC ISIN #CA86 | COM | 8676EP108 | 14 | 1300 | SH | DEFINED | 01 | 15 |
| SUNSTONE HOTEL INVESTORS INC | COM | 867892101 | 4270 | 150440 | SH | DEFINED | 01 | 02 |
| SUNSTONE HOTEL INVESTORS INC | COM | 867892101 | 869 | 30610 | SH | DEFINED | 01 | 02 |
| SUNSTONE HOTEL INVESTORS INC | COM | 867892101 | 298 | 10500 | SH | DEFINED | 01 | 03 |
| SUNTRUST BANKS INC | COM | 867914103 | 12409 | 144735 | SH | DEFINED | 01 | 02 |
| SUNTRUST BANKS INC | COM | 867914103 | 5060 | 59010 | SH | DEFINED | 01 | 03 |
| SUNTRUST BANKS INC | COM | 867914103 | 448 | 5222 | SH | DEFINED | 01 | 15 |
| SUPERCONDUCTOR TECHNOLOGI | COM | 867931305 | 0 | 3 | SH | DEFINED | 01 | 15 |
| SUNTECH PWR HLDGS CO LTD ADR | COM | 86800C104 | 103 | 2835 | SH | DEFINED | 01 | 15 |
| SUPERGEN INC | COM | 868059106 | 763 | 137350 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | | |
|-------------------------------|-----|-----------|--------|---------|----|---------|---------|----|----|
| SUPERGEN INC | COM | 868059106 | 8 | 1500 | SH | DEFINED | 01 | 15 | |
| SUPERIOR BANCORP | COM | 86806M106 | 2508 | 245200 | SH | DEFINED | 01 | 02 | |
| SUPERIOR BANCORP | COM | 86806M106 | 8 | 800 | SH | DEFINED | 01 | 15 | |
| SUPERIOR ENERGY SERVICES I | COM | 868157108 | 175 | 4385 | SH | DEFINED | 01 | 15 | |
| SUPERIOR ESSEX INC. | COM | 86815V105 | 385 | 10301 | SH | DEFINED | 01 | 02 | |
| SUPERIOR INDUSTRIES INTL | COM | 868168105 | 12389 | 569392 | SH | DEFINED | 01 | 02 | |
| PUT SUPERIOR I | OPT | 8681689SX | 1 | 10 | SH | PUT | DEFINED | 01 | 15 |
| SUPERIOR OFFSHORE INTL INC C | COM | 86825Q104 | 5 | 250 | SH | DEFINED | 01 | 15 | |
| SUPERIOR UNIFORM GROUP (FLO | COM | 868358102 | 17 | 1300 | SH | DEFINED | 01 | 15 | |
| SUPERTEX INC | COM | 868532102 | 709 | 22635 | SH | DEFINED | 01 | 02 | |
| SUPERVALU INC | COM | 868536103 | 23846 | 514780 | SH | DEFINED | 01 | 02 | |
| SUPERVALU INC | COM | 868536103 | 1593 | 34391 | SH | DEFINED | 01 | 03 | |
| SUPERVALU INC | COM | 868536103 | 322 | 6956 | SH | DEFINED | 01 | 15 | |
| SUPPORTSOFT INC FORMERLY S | COM | 868587106 | 0 | 60 | SH | DEFINED | 01 | 15 | |
| SURE SHOT INTERNATIO | COM | 868662107 | 0 | 1000 | SH | DEFINED | 01 | 15 | |
| SUREBEAM CORP CL A | COM | 86866R102 | 0 | 1256 | SH | DEFINED | 01 | 15 | |
| SUREWEST COMMUNICATIONS | COM | 868733106 | 1340 | 49244 | SH | DEFINED | 01 | 02 | |
| SURMODICS INC | COM | 868873100 | 1253 | 25051 | SH | DEFINED | 01 | 02 | |
| SURMODICS INC | COM | 868873100 | 80 | 1610 | SH | DEFINED | 01 | 15 | |
| SUSQUEHANNA BANCSHARES INC | COM | 869099101 | 7922 | 354126 | SH | DEFINED | 01 | 02 | |
| SUSQUEHANNA BANCSHARES INC | COM | 869099101 | 745 | 33350 | SH | DEFINED | 01 | 02 | |
| SUSQUEHANNA BANCSHARES INC | COM | 869099101 | 231 | 10312 | SH | DEFINED | 01 | 03 | |
| SUSQUEHANNA BANCSHARES INC | COM | 869099101 | 165 | 7382 | SH | DEFINED | 01 | 15 | |
| SUSTAINABLE ENERGY DEV INC CO | COM | 869326108 | 0 | 6 | SH | DEFINED | 01 | 15 | |
| SUTRON CORP | COM | 869380105 | 2 | 200 | SH | DEFINED | 01 | 15 | |
| SWANK INC COM PAR NEW \$0.10 | COM | 869716308 | 0 | 4 | SH | DEFINED | 01 | 15 | |
| SWAP & SHOP NET CORPCOM | COM | 869894105 | 0 | 100 | SH | DEFINED | 01 | 15 | |
| SWAP AND SHOP CORP (RESTRICTE | COM | 869894998 | 0 | 267 | SH | DEFINED | 01 | 15 | |
| SWEET SUCCESS ENTERPRISE | COM | 87042Q109 | 0 | 500 | SH | DEFINED | 01 | 15 | |
| SWIFT ENERGY CO | COM | 870738101 | 6048 | 141420 | SH | DEFINED | 01 | 02 | |
| SWIFT ENERGY CO | COM | 870738101 | 1013 | 23710 | SH | DEFINED | 01 | 02 | |
| SWIFT ENERGY CO | COM | 870738101 | 354 | 8280 | SH | DEFINED | 01 | 03 | |
| SWIFT ENERGY CO | COM | 870738101 | 6 | 150 | SH | DEFINED | 01 | 15 | |
| SWISS HELVETIA FUND INC | COM | 870875101 | 178 | 10000 | SH | DEFINED | 01 | 15 | |
| SWISS HELVETIA FD INC RTS EX | COM | 870875119 | 4 | 10000 | SH | DEFINED | 01 | 15 | |
| SWISS REINSURANCE COADR | COM | 870887205 | 336 | 3679 | SH | DEFINED | 01 | 15 | |
| SWITCH & DATA FACILITIES CO | COM | 871043105 | 1350 | 70347 | SH | DEFINED | 01 | 02 | |
| SWITCH & DATA FACILITIES CO | COM | 871043105 | 7 | 350 | SH | DEFINED | 01 | 15 | |
| SYBASE INC | COM | 871130100 | 11596 | 485350 | SH | DEFINED | 01 | 02 | |
| SYBASE INC | COM | 871130100 | 1469 | 61450 | SH | DEFINED | 01 | 02 | |
| SYBASE INC | COM | 871130100 | 522 | 21850 | SH | DEFINED | 01 | 03 | |
| SYBASE INC | COM | 871130100 | 7 | 275 | SH | DEFINED | 01 | 15 | |
| SYCAMORE NETWORKS INC | COM | 871206108 | 76 | 19000 | SH | DEFINED | 01 | 02 | |
| SYCAMORE NETWORKS INC | COM | 871206108 | 0 | 70 | SH | DEFINED | 01 | 15 | |
| SYKES ENTERPRISES INC | COM | 871237103 | 6757 | 355756 | SH | DEFINED | 01 | 02 | |
| SYKES ENTERPRISES INC | COM | 871237103 | 16 | 824 | SH | DEFINED | 01 | 15 | |
| | | | | | | | | | |
| SYMANTEC CORP | COM | 871503108 | 100517 | 4976076 | SH | DEFINED | 01 | 02 | |
| SYMANTEC CORP | COM | 871503108 | 3469 | 171722 | SH | DEFINED | 01 | 03 | |
| SYMANTEC CORP | COM | 871503108 | 1770 | 87641 | SH | DEFINED | 01 | 15 | |
| SYMBOLICS INC COM NEW NO | COM | 871512208 | 0 | 4 | SH | DEFINED | 01 | 15 | |
| SYMMETRICOM INC | COM | 871543104 | 640 | 76244 | SH | DEFINED | 01 | 02 | |
| SYMMETRICOM INC | COM | 871543104 | 3 | 300 | SH | DEFINED | 01 | 15 | |
| SYMMETRY MEDICAL INC | COM | 871546206 | 2314 | 144573 | SH | DEFINED | 01 | 02 | |
| SYMYX TECHNOLOGIES INC | COM | 87155S108 | 23 | 2000 | SH | DEFINED | 01 | 15 | |
| SYNALLOY CORP DEL | COM | 871565107 | 8 | 240 | SH | DEFINED | 01 | 15 | |
| SYNCHRONOSS TECHNOLOGIES INC | COM | 87157B103 | 1009 | 34401 | SH | DEFINED | 01 | 02 | |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| SYNAPTICS INC | COM | 87157D109 | 2321 | 64876 | SH | DEFINED | 01 | 02 |
| SYNDICATED FOOD SVC INTL INC | COM | 871580106 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| SYNECO SYS INC | COM | 871581104 | 0 | 200 | SH | DEFINED | 01 | 15 |
| SYNOPSIS INC COM USD0.01 | COM | 871607107 | 2186 | 82785 | SH | DEFINED | 01 | 10 |
| SYNGENTA AG SPONSORED | COM | 87160A100 | 162 | 4161 | SH | DEFINED | 01 | 15 |
| SYNERGIE WELLNESS PRODS INC | COM | 87160E102 | 0 | 400 | SH | DEFINED | 01 | 15 |
| SYNERGETICS USA INC COM | COM | 87160G107 | 14 | 4000 | SH | DEFINED | 01 | 15 |
| SYNERGY TECHNOLOGIES CORP | COM | 87160K108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| SYNOVUS FINANCIAL CORP | COM | 87161C105 | 3639 | 118544 | SH | DEFINED | 01 | 02 |
| SYNOVUS FINANCIAL CORP | COM | 87161C105 | 1661 | 54110 | SH | DEFINED | 01 | 03 |
| SYNOVUS FINANCIAL CORP | COM | 87161C105 | 675 | 21980 | SH | DEFINED | 01 | 15 |
| SYNTEL INC | COM | 87162H103 | 539 | 17750 | SH | DEFINED | 01 | 02 |
| SYNTHETIC BLOOD INTL INC | COM | 87162Q103 | 2 | 15000 | SH | DEFINED | 01 | 15 |
| SYNNEX CORP | COM | 87162W100 | 3327 | 161409 | SH | DEFINED | 01 | 02 |
| SYNNEX CORP | COM | 87162W100 | 689 | 33430 | SH | DEFINED | 01 | 02 |
| SYNNEX CORP | COM | 87162W100 | 245 | 11900 | SH | DEFINED | 01 | 03 |
| SYNNEX CORP | COM | 87162W100 | 37 | 1780 | SH | DEFINED | 01 | 15 |
| SYNTROLEUM CORP | COM | 871630109 | 26 | 9381 | SH | DEFINED | 01 | 15 |
| SYNIVERSE HLDGS INC | COM | 87163F106 | 6 | 458 | SH | DEFINED | 01 | 15 |
| SYNTAX BRILLIAN CORPCOM | COM | 87163L103 | 16 | 3285 | SH | DEFINED | 01 | 15 |
| SYQUEST TECHNOLOGY INC NO 100 | COM | 871660106 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| SYRATECH CORP RESTRICTED | COM | 871824900 | 0 | 1647 | SH | DEFINED | 01 | 02 |
| SYSCO CORP | COM | 871829107 | 10936 | 331445 | SH | DEFINED | 01 | 02 |
| SYSCO CORP | COM | 871829107 | 3373 | 102256 | SH | DEFINED | 01 | 03 |
| SYSCO CORP | COM | 871829107 | 1692 | 51278 | SH | DEFINED | 01 | 15 |
| SYSTEMAX INC | COM | 871851101 | 2474 | 118927 | SH | DEFINED | 01 | 02 |
| SYSTEMS OF EXCELLENCE | COM | 87203C105 | 0 | 6800 | SH | DEFINED | 01 | 15 |
| TCF FINL CORP MPLS | COM | 872275102 | 9945 | 357741 | SH | DEFINED | 01 | 02 |
| TCF FINL CORP MPLS | COM | 872275102 | 245 | 8826 | SH | DEFINED | 01 | 03 |
| TCF FINL CORP MPLS | COM | 872275102 | 71 | 2559 | SH | DEFINED | 01 | 15 |
| TDK ELECTRONICS ADR | COM | 872351408 | 86 | 889 | SH | DEFINED | 01 | 15 |
| TD AMERITRADE HOLDING CORP | COM | 87236Y108 | 36649 | 1832466 | SH | DEFINED | 01 | 02 |
| TD AMERITRADE HOLDING CORP | COM | 87236Y108 | 847 | 42367 | SH | DEFINED | 01 | 03 |
| TD AMERITRADE HOLDING CORP | COM | 87236Y108 | 40308 | 2017450 | SH | DEFINED | 01 | 10 |
| TD AMERITRADE HOLDING CORP | COM | 87236Y108 | 751 | 37554 | SH | DEFINED | 01 | 15 |
| TECO ENERGY INC | COM | 872375100 | 2647 | 154077 | SH | DEFINED | 01 | 02 |
| TECO ENERGY INC | COM | 872375100 | 596 | 34688 | SH | DEFINED | 01 | 03 |
| TECO ENERGY INC | COM | 872375100 | 466 | 27126 | SH | DEFINED | 01 | 15 |
| TEL OFFSHORE TR UBI | COM | 872382106 | 0 | 22 | SH | DEFINED | 01 | 15 |
| TESSCO TECHNOLOGIES INC | COM | 872386107 | 210 | 10795 | SH | DEFINED | 01 | 02 |
| THQ INC | COM | 872443403 | 5752 | 188444 | SH | DEFINED | 01 | 02 |
| THQ INC | COM | 872443403 | 189 | 6200 | SH | DEFINED | 01 | 15 |
| TJX COMPANIES INC | COM | 872540109 | 59121 | 2149847 | SH | DEFINED | 01 | 02 |
| TJX COMPANIES INC | COM | 872540109 | 2348 | 85402 | SH | DEFINED | 01 | 03 |
| TJX COMPANIES INC | COM | 872540109 | 80 | 2918 | SH | DEFINED | 01 | 15 |
| TLC VISION CORP ISIN #CA87 | COM | 872549100 | 6 | 1142 | SH | DEFINED | 01 | 15 |
| TMM INC | COM | 87258Q108 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| TMK OAO - CLS | COM | 87260R995 | 0 | 7 | SH | DEFINED | 01 | 02 |
| TNT N V SPONSORED | COM | 87260W101 | 107 | 2363 | SH | DEFINED | 01 | 15 |
| TRW AUTOMOTIVE HOLDINGS CORP | COM | 87264S106 | 2592 | 70389 | SH | DEFINED | 01 | 02 |
| TSI LASER INC WTS CL A E | COM | 87287Q111 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI LASER INC WTS CL B E | COM | 87287Q129 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI LASER INC WTS CL C E | COM | 87287Q137 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI LASER INC WTS CL D E | COM | 87287Q145 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI LASER INC WTS CL E E | COM | 87287Q152 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI LASER INC WTS CL F E | COM | 87287Q160 | 0 | 6051 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| TSI LASER INC COM NEW | COM | 87287Q301 | 0 | 1512 | SH | DEFINED | 01 | 15 |
| TSI VISION INC | COM | 87287R101 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI VISION INC CL A WTS EXP 0 | COM | 87287R119 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI VISION INC WTS CL B E | COM | 87287R127 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI VISION INC WTS CL C E | COM | 87287R135 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI VISION INC WTS CL D 06/30 | COM | 87287R143 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI VISION INC WTS EXP 06 | COM | 87287R150 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI VISION INC WTS CL F E | COM | 87287R168 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC | COM | 87287S109 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC WTS CL A E | COM | 87287S117 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC WTS CL BEXP 06/30/ | COM | 87287S125 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC WTS CL EXP 06/30/ | COM | 87287S133 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC WTS CL D E | COM | 87287S141 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC WTS CL EEXP 06/30/ | COM | 87287S158 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI FIN INC WTS CL F E | COM | 87287S166 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVCS INC | COM | 87287T107 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVCS INC WTS CL A E | COM | 87287T115 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVCS INC WTS EXP B | COM | 87287T123 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVCS INC WTS CL C E | COM | 87287T131 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVCS INC 06/30/03 W | COM | 87287T149 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVCS INC EXP 06/30/03 | COM | 87287T156 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TSI SVVS INC CL F WTS | COM | 87287T164 | 0 | 6051 | SH | DEFINED | 01 | 15 |
| TVI CORP NEW | COM | 872916101 | 10 | 17428 | SH | DEFINED | 01 | 15 |
| TNS INC | COM | 872960109 | 264 | 18320 | SH | DEFINED | 01 | 15 |
| TOUSA INC | COM | 872962105 | 10 | 2391 | SH | DEFINED | 01 | 02 |
| TOUSA INC | COM | 872962105 | 58 | 13810 | SH | DEFINED | 01 | 15 |
| TTM TECHNOLOGIES | COM | 87305R109 | 902 | 69375 | SH | DEFINED | 01 | 02 |
| TXCO RES INC | COM | 87311M102 | 10 | 1000 | SH | DEFINED | 01 | 15 |
| TXU CORP | COM | 873168108 | 20941 | 311163 | SH | DEFINED | 01 | 02 |
| TXU CORP | COM | 873168108 | 5113 | 75978 | SH | DEFINED | 01 | 03 |
| TXU CORP | COM | 873168108 | 666 | 9896 | SH | DEFINED | 01 | 15 |
| TAIWAN FD INC | COM | 874036106 | 537 | 26650 | SH | DEFINED | 01 | 15 |
| TAIWAN SEMI ADR | COM | 874039100 | 80240 | 7209400 | SH | DEFINED | 01 | 02 |
| TAIWAN SEMI ADR | COM | 874039100 | 2129 | 191254 | SH | DEFINED | 01 | 02 |
| TAIWAN SEMI ADR | COM | 874039100 | 284 | 25506 | SH | DEFINED | 01 | 03 |
| TAIWAN SEMI ADR | COM | 874039100 | 11274 | 1013886 | SH | DEFINED | 01 | 10 |
| TAIWAN SEMI ADR | COM | 874039100 | 406 | 36487 | SH | DEFINED | 01 | 15 |
| TAKE-TWO INTERACTIVE | COM | 874054109 | 2855 | 142985 | SH | DEFINED | 01 | 02 |
| TALBOTS INC | COM | 874161102 | 195 | 7793 | SH | DEFINED | 01 | 02 |
| TALBOTS INC | COM | 874161102 | 19 | 747 | SH | DEFINED | 01 | 15 |
| TALEO CORP - CL A | COM | 87424N104 | 962 | 42740 | SH | DEFINED | 01 | 02 |
| TALISMAN ENERGY INC COM ISIN | COM | 87425E103 | 182 | 9392 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| TAM SA ADR | COM | 87484D103 | 29644 | 895602 | SH | DEFINED | 01 | 10 |
| TANGER FACTORY OUTLET CENTRS | COM | 875465106 | 1923 | 51340 | SH | DEFINED | 01 | 02 |
| TAPESTRY PHARMACEUT | COM | 876031204 | 0 | 14 | SH | DEFINED | 01 | 15 |
| TARGET CORP | COM | 87612E106 | 66755 | 1049633 | SH | DEFINED | 01 | 02 |
| TARGET CORP | COM | 87612E106 | 8962 | 140906 | SH | DEFINED | 01 | 03 |
| TARGET CORP | COM | 87612E106 | 1912 | 30058 | SH | DEFINED | 01 | 15 |
| TARGETED GENETICS CORP COM N | COM | 87612M306 | 0 | 70 | SH | DEFINED | 01 | 15 |
| TASEKO MINES LTD ISIN #CA87 | COM | 876511106 | 17 | 4500 | SH | DEFINED | 01 | 15 |
| TASER INTERNATIONAL INC | COM | 87651B104 | 34 | 2470 | SH | DEFINED | 01 | 15 |
| TASTY FRIES INC COM PAR \$0 | COM | 876556200 | 0 | 500 | SH | DEFINED | 01 | 15 |
| TATA MTRS LTD SPONSORED | COM | 876568502 | 599 | 36487 | SH | DEFINED | 01 | 15 |
| TATE & LYLE PLC SPONSORED | COM | 876570607 | 75 | 1650 | SH | DEFINED | 01 | 15 |
| TAUBMAN CENTERS INC | COM | 876664103 | 3969 | 79976 | SH | DEFINED | 01 | 02 |
| TAYLOR CAPITAL GROUP INC | COM | 876851106 | 527 | 19118 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| TAYLOR CAPITAL GROUP INC | COM | 876851106 | 82 | 2924 | SH | DEFINED | 01 | 02 |
| TAYLOR CAPITAL GROUP INC | COM | 876851106 | 68 | 2460 | SH | DEFINED | 01 | 03 |
| TAYLOR DEVICES INC | COM | 877163105 | 2 | 390 | SH | DEFINED | 01 | 15 |
| TEAMSTAFF INC NEW | COM | 87815U204 | 1 | 750 | SH | DEFINED | 01 | 15 |
| TECH DATA CORP | COM | 878237106 | 8748 | 227440 | SH | DEFINED | 01 | 02 |
| TECH DATA CORP | COM | 878237106 | 2 | 53 | SH | DEFINED | 01 | 15 |
| TECHNE CORP | COM | 878377100 | 14046 | 245525 | SH | DEFINED | 01 | 02 |
| TECHNE CORP | COM | 878377100 | 577 | 10092 | SH | DEFINED | 01 | 03 |
| TECHNE CORP | COM | 878377100 | 46 | 807 | SH | DEFINED | 01 | 15 |
| TECHNIP NEW SPONS ADR | COM | 878546209 | 6 | 78 | SH | DEFINED | 01 | 15 |
| TECHNITROL INC | COM | 878555101 | 5041 | 175808 | SH | DEFINED | 01 | 02 |
| TECHNITROL INC | COM | 878555101 | 1232 | 42970 | SH | DEFINED | 01 | 02 |
| TECHNITROL INC | COM | 878555101 | 439 | 15300 | SH | DEFINED | 01 | 03 |
| TECHNITROL INC | COM | 878555101 | 23 | 792 | SH | DEFINED | 01 | 15 |
| TECHNOLOGY INVSTMT CAP CORP | COM | 878717305 | 50 | 3176 | SH | DEFINED | 01 | 02 |
| TECHNOLOGY INVSTMT CAP CORP | COM | 878717305 | 13 | 800 | SH | DEFINED | 01 | 15 |
| TECHTRONIC INDS SPON ADR I | COM | 87873R101 | 4 | 574 | SH | DEFINED | 01 | 15 |
| TECK COMINCO LTD CL B SUB V | COM | 878742204 | 28 | 654 | SH | DEFINED | 01 | 15 |
| TECUMSEH PRODS CO CL A | COM | 878895200 | 2 | 123 | SH | DEFINED | 01 | 15 |
| TEJON RANCH CO | COM | 879080109 | 8 | 175 | SH | DEFINED | 01 | 15 |
| TEKELEC | COM | 879101103 | 988 | 68487 | SH | DEFINED | 01 | 02 |
| TEKELEC | COM | 879101103 | 591 | 40900 | SH | DEFINED | 01 | 02 |
| TEKELEC | COM | 879101103 | 209 | 14500 | SH | DEFINED | 01 | 03 |
| TEKELEC | COM | 879101103 | 191 | 13240 | SH | DEFINED | 01 | 15 |
| TEKTRONIX | COM | 879131100 | 298 | 8811 | SH | DEFINED | 01 | 02 |
