NEW YORK MORTGAGE TRUST INC Form 8-K

November 10, 2005

## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 FORM 8-K

**CURRENT REPORT** Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 9, 2005 NEW YORK MORTGAGE TRUST, INC.

(Exact name of registrant as specified in its charter)

Maryland 001-32216 47-0934168

(State or other jurisdiction of *incorporation*)

(Commission File Number)

(IRS Employer Identification No.)

1301 Avenue of the Americas New York, New York 10019

(Address and zip code of principal executive offices)

Registrant s telephone number, including area code: (212) 634-9400

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written
  - communications
  - pursuant to
  - Rule 425 under
  - the Securities
  - Act (17 CFR
  - 230.425)
- Soliciting
  - material pursuant to

  - Rule 14a-12 under the

  - Exchange Act
  - (17 CFR
  - 240.14a-12)
- Pre-commencement
  - communications
  - pursuant to
  - Rule 14d-2(b)
  - under the Exchange
  - Act (17 CFR
  - 240.14d-2(b))
- Pre-commencement
  - communications
  - pursuant to

Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 2.02. Results of Operations and Financial Condition.

This Current Report on Form 8-K and the exhibit attached hereto are being furnished by New York Mortgage Trust, Inc. (the Company) pursuant to Item 7.01 of Form 8-K in satisfaction of the public disclosure requirements of Regulation FD and Item 2.02 of Form 8-K, insofar as they disclose historical information regarding the Company s results of operations or financial condition for the three and nine months ended September 30, 2005.

On November 9, 2005, the Company issued a press release announcing its financial results for the three and nine months ended September 30, 2005. A copy of the press release is furnished herewith as Exhibit 99.1 to this report on Form 8-K and is incorporated herein by reference.

In accordance with General Instructions B.2 and B.6 of Form 8-K, the information included in this Current Report on Form 8-K (including Exhibit 99.1 hereto), shall not be deemed filed for the purposes of Section 18 of the Securities Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference into any filing made by the Company under the Exchange Act or Securities Act of 1933, as amended, except as shall be expressly set forth by specific reference in such a filing.

### Item 7.01. Regulation FD Disclosure.

The disclosure contained in Item 2.02 is incorporated herein by reference.

#### Item 9.01. Financial Statements and Exhibits.

(c) *Exhibits*. The following exhibit is being furnished herewith this Current Report on Form 8-K.

Press Release dated November 9, 2005.

[signature on following page]

### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

**NEW YORK MORTGAGE TRUST, INC.** (Registrant)

Date: November 9, 2005 By: /s/ Michael I. Wirth

Michael I. Wirth

Executive Vice President and Chief Financial Officer

## **EXHIBIT INDEX**

**Exhibit** Description

99.1 Press Release dated November 9, 2005.