| TEKTRONIX | COM | 879131100 | 456 | 13523 | SH | DEFINED | 01 | 03 |
| TEKTRONIX | COM | 879131100 | 1568 | 46487 | SH | DEFINED | 01 | 15 |
| TELE ART INC COM NEW | COM | 879229300 | 0 | 100 | SH | DEFINED | 01 | 15 |
| TELE NORTE LESTE | COM | 879246106 | 573 | 30230 | SH | DEFINED | 01 | 02 |
| TELE NORTE LESTE | COM | 879246106 | 85 | 4456 | SH | DEFINED | 01 | 15 |
| TELECOM ARGENTINA - | COM | 879273209 | 0 | 14 | SH | DEFINED | 01 | 02 |
| TELECOM CORP OF NEW ZEALAND A | COM | 879278208 | 642 | 23012 | SH | DEFINED | 01 | 15 |
| TELECOM HOLDERS TR DEPOSIT | COM | 87927P200 | 89 | 2200 | SH | DEFINED | 01 | 15 |
| TELECOM ITALIA S P ANEW SPON A | COM | 87927Y102 | 64 | 2343 | SH | DEFINED | 01 | 15 |
| TELECOMUNICACOES BRASILEIRA | COM | 879287308 | 23 | 588 | SH | DEFINED | 01 | 15 |
| TELECOMUNICACOES DE SAO PAULO | COM | 87929A102 | 188 | 5775 | SH | DEFINED | 01 | 15 |
| TELECOMMUNICATION SYSTEMS-A | COM | 87929J103 | 93 | 18389 | SH | DEFINED | 01 | 02 |
| TELEDYNE TECHNOLOGIES INC | COM | 879360105 | 5058 | 110074 | SH | DEFINED | 01 | 02 |
| TELEDYNE TECHNOLOGIES INC | COM | 879360105 | 14 | 310 | SH | DEFINED | 01 | 15 |
| TELEFLEX INC | COM | 879369106 | 1533 | 18743 | SH | DEFINED | 01 | 02 |
| TELEFLEX INC | COM | 879369106 | 8 | 100 | SH | DEFINED | 01 | 15 |
| TELEFONICA S A ADR REPRSTG TH | COM | 879382208 | 863 | 12928 | SH | DEFINED | 01 | 15 |
| TELEFONOS DE MEXICO S A B DE C | COM | 879403707 | 44 | 1162 | SH | DEFINED | 01 | 15 |
| TELEFONOS DE MEXICO | COM | 879403780 | 146409 | 3864040 | SH | DEFINED | 01 | 02 |
| TELEFONOS DE MEXICO | COM | 879403780 | 201 | 5299 | SH | DEFINED | 01 | 15 |
| TELEPHONE AND DATA | COM | 879433100 | 1161 | 18556 | SH | DEFINED | 01 | 02 |
| TELEPHONE AND DATA | COM | 879433100 | 229 | 3652 | SH | DEFINED | 01 | 15 |
| TELEPHONE & DATA SYSTEMS IN | COM | 879433852 | 9 | 400 | SH | DEFINED | 01 | 15 |
| TELEPHONE & DATA-SPECIAL SHS | COM | 879433860 | 1 | 21 | SH | DEFINED | 01 | 02 |
| TELEPHONE & DATA-SPECIAL SHS | COM | 879433860 | 193 | 3362 | SH | DEFINED | 01 | 15 |
| TELEPHONE & DATA SYSTEMS PF | COM | 879433878 | 24 | 1000 | SH | DEFINED | 01 | 15 |
| TELENOR ASA SPON ADR | COM | 87944W105 | 12 | 200 | SH | DEFINED | 01 | 15 |
| TELESERVICES INTERNET G | COM | 87952E203 | 0 | 1110 | SH | DEFINED | 01 | 15 |
| TELIK INC | COM | 87959M109 | 2 | 612 | SH | DEFINED | 01 | 15 |
| TELKOM SA LTD SPON ADR | COM | 879603108 | 66 | 652 | SH | DEFINED | 01 | 15 |
| TELKONET INC | COM | 879604106 | 45 | 23000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| TELLABS INC | COM | 879664100 | 101554 | 9438167 | SH | DEFINED | 01 | 02 |
| TELLABS INC | COM | 879664100 | 790 | 73365 | SH | DEFINED | 01 | 03 |
| TELLABS INC | COM | 879664100 | 215 | 19993 | SH | DEFINED | 01 | 15 |
| TELSTRA CORP ADR REPRTG 20 | COM | 87969N204 | 437 | 22446 | SH | DEFINED | 01 | 15 |
| TELULAR CORP | COM | 87970T208 | 1 | 125 | SH | DEFINED | 01 | 15 |
| TELUS CORP NON VTG SH | COM | 87971M202 | 71 | 1200 | SH | DEFINED | 01 | 15 |
| TELESCOPE INTL INC NEW NO 100 | COM | 87971Q104 | 0 | 223 | SH | DEFINED | 01 | 15 |
| TEMPLE-INLAND INC | COM | 879868107 | 18454 | 299934 | SH | DEFINED | 01 | 02 |
| TEMPLE-INLAND INC | COM | 879868107 | 1078 | 17512 | SH | DEFINED | 01 | 03 |
| TEMPLE-INLAND INC | COM | 879868107 | 285 | 4627 | SH | DEFINED | 01 | 15 |
| TELEVIDEO INC COM NEW | COM | 879913200 | 0 | 100 | SH | DEFINED | 01 | 15 |
| TELETECH HOLDINGS INC | COM | 879939106 | 5385 | 165817 | SH | DEFINED | 01 | 02 |
| TELETECH HOLDINGS INC | COM | 879939106 | 103 | 3180 | SH | DEFINED | 01 | 15 |
| ESCROW TELEFONICA DEARGENTINA | COM | 879991016 | 0 | 100 | SH | DEFINED | 01 | 15 |
| TELEFONICA DE ARGENTINA | COM | 879991024 | 0 | 100 | SH | DEFINED | 01 | 15 |
| TELECOP PCS INC ESCROW | COM | 879ESC994 | 0 | 520 | SH | DEFINED | 01 | 02 |
| TEMPLETON DRAGON FUND INC | COM | 88018T101 | 164 | 6215 | SH | DEFINED | 01 | 15 |
| TEMPLETON EMERGING MKTS FD IN | COM | 880191101 | 160 | 8245 | SH | DEFINED | 01 | 15 |
| TEMPLETON EMERGING MARKETS IN | COM | 880192109 | 188 | 12944 | SH | DEFINED | 01 | 15 |
| TEMPLETON GLOBAL INCOME FD | COM | 880198106 | 83 | 8837 | SH | DEFINED | 01 | 15 |
| TEMPLETON RUSSIAN AND EAST E | COM | 88022F105 | 18 | 265 | SH | DEFINED | 01 | 15 |
| TEMPUR-PEDIC INTERNATIONAL | COM | 88023U101 | 4597 | 177511 | SH | DEFINED | 01 | 02 |
| TEMPUR-PEDIC INTERNATIONAL | COM | 88023U101 | 4 | 155 | SH | DEFINED | 01 | 15 |
| TENARIS SA-ADR | COM | 88031M109 | 68147 | 1393582 | SH | DEFINED | 01 | 10 |
| TENARIS SA-ADR | COM | 88031M109 | 345 | 7045 | SH | DEFINED | 01 | 15 |
| TENFOLD CORP | COM | 88033A103 | 0 | 200 | SH | DEFINED | 01 | 15 |
| TENET HEALTHCARE CORP | COM | 88033G100 | 3344 | 513764 | SH | DEFINED | 01 | 02 |
| TENET HEALTHCARE CORP | COM | 88033G100 | 510 | 78274 | SH | DEFINED | 01 | 03 |
| TENET HEALTHCARE CORP | COM | 88033G100 | 4 | 689 | SH | DEFINED | 01 | 15 |
| TENNANT CO | COM | 880345103 | 100 | 2744 | SH | DEFINED | 01 | 02 |
| TENNECO INC | COM | 880349105 | 3783 | 107943 | SH | DEFINED | 01 | 02 |
| TENNECO INC | COM | 880349105 | 659 | 18760 | SH | DEFINED | 01 | 02 |
| TENNECO INC | COM | 880349105 | 213 | 6080 | SH | DEFINED | 01 | 03 |
| TENNECO INC | COM | 880349105 | 6 | 162 | SH | DEFINED | 01 | 15 |
| TENN VALLEY AUTH PFD SER D | COM | 880591300 | 259 | 11323 | SH | DEFINED | 01 | 15 |
| TENN VALLEY AUTH 6.50% 05/0 | COM | 880591409 | 142 | 6125 | SH | DEFINED | 01 | 15 |
| TENNESSEE VY FINL HLDGS INC | COM | 88059U108 | 250 | 17831 | SH | DEFINED | 01 | 15 |
| TERADYNE INC | COM | 880770102 | 257 | 14636 | SH | DEFINED | 01 | 02 |
| TERADYNE INC | COM | 880770102 | 552 | 31392 | SH | DEFINED | 01 | 03 |
| TERADYNE INC | COM | 880770102 | 29 | 1644 | SH | DEFINED | 01 | 15 |
| Terayon Communication Systems | COM | 880775101 | 388 | 220258 | SH | DEFINED | 01 | 02 |
| Terayon Communication Systems | COM | 880775101 | 0 | 46 | SH | DEFINED | 01 | 15 |
| TEREX CORP NEW | COM | 880779103 | 43634 | 536679 | SH | DEFINED | 01 | 02 |
| TEREX CORP NEW | COM | 880779103 | 1387 | 17060 | SH | DEFINED | 01 | 03 |
| TEREX CORP NEW | COM | 880779103 | 235 | 2887 | SH | DEFINED | 01 | 15 |
| TERABEAM INC COM | COM | 88077B108 | 3 | 1198 | SH | DEFINED | 01 | 15 |
| TERAFORCE TECHNOLOGYCORP NO 10 | COM | 88077T109 | 0 | 16570 | SH | DEFINED | 01 | 15 |
| TERNIUM SA-ADR | COM | 880890108 | 67346 | 2223365 | SH | DEFINED | 01 | 10 |
| TERRA INDUSTRIES INC | COM | 880915103 | 8878 | 349306 | SH | DEFINED | 01 | 02 |
| TERRA INDUSTRIES INC | COM | 880915103 | 30418 | 1197073 | SH | DEFINED | 01 | 10 |
| TERRA INDUSTRIES INC | COM | 880915103 | 2 | 83 | SH | DEFINED | 01 | 15 |
| TERRA SYSTEMS INC | COM | 88101A100 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| TERREMARK WORLDWIDE INC | COM | 881448203 | 171 | 26478 | SH | DEFINED | 01 | 02 |
| TERREMARK WORLDWIDE INC | COM | 881448203 | 4 | 620 | SH | DEFINED | 01 | 15 |
| TESCO PLC SPONSORED | COM | 881575302 | 123 | 4868 | SH | DEFINED | 01 | 15 |
| TESCO CORP | COM | 88157K101 | 385 | 12200 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| TESCO CORP | COM | 88157K101 | 96 | 3028 | SH | DEFINED | 01 | 15 |
| TESORO CORP | COM | 881609101 | 5132 | 89797 | SH | DEFINED | 01 | 02 |
| TESORO CORP | COM | 881609101 | 764 | 13371 | SH | DEFINED | 01 | 15 |
| TEVA PHARM INDS AD | COM | 881624209 | 14410 | 349322 | SH | DEFINED | 01 | 02 |
| TEVA PHARM INDS AD | COM | 881624209 | 15139 | 366987 | SH | DEFINED | 01 | 02 |
| TEVA PHARM INDS AD | COM | 881624209 | 92 | 2229 | SH | DEFINED | 01 | 03 |
| TEVA PHARM INDS AD | COM | 881624209 | 842 | 20415 | SH | DEFINED | 01 | 10 |
| TEVA PHARM INDS AD | COM | 881624209 | 1875 | 45459 | SH | DEFINED | 01 | 15 |
| TETRA TECHNOLOGIES INC | COM | 88162F105 | 20639 | 731883 | SH | DEFINED | 01 | 02 |
| TETRA TECHNOLOGIES INC | COM | 88162F105 | 529 | 18784 | SH | DEFINED | 01 | 03 |
| TETRA TECHNOLOGIES INC | COM | 88162F105 | 36 | 1272 | SH | DEFINED | 01 | 15 |
| TETRA TECH INC | COM | 88162G103 | 2050 | 95133 | SH | DEFINED | 01 | 02 |
| TETRA TECH INC | COM | 88162G103 | 6 | 271 | SH | DEFINED | 01 | 15 |
| TESSERA TECHNOLOGIES INC | COM | 88164L100 | 2354 | 58050 | SH | DEFINED | 01 | 02 |
| TESSERA TECHNOLOGIES INC | COM | 88164L100 | 16412 | 404739 | SH | DEFINED | 01 | 10 |
| TEXAS CAPITAL BANCSHARES INC | COM | 88224Q107 | 3491 | 156160 | SH | DEFINED | 01 | 02 |
| TEXAS CAPITAL BANCSHARES INC | COM | 88224Q107 | 1075 | 48070 | SH | DEFINED | 01 | 02 |
| TEXAS CAPITAL BANCSHARES INC | COM | 88224Q107 | 326 | 14600 | SH | DEFINED | 01 | 03 |
| TEXAS INDUSTRIES INC | COM | 882491103 | 6608 | 84268 | SH | DEFINED | 01 | 02 |
| TEXAS INDUSTRIES INC | COM | 882491103 | 1013 | 12920 | SH | DEFINED | 01 | 02 |
| TEXAS INDUSTRIES INC | COM | 882491103 | 354 | 4510 | SH | DEFINED | 01 | 03 |
| TEXAS INDUSTRIES INC | COM | 882491103 | 20 | 250 | SH | DEFINED | 01 | 15 |
| TEXAS INSTRUMENTS INC | COM | 882508104 | 18942 | 503340 | SH | DEFINED | 01 | 02 |
| TEXAS INSTRUMENTS INC | COM | 882508104 | 8928 | 237254 | SH | DEFINED | 01 | 03 |
| TEXAS INSTRUMENTS INC | COM | 882508104 | 3018 | 80196 | SH | DEFINED | 01 | 15 |
| TEXAS PACIFIC LAND TRUST SUB | COM | 882610108 | 61 | 197 | SH | DEFINED | 01 | 15 |
| TEXAS ROADHOUSE INC CLASS A | COM | 882681109 | 3086 | 241390 | SH | DEFINED | 01 | 02 |
| TEXAS ROADHOUSE INC CLASS A | COM | 882681109 | 21 | 1650 | SH | DEFINED | 01 | 15 |
| TEXTRON INC | COM | 883203101 | 21697 | 197050 | SH | DEFINED | 01 | 02 |
| TEXTRON INC | COM | 883203101 | 2286 | 20761 | SH | DEFINED | 01 | 03 |
| TEXTRON INC | COM | 883203101 | 423 | 3839 | SH | DEFINED | 01 | 15 |
| THE BANK HOLDINGS | COM | 88331E104 | 17 | 1050 | SH | DEFINED | 01 | 15 |
| THEGLOBE COM INC | COM | 88335R101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| THEHEALTHCHANNEL.COM INC NEW | COM | 88336B303 | 0 | 500 | SH | DEFINED | 01 | 15 |
| THERAGENICS CORP | COM | 883375107 | 228 | 54592 | SH | DEFINED | 01 | 02 |
| THERAGENICS CORP | COM | 883375107 | 1 | 300 | SH | DEFINED | 01 | 15 |
| THE9 LTD-ADR | COM | 88337K104 | 242 | 5230 | SH | DEFINED | 01 | 02 |
| THE9 LTD-ADR | COM | 88337K104 | 9 | 200 | SH | DEFINED | 01 | 15 |
| THERAVANCE INC | COM | 88338T104 | 71 | 2224 | SH | DEFINED | 01 | 15 |
| THERMAGE INC COM | COM | 88343R101 | 2 | 250 | SH | DEFINED | 01 | 15 |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 21163 | 409194 | SH | DEFINED | 01 | 02 |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 6374 | 123238 | SH | DEFINED | 01 | 02 |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 3611 | 69821 | SH | DEFINED | 01 | 03 |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 104228 | 2017581 | SH | DEFINED | 01 | 10 |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 3191 | 61698 | SH | DEFINED | 01 | 15 |
| THERMO TECH TECHNLSINC COM | COM | 88360H101 | 0 | 393700 | SH | DEFINED | 01 | 15 |
| THESTREET.COM INC | COM | 88368Q103 | 0 | 15 | SH | DEFINED | 01 | 15 |
| THIRD WAVE TECHNOLOGI | COM | 88428W108 | 1 | 200 | SH | DEFINED | 01 | 15 |
| THOMAS & BETTS CORP | COM | 884315102 | 731 | 12600 | SH | DEFINED | 01 | 02 |
| THOMAS & BETTS CORP | COM | 884315102 | 139 | 2390 | SH | DEFINED | 01 | 15 |
| THOMAS PPTYS GROUP INC COM | COM | 884453101 | 42 | 2647 | SH | DEFINED | 01 | 15 |
| THOMSON SPON ADR | COM | 885118109 | 6 | 300 | SH | DEFINED | 01 | 15 |
| THOR INDUSTRIES INC | COM | 885160101 | 3792 | 84000 | SH | DEFINED | 01 | 02 |
| THOR INDUSTRIES INC | COM | 885160101 | 210 | 4653 | SH | DEFINED | 01 | 15 |
| THORATEC CORP | COM | 885175307 | 473 | 25754 | SH | DEFINED | 01 | 02 |
| THORIUM POWER LTD | COM | 885183103 | 420 | 1500909 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| THORIUM POWER LTD | COM | 885183103 | 3 | 12000 | SH | DEFINED | 01 | 15 |
| THORNBURG MORTGAGE INC | COM | 885218107 | 186 | 7091 | SH | DEFINED | 01 | 02 |
| 3COM CORP | COM | 885535104 | 15374 | 3722501 | SH | DEFINED | 01 | 02 |
| 3COM CORP | COM | 885535104 | 890 | 215730 | SH | DEFINED | 01 | 02 |
| 3COM CORP | COM | 885535104 | 322 | 77890 | SH | DEFINED | 01 | 03 |
| 3COM CORP | COM | 885535104 | 18 | 4314 | SH | DEFINED | 01 | 15 |
| 3D SYSTEMS CORP DEL COM NEW | COM | 88554D205 | 332 | 13357 | SH | DEFINED | 01 | 15 |
| 360NETWORKS CORP FSUSD | COM | 885575100 | 380 | 76029 | SH | DEFINED | 01 | 02 |
| 360NETWORKS INC NO 100HLDR | COM | 88575T205 | 0 | 900 | SH | DEFINED | 01 | 15 |
| 3M CO | COM | 88579Y101 | 180182 | 2076068 | SH | DEFINED | 01 | 02 |
| 3M CO | COM | 88579Y101 | 10344 | 119180 | SH | DEFINED | 01 | 03 |
| 3M CO | COM | 88579Y101 | 10147 | 116919 | SH | DEFINED | 01 | 15 |
| TIANRONG INTERNET PRODS & SV | COM | 886307107 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| TIBCO SOFTWARE INC | COM | 88632Q103 | 18859 | 2084015 | SH | DEFINED | 01 | 02 |
| TIBCO SOFTWARE INC | COM | 88632Q103 | 1086 | 120020 | SH | DEFINED | 01 | 02 |
| TIBCO SOFTWARE INC | COM | 88632Q103 | 633 | 69970 | SH | DEFINED | 01 | 03 |
| TIBCO SOFTWARE INC | COM | 88632Q103 | 19 | 2150 | SH | DEFINED | 01 | 15 |
| TIDEWATER INC | COM | 886423102 | 763 | 10759 | SH | DEFINED | 01 | 02 |
| TIDEWATER INC | COM | 886423102 | 55 | 771 | SH | DEFINED | 01 | 15 |
| TIER TECHNOLOGIES INC | COM | 88650Q100 | 1 | 100 | SH | DEFINED | 01 | 15 |
| TIERONE CORP | COM | 88650R108 | 1578 | 52400 | SH | DEFINED | 01 | 02 |
| TIFFANY & CO | COM | 886547108 | 8174 | 154071 | SH | DEFINED | 01 | 02 |
| TIFFANY & CO | COM | 886547108 | 1200 | 22624 | SH | DEFINED | 01 | 03 |
| TIFFANY & CO | COM | 886547108 | 132 | 2484 | SH | DEFINED | 01 | 15 |
| THURSTON FIRST BANK BASED ON M | COM | 886995703 | 22 | 2000 | SH | DEFINED | 01 | 15 |
| TIM HORTONS INC COM | COM | 88706M103 | 120 | 3910 | SH | DEFINED | 01 | 15 |
| TIM PARTICIPACOES SA -ADR | COM | 88706P106 | 6582 | 190962 | SH | DEFINED | 01 | 02 |
| TIM PARTICIPACOES SA -ADR | COM | 88706P106 | 22051 | 641014 | SH | DEFINED | 01 | 10 |
| TIM PARTICIPACOES SA -ADR | COM | 88706P106 | 1 | 40 | SH | DEFINED | 01 | 15 |
| TIMBERLAND CO -CL A | COM | 887100105 | 24 | 945 | SH | DEFINED | 01 | 02 |
| TIMBERLAND CO -CL A | COM | 887100105 | 139 | 5537 | SH | DEFINED | 01 | 15 |
| TIMBERWEST FST CORP STAPLED UT | COM | 887147205 | 51 | 3018 | SH | DEFINED | 01 | 15 |
| TIME WARNER INC | COM | 887317105 | 271215 | 12890478 | SH | DEFINED | 01 | 02 |
| TIME WARNER INC | COM | 887317105 | 13917 | 661480 | SH | DEFINED | 01 | 03 |
| TIME WARNER INC | COM | 887317105 | 14192 | 674899 | SH | DEFINED | 01 | 10 |
| TIME WARNER INC | COM | 887317105 | 1788 | 84992 | SH | DEFINED | 01 | 15 |
| TIME WARNER TELECOM | COM | 887319101 | 14763 | 734425 | SH | DEFINED | 01 | 02 |
| TIME WARNER TELECOM | COM | 887319101 | 299 | 14885 | SH | DEFINED | 01 | 03 |
| TIME WARNER TELECOM | COM | 887319101 | 87090 | 4332871 | SH | DEFINED | 01 | 10 |
| TIME WARNER TELECOM | COM | 887319101 | 49 | 2444 | SH | DEFINED | 01 | 15 |
| TIME WARNER CABLE-A | COM | 88732J108 | 8295 | 211772 | SH | DEFINED | 01 | 02 |
| TIME WARNER CABLE-A | COM | 88732J108 | 76 | 1931 | SH | DEFINED | 01 | 15 |
| TIMKEN CO | COM | 887389104 | 1010 | 27955 | SH | DEFINED | 01 | 02 |
| TIMKEN CO | COM | 887389104 | 48 | 1338 | SH | DEFINED | 01 | 15 |
| TIREX CORP FRMLY TIRE | COM | 88823T106 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| TITAN INTERNATIONAL INC | COM | 88830M102 | 288 | 9113 | SH | DEFINED | 01 | 02 |
| TITAN INTERNATIONAL INC | COM | 88830M102 | 7 | 211 | SH | DEFINED | 01 | 15 |
| TITAN PHARM INC | COM | 888314101 | 9 | 4230 | SH | DEFINED | 01 | 15 |
| TITAN TECHNOLOGIES INC | COM | 888325107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| TITANIUM METALS CORP | COM | 888339207 | 289 | 9080 | SH | DEFINED | 01 | 02 |
| TITANIUM METALS CORP | COM | 888339207 | 1045 | 32764 | SH | DEFINED | 01 | 15 |
| TIVO INC | COM | 888706108 | 1233 | 212882 | SH | DEFINED | 01 | 02 |
| TIVO INC | COM | 888706108 | 13 | 2200 | SH | DEFINED | 01 | 15 |
| TL ADMINISTRATION CORP | COM | 88873Q101 | 0 | 189 | SH | DEFINED | 01 | 15 |
| TODCO | COM | 88889T107 | 1 | 13 | SH | DEFINED | 01 | 02 |
| TODCO | COM | 88889T107 | 47 | 1000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| TOLL BROTHERS INC | COM | 889478103 | 1735 | 69439 | SH | DEFINED | 01 | 02 |
| TOLL BROTHERS INC | COM | 889478103 | 77 | 3090 | SH | DEFINED | 01 | 15 |
| TOLLGRADE COMMUNICATIONS INC | COM | 889542106 | 230 | 21758 | SH | DEFINED | 01 | 02 |
| TOLLGRADE COMMUNICATIONS INC | COM | 889542106 | 5 | 490 | SH | DEFINED | 01 | 15 |
| TOMKINS PLC SPONSORED | COM | 890030208 | 56 | 2701 | SH | DEFINED | 01 | 15 |
| TOMOTHERAPY INC | COM | 890088107 | 202 | 9200 | SH | DEFINED | 01 | 02 |
| TOMPKINS FINANCIAL CORPORATION | COM | 890110109 | 150 | 4001 | SH | DEFINED | 01 | 02 |
| TOMPKINS FINANCIAL CORPORATION | COM | 890110109 | 15 | 398 | SH | DEFINED | 01 | 15 |
| TONGJUTANG CHINESE MEDIC-ADR | COM | 89025E103 | 25 | 2210 | SH | DEFINED | 01 | 02 |
| TOPPAN PRGT LTD ISIN #US89 | COM | 890747207 | 2 | 35 | SH | DEFINED | 01 | 15 |
| TORAY INDS INC ADR | COM | 890880206 | 100 | 1353 | SH | DEFINED | 01 | 15 |
| TORCHMARK CORP | COM | 891027104 | 82546 | 1232040 | SH | DEFINED | 01 | 02 |
| TORCHMARK CORP | COM | 891027104 | 1059 | 15808 | SH | DEFINED | 01 | 03 |
| TORCHMARK CORP | COM | 891027104 | 720 | 10750 | SH | DEFINED | 01 | 15 |
| TOREADOR RES CORP | COM | 891050106 | 15 | 1000 | SH | DEFINED | 01 | 15 |
| TORO CO | COM | 891092108 | 3895 | 66148 | SH | DEFINED | 01 | 02 |
| TORO CO | COM | 891092108 | 139 | 2359 | SH | DEFINED | 01 | 15 |
| TORONTO DOMINION BK ONT COM NE | COM | 891160509 | 97 | 1414 | SH | DEFINED | 01 | 15 |
| TORRENT ENERGY CORP | COM | 89141B108 | 0 | 260 | SH | DEFINED | 01 | 15 |
| TORTOISE ENERGY INFRASTRUC | COM | 89147L100 | 66 | 1575 | SH | DEFINED | 01 | 15 |
| TORTOISE CAP RES CORP | COM | 89147N304 | 10 | 560 | SH | DEFINED | 01 | 15 |
| TORTOISE ENERGY CAP CORP COM | COM | 89147U100 | 37 | 1245 | SH | DEFINED | 01 | 15 |
| TOTAL SA - SPON ADR | COM | 89151E109 | 76590 | 945782 | SH | DEFINED | 01 | 02 |
| TOTAL SA - SPON ADR | COM | 89151E109 | 1819 | 22464 | SH | DEFINED | 01 | 15 |
| TOUCH AMER HLDGS INCNO 100HOLD | COM | 891539108 | 0 | 604 | SH | DEFINED | 01 | 15 |
| TOWER AIR INC | COM | 891697104 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| TOWER GROUP INC | COM | 891777104 | 1248 | 39140 | SH | DEFINED | 01 | 02 |
| TOWER GROUP INC | COM | 891777104 | 628 | 19700 | SH | DEFINED | 01 | 15 |
| TOTAL SYSTEM SERVICES INC | COM | 891906109 | 1312 | 44459 | SH | DEFINED | 01 | 02 |
| TOTAL SYSTEM SERVICES INC | COM | 891906109 | 7 | 250 | SH | DEFINED | 01 | 15 |
| TOWLE MFG CO COM NEW | COM | 892008301 | 0 | 6 | SH | DEFINED | 01 | 15 |
| TOYOTA MTRS CORP SPON ADR | COM | 892331307 | 1990 | 15811 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| TRACTOR SUPPLY CO | COM | 892356106 | 2919 | 56090 | SH | DEFINED | 01 | 02 |
| TRACTOR SUPPLY CO | COM | 892356106 | 286 | 5487 | SH | DEFINED | 01 | 03 |
| TRACTOR SUPPLY CO | COM | 892356106 | 2187 | 42082 | SH | DEFINED | 01 | 10 |
| TRACTOR SUPPLY CO | COM | 892356106 | 117 | 2250 | SH | DEFINED | 01 | 15 |
| TRADESTATION GROUP INC | COM | 89267P105 | 486 | 41700 | SH | DEFINED | 01 | 02 |
| TRADESTAR RES CORP COM | COM | 89267V102 | 0 | 175 | SH | DEFINED | 01 | 15 |
| TRAIL BLAZER OIL GAS | COM | 892781105 | 0 | 100 | SH | DEFINED | 01 | 15 |
| TRANS ORIENT PETE LTD COM NO | COM | 892917303 | 0 | 189 | SH | DEFINED | 01 | 15 |
| TRANS WORLD AIRLINES I | COM | 893349837 | 0 | 2 | SH | DEFINED | 01 | 15 |
| TRANS WORLD PHARMACEUT | COM | 89340K107 | 0 | 5 | SH | DEFINED | 01 | 15 |
| TRANSACTION SYSTEM | COM | 893416107 | 6199 | 184126 | SH | DEFINED | 01 | 02 |
| TRANSACTION SYSTEM | COM | 893416107 | 17 | 500 | SH | DEFINED | 01 | 15 |
| TRANSAMERN WASTE INDS INC W | COM | 89351V125 | 0 | 6500 | SH | DEFINED | 01 | 15 |
| TRANSATLANTIC HOLDINGS INC | COM | 893521104 | 18040 | 253620 | SH | DEFINED | 01 | 02 |
| TRANSATLANTIC HOLDINGS INC | COM | 893521104 | 76 | 1066 | SH | DEFINED | 01 | 15 |
| TRANSCANADA PIPELINES | COM | 893526723 | 222 | 8895 | SH | DEFINED | 01 | 15 |
| TRANSCAT INC | COM | 893529107 | 1 | 100 | SH | DEFINED | 01 | 15 |
| TRANSCANADA CORP | COM | 89353D107 | 8704 | 252941 | SH | DEFINED | 01 | 02 |
| TRANSCANADA CORP | COM | 89353D107 | 466 | 13548 | SH | DEFINED | 01 | 15 |
| TRANSDIGM GROUP INC | COM | 893641100 | 505 | 12478 | SH | DEFINED | 01 | 02 |
| TRANSGLOBE ENERGY CORP ISIN | COM | 893662106 | 0 | 100 | SH | DEFINED | 01 | 15 |
| TRANSMETA CORP DEL COM | COM | 89376R109 | 0 | 101 | SH | DEFINED | 01 | 15 |
| TRANSNET CORP | COM | 893782102 | 14 | 11000 | SH | DEFINED | 01 | 15 |
| TRANSCEND SERVICES INC | COM | 893929208 | 393 | 20762 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| TRANSWITCH CORP | COM | 894065101 | 2 | 1350 | SH | DEFINED | 01 | 15 |
| TRAVELBYUS COM LTD ISIN CA894 | COM | 894171206 | 0 | 450 | SH | DEFINED | 01 | 15 |
| TRAVELCENTERS OF AMERICA LLC | COM | 894174101 | 2959 | 73160 | SH | DEFINED | 01 | 02 |
| TRAVELCENTERS OF AMERICA LLC | COM | 894174101 | 23 | 560 | SH | DEFINED | 01 | 15 |
| TRAVELERS COS INC/THE | COM | 89417E109 | 290133 | 5423006 | SH | DEFINED | 01 | 02 |
| TRAVELERS COS INC/THE | COM | 89417E109 | 6697 | 125187 | SH | DEFINED | 01 | 03 |
| TRAVELERS COS INC/THE | COM | 89417E109 | 913 | 17061 | SH | DEFINED | 01 | 15 |
| TRAVELZOO INC | COM | 89421Q106 | 0 | 10 | SH | DEFINED | 01 | 15 |
| TREDEGAR CORP | COM | 894650100 | 3901 | 183190 | SH | DEFINED | 01 | 02 |
| TREDEGAR CORP | COM | 894650100 | 885 | 41530 | SH | DEFINED | 01 | 02 |
| TREDEGAR CORP | COM | 894650100 | 312 | 14670 | SH | DEFINED | 01 | 03 |
| TREDEGAR CORP | COM | 894650100 | 25 | 1190 | SH | DEFINED | 01 | 15 |
| TREEHOUSE FOODS INC | COM | 89469A104 | 1363 | 51210 | SH | DEFINED | 01 | 02 |
| TREEHOUSE FOODS INC | COM | 89469A104 | 3 | 129 | SH | DEFINED | 01 | 15 |
| TREND LINES INC CL A | COM | 894859107 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| TREND MICRO INC SPON ADR N | COM | 89486M206 | 32 | 1005 | SH | DEFINED | 01 | 15 |
| TREX INC | COM | 89531P105 | 6 | 307 | SH | DEFINED | 01 | 15 |
| TRI CONTINENTAL CORPCOM | COM | 895436103 | 101 | 3878 | SH | DEFINED | 01 | 15 |
| TRI ISTHMUS GROUP INC COM | COM | 89557N109 | 0 | 14 | SH | DEFINED | 01 | 15 |
| TRI-STATE 1ST BANK INC | COM | 895665107 | 47 | 2970 | SH | DEFINED | 01 | 15 |
| TRI VY CORP | COM | 895735108 | 15 | 1900 | SH | DEFINED | 01 | 15 |
| TRIAD HOSPS INC CASH MERGE | COM | 89579K109 | 4 | 72 | SH | DEFINED | 01 | 15 |
| TRIANGLE MULTI-MEDIAINC | COM | 895891109 | 0 | 360000 | SH | DEFINED | 01 | 15 |
| TRIDENT MICROSYSTEMS INC | COM | 895919108 | 2567 | 139929 | SH | DEFINED | 01 | 02 |
| TRIDENT MICROSYSTEMS INC | COM | 895919108 | 190 | 10380 | SH | DEFINED | 01 | 15 |
| TRIAD GUARANTY INC | COM | 895925105 | 3193 | 79975 | SH | DEFINED | 01 | 02 |
| TRIAD GUARANTY INC | COM | 895925105 | 642 | 16088 | SH | DEFINED | 01 | 02 |
| TRIAD GUARANTY INC | COM | 895925105 | 213 | 5332 | SH | DEFINED | 01 | 03 |
| TRIAD GUARANTY INC | COM | 895925105 | 109 | 2741 | SH | DEFINED | 01 | 15 |
| TRIARC CO INC CL A | COM | 895927101 | 16 | 1000 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| TRIARC COS-B | COM | 895927309 | 3050 | 194335 | SH | DEFINED | 01 | 02 |
| TRIARC COS-B | COM | 895927309 | 31 | 2000 | SH | DEFINED | 01 | 15 |
| TRIBUNE CO | COM | 896047107 | 3929 | 133646 | SH | DEFINED | 01 | 02 |
| TRIBUNE CO | COM | 896047107 | 411 | 13984 | SH | DEFINED | 01 | 03 |
| TRIBUNE CO | COM | 896047107 | 86 | 2931 | SH | DEFINED | 01 | 15 |
| TRICO BANCSHARES | COM | 896095106 | 4 | 164 | SH | DEFINED | 01 | 15 |
| TRICO MARINE SERVICES INC | COM | 896106200 | 5440 | 133063 | SH | DEFINED | 01 | 02 |
| TRICO MARINE SERVICES INC | COM | 896106200 | 805 | 19690 | SH | DEFINED | 01 | 02 |
| TRICO MARINE SERVICES INC | COM | 896106200 | 274 | 6700 | SH | DEFINED | 01 | 03 |
| TRICORD SYS INC | COM | 896121100 | 0 | 1700 | SH | DEFINED | 01 | 15 |
| TRIMBLE NAVIGATION LTD | COM | 896239100 | 6607 | 205193 | SH | DEFINED | 01 | 02 |
| TRIMBLE NAVIGATION LTD | COM | 896239100 | 3271 | 101713 | SH | DEFINED | 01 | 10 |
| TRIMBLE NAVIGATION LTD | COM | 896239100 | 193 | 5996 | SH | DEFINED | 01 | 15 |
| TRIMERIS INC | COM | 896263100 | 32 | 4621 | SH | DEFINED | 01 | 02 |
| TRINA SOLAR LTD-SPON ADR | COM | 89628E104 | 711 | 13825 | SH | DEFINED | 01 | 02 |
| TRINITY BIOTECH PLC SPON ADR N | COM | 896438306 | 0 | 5 | SH | DEFINED | 01 | 15 |
| TRINITY ENERGY RES INC | COM | 896502101 | 0 | 200 | SH | DEFINED | 01 | 15 |
| TRINITY INDS INC DELFRMLY TEXA | COM | 896522109 | 368 | 8450 | SH | DEFINED | 01 | 15 |
| TRIPATH TECHNOLOGY INC | COM | 89672P104 | 0 | 1400 | SH | DEFINED | 01 | 15 |
| TRIQUINT SEMICONDUCTOR | COM | 89674K103 | 4203 | 830587 | SH | DEFINED | 01 | 02 |
| TRIQUINT SEMICONDUCTOR | COM | 89674K103 | 69 | 13674 | SH | DEFINED | 01 | 15 |
| TRIUMPH GROUP INC | COM | 896818101 | 2516 | 38430 | SH | DEFINED | 01 | 02 |
| TRIUMPH GROUP INC | COM | 896818101 | 20 | 300 | SH | DEFINED | 01 | 15 |
| TRIZETTO GROUP INC | COM | 896882107 | 2509 | 129572 | SH | DEFINED | 01 | 02 |
| TRISM INC | COM | 896925203 | 0 | 52 | SH | DEFINED | 01 | 15 |
| TRONOX INC-CLASS B | COM | 897051207 | 951 | 67699 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| TRONOX INC-CLASS B | COM | 897051207 | 3 | 233 | SH | DEFINED | 01 | 15 |
| TROON ENTMT INC COM NEW | COM | 897055208 | 0 | 250 | SH | DEFINED | 01 | 15 |
| TRUE RELIGION APPAREL IN | COM | 89784N104 | 201 | 9888 | SH | DEFINED | 01 | 15 |
| TRUE NORTH ENERGY CORP COM | COM | 89784T101 | 1 | 1175 | SH | DEFINED | 01 | 15 |
| TRUMP ENTERTAINMENT RESORTS | COM | 89816T103 | 404 | 32184 | SH | DEFINED | 01 | 02 |
| TRUST CERTS 2002-1 SER | COM | 89826W104 | 13 | 700 | SH | DEFINED | 01 | 15 |
| TRUSTCO BANK CORP NY | COM | 898349105 | 2578 | 260900 | SH | DEFINED | 01 | 02 |
| TRUSTCO BANK CORP NY | COM | 898349105 | 375 | 37997 | SH | DEFINED | 01 | 15 |
| TRUSTMARK CORP | COM | 898402102 | 1835 | 71003 | SH | DEFINED | 01 | 02 |
| TUCOWS INC | COM | 898697107 | 0 | 300 | SH | DEFINED | 01 | 15 |
| TUESDAY MORNING CORP | COM | 899035505 | 605 | 48965 | SH | DEFINED | 01 | 02 |
| TUMBLEWEED COMMUNICAT | COM | 899690101 | 0 | 50 | SH | DEFINED | 01 | 15 |
| TUPPERWARE BRANDS CORP | COM | 899896104 | 20554 | 715192 | SH | DEFINED | 01 | 02 |
| TUPPERWARE BRANDS CORP | COM | 899896104 | 664 | 23090 | SH | DEFINED | 01 | 02 |
| TUPPERWARE BRANDS CORP | COM | 899896104 | 233 | 8100 | SH | DEFINED | 01 | 03 |
| TUPPERWARE BRANDS CORP | COM | 899896104 | 95 | 3297 | SH | DEFINED | 01 | 15 |
| TURBOCHEF TECHNOLOGI | COM | 900006206 | 13 | 900 | SH | DEFINED | 01 | 15 |
| TURKCELL ILETISIM HIZMETLERI | COM | 900111204 | 9 | 563 | SH | DEFINED | 01 | 15 |
| TURNKEY E&P INC COM ISIN #CA90 | COM | 900420100 | 5 | 800 | SH | DEFINED | 01 | 15 |
| TUTOGEN MEDICAL INC | COM | 901107102 | 1 | 100 | SH | DEFINED | 01 | 15 |
| TWEEN BRANDS INC | COM | 901166108 | 9092 | 203869 | SH | DEFINED | 01 | 02 |
| TWEEN BRANDS INC | COM | 901166108 | 30 | 682 | SH | DEFINED | 01 | 15 |
| 24 / 7 REAL MEDIA INC COM NE | COM | 901314203 | 1 | 113 | SH | DEFINED | 01 | 15 |
| 21ST CENTURY HLDG CO | COM | 90136Q100 | 83 | 7716 | SH | DEFINED | 01 | 15 |
| TWINVIEW INC | COM | 901837104 | 0 | 28000 | SH | DEFINED | 01 | 15 |
| II-VI INC | COM | 902104108 | 462 | 17008 | SH | DEFINED | 01 | 02 |
| II-VI INC | COM | 902104108 | 54 | 1970 | SH | DEFINED | 01 | 15 |
| TYCO INTERNATIONAL LTD | COM | 902124106 | 122909 | 3637417 | SH | DEFINED | 01 | 02 |

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|----------|----|---------|----|----|
| TYCO INTERNATIONAL LTD | COM | 902124106 | 11510 | 340637 | SH | DEFINED | 01 | 03 |
| TYCO INTERNATIONAL LTD | COM | 902124106 | 1 | 30 | SH | DEFINED | 01 | 05 |
| TYCO INTERNATIONAL LTD | COM | 902124106 | 3852 | 114013 | SH | DEFINED | 01 | 15 |
| TYLER TECHNOLOGIES INC | COM | 902252105 | 1 | 50 | SH | DEFINED | 01 | 15 |
| TYSON FOODS INC -CL | COM | 902494103 | 39734 | 1724523 | SH | DEFINED | 01 | 02 |
| TYSON FOODS INC -CL | COM | 902494103 | 964 | 41830 | SH | DEFINED | 01 | 03 |
| TYSON FOODS INC -CL | COM | 902494103 | 79 | 3411 | SH | DEFINED | 01 | 15 |
| UAL CORP COM PAR \$0.01 NO 1 | COM | 902549500 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| UAL CORP DEP SH REPTSG 1/1 | COM | 902549708 | 0 | 1027 | SH | DEFINED | 01 | 15 |
| UAL CORP | COM | 902549807 | 26453 | 651739 | SH | DEFINED | 01 | 02 |
| UAL CORP | COM | 902549807 | 151 | 3720 | SH | DEFINED | 01 | 03 |
| UAL CORP | COM | 902549807 | 60 | 1490 | SH | DEFINED | 01 | 10 |
| UAL CORP CAP TR I TR ORIGINA | COM | 90254Y208 | 0 | 800 | SH | DEFINED | 01 | 15 |
| UCBH HOLDINGS INC | COM | 90262T308 | 8972 | 491148 | SH | DEFINED | 01 | 02 |
| UCBH HOLDINGS INC | COM | 90262T308 | 1168 | 63930 | SH | DEFINED | 01 | 02 |
| UCBH HOLDINGS INC | COM | 90262T308 | 422 | 23100 | SH | DEFINED | 01 | 03 |
| UCBH HOLDINGS INC | COM | 90262T308 | 2297 | 125746 | SH | DEFINED | 01 | 10 |
| UCBH HOLDINGS INC | COM | 90262T308 | 4 | 210 | SH | DEFINED | 01 | 15 |
| UBS PREFERRED FUNDING TRUST V | PRF | 90264AAA7 | 20079 | 20000000 | SH | DEFINED | 01 | 04 |
| UBS PREFERRED FUNDING TRUST V | PRF | 90264AAA7 | 30118 | 30000000 | SH | DEFINED | 01 | 05 |
| UDR INC | COM | 902653104 | 1 | 24 | SH | DEFINED | 01 | 02 |
| UEX CORPORATION ISIN #CA90 | COM | 902666106 | 31 | 4400 | SH | DEFINED | 01 | 15 |
| UFP TECH INC | COM | 902673102 | 1 | 100 | SH | DEFINED | 01 | 15 |
| UGI CORP | COM | 902681105 | 6578 | 241129 | SH | DEFINED | 01 | 02 |
| UGI CORP | COM | 902681105 | 12 | 440 | SH | DEFINED | 01 | 15 |
| UIL HOLDINGS CORP | COM | 902748102 | 4828 | 145816 | SH | DEFINED | 01 | 02 |
| UIL HOLDINGS CORP | COM | 902748102 | 11 | 333 | SH | DEFINED | 01 | 15 |
| UMB FINANCIAL CORP | COM | 902788108 | 2001 | 54275 | SH | DEFINED | 01 | 02 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| UMB FINANCIAL CORP | COM | 902788108 | 1175 | 31890 | SH | DEFINED | 01 | 02 |
| UMB FINANCIAL CORP | COM | 902788108 | 324 | 8800 | SH | DEFINED | 01 | 03 |
| U S B HLDG CO INC | COM | 902910108 | 29 | 1546 | SH | DEFINED | 01 | 15 |
| UST INC | COM | 902911106 | 11484 | 213796 | SH | DEFINED | 01 | 02 |
| UST INC | COM | 902911106 | 1424 | 26504 | SH | DEFINED | 01 | 03 |
| UST INC | COM | 902911106 | 1598 | 29747 | SH | DEFINED | 01 | 15 |
| USA VIDEO INTERACTIV | COM | 902924208 | 1 | 3800 | SH | DEFINED | 01 | 15 |
| USA TRUCK INC | COM | 902925106 | 2551 | 153700 | SH | DEFINED | 01 | 02 |
| U S PLASTIC LUMBER CORP NO 10 | COM | 902948108 | 0 | 875 | SH | DEFINED | 01 | 15 |
| U.S. GLOBAL INVESTORS INC-A | COM | 902952100 | 480 | 21133 | SH | DEFINED | 01 | 02 |
| U.S. GLOBAL INVESTORS INC-A | COM | 902952100 | 39 | 1707 | SH | DEFINED | 01 | 15 |
| US BANCORP | COM | 902973304 | 144919 | 4398166 | SH | DEFINED | 01 | 02 |
| US BANCORP | COM | 902973304 | 9673 | 293558 | SH | DEFINED | 01 | 03 |
| US BANCORP | COM | 902973304 | 2302 | 69849 | SH | DEFINED | 01 | 15 |
| U S LIQUIDS INC | COM | 902974104 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| U S MOBILE SVCS INC | COM | 902989102 | 0 | 100 | SH | DEFINED | 01 | 15 |
| URS CORP | COM | 903236107 | 16440 | 338633 | SH | DEFINED | 01 | 02 |
| URS CORP | COM | 903236107 | 3258 | 67286 | SH | DEFINED | 01 | 10 |
| URS CORP | COM | 903236107 | 15 | 300 | SH | DEFINED | 01 | 15 |
| USANA HEALTH SCIENCES INC | COM | 90328M107 | 2181 | 48748 | SH | DEFINED | 01 | 02 |
| USA TECHNOLOGIES INCCOM NO PAR | COM | 90328S500 | 4 | 342 | SH | DEFINED | 01 | 15 |
| USG CORP | COM | 903293405 | 16967 | 345967 | SH | DEFINED | 01 | 02 |
| USG CORP | COM | 903293405 | 417 | 8504 | SH | DEFINED | 01 | 15 |
| U S CANADIAN MINERALS I | COM | 903328201 | 0 | 114 | SH | DEFINED | 01 | 15 |
| U S CANADIAN MINERALS I | COM | 903328912 | 0 | 345 | SH | DEFINED | 01 | 15 |
| USEC INC | COM | 90333E108 | 13501 | 614179 | SH | DEFINED | 01 | 02 |

| | | | | | | | | |
|-------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| USEC INC | COM | 90333E108 | 1421 | 64690 | SH | DEFINED | 01 | 02 |
| USEC INC | COM | 90333E108 | 502 | 22860 | SH | DEFINED | 01 | 03 |
| USEC INC | COM | 90333E108 | 78 | 3558 | SH | DEFINED | 01 | 15 |
| US INTERACTIVE INC NO 100HOLD | COM | 90334M109 | 0 | 34 | SH | DEFINED | 01 | 15 |
| U S PHYSICAL THERAPY IN | COM | 90337L108 | 2 | 166 | SH | DEFINED | 01 | 15 |
| U S GEOTHERMAL INC | COM | 90338S102 | 9 | 3400 | SH | DEFINED | 01 | 15 |
| UTS ENERGY CORP ISIN #CA90 | COM | 903396109 | 427 | 75906 | SH | DEFINED | 01 | 15 |
| USA MOBILITY INC | COM | 90341G103 | 2511 | 93791 | SH | DEFINED | 01 | 02 |
| USA MOBILITY INC | COM | 90341G103 | 507 | 19000 | SH | DEFINED | 01 | 02 |
| USA MOBILITY INC | COM | 90341G103 | 182 | 6800 | SH | DEFINED | 01 | 03 |
| USA MOBILITY INC | COM | 90341G103 | 5 | 200 | SH | DEFINED | 01 | 15 |
| USAS DIGITAL INC COM | COM | 90341K104 | 0 | 100 | SH | DEFINED | 01 | 15 |
| USAS DIGITAL INC (RESTRICTE | COM | 90341K997 | 0 | 306 | SH | DEFINED | 01 | 15 |
| USA PERFORMANCE PRODS INC | COM | 90341L102 | 0 | 100 | SH | DEFINED | 01 | 15 |
| US AIRWAYS GROUP INC | COM | 90341W108 | 31176 | 1029903 | SH | DEFINED | 01 | 02 |
| US AIRWAYS GROUP INC | COM | 90341W108 | 93 | 3076 | SH | DEFINED | 01 | 03 |
| US AIRWAYS GROUP INC | COM | 90341W108 | 2 | 82 | SH | DEFINED | 01 | 15 |
| U S AUTO PTS NETWORK IN | COM | 90343C100 | 19 | 2000 | SH | DEFINED | 01 | 15 |
| UAP HOLDING CORP | COM | 903441103 | 59 | 1971 | SH | DEFINED | 01 | 02 |
| UBRANDIT.COM | COM | 90347Q105 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ULTIMATE SOFTWARE GROUP INC | COM | 90385D107 | 462 | 15958 | SH | DEFINED | 01 | 02 |
| ULTIMATE SOFTWARE GROUP INC | COM | 90385D107 | 145 | 5000 | SH | DEFINED | 01 | 15 |
| ULTRA MOTORCYCLE CO | COM | 90388U106 | 0 | 200 | SH | DEFINED | 01 | 15 |
| ULTRA PETROLEUM CORP | COM | 903914109 | 0 | 7 | SH | DEFINED | 01 | 02 |
| ULTRA PETROLEUM CORP | COM | 903914109 | 37847 | 687242 | SH | DEFINED | 01 | 10 |
| ULTRA PETROLEUM CORP | COM | 903914109 | 156 | 2820 | SH | DEFINED | 01 | 15 |
| ULTRAPAR PARTICIPAC | COM | 90400P101 | 127 | 3816 | SH | DEFINED | 01 | 15 |
| ULTRATECH INC | COM | 904034105 | 508 | 38128 | SH | DEFINED | 01 | 02 |
| ULTRATECH INC | COM | 904034105 | 8 | 600 | SH | DEFINED | 01 | 15 |
| UMEMBER.COM INC | COM | 904202108 | 0 | 15 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| UMPQUA HOLDINGS CORP | COM | 904214103 | 6265 | 266480 | SH | DEFINED | 01 | 02 |
| UMPQUA HOLDINGS CORP | COM | 904214103 | 145 | 6170 | SH | DEFINED | 01 | 15 |
| UNCOMMON MEDIA GROUP | COM | 904309101 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| UNDER ARMOUR INC-CLASS A | COM | 904311107 | 3218 | 70488 | SH | DEFINED | 01 | 02 |
| UNDER ARMOUR INC-CLASS A | COM | 904311107 | 112 | 2450 | SH | DEFINED | 01 | 15 |
| UNDERGROUND SOLUTIONS INC | COM | 904323102 | 2 | 8200 | SH | DEFINED | 01 | 15 |
| UNIBANCO ADR | COM | 90458E107 | 7957 | 70495 | SH | DEFINED | 01 | 02 |
| UNIBANCO ADR | COM | 90458E107 | 94694 | 839491 | SH | DEFINED | 01 | 10 |
| UNIFI INC | COM | 904677101 | 20 | 7764 | SH | DEFINED | 01 | 15 |
| UNIFIRST CORP/MA | COM | 904708104 | 6560 | 148910 | SH | DEFINED | 01 | 02 |
| UNILEVER PLC NEW SPONS ADR | COM | 904767704 | 1056 | 32746 | SH | DEFINED | 01 | 15 |
| UNILEVER N V ISIN #US90 | COM | 904784709 | 156 | 5031 | SH | DEFINED | 01 | 15 |
| UNION BK & TR CO OXFORD NC | COM | 905200101 | 12 | 1000 | SH | DEFINED | 01 | 15 |
| UNITED BANK LTD-GDR 144A/WI | COM | 905539995 | 11399 | 822500 | SH | DEFINED | 01 | 02 |
| UNION DRILLING INC COM | COM | 90653P105 | 221 | 13462 | SH | DEFINED | 01 | 15 |
| UNION PACIFIC CORP | COM | 907818108 | 65121 | 565540 | SH | DEFINED | 01 | 02 |
| UNION PACIFIC CORP | COM | 907818108 | 5159 | 44800 | SH | DEFINED | 01 | 03 |
| UNION PACIFIC CORP | COM | 907818108 | 17214 | 149701 | SH | DEFINED | 01 | 10 |
| UNION PACIFIC CORP | COM | 907818108 | 2224 | 19315 | SH | DEFINED | 01 | 15 |
| UNIONBANCAL CORP | COM | 908906100 | 21 | 358 | SH | DEFINED | 01 | 15 |
| UNIQUEST INC | COM | 90915Q107 | 0 | 26 | SH | DEFINED | 01 | 15 |
| UNISOURCE ENERGY CORP | COM | 909205106 | 7433 | 225976 | SH | DEFINED | 01 | 02 |
| UNISOURCE ENERGY CORP | COM | 909205106 | 1312 | 39840 | SH | DEFINED | 01 | 02 |
| UNISOURCE ENERGY CORP | COM | 909205106 | 460 | 13980 | SH | DEFINED | 01 | 03 |
| UNISOURCE ENERGY CORP | COM | 909205106 | 122 | 3712 | SH | DEFINED | 01 | 15 |
| UNISYS CORP | COM | 909214108 | 11946 | 1306897 | SH | DEFINED | 01 | 02 |
| UNISYS CORP | COM | 909214108 | 526 | 57548 | SH | DEFINED | 01 | 03 |
| UNISYS CORP | COM | 909214108 | 16 | 1789 | SH | DEFINED | 01 | 15 |
| UNIT CORP | COM | 909218109 | 5980 | 95055 | SH | DEFINED | 01 | 02 |
| UNITED AMERS BANKSHARES | COM | 909335101 | 0 | 500 | SH | DEFINED | 01 | 15 |
| UNITED AMERN BK SAN MATEO CALI | COM | 90933S101 | 126 | 7000 | SH | DEFINED | 01 | 15 |
| UNITED AMER INDTY LTD CL A | COM | 90933T109 | 9 | 365 | SH | DEFINED | 01 | 15 |
| PENSKE AUTO GROUP | COM | 909440109 | 6223 | 292258 | SH | DEFINED | 01 | 02 |
| PENSKE AUTO GROUP | COM | 909440109 | 114 | 5339 | SH | DEFINED | 01 | 03 |
| PENSKE AUTO GROUP | COM | 909440109 | 34 | 1600 | SH | DEFINED | 01 | 15 |
| UNITED COMMUNITY FINANCIAL | COM | 909839102 | 79 | 7955 | SH | DEFINED | 01 | 15 |
| UNITED COMMUNITY BANKS/GA | COM | 90984P105 | 3075 | 118768 | SH | DEFINED | 01 | 02 |
| UNITED COMMUNITY BANKS/GA | COM | 90984P105 | 2 | 60 | SH | DEFINED | 01 | 15 |
| UNITED COS FINL CORP | COM | 909870107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| UNITED BANKSHARES INC | COM | 909907107 | 1892 | 59492 | SH | DEFINED | 01 | 02 |
| UNITED BANKSHARES INC | COM | 909907107 | 13 | 416 | SH | DEFINED | 01 | 15 |
| UNITED ED & SOFTWARE | COM | 910204106 | 0 | 300 | SH | DEFINED | 01 | 15 |
| UNITED FINANCIAL GROUP INC | COM | 910324102 | 0 | 95 | SH | DEFINED | 01 | 15 |
| UNITED FIRE & CASUALTY CO | COM | 910331107 | 2860 | 80853 | SH | DEFINED | 01 | 02 |
| UNITED FIRE & CASUALTY CO | COM | 910331107 | 992 | 28020 | SH | DEFINED | 01 | 02 |
| UNITED FIRE & CASUALTY CO | COM | 910331107 | 347 | 9800 | SH | DEFINED | 01 | 03 |
| UNITED FIRE & CASUALTY CO | COM | 910331107 | 20 | 563 | SH | DEFINED | 01 | 15 |
| UNITED INDUSTRIAL CORP | COM | 910671106 | 2153 | 35880 | SH | DEFINED | 01 | 02 |
| UNITED INDUSTRIAL CORP | COM | 910671106 | 6 | 100 | SH | DEFINED | 01 | 15 |
| UNITED MICROELECTRON | COM | 910873207 | 4362 | 1275626 | SH | DEFINED | 01 | 02 |
| UNITED MICROELECTRON | COM | 910873207 | 103 | 30245 | SH | DEFINED | 01 | 15 |
| UNITED NATURAL FOODS INC | COM | 911163103 | 1868 | 70272 | SH | DEFINED | 01 | 02 |
| UNITED NATURAL FOODS INC | COM | 911163103 | 41 | 1532 | SH | DEFINED | 01 | 15 |
| UNITED ONLINE INC | COM | 911268100 | 3178 | 192835 | SH | DEFINED | 01 | 02 |
| UNITED ONLINE INC | COM | 911268100 | 872 | 52880 | SH | DEFINED | 01 | 02 |
| UNITED ONLINE INC | COM | 911268100 | 297 | 18030 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| UNITED ONLINE INC | COM | 911268100 | 114 | 6892 | SH | DEFINED | 01 | 15 |
| UNITED OVERSEAS BANK LTD S | COM | 911271302 | 170 | 5903 | SH | DEFINED | 01 | 15 |
| UNITED PARCEL SERVIC | COM | 911312106 | 26355 | 361017 | SH | DEFINED | 01 | 02 |
| UNITED PARCEL SERVIC | COM | 911312106 | 12793 | 175250 | SH | DEFINED | 01 | 03 |
| UNITED PARCEL SERVIC | COM | 911312106 | 5993 | 82100 | SH | DEFINED | 01 | 15 |
| UNITED PARCEL SVC INC CL A | COM | 911312205 | 63 | 864 | SH | DEFINED | 01 | 15 |
| UNITED RENTALS INC | COM | 911363109 | 5971 | 183500 | SH | DEFINED | 01 | 02 |
| US CELLULAR CORP | COM | 911684108 | 1 | 14 | SH | DEFINED | 01 | 02 |
| US CELLULAR CORP | COM | 911684108 | 387 | 4277 | SH | DEFINED | 01 | 15 |
| UNITED STATES CELLULAR C | COM | 911684306 | 14 | 550 | SH | DEFINED | 01 | 15 |
| UNITED STATES CRUDE INTL | COM | 911758209 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| US AIRWAYS GRP INC FRMLY US A | COM | 911905107 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| US AIRWAYS GROUP INCCL A NO 10 | COM | 911905503 | 0 | 1698 | SH | DEFINED | 01 | 15 |
| UNITED STS NAT GAS FD LP UNIT | COM | 912318102 | 243 | 5500 | SH | DEFINED | 01 | 15 |
| U S OFFICE PRODUCTS CO NEW NO | COM | 912325305 | 0 | 45019 | SH | DEFINED | 01 | 15 |
| UNITED STATES OIL FUND LP | COM | 91232N108 | 233 | 4400 | SH | DEFINED | 01 | 15 |
| U S WIRELESS DATA INC 1 FOR | COM | 912899101 | 0 | 161616 | SH | DEFINED | 01 | 15 |
| U S WIRELESS DATA INC PFD CO | COM | 912899309 | 0 | 5000 | SH | DEFINED | 01 | 15 |
| UNITED STATES STEEL CORP | COM | 912909108 | 3877 | 35656 | SH | DEFINED | 01 | 02 |
| UNITED STATES STEEL CORP | COM | 912909108 | 2129 | 19579 | SH | DEFINED | 01 | 03 |
| UNITED STATES STEEL CORP | COM | 912909108 | 217 | 1996 | SH | DEFINED | 01 | 15 |
| UNITED STATIONERS INC | COM | 913004107 | 10130 | 152018 | SH | DEFINED | 01 | 02 |
| UNITED STATIONERS INC | COM | 913004107 | 612 | 9180 | SH | DEFINED | 01 | 02 |
| UNITED STATIONERS INC | COM | 913004107 | 213 | 3200 | SH | DEFINED | 01 | 03 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 97343 | 1372403 | SH | DEFINED | 01 | 02 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 10108 | 142513 | SH | DEFINED | 01 | 02 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 12469 | 175793 | SH | DEFINED | 01 | 03 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 84882 | 1197554 | SH | DEFINED | 01 | 10 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 4511 | 63591 | SH | DEFINED | 01 | 15 |
| UNITED THERAPEUTICS CORP | COM | 91307C102 | 1681 | 26361 | SH | DEFINED | 01 | 02 |
| UNITED UTILITIES PLCSP ADR ONE | COM | 91311Q105 | 361 | 12790 | SH | DEFINED | 01 | 15 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 111504 | 2180374 | SH | DEFINED | 01 | 02 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 11341 | 221756 | SH | DEFINED | 01 | 03 |
| UNITEDHEALTH GROUP | COM | 91324P102 | 2265 | 44294 | SH | DEFINED | 01 | 15 |
| UNITIL CORP | COM | 913259107 | 22 | 810 | SH | DEFINED | 01 | 15 |
| UNITRIN INC | COM | 913275103 | 4956 | 100785 | SH | DEFINED | 01 | 02 |
| UNIVERSAL ACCESS GLOBAL HLD | COM | 91336M204 | 0 | 7 | SH | DEFINED | 01 | 15 |
| UNIVERSAL AMER FINANCIAL CRP | COM | 913377107 | 1949 | 91612 | SH | DEFINED | 01 | 02 |
| UNIVERSAL AMER FINANCIAL CRP | COM | 913377107 | 527 | 24728 | SH | DEFINED | 01 | 02 |
| UNIVERSAL AMER FINANCIAL CRP | COM | 913377107 | 200 | 9407 | SH | DEFINED | 01 | 03 |
| UNIVERSAL BROADBAND NETWORKS I | COM | 91338Q104 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| UNIVERSAL COMMUNICAT | COM | 913427209 | 0 | 16 | SH | DEFINED | 01 | 15 |
| UNIVERSAL COMPRESSION HLDGS | COM | 913431102 | 1134 | 15650 | SH | DEFINED | 01 | 02 |
| UNIVERSAL COMPRESSION HLDGS | COM | 913431102 | 215 | 2970 | SH | DEFINED | 01 | 03 |
| UNIVERSAL CORP/VA | COM | 913456109 | 2929 | 48075 | SH | DEFINED | 01 | 02 |
| UNIVERSAL CORP/VA | COM | 913456109 | 1333 | 21890 | SH | DEFINED | 01 | 02 |
| UNIVERSAL CORP/VA | COM | 913456109 | 512 | 8400 | SH | DEFINED | 01 | 03 |
| UNIVERSAL CORP/VA | COM | 913456109 | 2 | 38 | SH | DEFINED | 01 | 15 |
| UNIVERSAL ELECTRONICS INC | COM | 913483103 | 4101 | 112927 | SH | DEFINED | 01 | 02 |
| UNIVERSAL EXPRESS INC | COM | 91349P103 | 0 | 19998 | SH | DEFINED | 01 | 15 |
| UNIVERSAL FOREST PRODUCTS INC | COM | 913543104 | 1416 | 33519 | SH | DEFINED | 01 | 02 |
| UNIVERSAL FOREST PRODUCTS INC | COM | 913543104 | 11 | 250 | SH | DEFINED | 01 | 15 |
| UNIVERSAL HEALTH REALTY INCOME | COM | 91359E105 | 2472 | 74210 | SH | DEFINED | 01 | 02 |
| UNIVERSAL HEALTH REALTY INCOME | COM | 91359E105 | 559 | 16750 | SH | DEFINED | 01 | 02 |
| UNIVERSAL HEALTH REALTY INCOME | COM | 91359E105 | 210 | 6300 | SH | DEFINED | 01 | 03 |
| UNIVERSAL STAINLESS & ALLOY PR | COM | 913837100 | 5 | 131 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| UNIVERSAL HEALTH SERVICES-B | COM | 913903100 | 2165 | 35210 | SH | DEFINED | 01 | 02 |
| UNIVERSAL HEALTH SERVICES-B | COM | 913903100 | 389 | 6339 | SH | DEFINED | 01 | 10 |
| UNIVERSAL HEALTH SERVICES-B | COM | 913903100 | 295 | 4800 | SH | DEFINED | 01 | 15 |
| UNIVERSAL TECHNICAL INSTITUT | COM | 913915104 | 964 | 37965 | SH | DEFINED | 01 | 02 |
| UNITY ONE CAPITAL INCORPORAT | COM | 913998AS9 | 0 | 21 | SH | DEFINED | 01 | 15 |
| UNUM GROUP | COM | 91529Y106 | 18534 | 709804 | SH | DEFINED | 01 | 02 |
| UNUM GROUP | COM | 91529Y106 | 1481 | 56725 | SH | DEFINED | 01 | 03 |
| UNUM GROUP | COM | 91529Y106 | 70 | 2692 | SH | DEFINED | 01 | 15 |
| UNUM GROUP PFD 7.25% | COM | 91529Y304 | 32 | 1292 | SH | DEFINED | 01 | 15 |
| UPGRADE INTL CORP | COM | 915301204 | 0 | 200 | SH | DEFINED | 01 | 15 |
| UPHONIA INC COM | COM | 91531B102 | 0 | 3 | SH | DEFINED | 01 | 15 |
| UPM-KYMMENE CORP SPONS ADR | COM | 915436109 | 282 | 11473 | SH | DEFINED | 01 | 15 |
| URANIUM RES INC COM PAR \$0.001 | COM | 916901507 | 6 | 500 | SH | DEFINED | 01 | 15 |
| URANIUM PARTN CORP ISIN #CA91 | COM | 917017105 | 5 | 350 | SH | DEFINED | 01 | 15 |
| URBAN OUTFITTERS INC | COM | 917047102 | 7621 | 317078 | SH | DEFINED | 01 | 02 |
| URBAN OUTFITTERS INC | COM | 917047102 | 108 | 4509 | SH | DEFINED | 01 | 03 |
| URBAN OUTFITTERS INC | COM | 917047102 | 41 | 1691 | SH | DEFINED | 01 | 15 |
| URBAN TELEVISION NETWORK CO | COM | 917068207 | 0 | 500 | SH | DEFINED | 01 | 15 |
| UROMED CORP COM PAR \$.0001 | COM | 917274409 | 0 | 3 | SH | DEFINED | 01 | 15 |
| US GLOBAL NANOSPACE | COM | 91729J107 | 0 | 2000 | SH | DEFINED | 01 | 15 |

| | | | | | | | | |
|------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| USINTERNETWORKING INC NO 100 | COM | 917311805 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| USTEL INC | COM | 917325102 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| UTAH MED PRODS INC | COM | 917488108 | 2 | 51 | SH | DEFINED | 01 | 15 |
| UTSTARCOM INC | COM | 918076100 | 546 | 97400 | SH | DEFINED | 01 | 02 |
| UTSTARCOM INC | COM | 918076100 | 9 | 1520 | SH | DEFINED | 01 | 15 |
| UWINK INC 1 FOR 4 REVERSE SP | COM | 91818T107 | 1 | 1000 | SH | DEFINED | 01 | 15 |
| VCA ANTECH INC | COM | 918194101 | 1281 | 34000 | SH | DEFINED | 01 | 02 |
| VCA ANTECH INC | COM | 918194101 | 334 | 8861 | SH | DEFINED | 01 | 03 |
| VCA ANTECH INC | COM | 918194101 | 3348 | 88834 | SH | DEFINED | 01 | 15 |
| VF CORP | COM | 918204108 | 64535 | 704683 | SH | DEFINED | 01 | 02 |
| VF CORP | COM | 918204108 | 1350 | 14745 | SH | DEFINED | 01 | 03 |
| VF CORP | COM | 918204108 | 67 | 736 | SH | DEFINED | 01 | 15 |
| VL DISSOLUTION CORP COM N | COM | 91825E101 | 0 | 1200 | SH | DEFINED | 01 | 15 |
| V ONE CORP COM NEW NO | COM | 918278201 | 0 | 575 | SH | DEFINED | 01 | 15 |
| VRB PWR SYS INC ISIN #CA91 | COM | 91829G102 | 7 | 17500 | SH | DEFINED | 01 | 15 |
| VAIL RESORTS INC | COM | 91879Q109 | 4294 | 70554 | SH | DEFINED | 01 | 02 |
| VALASSIS COMMUNICATIONS INC | COM | 918866104 | 14055 | 817587 | SH | DEFINED | 01 | 02 |
| VALHI INC NEW | COM | 918905100 | 1 | 40 | SH | DEFINED | 01 | 15 |
| VALENCE TECHNOLOGY INC | COM | 918914102 | 1 | 510 | SH | DEFINED | 01 | 15 |
| VALEANT PHARMACEUTICALS INTE | COM | 91911X104 | 1423 | 85203 | SH | DEFINED | 01 | 02 |
| VALEANT PHARMACEUTICALS INTE | COM | 91911X104 | 12 | 732 | SH | DEFINED | 01 | 15 |
| VALEO SPONSORED ADR | COM | 919134304 | 3 | 118 | SH | DEFINED | 01 | 15 |
| VALERO ENERGY CORP | COM | 91913Y100 | 31620 | 428135 | SH | DEFINED | 01 | 02 |
| VALERO ENERGY CORP | COM | 91913Y100 | 2003 | 27122 | SH | DEFINED | 01 | 02 |
| VALERO ENERGY CORP | COM | 91913Y100 | 6710 | 90850 | SH | DEFINED | 01 | 03 |
| VALERO ENERGY CORP | COM | 91913Y100 | 18122 | 245439 | SH | DEFINED | 01 | 10 |
| VALERO ENERGY CORP | COM | 91913Y100 | 2114 | 28624 | SH | DEFINED | 01 | 15 |
| VALLEY MEDIA INC NO 100HOLD | COM | 91972C106 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| VALLEY NATL BANCORP | COM | 919794107 | 7 | 298 | SH | DEFINED | 01 | 15 |
| VALUE MERCHANTS INC | COM | 919902106 | 0 | 20 | SH | DEFINED | 01 | 15 |
| VALMONT INDUSTRIES | COM | 920253101 | 5576 | 76634 | SH | DEFINED | 01 | 02 |
| VALMONT INDUSTRIES | COM | 920253101 | 9 | 125 | SH | DEFINED | 01 | 15 |
| VALSPAR CORP | COM | 920355104 | 292 | 10264 | SH | DEFINED | 01 | 15 |
| VALUE LINE INC | COM | 920437100 | 386 | 8796 | SH | DEFINED | 01 | 02 |
| VALUECLICK INC | COM | 92046N102 | 4055 | 137621 | SH | DEFINED | 01 | 02 |
| VALUECLICK INC | COM | 92046N102 | 284 | 9624 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|--------|----|---------|----|----|
| VALUEVISION MEDIA INC-CL A | COM | 92047K107 | 1119 | 98900 | SH | DEFINED | 01 | 02 |
| VAN KAMPEN AMERICAN CAPITAL LT | COM | 920913100 | 0 | 11 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL MU | COM | 920919107 | 0 | 15 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN MERRIT TR INSD MU | COM | 920928108 | 15 | 1000 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL TR | COM | 920929106 | 248 | 16404 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL TR | COM | 920931102 | 44 | 2817 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN MUN OPPORTUNIT | COM | 920935103 | 68 | 4429 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN BOND FUNDFMRLY VAN | COM | 920955101 | 43 | 2550 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERN CAP INCOME | COM | 920957990 | 0 | 700 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERN CAPSR INCOME | COM | 920961109 | 347 | 39566 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL CA | COM | 92112B107 | 19 | 1183 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL AD | COM | 92112K107 | 11 | 863 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL SE | COM | 92112M103 | 539 | 41376 | SH | DEFINED | 01 | 15 |
| VAN KAMPEN AMERICAN CAPITAL PA | COM | 92112T108 | 107 | 7524 | SH | DEFINED | 01 | 15 |
| VANDA PHARMACEUTICALS INC | COM | 921659108 | 169 | 8348 | SH | DEFINED | 01 | 03 |
| VANGUARD SPECIALIZEDPORTFOLIOS | COM | 921908844 | 15 | 260 | SH | DEFINED | 01 | 15 |
| VANGUARD BD INDEX FDINC TOTAL | COM | 921937835 | 197 | 2650 | SH | DEFINED | 01 | 15 |
| VANGUARD WHITEHALL FDS HIGH D | COM | 921946406 | 44 | 820 | SH | DEFINED | 01 | 15 |
| VANGUARD EMERGING MARKET ETF | COM | 922042858 | 1576 | 17360 | SH | DEFINED | 01 | 02 |
| VANGUARD EMERGING MARKET ETF | COM | 922042858 | 422 | 4628 | SH | DEFINED | 01 | 15 |
| VANGUARD INTL EQUITYINDEX FD I | COM | 922042874 | 352 | 4611 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A306 | 54 | 530 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A405 | 31 | 480 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A504 | 383 | 6341 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A702 | 1346 | 23362 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A801 | 125 | 1484 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A876 | 164 | 1983 | SH | DEFINED | 01 | 15 |
| VANGUARD SECTOR INDEX FDS | COM | 92204A884 | 17 | 200 | SH | DEFINED | 01 | 15 |
| VARIAN INC | COM | 922206107 | 4397 | 80208 | SH | DEFINED | 01 | 02 |
| VARIAN INC | COM | 922206107 | 599 | 10910 | SH | DEFINED | 01 | 02 |
| VARIAN INC | COM | 922206107 | 196 | 3580 | SH | DEFINED | 01 | 03 |
| VARIAN INC | COM | 922206107 | 2 | 28 | SH | DEFINED | 01 | 15 |
| VARIAN SEMICONDUCTOR EQUIPMENT | COM | 922207105 | 11502 | 287083 | SH | DEFINED | 01 | 02 |
| VARIAN SEMICONDUCTOR EQUIPMENT | COM | 922207105 | 78 | 1935 | SH | DEFINED | 01 | 15 |
| VARIAN MEDICAL SYSTEMS INC | COM | 92220P105 | 5398 | 126944 | SH | DEFINED | 01 | 02 |
| VARIAN MEDICAL SYSTEMS INC | COM | 92220P105 | 1012 | 23801 | SH | DEFINED | 01 | 03 |
| VARIAN MEDICAL SYSTEMS INC | COM | 92220P105 | 1069 | 25138 | SH | DEFINED | 01 | 15 |
| VASCO DATA SECURITY INTL | COM | 92230Y104 | 1259 | 55331 | SH | DEFINED | 01 | 02 |
| VASCO DATA SECURITY INTL | COM | 92230Y104 | 11 | 500 | SH | DEFINED | 01 | 15 |
| VASOMEDICAL INC | COM | 922321104 | 0 | 500 | SH | DEFINED | 01 | 15 |
| VASOGEN INC | COM | 92232F202 | 34 | 12427 | SH | DEFINED | 01 | 02 |
| VASOGEN INC | COM | 92232F202 | 0 | 20 | SH | DEFINED | 01 | 15 |
| VAST SOLUTIONS INC CLASS B1 | COM | 92237T108 | 0 | 38 | SH | DEFINED | 01 | 15 |
| VAST SOLUTIONS INC CLASS B2 | COM | 92237T207 | 0 | 38 | SH | DEFINED | 01 | 15 |
| VAST SOLUTIONS INC CLASS B3 | COM | 92237T306 | 0 | 38 | SH | DEFINED | 01 | 15 |
| VAXGEN INC | COM | 922390208 | 0 | 100 | SH | DEFINED | 01 | 15 |
| VECTREN CORP | COM | 92240G101 | 602 | 22356 | SH | DEFINED | 01 | 15 |
| VECTOR GROUP LTD | COM | 92240M108 | 135 | 5974 | SH | DEFINED | 01 | 15 |
| VEECO INSTRUMENTS INC | COM | 922417100 | 1061 | 51136 | SH | DEFINED | 01 | 02 |
| VEECO INSTRUMENTS INC | COM | 922417100 | 44 | 2100 | SH | DEFINED | 01 | 15 |
| VELOCITY ASSET MGMT INC | COM | 92257E106 | 0 | 7 | SH | DEFINED | 01 | 15 |
| VELOCITYHSI INC COM | COM | 92257K102 | 0 | 363 | SH | DEFINED | 01 | 15 |
| VELOCITY EXPRESS CORP COM P | COM | 92257T608 | 0 | 100 | SH | DEFINED | 01 | 15 |
| VENDINGDATA CORP COM NEW | COM | 92261Q202 | 1 | 300 | SH | DEFINED | 01 | 15 |
| VENTAS INC | COM | 92276F100 | 2469 | 68121 | SH | DEFINED | 01 | 02 |
| VANGUARD INDEX FDS FORMERLY V | COM | 922908553 | 753 | 10608 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| VANGUARD INDEX FDS VANGUARD S | COM | 922908595 | 187 | 2521 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD S | COM | 922908611 | 184 | 2463 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD M | COM | 922908629 | 1668 | 20712 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD L | COM | 922908637 | 1508 | 22516 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX TR VANGUARD E | COM | 922908652 | 256 | 2285 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD G | COM | 922908736 | 177 | 2841 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD V | COM | 922908744 | 1886 | 26230 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD S | COM | 922908751 | 459 | 6152 | SH | DEFINED | 01 | 15 |
| VANGUARD INDEX FDS VANGUARD T | COM | 922908769 | 3868 | 25892 | SH | DEFINED | 01 | 15 |
| VENTURI TECHNOLOGIES INC NO 100 | COM | 92330G102 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| VEOLIA ENVIRONNEMENTSPON ADR | COM | 92334N103 | 141 | 1807 | SH | DEFINED | 01 | 15 |
| VERADO HLDGS INC COM SER B | COM | 92335X100 | 0 | 1550 | SH | DEFINED | 01 | 15 |
| VERASUN ENERGY CORP COM | COM | 92336G106 | 3 | 212 | SH | DEFINED | 01 | 15 |
| VERENIUM CORP | COM | 92340P100 | 19 | 3750 | SH | DEFINED | 01 | 15 |
| VERIFONE HOLDINGS INC | COM | 92342Y109 | 21668 | 614730 | SH | DEFINED | 01 | 02 |
| VERIFONE HOLDINGS INC | COM | 92342Y109 | 1082 | 30691 | SH | DEFINED | 01 | 03 |
| VERIFONE HOLDINGS INC | COM | 92342Y109 | 2229 | 63400 | SH | DEFINED | 01 | 10 |
| VERIFONE HOLDINGS INC | COM | 92342Y109 | 32 | 900 | SH | DEFINED | 01 | 15 |
| VERILINK CORP | COM | 923432108 | 0 | 103 | SH | DEFINED | 01 | 15 |
| VERISIGN INC | COM | 92343E102 | 40811 | 1286150 | SH | DEFINED | 01 | 02 |
| VERISIGN INC | COM | 92343E102 | 1947 | 61355 | SH | DEFINED | 01 | 03 |
| VERISIGN INC | COM | 92343E102 | 30 | 959 | SH | DEFINED | 01 | 15 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 362244 | 8798714 | SH | DEFINED | 01 | 02 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 20366 | 494696 | SH | DEFINED | 01 | 03 |
| VERIZON COMMUNICATIONS INC | COM | 92343V104 | 15977 | 388074 | SH | DEFINED | 01 | 15 |
| VERINT SYSTEMS INC | COM | 92343X100 | 516 | 16676 | SH | DEFINED | 01 | 02 |
| VERINT SYSTEMS INC | COM | 92343X100 | 7 | 215 | SH | DEFINED | 01 | 15 |
| VERIZON NEW ENGLAND INC 7.00% | COM | 92344R201 | 581 | 23100 | SH | DEFINED | 01 | 15 |
| VERMILLION ENERGY TRUST UTS | COM | 923728109 | 17 | 500 | SH | DEFINED | 01 | 15 |
| VERTEL CORP | COM | 924907108 | 0 | 200 | SH | DEFINED | 01 | 15 |
| VERSACOM INTL INC COM NEW | COM | 925117202 | 0 | 4000 | SH | DEFINED | 01 | 15 |
| VERSACOLD INCOME FD UNIT ISIN | COM | 92511P103 | 32 | 2795 | SH | DEFINED | 01 | 15 |
| VERSO TECHNOLOGIES INC COM NE | COM | 925317208 | 0 | 193 | SH | DEFINED | 01 | 15 |
| VERTEX PHARMACEUTICALS INC | COM | 92532F100 | 9063 | 317341 | SH | DEFINED | 01 | 02 |
| VERTEX PHARMACEUTICALS INC | COM | 92532F100 | 492 | 17235 | SH | DEFINED | 01 | 03 |
| VERTEX PHARMACEUTICALS INC | COM | 92532F100 | 17 | 600 | SH | DEFINED | 01 | 15 |
| VERTICALNET INC COM PAR \$0.01 | COM | 92532L305 | 0 | 30 | SH | DEFINED | 01 | 15 |
| VERTICAL COMPUTER SYSTEMS IN | COM | 92532R203 | 0 | 1825 | SH | DEFINED | 01 | 15 |
| VERTRUE INC | COM | 92534N101 | 1099 | 22516 | SH | DEFINED | 01 | 02 |
| VIATEL INC NO 100HOLD | COM | 925529208 | 0 | 1084 | SH | DEFINED | 01 | 15 |
| VIAD CORP | COM | 92552R406 | 7326 | 173735 | SH | DEFINED | 01 | 02 |
| VIAD CORP | COM | 92552R406 | 738 | 17500 | SH | DEFINED | 01 | 02 |
| VIAD CORP | COM | 92552R406 | 261 | 6200 | SH | DEFINED | 01 | 03 |
| VIAD CORP | COM | 92552R406 | 5 | 130 | SH | DEFINED | 01 | 15 |
| VIASAT INC | COM | 92552V100 | 1346 | 41932 | SH | DEFINED | 01 | 02 |
| VIACOM INC NEW CL A | COM | 92553P102 | 33 | 799 | SH | DEFINED | 01 | 15 |
| VIACOM INC-CLASS B | COM | 92553P201 | 28341 | 680788 | SH | DEFINED | 01 | 02 |
| VIACOM INC-CLASS B | COM | 92553P201 | 4748 | 114042 | SH | DEFINED | 01 | 03 |
| VIACOM INC-CLASS B | COM | 92553P201 | 228 | 5469 | SH | DEFINED | 01 | 15 |
| VIASYS HEALTHCARE | COM | 92553Q209 | 25 | 584 | SH | DEFINED | 01 | 15 |
| VIASOURCE COMMUNICAT | COM | 92553W107 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| VIA PHARMACEUTICALS INC | COM | 92554T103 | 1 | 114 | SH | DEFINED | 01 | 15 |
| VICOR CORP | COM | 925815102 | 424 | 32068 | SH | DEFINED | 01 | 02 |
| VICOR CORP | COM | 925815102 | 26 | 2000 | SH | DEFINED | 01 | 15 |
| VIA NET WORKS INC | COM | 925912107 | 0 | 100 | SH | DEFINED | 01 | 15 |
| VERSACOLD INCOME FD UNIT TEND | COM | 925992489 | 45 | 3971 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| VIDIKRON TECH GROUP INC | COM | 92659F107 | 0 | 5 | SH | DEFINED | 01 | 15 |
| VIDESH SANCHAR NIGAMLTD SPON A | COM | 92659G600 | 2 | 100 | SH | DEFINED | 01 | 15 |
| VIE FINL GROUP INC COM NEW | COM | 92660P300 | 0 | 10 | SH | DEFINED | 01 | 15 |
| VIEWCAST.COM INC | COM | 926713108 | 0 | 250 | SH | DEFINED | 01 | 15 |
| VIGNETTE CORP | COM | 926734401 | 3808 | 198729 | SH | DEFINED | 01 | 02 |
| VIGNETTE CORP | COM | 926734401 | 13 | 658 | SH | DEFINED | 01 | 15 |
| VIDEOLAN TECHNOLOGI | COM | 926919309 | 0 | 250 | SH | DEFINED | 01 | 15 |
| VILLAGE SUPER MARKET-CLASS A | COM | 927107409 | 4396 | 91940 | SH | DEFINED | 01 | 02 |
| VINCI S A ADR | COM | 927320101 | 81 | 4328 | SH | DEFINED | 01 | 15 |
| VINEYARD NATL BANCORP CO | COM | 927426106 | 41 | 1786 | SH | DEFINED | 01 | 02 |
| VION PHARM INC | COM | 927624106 | 3 | 2700 | SH | DEFINED | 01 | 15 |
| VIRAL TESTING SYS CP | COM | 92764K108 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| VIRAL GENETICS INC DEL | COM | 92764R103 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| VIRGIN MEDIA INC. | COM | 92769L101 | 650555 | 26695005 | SH | DEFINED | 01 | 02 |
| VIRGIN MEDIA INC. | COM | 92769L101 | 32 | 1317 | SH | DEFINED | 01 | 03 |
| VIRGIN MEDIA INC. | COM | 92769L101 | 1 | 31 | SH | DEFINED | 01 | 10 |
| VIRGIN MEDIA INC. | COM | 92769L101 | 2 | 99 | SH | DEFINED | 01 | 15 |
| VIRGINIA COMMERCE BANCORP IN | COM | 92778Q109 | 27 | 1614 | SH | DEFINED | 01 | 15 |
| VIRGINIA PWR CAP TR II GTD TR | COM | 928083203 | 20 | 800 | SH | DEFINED | 01 | 15 |
| VIOPHARMA INC | COM | 928241108 | 5885 | 426387 | SH | DEFINED | 01 | 02 |
| VIOPHARMA INC | COM | 928241108 | 747 | 54130 | SH | DEFINED | 01 | 02 |
| VIOPHARMA INC | COM | 928241108 | 274 | 19820 | SH | DEFINED | 01 | 03 |
| VIOPHARMA INC | COM | 928241108 | 4 | 300 | SH | DEFINED | 01 | 15 |
| VIRTUALSCOPICS INC COM | COM | 928269109 | 8 | 4973 | SH | DEFINED | 01 | 15 |
| VIRTUAL RES INC | COM | 92826F105 | 0 | 40 | SH | DEFINED | 01 | 15 |
| WISEON INC | COM | 928297100 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| VISHAY INTERTECHNOLOGY INC | COM | 928298108 | 12466 | 788000 | SH | DEFINED | 01 | 02 |
| VISHAY INTERTECHNOLOGY INC | COM | 928298108 | 303 | 19163 | SH | DEFINED | 01 | 15 |
| VISION TWENTY-ONE INC FLA | COM | 92831N101 | 0 | 200 | SH | DEFINED | 01 | 15 |
| VISTEON CORP | COM | 92839U107 | 6164 | 760985 | SH | DEFINED | 01 | 02 |
| VISTEON CORP | COM | 92839U107 | 12 | 1468 | SH | DEFINED | 01 | 15 |
| VISUAL SCIENCES INC | COM | 92845H108 | 587 | 37929 | SH | DEFINED | 01 | 02 |
| VITAL SIGNS INC | COM | 928469105 | 711 | 12807 | SH | DEFINED | 01 | 02 |
| VITAL IMAGES INC | COM | 92846N104 | 1918 | 70622 | SH | DEFINED | 01 | 02 |
| VITESSE SEMICONDUCTRCORP | COM | 928497106 | 2 | 1334 | SH | DEFINED | 01 | 15 |
| VITRO SOCIEDAD ANONIMA SP | COM | 928502301 | 0 | 50 | SH | DEFINED | 01 | 15 |
| VITRAN CORP INC | COM | 92850E107 | 305 | 14300 | SH | DEFINED | 01 | 02 |
| VIVUS INC | COM | 928551100 | 7 | 1250 | SH | DEFINED | 01 | 15 |
| VLASIC FOODS INTL INC NO 100 | COM | 928559103 | 0 | 1 | SH | DEFINED | 01 | 15 |
| VIVO PARTICIPACOES SA | COM | 92855S101 | 20675 | 4126562 | SH | DEFINED | 01 | 02 |
| VIVO PARTICIPACOES SA | COM | 92855S101 | 4 | 711 | SH | DEFINED | 01 | 15 |
| VODAFONE GROUP PLC | COM | 92857W209 | 76844 | 2284951 | SH | DEFINED | 01 | 02 |
| VODAFONE GROUP PLC | COM | 92857W209 | 1773 | 52721 | SH | DEFINED | 01 | 15 |
| VOICEFLASH NETWORKS INC | COM | 92861T101 | 0 | 232 | SH | DEFINED | 01 | 15 |
| VOLCANO CORP | COM | 928645100 | 276 | 13660 | SH | DEFINED | 01 | 10 |
| VOLCOM INC | COM | 92864N101 | 1442 | 28765 | SH | DEFINED | 01 | 02 |
| VOLCOM INC | COM | 92864N101 | 25 | 500 | SH | DEFINED | 01 | 15 |
| VOLKSWAGEN A G SPONSORED | COM | 928662303 | 44 | 1393 | SH | DEFINED | 01 | 15 |
| VOLT INFO SCIENCES INC | COM | 928703107 | 1213 | 65756 | SH | DEFINED | 01 | 02 |
| VOLVO AKTIEBOLAGET ADR B | COM | 928856400 | 5475 | 275248 | SH | DEFINED | 01 | 15 |
| VIRTUALSCOPICS INC SER A PFD | COM | 928990241 | 0 | 15 | SH | DEFINED | 01 | 15 |
| VIRTUALSCOPICS INC COM WT \$4. | COM | 928AA9924 | 0 | 3000 | SH | DEFINED | 01 | 15 |
| VORNADO REALTY TRUST | COM | 929042109 | 20989 | 191076 | SH | DEFINED | 01 | 02 |
| VORNADO REALTY TRUST | COM | 929042109 | 2374 | 21610 | SH | DEFINED | 01 | 03 |
| VORNADO REALTY TRUST | COM | 929042109 | 495 | 4514 | SH | DEFINED | 01 | 10 |
| VORNADO RLTY TR PFD SER E 7.00 | COM | 929042604 | 34 | 1355 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| VORNADO RLTY TR PFD SER I 6.62 | COM | 929042877 | 48 | 2000 | SH | DEFINED | 01 | 15 |
| VULCAN MATERIALS CO | COM | 929160109 | 22413 | 195681 | SH | DEFINED | 01 | 02 |
| VULCAN MATERIALS CO | COM | 929160109 | 1806 | 15771 | SH | DEFINED | 01 | 03 |
| VULCAN MATERIALS CO | COM | 929160109 | 1003 | 8753 | SH | DEFINED | 01 | 15 |
| W&T OFFSHORE INC | COM | 92922P106 | 382 | 13645 | SH | DEFINED | 01 | 02 |
| WD-40 CO | COM | 929236107 | 949 | 28863 | SH | DEFINED | 01 | 02 |
| WD-40 CO | COM | 929236107 | 182 | 5538 | SH | DEFINED | 01 | 15 |
| WCI COMMUNITIES INC | COM | 92923C104 | 16 | 983 | SH | DEFINED | 01 | 02 |
| WGL HOLDINGS INC | COM | 92924F106 | 2008 | 61565 | SH | DEFINED | 01 | 02 |
| WGL HOLDINGS INC | COM | 92924F106 | 1265 | 38760 | SH | DEFINED | 01 | 02 |
| WGL HOLDINGS INC | COM | 92924F106 | 418 | 12810 | SH | DEFINED | 01 | 03 |
| WGL HOLDINGS INC | COM | 92924F106 | 17 | 530 | SH | DEFINED | 01 | 15 |
| W HOLDING CO INC | COM | 929251106 | 3499 | 1325759 | SH | DEFINED | 01 | 02 |
| W-H ENERGY SERVICES INC | COM | 92925E108 | 6150 | 99320 | SH | DEFINED | 01 | 02 |
| W-H ENERGY SERVICES INC | COM | 92925E108 | 40 | 650 | SH | DEFINED | 01 | 15 |
| WCA WASTE CORP | COM | 92926K103 | 3344 | 376978 | SH | DEFINED | 01 | 02 |
| WMS INDUSTRIES INC | COM | 929297109 | 10289 | 356530 | SH | DEFINED | 01 | 02 |
| WMS INDUSTRIES INC | COM | 929297109 | 30 | 1050 | SH | DEFINED | 01 | 15 |
| WPP GROUP PLC -ADR | COM | 929309409 | 4623 | 61850 | SH | DEFINED | 01 | 02 |
| WPP GROUP PLC -ADR | COM | 929309409 | 97 | 1298 | SH | DEFINED | 01 | 15 |
| W P CAREY & CO LLC | COM | 92930Y107 | 54 | 1706 | SH | DEFINED | 01 | 15 |
| WPCS INTL INC COM NEW | COM | 92931L203 | 27 | 2100 | SH | DEFINED | 01 | 15 |
| WSFS FINANCIAL CORP | COM | 929328102 | 4420 | 67574 | SH | DEFINED | 01 | 02 |
| WSFS FINANCIAL CORP | COM | 929328102 | 906 | 13850 | SH | DEFINED | 01 | 02 |
| WSFS FINANCIAL CORP | COM | 929328102 | 335 | 5124 | SH | DEFINED | 01 | 03 |
| WABASH NATIONAL CORP | COM | 929566107 | 784 | 53578 | SH | DEFINED | 01 | 02 |
| WABTEC CORP | COM | 929740108 | 3056 | 83677 | SH | DEFINED | 01 | 02 |
| WABTEC CORP | COM | 929740108 | 1456 | 39864 | SH | DEFINED | 01 | 15 |
| WACHOVIA PFD FDG CORP PERP | COM | 92977V206 | 40 | 1490 | SH | DEFINED | 01 | 15 |
| WACHOVIA CORP | COM | 929903102 | 214007 | 4175776 | SH | DEFINED | 01 | 02 |
| WACHOVIA CORP | COM | 929903102 | 16499 | 321930 | SH | DEFINED | 01 | 03 |
| WACHOVIA CORP | COM | 929903102 | 2132 | 41600 | SH | DEFINED | 01 | 10 |
| WACHOVIA CORP | COM | 929903102 | 4362 | 85115 | SH | DEFINED | 01 | 15 |
| WACHOVIA CORP 2ND NEW PFD | COM | 929903201 | 0 | 1880 | SH | DEFINED | 01 | 15 |
| WACHOVIA CORP NEW COMMODITY | COM | 929903698 | 56 | 2000 | SH | DEFINED | 01 | 15 |
| WADDELL & REED FIN | COM | 930059100 | 676 | 25979 | SH | DEFINED | 01 | 02 |
| WADDELL & REED FIN | COM | 930059100 | 1444 | 55510 | SH | DEFINED | 01 | 15 |
| WADE COOK FINL CORP COM NEW NO | COM | 930128202 | 0 | 100 | SH | DEFINED | 01 | 15 |
| WAL-MART STORES INC | COM | 931142103 | 179978 | 3740943 | SH | DEFINED | 01 | 02 |
| WAL-MART STORES INC | COM | 931142103 | 19496 | 405238 | SH | DEFINED | 01 | 03 |
| WAL-MART STORES INC | COM | 931142103 | 23376 | 486099 | SH | DEFINED | 01 | 10 |
| WAL-MART STORES INC | COM | 931142103 | 5854 | 121672 | SH | DEFINED | 01 | 15 |
| WAL MART DE MEXICO SA DE CV SP | COM | 93114W107 | 135 | 3542 | SH | DEFINED | 01 | 15 |
| WALGREEN CO | COM | 931422109 | 2143 | 49208 | SH | DEFINED | 01 | 02 |
| WALGREEN CO | COM | 931422109 | 7211 | 165623 | SH | DEFINED | 01 | 03 |
| WALGREEN CO | COM | 931422109 | 3811 | 87531 | SH | DEFINED | 01 | 15 |
| WALTER INDS INC | COM | 93317Q105 | 255 | 8800 | SH | DEFINED | 01 | 15 |
| WAMCO TECHNOLOGY GRPLTD | COM | 933920100 | 0 | 2500 | SH | DEFINED | 01 | 15 |
| WARNACO GROUP INC/THE | COM | 934390402 | 3341 | 84922 | SH | DEFINED | 01 | 02 |
| WARNACO GROUP INC/THE | COM | 934390402 | 1089 | 27685 | SH | DEFINED | 01 | 02 |
| WARNACO GROUP INC/THE | COM | 934390402 | 396 | 10071 | SH | DEFINED | 01 | 03 |
| WARNACO GROUP INC/THE | COM | 934390402 | 154 | 3909 | SH | DEFINED | 01 | 15 |
| WARWICK VALLEY TELEPHONE | COM | 936750108 | 84 | 6436 | SH | DEFINED | 01 | 15 |
| WASATCH PHARMACEUT | COM | 936902501 | 0 | 1 | SH | DEFINED | 01 | 15 |
| WASHINGTON FEDERAL INC | COM | 938824109 | 17 | 687 | SH | DEFINED | 01 | 15 |
| WASHINGTON GROUP INTL INC N | COM | 938862109 | 0 | 32 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| WASHINGTON GROUP INTERNATIONAL | COM | 938862208 | 2995 | 37430 | SH | DEFINED | 01 | 02 |
| WASHINGTON GROUP INTERNATIONAL | COM | 938862208 | 966 | 12070 | SH | DEFINED | 01 | 02 |
| WASHINGTON GROUP INTERNATIONAL | COM | 938862208 | 352 | 4400 | SH | DEFINED | 01 | 03 |
| WASHINGTON MUTUAL INC | COM | 939322103 | 30234 | 709068 | SH | DEFINED | 01 | 02 |
| WASHINGTON MUTUAL INC | COM | 939322103 | 6273 | 147124 | SH | DEFINED | 01 | 03 |
| WASHINGTON MUTUAL INC | COM | 939322103 | 2145 | 50308 | SH | DEFINED | 01 | 15 |
| WASHINGTON MUT INC WTS EXP 05 | COM | 939322111 | 0 | 50 | SH | DEFINED | 01 | 15 |
| WASHINGTON MUT INC UT 1 TR PF | COM | 939322848 | 5 | 90 | SH | DEFINED | 01 | 15 |
| WASHINGTON MUT CAP TR PFD INC | COM | 93933U407 | 0 | 50 | SH | DEFINED | 01 | 15 |
| WASHINGTON POST CO CL B | COM | 939640108 | 2 | 2 | SH | DEFINED | 01 | 15 |
| WASHINGTON REAL ESTATE INV | COM | 939653101 | 1707 | 50200 | SH | DEFINED | 01 | 02 |
| WATER CHEF INC | COM | 940907108 | 0 | 1500 | SH | DEFINED | 01 | 15 |
| WASTE CONNECTIONS INC | COM | 941053100 | 8391 | 277534 | SH | DEFINED | 01 | 02 |
| WASTE CONNECTIONS INC | COM | 941053100 | 1106 | 36550 | SH | DEFINED | 01 | 02 |
| WASTE CONNECTIONS INC | COM | 941053100 | 383 | 12650 | SH | DEFINED | 01 | 03 |
| WASTE CONNECTIONS INC | COM | 941053100 | 139 | 4595 | SH | DEFINED | 01 | 15 |
| WASTE INDUSTRIES USA INC | COM | 941057101 | 1451 | 42501 | SH | DEFINED | 01 | 02 |
| WASTE MANAGEMENT INC | COM | 94106L109 | 73144 | 1873092 | SH | DEFINED | 01 | 02 |
| WASTE MANAGEMENT INC | COM | 94106L109 | 3685 | 94375 | SH | DEFINED | 01 | 03 |
| WASTE MANAGEMENT INC | COM | 94106L109 | 1662 | 42558 | SH | DEFINED | 01 | 15 |
| WASTECH INC OKLA | COM | 94107F101 | 0 | 691 | SH | DEFINED | 01 | 15 |
| WATERS CORP | COM | 941848103 | 315 | 5293 | SH | DEFINED | 01 | 02 |
| WATERS CORP | COM | 941848103 | 991 | 16695 | SH | DEFINED | 01 | 03 |
| WATERS CORP | COM | 941848103 | 10 | 172 | SH | DEFINED | 01 | 15 |
| WATSCO INC CL B | COM | 942622101 | 16 | 287 | SH | DEFINED | 01 | 15 |
| WATSCO INC | COM | 942622200 | 4095 | 75274 | SH | DEFINED | 01 | 02 |
| WATSCO INC | COM | 942622200 | 46 | 845 | SH | DEFINED | 01 | 15 |
| WATSON PHARMACEUTICALS INC | COM | 942683103 | 12575 | 386553 | SH | DEFINED | 01 | 02 |
| WATSON PHARMACEUTICALS INC | COM | 942683103 | 552 | 16970 | SH | DEFINED | 01 | 03 |
| WATSON PHARMACEUTICALS INC | COM | 942683103 | 21 | 641 | SH | DEFINED | 01 | 15 |
| WATSON WYATT WORLDWIDE INC | COM | 942712100 | 10939 | 216706 | SH | DEFINED | 01 | 02 |
| WATSON WYATT WORLDWIDE INC | COM | 942712100 | 1463 | 28960 | SH | DEFINED | 01 | 02 |
| WATSON WYATT WORLDWIDE INC | COM | 942712100 | 507 | 10040 | SH | DEFINED | 01 | 03 |
| WATTS WATER TECHNOLOGIES-A | COM | 942749102 | 5727 | 152838 | SH | DEFINED | 01 | 02 |
| WATTS WATER TECHNOLOGIES-A | COM | 942749102 | 58 | 1552 | SH | DEFINED | 01 | 15 |
| WAUSAU PAPER CORP | COM | 943315101 | 982 | 73290 | SH | DEFINED | 01 | 02 |
| WAVE SYS CORP CL A NEW | COM | 943526301 | 1 | 519 | SH | DEFINED | 01 | 15 |
| WAVE WIRELESS CORP COM NO 100 | COM | 94352W106 | 0 | 501 | SH | DEFINED | 01 | 15 |
| WEB COM INC COM | COM | 94732Q100 | 1 | 151 | SH | DEFINED | 01 | 15 |
| WEBSense INC | COM | 947684106 | 3162 | 148810 | SH | DEFINED | 01 | 02 |
| WEBSense INC | COM | 947684106 | 17 | 805 | SH | DEFINED | 01 | 15 |
| WEBMD HEALTH CORP CL A | COM | 94770V102 | 243 | 5165 | SH | DEFINED | 01 | 15 |
| WEBSTER FINANCIAL CORP | COM | 947890109 | 2381 | 55800 | SH | DEFINED | 01 | 02 |
| WEBSTER FINANCIAL CORP | COM | 947890109 | 133 | 3111 | SH | DEFINED | 01 | 15 |
| WEBVAN GROUP INC NO 100HOLD | COM | 94845V103 | 0 | 615 | SH | DEFINED | 01 | 15 |
| WEIGHT WATCHERS INTLINC NEW | COM | 948626106 | 8 | 150 | SH | DEFINED | 01 | 15 |
| WEIS MARKETS INC | COM | 948849104 | 408 | 10060 | SH | DEFINED | 01 | 02 |
| WEIS MARKETS INC | COM | 948849104 | 3 | 70 | SH | DEFINED | 01 | 15 |
| WEDGEStone FINL ESCRO | COM | 948900980 | 0 | 100 | SH | DEFINED | 01 | 15 |
| WELLCARE HEALTH PLANS INC | COM | 94946T106 | 4516 | 49875 | SH | DEFINED | 01 | 02 |
| WELLCARE HEALTH PLANS INC | COM | 94946T106 | 28 | 307 | SH | DEFINED | 01 | 15 |
| WELLPOINT INC | COM | 94973V107 | 3690 | 46227 | SH | DEFINED | 01 | 02 |
| WELLPOINT INC | COM | 94973V107 | 11460 | 143560 | SH | DEFINED | 01 | 02 |
| WELLPOINT INC | COM | 94973V107 | 8107 | 101548 | SH | DEFINED | 01 | 03 |
| WELLPOINT INC | COM | 94973V107 | 87994 | 1103528 | SH | DEFINED | 01 | 10 |
| WELLPOINT INC | COM | 94973V107 | 1026 | 12856 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| WELLNESS UNIVERSE CORP | COM | 94973Y101 | 0 | 31000 | SH | DEFINED | 01 | 15 |
| WELLS FARGO & COMPANY | COM | 949746101 | 182342 | 5184658 | SH | DEFINED | 01 | 02 |
| WELLS FARGO & COMPANY | COM | 949746101 | 19437 | 552664 | SH | DEFINED | 01 | 03 |
| WELLS FARGO & COMPANY | COM | 949746101 | 16224 | 461579 | SH | DEFINED | 01 | 10 |
| WELLS FARGO & COMPANY | COM | 949746101 | 5238 | 148938 | SH | DEFINED | 01 | 15 |
| WELLS FARGO CAP TR IV PFD 7.0 | COM | 94976Y207 | 243 | 9646 | SH | DEFINED | 01 | 15 |
| WELLS FARGO CAP VII GTD TR PFD | COM | 94979B204 | 23 | 1000 | SH | DEFINED | 01 | 15 |
| WELLS FARGO CAP XI ENHANCED T | COM | 94979S207 | 248 | 10200 | SH | DEFINED | 01 | 15 |
| | | | | | | | | |
| WELLSTONE FILTERS INC COM PA | COM | 950315309 | 0 | 15 | SH | DEFINED | 01 | 15 |
| WENDY'S INTERNATIONAL INC | COM | 950590109 | 157 | 4287 | SH | DEFINED | 01 | 02 |
| WENDY'S INTERNATIONAL INC | COM | 950590109 | 530 | 14434 | SH | DEFINED | 01 | 03 |
| WENDY'S INTERNATIONAL INC | COM | 950590109 | 2913 | 79273 | SH | DEFINED | 01 | 15 |
| WERNER ENTERPRISES INC | COM | 950755108 | 12042 | 597607 | SH | DEFINED | 01 | 02 |
| WESBANCO INC | COM | 950810101 | 56 | 1882 | SH | DEFINED | 01 | 15 |
| WESCO INTERNATIONAL | COM | 95082P105 | 121 | 1998 | SH | DEFINED | 01 | 02 |
| WESCO INTERNATIONAL | COM | 95082P105 | 265 | 4389 | SH | DEFINED | 01 | 15 |
| WEST COAST BANCORP/OREGON | COM | 952145100 | 1627 | 53570 | SH | DEFINED | 01 | 02 |
| WEST COAST BANCORP/OREGON | COM | 952145100 | 1114 | 36630 | SH | DEFINED | 01 | 02 |
| WEST COAST BANCORP/OREGON | COM | 952145100 | 413 | 13600 | SH | DEFINED | 01 | 03 |
| WEST MARINE INC | COM | 954235107 | 200 | 14500 | SH | DEFINED | 01 | 02 |
| WEST PHARMACEUTICAL SERVICES | COM | 955306105 | 4787 | 101542 | SH | DEFINED | 01 | 02 |
| WEST PHARMACEUTICAL SERVICES | COM | 955306105 | 189 | 4000 | SH | DEFINED | 01 | 15 |
| WESTAIM CORP | COM | 956909105 | 0 | 21 | SH | DEFINED | 01 | 15 |
| WESTAR ENERGY INC | COM | 95709T100 | 4859 | 200097 | SH | DEFINED | 01 | 02 |
| WESTAR ENERGY INC | COM | 95709T100 | 913 | 37590 | SH | DEFINED | 01 | 02 |
| WESTAR ENERGY INC | COM | 95709T100 | 304 | 12530 | SH | DEFINED | 01 | 03 |
| WESTAR ENERGY INC | COM | 95709T100 | 146 | 6010 | SH | DEFINED | 01 | 15 |
| WESTBROOK-THOMPSON HLDG CORP | COM | 957246200 | 24 | 300 | SH | DEFINED | 01 | 15 |
| WESTERN ALLIANCE BANCORP | COM | 957638109 | 60 | 2000 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET PREMIER BD | COM | 957664105 | 38 | 2600 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET WORLDWIDE | COM | 957668106 | 7 | 500 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET GLOBAL HIGH INCO | COM | 95766B109 | 91 | 6912 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET EMRGNGMKTS INCOM | COM | 95766E103 | 25 | 1909 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET HIGH INCOME FD | COM | 95766J102 | 99 | 9090 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET HIGH INCOME OPP | COM | 95766K109 | 213 | 31781 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET MANAGED HI | COM | 95766L107 | 28 | 4200 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET MANAGED MU | COM | 95766M105 | 153 | 13775 | SH | DEFINED | 01 | 15 |
| WESTERN ASSETED MANGAED MU | COM | 95766M303 | 50 | 2 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET MUN HIGH INCOM | COM | 95766N103 | 28 | 3501 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET MUN PARTNERS F | COM | 95766P108 | 11 | 797 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET CLAYMORE U | COM | 95766Q106 | 24 | 2127 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET CLAYMORE U | COM | 95766R104 | 18 | 1595 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET INFLATION | COM | 95766U107 | 30 | 1881 | SH | DEFINED | 01 | 15 |
| WESTERN ASSET 2008 WORLDWIDE | COM | 95766W103 | 40 | 3872 | SH | DEFINED | 01 | 15 |
| WESTERN DIGITAL CORP | COM | 958102105 | 2174 | 112304 | SH | DEFINED | 01 | 02 |
| WESTERN DIGITAL CORP | COM | 958102105 | 50 | 2595 | SH | DEFINED | 01 | 15 |
| WESTERN INTER MUNI FD INC COM | COM | 958435109 | 51 | 5723 | SH | DEFINED | 01 | 15 |
| WESTERN PFD CORP - NO TSF F | COM | 959245101 | 0 | 15 | SH | DEFINED | 01 | 15 |
| WESTERN PFD CORP COMPAR \$1.00 | COM | 959245408 | 0 | 26 | SH | DEFINED | 01 | 15 |
| WESTERN REFINING INC | COM | 959319104 | 6761 | 116980 | SH | DEFINED | 01 | 02 |
| WESTERN REFINING INC | COM | 959319104 | 64 | 1100 | SH | DEFINED | 01 | 15 |
| WESTERN UNION CO | COM | 959802109 | 6870 | 329783 | SH | DEFINED | 01 | 02 |
| WESTERN UNION CO | COM | 959802109 | 2663 | 127857 | SH | DEFINED | 01 | 03 |
| WESTERN UNION CO | COM | 959802109 | 4730 | 227075 | SH | DEFINED | 01 | 15 |
| WESTLAKE CHEMICAL CORP | COM | 960413102 | 539 | 19177 | SH | DEFINED | 01 | 02 |
| WESTLAKE CHEMICAL CORP | COM | 960413102 | 10 | 366 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| WESTSHORE TERMINALS ISIN #CA96 | COM | 960911105 | 3 | 200 | SH | DEFINED | 01 | 15 |
| WESTPAC BKG LTD SPONSORED | COM | 961214301 | 34 | 310 | SH | DEFINED | 01 | 15 |
| WESTWOOD HLDGS GROUP INC | COM | 961765104 | 1 | 30 | SH | DEFINED | 01 | 15 |
| WESTWOOD ONE INC | COM | 961815107 | 78 | 10819 | SH | DEFINED | 01 | 02 |
| WET SEAL INC/THE | COM | 961840105 | 2398 | 398928 | SH | DEFINED | 01 | 02 |
| WET SEAL INC/THE | COM | 961840105 | 777 | 129260 | SH | DEFINED | 01 | 02 |
| WET SEAL INC/THE | COM | 961840105 | 276 | 46000 | SH | DEFINED | 01 | 03 |
| WET SEAL INC/THE | COM | 961840105 | 2 | 275 | SH | DEFINED | 01 | 15 |
| WEYERHAEUSER CO | COM | 962166104 | 134856 | 1708568 | SH | DEFINED | 01 | 02 |
| WEYERHAEUSER CO | COM | 962166104 | 3145 | 39853 | SH | DEFINED | 01 | 03 |
| WEYERHAEUSER CO | COM | 962166104 | 205 | 2591 | SH | DEFINED | 01 | 15 |
| WHIRLPOOL CORP | COM | 963320106 | 115259 | 1036528 | SH | DEFINED | 01 | 02 |
| WHIRLPOOL CORP | COM | 963320106 | 1788 | 16081 | SH | DEFINED | 01 | 03 |
| WHIRLPOOL CORP | COM | 963320106 | 706 | 6352 | SH | DEFINED | 01 | 15 |
| WHIRLPOOL FINANCIAL | PRF | 963328208 | 902 | 9018 | SH | DEFINED | 01 | 04 |
| WHITING PETROLEUM CORP | COM | 966387102 | 2057 | 50717 | SH | DEFINED | 01 | 02 |
| WHITING PETROLEUM CORP | COM | 966387102 | 530 | 13090 | SH | DEFINED | 01 | 02 |
| WHITING PETROLEUM CORP | COM | 966387102 | 190 | 4700 | SH | DEFINED | 01 | 03 |
| WHITING PETROLEUM CORP | COM | 966387102 | 4 | 95 | SH | DEFINED | 01 | 15 |
| WHITNEY HOLDING CORP | COM | 966612103 | 3443 | 114397 | SH | DEFINED | 01 | 02 |
| WHOLE FOODS MARKET INC | COM | 966837106 | 11407 | 297838 | SH | DEFINED | 01 | 02 |
| WHOLE FOODS MARKET INC | COM | 966837106 | 1280 | 33409 | SH | DEFINED | 01 | 03 |
| WHOLE FOODS MARKET INC | COM | 966837106 | 633 | 16522 | SH | DEFINED | 01 | 15 |
| WIFI WIRELESS INC COM NEW | COM | 967668203 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| WILEY JOHN & SONS INC CL A C | COM | 968223206 | 1 | 14 | SH | DEFINED | 01 | 15 |
| WILLBROS GROUP INC | COM | 969199108 | 303 | 10239 | SH | DEFINED | 01 | 02 |
| WILLIAMS COAL SEAM GAS RTY TR | COM | 969450105 | 3 | 250 | SH | DEFINED | 01 | 15 |
| WILLIAMS COMM GROUP INC NO 100 | COM | 969455104 | 0 | 24416 | SH | DEFINED | 01 | 15 |
| WILLIAMS COS INC | COM | 969457100 | 30790 | 973783 | SH | DEFINED | 01 | 02 |
| WILLIAMS COS INC | COM | 969457100 | 3476 | 109914 | SH | DEFINED | 01 | 03 |
| WILLIAMS COS INC | COM | 969457100 | 20211 | 640000 | SH | DEFINED | 01 | 10 |
| WILLIAMS COS INC | COM | 969457100 | 1576 | 49851 | SH | DEFINED | 01 | 15 |
| WILLIAMS SCOTSMAN INTL INC | COM | 96950G102 | 1376 | 57773 | SH | DEFINED | 01 | 02 |
| WILLIAMS-SONOMA INC | COM | 969904101 | 1030 | 32600 | SH | DEFINED | 01 | 02 |
| WILLIAMS-SONOMA INC | COM | 969904101 | 100 | 3157 | SH | DEFINED | 01 | 15 |
| WILMINGTON TRUST CORP | COM | 971807102 | 22 | 527 | SH | DEFINED | 01 | 15 |
| WILSHIRE BANCORP INC | COM | 97186T108 | 309 | 25370 | SH | DEFINED | 01 | 02 |
| WILSHIRE BANCORP INC | COM | 97186T108 | 2 | 200 | SH | DEFINED | 01 | 15 |
| WILSHIRE WI ADVISOR - WARRANTS | WT | 97187@117 | 49 | 12 | SH | DEFINED | 01 | 05 |
| WILSHIRE LA ADVISORS 01/01/20 | WT | 97188#AA4 | 46 | 12 | SH | DEFINED | 01 | 05 |
| WILSHIRE PARTNER LLC - WARRANT | WT | 97189#113 | 4 | 4 | SH | DEFINED | 01 | 02 |
| WILSHIRE PARTNER LLC - WARRANT | WT | 97189#113 | 4 | 4 | SH | DEFINED | 01 | 05 |
| WILSHIRE NY ADVISORS | WT | 971993118 | 34 | 30 | SH | DEFINED | 01 | 02 |
| WILSHIRE NY ADVISORS | WT | 971993118 | 34 | 30 | SH | DEFINED | 01 | 05 |
| WINDSTREAM CORP | COM | 97381W104 | 42275 | 2864049 | SH | DEFINED | 01 | 02 |
| WINDSTREAM CORP | COM | 97381W104 | 1164 | 78892 | SH | DEFINED | 01 | 03 |
| WINDSTREAM CORP | COM | 97381W104 | 816 | 55309 | SH | DEFINED | 01 | 15 |
| WINDSOR ENERGY CORP ISIN CA973 | COM | 973906100 | 0 | 43855 | SH | DEFINED | 01 | 15 |
| WINN DIXIE STORES INC | COM | 974280307 | 2146 | 73284 | SH | DEFINED | 01 | 02 |
| WINN DIXIE STORES INC | COM | 974280307 | 5369 | 183227 | SH | DEFINED | 01 | 02 |
| WINN DIXIE STORES INC | COM | 974280307 | 977 | 33350 | SH | DEFINED | 01 | 02 |
| WINN DIXIE STORES INC | COM | 974280307 | 346 | 11800 | SH | DEFINED | 01 | 03 |
| WINN DIXIE STORES INC | COM | 974280307 | 67667 | 2310254 | SH | DEFINED | 01 | 10 |
| WINNEBAGO INDUSTRIES | COM | 974637100 | 4214 | 142756 | SH | DEFINED | 01 | 02 |
| WINNEBAGO INDUSTRIES | COM | 974637100 | 9 | 294 | SH | DEFINED | 01 | 15 |
| WINSTAR NO 100HOLD | COM | 975515107 | 0 | 2650 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|---------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| WINTHROP REALTY TRUST | COM | 976391102 | 2480 | 358810 | SH | DEFINED | 01 | 02 |
| WINTHROP REALTY TRUST | COM | 976391102 | 574 | 82970 | SH | DEFINED | 01 | 02 |
| WINTHROP REALTY TRUST | COM | 976391102 | 215 | 31100 | SH | DEFINED | 01 | 03 |
| WINTRUST FINANCIAL CORP | COM | 97650W108 | 3714 | 84660 | SH | DEFINED | 01 | 02 |
| WINTRUST FINANCIAL CORP | COM | 97650W108 | 526 | 11980 | SH | DEFINED | 01 | 02 |
| WINTRUST FINANCIAL CORP | COM | 97650W108 | 202 | 4600 | SH | DEFINED | 01 | 03 |
| WINTRUST FINANCIAL CORP | COM | 97650W108 | 22 | 505 | SH | DEFINED | 01 | 15 |
| WIPRO LTD SPON ADR REPSTG 1 S | COM | 97651M109 | 47 | 3008 | SH | DEFINED | 01 | 15 |
| WIRELESS TELECOM GROUP INC | COM | 976524108 | 1 | 300 | SH | DEFINED | 01 | 15 |
| WIRELESS HOLDERS TR | COM | 97653L208 | 68 | 1000 | SH | DEFINED | 01 | 15 |
| WISCONSIN ENERGY CORP | COM | 976657106 | 4 | 90 | SH | DEFINED | 01 | 02 |
| WISCONSIN ENERGY CORP | COM | 976657106 | 427 | 9659 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR TOTAL DIVID FD | COM | 97717W109 | 29 | 485 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR LARGE CAP D | COM | 97717W307 | 86 | 1427 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR INTL REAL ESTAT | COM | 97717W331 | 5 | 100 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR DIVID TOP | COM | 97717W406 | 6 | 98 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR MIDCAP DIV | COM | 97717W505 | 33 | 567 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR LOW P/E FD | COM | 97717W547 | 21 | 400 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR SMALLCAP D | COM | 97717W604 | 197 | 3452 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR DIEFA FD | COM | 97717W703 | 166 | 2409 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR INTL BASIC MATE | COM | 97717W752 | 11 | 285 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR INTL SMALLCAP D | COM | 97717W760 | 646 | 9029 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR INTL MIDCA | COM | 97717W778 | 7224 | 100537 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR INTL DIVID TOP | COM | 97717W786 | 252 | 3575 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR INTL LARGE CAP D | COM | 97717W794 | 154 | 2276 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR DIEFA HIGH YIELD | COM | 97717W802 | 90 | 1320 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR DIPR FD | COM | 97717W828 | 18 | 245 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR JAPAN SMALLCAP D | COM | 97717W836 | 46 | 900 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR JAPAN TOTA | COM | 97717W851 | 11 | 200 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR EUROPE SMALLCAP D | COM | 97717W869 | 111 | 1521 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR EUROPE HIGH YIELD | COM | 97717W877 | 44 | 650 | SH | DEFINED | 01 | 15 |
| WISDOMTREE TR EUROPE TOTAL DIVI | COM | 97717W885 | 9 | 135 | SH | DEFINED | 01 | 15 |
| WOLSELEY PLC SPON ADR | COM | 97786P100 | 160 | 6631 | SH | DEFINED | 01 | 15 |
| WOLVERINE WORLD WIDE INC | COM | 978097103 | 22725 | 820072 | SH | DEFINED | 01 | 02 |
| WOLVERINE WORLD WIDE INC | COM | 978097103 | 845 | 30460 | SH | DEFINED | 01 | 02 |
| WOLVERINE WORLD WIDE INC | COM | 978097103 | 323 | 11640 | SH | DEFINED | 01 | 03 |
| WOLVERINE WORLD WIDE INC | COM | 978097103 | 11 | 406 | SH | DEFINED | 01 | 15 |
| WOM INC | COM | 978106102 | 0 | 160 | SH | DEFINED | 01 | 15 |
| WOODSIDE PETE LTD SPONSORED | COM | 980228308 | 153 | 3945 | SH | DEFINED | 01 | 15 |
| WOODWARD GOVERNOR CO | COM | 980745103 | 7868 | 146632 | SH | DEFINED | 01 | 02 |
| WOODWARD GOVERNOR CO | COM | 980745103 | 833 | 15500 | SH | DEFINED | 01 | 02 |
| WOODWARD GOVERNOR CO | COM | 980745103 | 282 | 5250 | SH | DEFINED | 01 | 03 |
| WORLD ACCEPTANCE CORP | COM | 981419104 | 3649 | 85414 | SH | DEFINED | 01 | 02 |
| WORLD ACCEPTANCE CORP | COM | 981419104 | 144 | 3362 | SH | DEFINED | 01 | 15 |
| WORLD ACCESS INC NO 100HOLD | COM | 98141A101 | 0 | 4400 | SH | DEFINED | 01 | 15 |
| WORLD ENZYMES LTD | COM | 98145H102 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| WORLD FUEL SERVICES CORP | COM | 981475106 | 1970 | 46835 | SH | DEFINED | 01 | 02 |
| WORLD FUEL SERVICES CORP | COM | 981475106 | 86 | 2050 | SH | DEFINED | 01 | 15 |
| WORLD INFORMATION TECHNOLOGY | COM | 981485204 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| WORLD WIDE RLTY & INVESTING | COM | 981542103 | 0 | 100 | SH | DEFINED | 01 | 15 |
| WORLDCALL CORP CL A | COM | 98155U100 | 0 | 122 | SH | DEFINED | 01 | 15 |
| WORLDGATE COMMUNICAT | COM | 98156L307 | 8 | 17000 | SH | DEFINED | 01 | 15 |
| WORLD WRESTLING ENTERTAINM | COM | 98156Q108 | 10 | 636 | SH | DEFINED | 01 | 15 |
| WORLDSPACE INC-CLASS A | COM | 981579105 | 5484 | 1135319 | SH | DEFINED | 01 | 02 |
| WORLDSPACE INC-CLASS A | COM | 981579105 | 460 | 95209 | SH | DEFINED | 01 | 15 |
| WORLDCOM INC GA NEW WORLDCOM G | COM | 98157D106 | 0 | 106454 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|----------|----|---------|----|----|
| WORLDCOM INC GA (MCIGROUP) NO | COM | 98157D304 | 0 | 16823 | SH | DEFINED | 01 | 15 |
| WORLDWIDE XCEED GROUP INC | COM | 981609209 | 0 | 60 | SH | DEFINED | 01 | 15 |
| WORTHINGTON INDUSTRIES INC | COM | 981811102 | 1777 | 82080 | SH | DEFINED | 01 | 02 |
| WORTHINGTON INDUSTRIES INC | COM | 981811102 | 650 | 30020 | SH | DEFINED | 01 | 02 |
| WORTHINGTON INDUSTRIES INC | COM | 981811102 | 219 | 10120 | SH | DEFINED | 01 | 03 |
| WORTHINGTON INDUSTRIES INC | COM | 981811102 | 96 | 4420 | SH | DEFINED | 01 | 15 |
| WORLD WIDE MINERALS LTD | COM | 981900103 | 0 | 2000 | SH | DEFINED | 01 | 15 |
| WORLDCOM INC ESCROW | COM | 981ESCCZ7 | 0 | 5000000 | SH | DEFINED | 01 | 02 |
| WRIGHT EXPRESS CORP | COM | 98233Q105 | 113 | 3290 | SH | DEFINED | 01 | 02 |
| WRIGHT MEDICAL GROUP INC | COM | 98235T107 | 549 | 22765 | SH | DEFINED | 01 | 02 |
| WM WRIGLEY JR CO | COM | 982526105 | 20804 | 376111 | SH | DEFINED | 01 | 02 |
| WM WRIGLEY JR CO | COM | 982526105 | 2227 | 40260 | SH | DEFINED | 01 | 03 |
| WM WRIGLEY JR CO | COM | 982526105 | 1616 | 29221 | SH | DEFINED | 01 | 15 |
| WRIGLEY WM JR CO-CL B | COM | 982526204 | 0 | 7 | SH | DEFINED | 01 | 02 |
| WRIGLEY WM JR CO-CL B | COM | 982526204 | 150 | 2746 | SH | DEFINED | 01 | 15 |
| WYETH | COM | 983024100 | 178609 | 3114921 | SH | DEFINED | 01 | 02 |
| WYETH | COM | 983024100 | 7960 | 138829 | SH | DEFINED | 01 | 02 |
| WYETH | COM | 983024100 | 13056 | 227694 | SH | DEFINED | 01 | 03 |
| WYETH | COM | 983024100 | 80243 | 1399382 | SH | DEFINED | 01 | 10 |
| WYETH | COM | 983024100 | 1742 | 30380 | SH | DEFINED | 01 | 15 |
| WYNDHAM WORLWIDE CORP | COM | 98310W108 | 2082 | 57432 | SH | DEFINED | 01 | 02 |
| WYNDHAM WORLWIDE CORP | COM | 98310W108 | 1094 | 30171 | SH | DEFINED | 01 | 03 |
| WYNDHAM WORLWIDE CORP | COM | 98310W108 | 29 | 806 | SH | DEFINED | 01 | 15 |
| WYNN RESORTS LTD | COM | 983134107 | 3678 | 41000 | SH | DEFINED | 01 | 02 |
| WYNN RESORTS LTD | COM | 983134107 | 37 | 410 | SH | DEFINED | 01 | 03 |
| WYNN RESORTS LTD | COM | 983134107 | 265 | 2953 | SH | DEFINED | 01 | 15 |
| XCL LTD | COM | 983701103 | 0 | 53 | SH | DEFINED | 01 | 15 |
| XM SATELLITE RADIO HOLDINGS IN | COM | 983759101 | 131823 | 11199931 | SH | DEFINED | 01 | 02 |
| XM SATELLITE RADIO HOLDINGS IN | COM | 983759101 | 353 | 29996 | SH | DEFINED | 01 | 03 |
| XM SATELLITE RADIO HOLDINGS IN | COM | 983759101 | 151 | 12793 | SH | DEFINED | 01 | 15 |
| XO COMMUNICATIONS INC CL-A NO | COM | 983764101 | 0 | 8863 | SH | DEFINED | 01 | 15 |
| XRC CORP FINAL LIQU | COM | 983803107 | 0 | 2 | SH | DEFINED | 01 | 15 |
| X-RITE INC | COM | 983857103 | 1739 | 117707 | SH | DEFINED | 01 | 02 |
| X-RITE INC | COM | 983857103 | 4 | 300 | SH | DEFINED | 01 | 15 |
| XSUNX INC | COM | 98385L102 | 6 | 13400 | SH | DEFINED | 01 | 15 |
| XTO ENERGY INC | COM | 98385X106 | 10065 | 167494 | SH | DEFINED | 01 | 02 |
| XTO ENERGY INC | COM | 98385X106 | 3813 | 63440 | SH | DEFINED | 01 | 03 |
| XTO ENERGY INC | COM | 98385X106 | 1527 | 25412 | SH | DEFINED | 01 | 15 |
| XCEL ENERGY INC | COM | 98389B100 | 19178 | 936919 | SH | DEFINED | 01 | 02 |
| XCEL ENERGY INC | COM | 98389B100 | 1385 | 67671 | SH | DEFINED | 01 | 03 |
| XCEL ENERGY INC | COM | 98389B100 | 706 | 34498 | SH | DEFINED | 01 | 15 |
| XILINX INC | COM | 983919101 | 2781 | 103873 | SH | DEFINED | 01 | 02 |
| XILINX INC | COM | 983919101 | 1359 | 50750 | SH | DEFINED | 01 | 03 |
| XILINX INC | COM | 983919101 | 77 | 2867 | SH | DEFINED | 01 | 15 |
| XETEL CORP NO 100HOLD | COM | 983942103 | 0 | 7450 | SH | DEFINED | 01 | 15 |
| XPLORE TECHNOLOGIES CORP NEW | COM | 983950205 | 2 | 4629 | SH | DEFINED | 01 | 15 |
| XENOPORT INC | COM | 98411C100 | 1138 | 25616 | SH | DEFINED | 01 | 02 |
| XEROX CORP | COM | 984121103 | 1532 | 82873 | SH | DEFINED | 01 | 02 |
| XEROX CORP | COM | 984121103 | 2866 | 155109 | SH | DEFINED | 01 | 03 |
| XEROX CORP | COM | 984121103 | 1069 | 57841 | SH | DEFINED | 01 | 15 |
| XTENT INC | COM | 984141101 | 81 | 8107 | SH | DEFINED | 01 | 10 |
| XYBERNAUT CORP | COM | 984149104 | 0 | 200 | SH | DEFINED | 01 | 15 |
| XO HLDGS INC COM | COM | 98417K106 | 0 | 3 | SH | DEFINED | 01 | 15 |
| XO HLDGS INC WT EXP SER | COM | 98417K114 | 0 | 20 | SH | DEFINED | 01 | 15 |
| XO HLDGS INC WT EXP SER | COM | 98417K122 | 0 | 14 | SH | DEFINED | 01 | 15 |
| XO HLDGS INC WT EXP SER | COM | 98417K130 | 0 | 14 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|----|----|
| Y & A GROUP INC | COM | 984190108 | 0 | 100 | SH | DEFINED | 01 | 15 |
| YRC WORLDWIDE INC | COM | 984249102 | 4064 | 110452 | SH | DEFINED | 01 | 02 |
| YRC WORLDWIDE INC | COM | 984249102 | 56 | 1535 | SH | DEFINED | 01 | 15 |
| YNOT ED INC NEW COM | COM | 98424V103 | 0 | 92 | SH | DEFINED | 01 | 15 |
| YTB INTL INC EXCH'D FOR | COM | 98425R101 | 4 | 940 | SH | DEFINED | 01 | 15 |
| YAHOO INC | COM | 984332106 | 43540 | 1604794 | SH | DEFINED | 01 | 02 |
| YAHOO INC | COM | 984332106 | 5495 | 202526 | SH | DEFINED | 01 | 03 |
| YAHOO INC | COM | 984332106 | 1739 | 64088 | SH | DEFINED | 01 | 15 |
| YAMANA GOLD INC | COM | 98462Y100 | 1935 | 174000 | SH | DEFINED | 01 | 02 |
| YAMANA GOLD INC | COM | 98462Y100 | 26 | 2300 | SH | DEFINED | 01 | 15 |
| YARA INTL ASA SPON ADR | COM | 984851204 | 1 | 41 | SH | DEFINED | 01 | 15 |
| YORK WATER CO COM | COM | 987184108 | 106 | 5978 | SH | DEFINED | 01 | 15 |
| YOUBET.COM INC | COM | 987413101 | 2 | 1000 | SH | DEFINED | 01 | 15 |
| YUM BRANDS INC | COM | 988498101 | 10998 | 336157 | SH | DEFINED | 01 | 02 |
| YUM BRANDS INC | COM | 988498101 | 2836 | 86680 | SH | DEFINED | 01 | 03 |
| YUM BRANDS INC | COM | 988498101 | 774 | 23648 | SH | DEFINED | 01 | 15 |
| ZALE CORP | COM | 988858106 | 2400 | 100798 | SH | DEFINED | 01 | 02 |
| ZALE CORP | COM | 988858106 | 14 | 600 | SH | DEFINED | 01 | 15 |
| ZAMORA GOLD CORP | COM | 988906103 | 0 | 10000 | SH | DEFINED | 01 | 15 |
| ZANY BRAINY INC NO 100HOLD | COM | 98906Q101 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| ZAPATA CORP | COM | 989070602 | 2015 | 298560 | SH | DEFINED | 01 | 02 |
| ZEBRA TECHNOLOGIES CORP CL A | COM | 989207105 | 1299 | 33532 | SH | DEFINED | 01 | 15 |
| ZENITH NATIONAL INSURANCE CP | COM | 989390109 | 15043 | 319475 | SH | DEFINED | 01 | 02 |
| ZENITH NATIONAL INSURANCE CP | COM | 989390109 | 1567 | 33300 | SH | DEFINED | 01 | 02 |
| ZENITH NATIONAL INSURANCE CP | COM | 989390109 | 566 | 12010 | SH | DEFINED | 01 | 03 |
| ZENITH NATIONAL INSURANCE CP | COM | 989390109 | 56 | 1199 | SH | DEFINED | 01 | 15 |
| ZIMMER HOLDINGS INC | COM | 98956P102 | 24859 | 292849 | SH | DEFINED | 01 | 02 |
| ZIMMER HOLDINGS INC | COM | 98956P102 | 3326 | 39180 | SH | DEFINED | 01 | 03 |
| ZIMMER HOLDINGS INC | COM | 98956P102 | 537 | 6322 | SH | DEFINED | 01 | 15 |
| ZIONS BANCORPORATION | COM | 989701107 | 2803 | 36449 | SH | DEFINED | 01 | 02 |
| ZIONS BANCORPORATION | COM | 989701107 | 1400 | 18205 | SH | DEFINED | 01 | 03 |
| ZIONS BANCORPORATION | COM | 989701107 | 118 | 1529 | SH | DEFINED | 01 | 15 |
| ZIONS CAP TR B CAP SECS 8 | COM | 989703202 | 15 | 600 | SH | DEFINED | 01 | 15 |
| ZIX CORP | COM | 98974P100 | 22 | 11700 | SH | DEFINED | 01 | 15 |
| ZORAN CORP | COM | 98975F101 | 2885 | 144020 | SH | DEFINED | 01 | 02 |
| ZORAN CORP | COM | 98975F101 | 787 | 39270 | SH | DEFINED | 01 | 02 |
| ZORAN CORP | COM | 98975F101 | 281 | 14000 | SH | DEFINED | 01 | 03 |
| ZORAN CORP | COM | 98975F101 | 12 | 586 | SH | DEFINED | 01 | 15 |
| ZOLTEK COS INC | COM | 98975W104 | 102 | 2450 | SH | DEFINED | 01 | 15 |
| ZUMIEZ INC | COM | 989817101 | 874 | 23124 | SH | DEFINED | 01 | 02 |
| ZUMIEZ INC | COM | 989817101 | 137 | 3630 | SH | DEFINED | 01 | 15 |
| ZURICH FINANCIAL SERVICES SPON | COM | 98982M107 | 15 | 490 | SH | DEFINED | 01 | 15 |
| ZWEIG FD INC | COM | 989834106 | 319 | 51941 | SH | DEFINED | 01 | 15 |
| ZWEIG TOTAL RETURN FD INC | COM | 989837109 | 462 | 91284 | SH | DEFINED | 01 | 15 |
| ZYGO CORP | COM | 989855101 | 2294 | 160571 | SH | DEFINED | 01 | 02 |
| ZYGO CORP | COM | 989855101 | 790 | 55350 | SH | DEFINED | 01 | 02 |
| ZYGO CORP | COM | 989855101 | 263 | 18370 | SH | DEFINED | 01 | 03 |
| ZOLL MEDICAL CORP | COM | 989922109 | 4159 | 186297 | SH | DEFINED | 01 | 02 |
| ZOLL MEDICAL CORP | COM | 989922109 | 712 | 31930 | SH | DEFINED | 01 | 02 |
| ZOLL MEDICAL CORP | COM | 989922109 | 230 | 10300 | SH | DEFINED | 01 | 03 |
| WILSHIRE LA ADVISERS 01/01/20 | WT | B0A0DHM93 | 44 | 6 | SH | DEFINED | 01 | 02 |
| WILSHIRE LA ADVISERS 01/01/20 | WT | B0A0DHM93 | 104 | 14 | SH | DEFINED | 01 | 05 |
| LERNOUT & HAUSPIE SPEECH PRO | COM | B5628B104 | 0 | 10168 | SH | DEFINED | 01 | 15 |
| DAIMLERCHRYSLER AG ORD ISIN # | COM | D1668R123 | 112 | 1214 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|----------|-----------|--------|---------|----|---------|----|----|
| DEUTSCHE BANK AG NAMEN AKT | COM | D18190898 | 211 | 1460 | SH | DEFINED | 01 | 15 |
| Q-CELLS AG THALHEIM SHS ISIN # | COM | D6232R103 | 112 | 1300 | SH | DEFINED | 01 | 15 |
| MEXICO-VRR-RIGHT-E 06/30/2007 | WT | EC6127640 | 14 | 2000000 | SH | DEFINED | 01 | 02 |
| KINDER MORGAN MGMT LLC FRAC S | COM | EKE55U103 | 0 | 148047 | SH | DEFINED | 01 | 15 |
| XL CAPITAL | CONV PRF | EP0179085 | 7515 | 255800 | SH | DEFINED | 01 | 02 |
| EURO DISNEY SCA FRF5 ISIN | COM | F26387104 | 0 | 1800 | SH | DEFINED | 01 | 15 |
| VIVENDI SHS ISIN #FR00 | COM | F97982106 | 13 | 313 | SH | DEFINED | 01 | 15 |
| ACE LTD | COM | G0070K103 | 434099 | 6943327 | SH | DEFINED | 01 | 02 |
| ACE LTD | COM | G0070K103 | 4500 | 71982 | SH | DEFINED | 01 | 03 |
| ACE LTD | COM | G0070K103 | 699 | 11184 | SH | DEFINED | 01 | 15 |
| ACE LTD RED.PREF.SHSSER C 1/10 | COM | G0070K111 | 13 | 500 | SH | DEFINED | 01 | 15 |
| ALLIED WORLD ASSURANCE HOLDING | COM | G0219G203 | 204 | 3980 | SH | DEFINED | 01 | 02 |
| AMDOCS LTD | COM | G02602103 | 727 | 18273 | SH | DEFINED | 01 | 02 |
| AMDOCS LTD | COM | G02602103 | 7 | 172 | SH | DEFINED | 01 | 15 |
| AMERICAN SAFETY INS HOLDINGS | COM | G02995101 | 56 | 2346 | SH | DEFINED | 01 | 02 |
| APEX SILVER MINES LIMITED IS | COM | G04074103 | 51 | 2516 | SH | DEFINED | 01 | 15 |
| APW LTD NO 100HOLD | COM | G04397108 | 0 | 285 | SH | DEFINED | 01 | 15 |
| ARCH CAPITAL GROUP LTD | COM | G0450A105 | 8710 | 120087 | SH | DEFINED | 01 | 02 |
| ARCH CAPITAL GROUP LTD | COM | G0450A105 | 2547 | 35137 | SH | DEFINED | 01 | 10 |
| ARCH CAPITAL GROUP LTD | COM | G0450A105 | 6 | 84 | SH | DEFINED | 01 | 15 |
| ARIES MARITIME TRANSPORT LTD | COM | G0474B105 | 4604 | 473298 | SH | DEFINED | 01 | 02 |
| ARIES MARITIME TRANSPORT LTD | COM | G0474B105 | 189 | 19410 | SH | DEFINED | 01 | 15 |
| ARLINGTON TANKERS LTD | COM | G04899103 | 3598 | 125453 | SH | DEFINED | 01 | 02 |
| ARLINGTON TANKERS LTD | COM | G04899103 | 198 | 6890 | SH | DEFINED | 01 | 15 |
| ASPEN INSURANCE HOLDINGS LTD | COM | G05384105 | 6954 | 247786 | SH | DEFINED | 01 | 02 |
| ASPEN INSURANCE HOLDINGS LTD | COM | G05384105 | 1444 | 51420 | SH | DEFINED | 01 | 02 |
| ASPEN INSURANCE HOLDINGS LTD | COM | G05384105 | 511 | 18200 | SH | DEFINED | 01 | 03 |
| ASPEN INSURANCE HOLDINGS LTD | COM | G05384105 | 609 | 21707 | SH | DEFINED | 01 | 15 |
| ASSURED GUARANTY LTD | COM | G0585R106 | 4017 | 135840 | SH | DEFINED | 01 | 02 |
| ASSURED GUARANTY LTD | COM | G0585R106 | 1261 | 42650 | SH | DEFINED | 01 | 02 |
| ASSURED GUARANTY LTD | COM | G0585R106 | 449 | 15200 | SH | DEFINED | 01 | 03 |
| ASSURED GUARANTY LTD | COM | G0585R106 | 2909 | 98435 | SH | DEFINED | 01 | 10 |
| AUTONOMY CORP PLC ISIN #GB00 | COM | G0669T101 | 303 | 21000 | SH | DEFINED | 01 | 15 |
| AXIS CAPITAL HOLDINGS LTD | COM | G0692U109 | 107530 | 2645269 | SH | DEFINED | 01 | 02 |
| AXIS CAPITAL HLDGS PFD SER A | COM | G0692U208 | 5 | 200 | SH | DEFINED | 01 | 15 |
| BIOCOMPATIBLES INTL PLC SHS IS | COM | G1117T230 | 0 | 22 | SH | DEFINED | 01 | 15 |
| ACCENTURE LTD-CL A | COM | G1150G111 | 5559 | 129609 | SH | DEFINED | 01 | 02 |
| ACCENTURE LTD-CL A | COM | G1150G111 | 1668 | 38943 | SH | DEFINED | 01 | 10 |
| ACCENTURE LTD-CL A | COM | G1150G111 | 1419 | 33092 | SH | DEFINED | 01 | 15 |
| BLINKX PLC SHS ISIN #GB00 | COM | G13235109 | 18 | 21000 | SH | DEFINED | 01 | 15 |
| BRILLIANCE CHINA AUTOMOTIVE | COM | G1368B102 | 6 | 20000 | SH | DEFINED | 01 | 15 |
| BUNGE LIMITED COM ISIN # | COM | G16962105 | 121 | 1433 | SH | DEFINED | 01 | 15 |
| CENTRAL EUROPEAN MEDIA ENT-A | COM | G20045202 | 4938 | 50600 | SH | DEFINED | 01 | 02 |
| CDC CORPORATION SHS A ISIN #KY | COM | G2022L106 | 28 | 3344 | SH | DEFINED | 01 | 15 |
| CHIPMOS TECHNOLOGIESBERMUDA LT | COM | G2110R106 | 32 | 4500 | SH | DEFINED | 01 | 15 |
| CONSOLIDATED WATER CO LTD SHS | COM | G23773107 | 88 | 3000 | SH | DEFINED | 01 | 15 |
| COOPER INDUSTRIES LTD-CL A | COM | G24182100 | 45420 | 795594 | SH | DEFINED | 01 | 02 |
| COOPER INDUSTRIES LTD-CL A | COM | G24182100 | 1729 | 30286 | SH | DEFINED | 01 | 03 |
| COOPER INDUSTRIES LTD-CL A | COM | G24182100 | 207 | 3623 | SH | DEFINED | 01 | 15 |
| EMI GROUP PLC SHS ISIN # | COM | G3035P100 | 0 | 13 | SH | DEFINED | 01 | 15 |
| ENDURANCE SPECIALTY HOLDINGS | COM | G30397106 | 82946 | 2071567 | SH | DEFINED | 01 | 02 |
| ENDEAVOUR MINING CAPITAL CO | COM | G3040R109 | 5 | 600 | SH | DEFINED | 01 | 15 |
| ENSTAR GROUP LIMITED IS | COM | G3075P101 | 8 | 63 | SH | DEFINED | 01 | 15 |
| ASA LIMITED | COM | G3156P103 | 216 | 3350 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| XCELERA.COM INC ISIN #KYG3 | COM | G31611109 | 0 | 100 | SH | DEFINED | 01 | 15 |
| EVEREST RE GROUP LTD | COM | G3223R108 | 148761 | 1369304 | SH | DEFINED | 01 | 02 |
| EVEREST RE GROUP LTD | COM | G3223R108 | 1222 | 11251 | SH | DEFINED | 01 | 03 |
| EVEREST RE GROUP LTD | COM | G3223R108 | 48670 | 448577 | SH | DEFINED | 01 | 10 |
| EVEREST RE GROUP LTD | COM | G3223R108 | 113 | 1042 | SH | DEFINED | 01 | 15 |
| FLAG TELECOM HLDGS LTD (BERMU | COM | G3529L102 | 0 | 75 | SH | DEFINED | 01 | 15 |
| FLAGSTONE REINSURANCE HOLDIN | COM | G3529T105 | 2208 | 165900 | SH | DEFINED | 01 | 10 |
| FOSTER WHEELER LTD SER B ON S | COM | G36535113 | 1 | 100 | SH | DEFINED | 01 | 15 |
| FOSTER WHEELER LTD | COM | G36535139 | 20239 | 189167 | SH | DEFINED | 01 | 02 |
| FOSTER WHEELER LTD | COM | G36535139 | 195 | 1818 | SH | DEFINED | 01 | 03 |
| FOSTER WHEELER LTD | COM | G36535139 | 56643 | 530315 | SH | DEFINED | 01 | 10 |
| FOSTER WHEELER LTD | COM | G36535139 | 60 | 559 | SH | DEFINED | 01 | 15 |
| FRONTLINE LTD | COM | G3682E127 | 150 | 3260 | SH | DEFINED | 01 | 02 |
| FRONTLINE LTD | COM | G3682E127 | 222 | 4837 | SH | DEFINED | 01 | 15 |
| FRUIT OF THE LOOM LTS SHS A | COM | G3682L105 | 0 | 18100 | SH | DEFINED | 01 | 15 |
| GARMIN LTD | COM | G37260109 | 55 | 738 | SH | DEFINED | 01 | 03 |
| GARMIN LTD | COM | G37260109 | 807 | 10908 | SH | DEFINED | 01 | 15 |
| GLOBAL CROSSING LTD SHS ISIN B | COM | G3921A100 | 0 | 32678 | SH | DEFINED | 01 | 15 |
| GLOBAL CROSSING LTD | COM | G3921A175 | 1490 | 78914 | SH | DEFINED | 01 | 02 |
| GLOBAL SOURCES LIMITED | COM | G39300101 | 1778 | 78340 | SH | DEFINED | 01 | 02 |
| GLOBALSANTAFE CORP | COM | G3930E101 | 239607 | 3316374 | SH | DEFINED | 01 | 02 |
| GLOBALSANTAFE CORP | COM | G3930E101 | 374 | 5182 | SH | DEFINED | 01 | 03 |
| GLOBALSANTAFE CORP | COM | G3930E101 | 11541 | 160000 | SH | DEFINED | 01 | 10 |
| GLOBALSANTAFE CORP | COM | G3930E101 | 426 | 5896 | SH | DEFINED | 01 | 15 |
| GLOBALSTAR TELECOM LTD SHS IS | COM | G3930H104 | 0 | 3775 | SH | DEFINED | 01 | 15 |
| GOLDEN OCEAN GRP LTDSHS ISIN # | COM | G4032A104 | 4 | 1300 | SH | DEFINED | 01 | 15 |
| HELEN OF TROY LTD | COM | G4388N106 | 8176 | 302809 | SH | DEFINED | 01 | 02 |
| HERBALIFE LTD | COM | G4412G101 | 2913 | 73461 | SH | DEFINED | 01 | 02 |
| HSBC HOLDINGS PLC ISIN #GB00 | COM | G4634U169 | 606 | 33032 | SH | DEFINED | 01 | 15 |
| INGERSOLL-RAND CO LTD-CL A | COM | G4776G101 | 267266 | 4875296 | SH | DEFINED | 01 | 02 |
| INGERSOLL-RAND CO LTD-CL A | COM | G4776G101 | 3081 | 56200 | SH | DEFINED | 01 | 03 |
| INGERSOLL-RAND CO LTD-CL A | COM | G4776G101 | 874 | 15941 | SH | DEFINED | 01 | 15 |
| IPC HOLDINGS LTD ISIN #BMG4 | COM | G4933P101 | 2 | 53 | SH | DEFINED | 01 | 15 |
| IRIDIUM WORLD COMM ISIN #BMG4 | COM | G49398103 | 0 | 100 | SH | DEFINED | 01 | 15 |
| KNIGHTSBRIDGE TANKERS LTD | COM | G5299G106 | 1244 | 40770 | SH | DEFINED | 01 | 02 |
| KNIGHTSBRIDGE TANKERS LTD | COM | G5299G106 | 51 | 1659 | SH | DEFINED | 01 | 15 |
| LAZARD LTD-CL A | COM | G54050102 | 1199 | 26630 | SH | DEFINED | 01 | 02 |
| LAZARD LTD-CL A | COM | G54050102 | 3477 | 77444 | SH | DEFINED | 01 | 10 |
| LJ INTERNATIONAL INC | COM | G55312105 | 22 | 2000 | SH | DEFINED | 01 | 15 |
| LORAL SPACE & COMMUNCATI | COM | G56462198 | 0 | 855 | SH | DEFINED | 01 | 15 |
| MARVELL TECHNOLOGY GROUP LTD | COM | G5876H105 | 14657 | 804879 | SH | DEFINED | 01 | 02 |
| MARVELL TECHNOLOGY GROUP LTD | COM | G5876H105 | 344 | 18900 | SH | DEFINED | 01 | 03 |
| MARVELL TECHNOLOGY GROUP LTD | COM | G5876H105 | 207 | 11388 | SH | DEFINED | 01 | 15 |
| MAX CAPITAL GRP LTD | COM | G6052F103 | 4277 | 151160 | SH | DEFINED | 01 | 02 |
| MAX CAPITAL GRP LTD | COM | G6052F103 | 1264 | 44690 | SH | DEFINED | 01 | 02 |
| MAX CAPITAL GRP LTD | COM | G6052F103 | 444 | 15700 | SH | DEFINED | 01 | 03 |
| MAX CAPITAL GRP LTD | COM | G6052F103 | 189 | 6683 | SH | DEFINED | 01 | 15 |
| MONTPELIER RE HOLDINGS LTD | COM | G62185106 | 62984 | 3397179 | SH | DEFINED | 01 | 02 |
| MONTPELIER RE HOLDINGS LTD | COM | G62185106 | 764 | 41187 | SH | DEFINED | 01 | 15 |
| NABORS INDUSTRIES IN | COM | G6359F103 | 12256 | 367130 | SH | DEFINED | 01 | 02 |
| NABORS INDUSTRIES IN | COM | G6359F103 | 1555 | 46584 | SH | DEFINED | 01 | 03 |
| NABORS INDUSTRIES IN | COM | G6359F103 | 967 | 28982 | SH | DEFINED | 01 | 15 |
| NOBLE CORP | COM | G65422100 | 6932 | 71086 | SH | DEFINED | 01 | 02 |
| NOBLE CORP | COM | G65422100 | 2163 | 22180 | SH | DEFINED | 01 | 03 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| NOBLE CORP | COM | G65422100 | 246 | 2527 | SH | DEFINED | 01 | 15 |
| NOBLE GROUP LTD SHS ISIN #BMG6 | COM | G6542T119 | 39 | 35000 | SH | DEFINED | 01 | 15 |
| NORDIC AMERICAN TANKER SHI | COM | G65773106 | 210 | 5136 | SH | DEFINED | 01 | 15 |
| OPENTV CORP CL A ISIN | COM | G67543101 | 29 | 13640 | SH | DEFINED | 01 | 15 |
| ONEBEACON INSURANCE GROUP LTD | COM | G67742109 | 2 | 70 | SH | DEFINED | 01 | 15 |
| ORIENT EXPRESS HOTELS LTD -A | COM | G67743107 | 1244 | 23300 | SH | DEFINED | 01 | 02 |
| ORIENT EXPRESS HOTELS LTD -A | COM | G67743107 | 54864 | 1027399 | SH | DEFINED | 01 | 10 |
| OVERSEAS PARTNERS LTD BERMUD | COM | G68194102 | 0 | 168 | SH | DEFINED | 01 | 15 |
| PARTNERRE LTD | COM | G6852T105 | 100641 | 1298586 | SH | DEFINED | 01 | 02 |
| PARTNERRE LTD | COM | G6852T105 | 6141 | 79238 | SH | DEFINED | 01 | 02 |
| PARTNERRE LTD | COM | G6852T105 | 11315 | 146192 | SH | DEFINED | 01 | 10 |
| PARTNERRE LTD | COM | G6852T105 | 792 | 10222 | SH | DEFINED | 01 | 15 |
| PARTNERRE LTD 6.75% CUM | COM | G6852T204 | 135 | 5490 | SH | DEFINED | 01 | 15 |
| PARTNERRE LTD PFD SER D | COM | G68603409 | 10 | 400 | SH | DEFINED | 01 | 15 |
| PLATINUM UNDERWRITERS HLDGS | COM | G7127P100 | 5519 | 158880 | SH | DEFINED | 01 | 02 |
| PLATINUM UNDERWRITERS HLDGS | COM | G7127P100 | 1413 | 40670 | SH | DEFINED | 01 | 02 |
| PLATINUM UNDERWRITERS HLDGS | COM | G7127P100 | 500 | 14400 | SH | DEFINED | 01 | 03 |
| PLATINUM UNDERWRITERS HLDGS | COM | G7127P100 | 9 | 255 | SH | DEFINED | 01 | 15 |
| PRUDENTIAL PLC PERPETUAL | COM | G7293H114 | 8 | 300 | SH | DEFINED | 01 | 15 |
| QIAO XING UNIVERSAL TELEPHONE | COM | G7303A109 | 26 | 2100 | SH | DEFINED | 01 | 15 |
| QUANTA CAPITAL HOLDINGS LTD | COM | G7313F106 | 4816 | 2006900 | SH | DEFINED | 01 | 02 |
| SCOTTISH RE GROUP LIMITED S | COM | G73537410 | 5 | 1000 | SH | DEFINED | 01 | 15 |
| RENAISSANCE RE HLDGS | COM | G7496G103 | 71379 | 1151462 | SH | DEFINED | 01 | 02 |
| RENAISSANCE RE HLDGS | COM | G7496G103 | 122 | 1961 | SH | DEFINED | 01 | 03 |
| RENAISSANCE RE HLDGS | COM | G7496G103 | 21461 | 346713 | SH | DEFINED | 01 | 10 |
| REPSOL INTL CAPITAL LTD 7.45% | COM | G7513K103 | 18 | 720 | SH | DEFINED | 01 | 15 |
| ROYAL BANK OF SCOTLAND G | COM | G76891111 | 7 | 585 | SH | DEFINED | 01 | 15 |
| SCOTTISH POWER PLC SHS ISIN # | COM | G7932E100 | 0 | 30 | SH | DEFINED | 01 | 15 |
| SEAGATE TECHNOLOGY HLDS | COM | G7945J104 | 1345 | 61811 | SH | DEFINED | 01 | 02 |
| SEAGATE TECHNOLOGY HLDS | COM | G7945J104 | 300 | 13788 | SH | DEFINED | 01 | 15 |
| SECURITY CAPITAL ASSURANCE | COM | G8018D107 | 1568 | 50810 | SH | DEFINED | 01 | 10 |
| SEVEN SEAS PETROLEUM INC ORD S | COM | G80550109 | 0 | 1000 | SH | DEFINED | 01 | 15 |
| SHIP FINANCE INTL LTD | COM | G81075106 | 11232 | 378431 | SH | DEFINED | 01 | 02 |
| SHIP FINANCE INTL LTD | COM | G81075106 | 268 | 9040 | SH | DEFINED | 01 | 15 |
| SINA CORP | COM | G81477104 | 2266 | 54140 | SH | DEFINED | 01 | 02 |
| SMART MODULAR TECHNOLOGIES | COM | G82245104 | 1459 | 106027 | SH | DEFINED | 01 | 02 |
| W.P. STEWART & CO | COM | G84922106 | 20 | 1800 | SH | DEFINED | 01 | 15 |
| TBS INTL LTD CL A ISIN #BMG8 | COM | G86975151 | 28 | 1000 | SH | DEFINED | 01 | 15 |
| UTI WORLDWIDE INC | COM | G87210103 | 8821 | 329260 | SH | DEFINED | 01 | 02 |
| UTI WORLDWIDE INC | COM | G87210103 | 207 | 7727 | SH | DEFINED | 01 | 03 |
| UTI WORLDWIDE INC | COM | G87210103 | 57459 | 2146452 | SH | DEFINED | 01 | 10 |
| UTI WORLDWIDE INC | COM | G87210103 | 43 | 1600 | SH | DEFINED | 01 | 15 |
| TRANSOCEAN INC | COM | G90078109 | 48355 | 456264 | SH | DEFINED | 01 | 02 |
| TRANSOCEAN INC | COM | G90078109 | 5765 | 54400 | SH | DEFINED | 01 | 03 |
| TRANSOCEAN INC | COM | G90078109 | 1438 | 13568 | SH | DEFINED | 01 | 15 |
| TSAKOS ENERGY NAVIGATION LTD | COM | G9108L108 | 4964 | 71000 | SH | DEFINED | 01 | 02 |
| TSAKOS ENERGY NAVIGATION LTD | COM | G9108L108 | 240 | 3437 | SH | DEFINED | 01 | 15 |
| VISTAPRINT LTD | COM | G93762204 | 25491 | 666439 | SH | DEFINED | 01 | 02 |
| VISTAPRINT LTD | COM | G93762204 | 1142 | 29844 | SH | DEFINED | 01 | 03 |
| VISTAPRINT LTD | COM | G93762204 | 23483 | 613923 | SH | DEFINED | 01 | 10 |
| VISTAPRINT LTD | COM | G93762204 | 4 | 100 | SH | DEFINED | 01 | 15 |
| GOLAR LNG LTD | COM | G9456A100 | 765 | 45903 | SH | DEFINED | 01 | 02 |
| WEATHERFORD INTL LTD | COM | G95089101 | 71215 | 1289139 | SH | DEFINED | 01 | 02 |
| WEATHERFORD INTL LTD | COM | G95089101 | 3658 | 66222 | SH | DEFINED | 01 | 03 |
| WEATHERFORD INTL LTD | COM | G95089101 | 2517 | 45567 | SH | DEFINED | 01 | 15 |
| WHITE MOUNTAINS INSURANCE | COM | G9618E107 | 62 | 103 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|--------|---------|----|---------|----|----|
| WILLIS GROUP HOLDINGS LTD | COM | G96655108 | 23382 | 530677 | SH | DEFINED | 01 | 02 |
| WILLIS GROUP HOLDINGS LTD | COM | G96655108 | 79 | 1783 | SH | DEFINED | 01 | 15 |
| XL CAPITAL LTD CL A | COM | G98255105 | 460012 | 5457497 | SH | DEFINED | 01 | 02 |
| XL CAPITAL LTD CL A | COM | G98255105 | 4197 | 49786 | SH | DEFINED | 01 | 03 |
| XL CAPITAL LTD CL A | COM | G98255105 | 212 | 2513 | SH | DEFINED | 01 | 15 |
| XL CAPITAL LTD PREF ORD SHS SE | COM | G98255303 | 10 | 400 | SH | DEFINED | 01 | 15 |
| XOMA LTD ISIN #BMG9 | COM | G9825R107 | 35 | 11354 | SH | DEFINED | 01 | 15 |
| XYRATEX LTD | COM | G98268108 | 68 | 3070 | SH | DEFINED | 01 | 02 |
| ALCON INC COM SHS IS | COM | H01301102 | 609 | 4516 | SH | DEFINED | 01 | 15 |
| ISOTIS SA REG SHS ISIN CH001 | COM | H4318D102 | 0 | 237 | SH | DEFINED | 01 | 15 |
| LOGITECH INTERNATIONAL-REG | COM | H50430232 | 17 | 660 | SH | DEFINED | 01 | 03 |
| LOGITECH INTERNATIONAL-REG | COM | H50430232 | 115 | 4370 | SH | DEFINED | 01 | 15 |
| UBS AG-REGISTERED | COM | H89231338 | 2709 | 45150 | SH | DEFINED | 01 | 02 |
| UBS AG-REGISTERED | COM | H89231338 | 351 | 5844 | SH | DEFINED | 01 | 15 |
| SUMITOMO CORP JPY50 ISIN | COM | J77282119 | 273 | 15000 | SH | DEFINED | 01 | 15 |
| NOVOZYMES A/S SHS B ISIN | COM | K7317J117 | 3 | 25 | SH | DEFINED | 01 | 15 |
| VESTAS WIND SYSTEMS AS SHS ISI | COM | K9773J128 | 3 | 50 | SH | DEFINED | 01 | 15 |
| MILLICOM INTL CELL | COM | L6388F110 | 39682 | 433031 | SH | DEFINED | 01 | 02 |
| MILLICOM INTL CELL | COM | L6388F110 | 33 | 360 | SH | DEFINED | 01 | 03 |
| MILLICOM INTL CELL | COM | L6388F110 | 340 | 3715 | SH | DEFINED | 01 | 15 |
| ALVARION LTD | COM | M0861T100 | 161 | 17300 | SH | DEFINED | 01 | 15 |
| CHECKPOINT SOFTWARE TECH | COM | M22465104 | 19 | 819 | SH | DEFINED | 01 | 03 |
| CHECKPOINT SOFTWARE TECH | COM | M22465104 | 54 | 2385 | SH | DEFINED | 01 | 15 |
| ECTEL LTD SHS ISIN # | COM | M29925100 | 0 | 35 | SH | DEFINED | 01 | 15 |
| ELBIT SYSTEMS LTD ISIN #IL00 | COM | M3760D101 | 35 | 827 | SH | DEFINED | 01 | 15 |
| HEALTH TECHNOLOGIES LTD ISIN # | COM | M5296L135 | 0 | 100 | SH | DEFINED | 01 | 15 |
| INTERNET GOLD GOLDEN LINES LT | COM | M56595107 | 3 | 245 | SH | DEFINED | 01 | 15 |
| LANGUAGEWARE NET LTD | COM | M67029104 | 0 | 2700 | SH | DEFINED | 01 | 15 |
| ORBOTECH LTD | COM | M75253100 | 2 | 100 | SH | DEFINED | 01 | 15 |
| RADWARE LTD | COM | M81873107 | 13 | 900 | SH | DEFINED | 01 | 15 |
| SAIFUN SEMICONDUCTORLTD SHS SE | COM | M8233P102 | 4 | 300 | SH | DEFINED | 01 | 15 |
| SYNERON MEDICAL LTD ORD SHS | COM | M87245102 | 430 | 17236 | SH | DEFINED | 01 | 15 |
| TARO PHARMACEUTICALSINDS LTD O | COM | M8737E108 | 14 | 2100 | SH | DEFINED | 01 | 15 |
| AEGON NV PERPETUAL | COM | N00927306 | 151 | 6000 | SH | DEFINED | 01 | 15 |
| AERCAP HOLDINGS NV | COM | N00985106 | 1165 | 36400 | SH | DEFINED | 01 | 02 |
| AERCAP HOLDINGS NV | COM | N00985106 | 33 | 1041 | SH | DEFINED | 01 | 15 |
| ASML HOLDING N V NEW YORK S | COM | N07059111 | 16 | 567 | SH | DEFINED | 01 | 15 |
| CNH GLOBAL N.V. | COM | N20935206 | 4961 | 97100 | SH | DEFINED | 01 | 02 |
| CORE LABORATORIES N.V. | COM | N22717107 | 5093 | 50083 | SH | DEFINED | 01 | 02 |
| CORE LABORATORIES N.V. | COM | N22717107 | 84 | 830 | SH | DEFINED | 01 | 15 |
| HEINEKEN HOLDING NV SHS ISIN # | COM | N39338194 | 10 | 200 | SH | DEFINED | 01 | 15 |
| MADGE NETWORKS N V SHS ISIN # | COM | N5424G106 | 0 | 475 | SH | DEFINED | 01 | 15 |
| ORTHOFIX INTL N V SEDOL #264 | COM | N6748L102 | 223 | 4954 | SH | DEFINED | 01 | 15 |
| BANCO LATINO-AMERICANO | COM | P16994132 | 9 | 500 | SH | DEFINED | 01 | 15 |
| GRUPO SITUR SA DE CV SITUR S | COM | P4985F175 | 0 | 1520 | SH | DEFINED | 01 | 15 |
| STEINER LEISURE LTD | COM | P8744Y102 | 2204 | 44862 | SH | DEFINED | 01 | 10 |
| STEINER LEISURE LTD | COM | P8744Y102 | 234 | 4767 | SH | DEFINED | 01 | 15 |
| ULTRAPETROL BAHAMAS LTD | COM | P94398107 | 12782 | 539309 | SH | DEFINED | 01 | 02 |
| ULTRAPETROL BAHAMAS LTD | COM | P94398107 | 30770 | 1298337 | SH | DEFINED | 01 | 10 |
| WAL MART DE MEXICO SA DE CV S | COM | P98180105 | 115 | 30155 | SH | DEFINED | 01 | 15 |
| ADELAIDE BANK LTD SHS ISIN # | COM | Q01043100 | 20 | 1540 | SH | DEFINED | 01 | 15 |
| ANSELL LIMITED SHS (AUSTR | COM | Q04020105 | 0 | 6 | SH | DEFINED | 01 | 15 |
| BIOMD LTD SHS ISIN #AU00 | COM | Q1503S100 | 0 | 187 | SH | DEFINED | 01 | 15 |
| DYNO NOBEL LTD SHS ISIN #AU00 | COM | Q3311A107 | 2 | 1000 | SH | DEFINED | 01 | 15 |
| NEWCREST MINING LTD SHS ISIN # | COM | Q6651B114 | 2 | 100 | SH | DEFINED | 01 | 15 |
| NIDO PETROLEUM LTD ISIN #AU00 | COM | Q6811D109 | 4 | 15000 | SH | DEFINED | 01 | 15 |

Edgar Filing: AMERIPRISE FINANCIAL INC - Form 13F-HR

| | | | | | | | | |
|--------------------------------|-----|-----------|-------|---------|----|---------|---------|-------|
| ROC OIL COMPANY LTD SHS ISIN # | COM | Q81572101 | 36 | 13071 | SH | DEFINED | 01 | 15 |
| SANTOS LIMITED AUD0.25 IS | COM | Q82869118 | 5 | 440 | SH | DEFINED | 01 | 15 |
| 100LAND STAPLED SECU | COM | Q8773B105 | 22 | 3225 | SH | DEFINED | 01 | 15 |
| TOLL HOLDINGS LTD SHS ISIN # | COM | Q9104H100 | 2 | 200 | SH | DEFINED | 01 | 15 |
| VECTOR LTD SHS ISIN #NZVC | COM | Q9389B109 | 9 | 4300 | SH | DEFINED | 01 | 15 |
| WESFARMERS NPV ISIN #AU00 | COM | Q95870103 | 20 | 515 | SH | DEFINED | 01 | 15 |
| WEST AUSTRALIAN NEWSPAPERS | COM | Q9594W120 | 29 | 2460 | SH | DEFINED | 01 | 15 |
| ANGLOGOLD ASHANTI LTD REG SH | COM | S04255196 | 6 | 160 | SH | DEFINED | 01 | 15 |
| TJX JULY07 - 25C | OPT | TJXGECO07 | 32 | 125 | SH | CALL | DEFINED | 01 02 |
| ROYAL CARIBBEAN | COM | V7780T103 | 97301 | 2263871 | SH | DEFINED | 01 | 02 |
| ROYAL CARIBBEAN | COM | V7780T103 | 25 | 582 | SH | DEFINED | 01 | 15 |
| QCOM JAN08 50 C | OPT | WLUAJCO08 | 500 | 2500 | SH | CALL | DEFINED | 01 02 |
| COCA COLA HELLENIC BOTTLING C | COM | X1435J105 | 19 | 421 | SH | DEFINED | 01 | 15 |
| AEGEAN MARINE PETROLEUM | COM | Y0017S102 | 2 | 100 | SH | DEFINED | 01 | 15 |
| BOC HONG KONG HOLDINGS L | COM | Y0920U103 | 16 | 6545 | SH | DEFINED | 01 | 15 |
| CLP HOLDINGS LTD ISIN #HK00 | COM | Y1660Q104 | 2 | 277 | SH | DEFINED | 01 | 15 |
| DANAOS CORPORATION SHS ISIN # | COM | Y1968P105 | 16 | 500 | SH | DEFINED | 01 | 15 |
| DIANA SHIPPING INC ISIN #MHY2 | COM | Y2066G104 | 263 | 11739 | SH | DEFINED | 01 | 15 |
| DRYSHIPS INC | COM | Y2109Q101 | 2828 | 65200 | SH | DEFINED | 01 | 02 |
| DRYSHIPS INC | COM | Y2109Q101 | 15 | 335 | SH | DEFINED | 01 | 15 |
| DOUBLE HULL TANKERS INC | COM | Y21110104 | 887 | 56810 | SH | DEFINED | 01 | 02 |
| DOUBLE HULL TANKERS INC | COM | Y21110104 | 604 | 38710 | SH | DEFINED | 01 | 02 |
| DOUBLE HULL TANKERS INC | COM | Y21110104 | 215 | 13800 | SH | DEFINED | 01 | 03 |
| DOUBLE HULL TANKERS INC | COM | Y21110104 | 254 | 16270 | SH | DEFINED | 01 | 15 |
| EAGLE BULK SHIPPING INC ISIN # | COM | Y2187A101 | 318 | 14202 | SH | DEFINED | 01 | 15 |
| FLEXTRONICS INTL LTD | COM | Y2573F102 | 9423 | 872500 | SH | DEFINED | 01 | 02 |
| FLEXTRONICS INTL LTD | COM | Y2573F102 | 26 | 2439 | SH | DEFINED | 01 | 03 |
| FLEXTRONICS INTL LTD | COM | Y2573F102 | 333 | 30821 | SH | DEFINED | 01 | 15 |
| GENCO SHIPPING & TRADING LTD | COM | Y2685T107 | 908 | 22030 | SH | DEFINED | 01 | 02 |
| GENCO SHIPPING & TRADING LTD | COM | Y2685T107 | 620 | 15050 | SH | DEFINED | 01 | 02 |
| GENCO SHIPPING & TRADING LTD | COM | Y2685T107 | 219 | 5300 | SH | DEFINED | 01 | 03 |
| GENCO SHIPPING & TRADING LTD | COM | Y2685T107 | 40 | 960 | SH | DEFINED | 01 | 15 |
| GENERAL MARITIME CORP SHS I | COM | Y2692M103 | 150 | 5604 | SH | DEFINED | 01 | 15 |
| GIGAMEDIA LTD | COM | Y2711Y104 | 28 | 2050 | SH | DEFINED | 01 | 15 |
| NAVIOS MARITIME HOLDINGS I | COM | Y62196103 | 150 | 12393 | SH | DEFINED | 01 | 15 |
| PCCW LTD NEW ISIN #HK00 | COM | Y6802P120 | 1 | 1377 | SH | DEFINED | 01 | 15 |
| SAMSUNG ELECTRONICS CO LTD SHS | COM | Y74718100 | 3 | 5 | SH | DEFINED | 01 | 15 |
| SEASPAN CORP SHS ISIN #MHY7 | COM | Y75638109 | 45 | 1400 | SH | DEFINED | 01 | 15 |
| TEEKAY CORP ISIN #MHY8 | COM | Y8564W103 | 18 | 304 | SH | DEFINED | 01 | 15 |
| TOP TANKERS INC | COM | Y8897Y107 | 4 | 500 | SH | DEFINED | 01 | 15 |
| VERIGY LTD | COM | Y93691106 | 1519 | 53101 | SH | DEFINED | 01 | 02 |
| VERIGY LTD | COM | Y93691106 | 12 | 413 | SH | DEFINED | 01 | 15 |
| XMSR JAN08 15P - OTC | OPT | YLMCCO08 | 22968 | 62078 | SH | PUT | DEFINED | 01 02 |
| XMSR JAN08 15P | OPT | YLMCOO08 | 333 | 900 | SH | PUT | DEFINED | 01 02 |
| NTLI JAN08 27.5C | OPT | YWAYCO108 | 3568 | 24607 | SH | CALL | DEFINED | 01 02 |
| ASCIANO GROUP NPV STAPLED IS | COM | ZZZ9958D2 | 2 | 200 | SH | DEFINED | 01 | 15 |
| CABLE & WIRELESS JAMAICA LT | COM | ZZZ99AB05 | 4 | 301975 | SH | DEFINED | 01 | 15 |