

Edgar Filing: BARFORD DAVID G - Form 4

BARFORD DAVID G  
Form 4  
July 03, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

FORM 4

OMB APPROVAL  
OMB NUMBER 3235-0287

EXPIRES: DECEMBER 31, 2001  
ESTIMATED AVERAGE BURDEN  
HOURS PER RESPONSE.....0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).  
(Print or Type Responses)

1. Name and Address of Reporting Person\*

Barford

David

G.

(Last)

(First)

(Middle)

c/o Charter Communications, Inc.  
12405 Powerscourt Drive

(Street)

St. Louis

MO

63131

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Charter Communications, Inc. - CHTR

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

June 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

☐ Director

☒ Officer (give title below)

☐ 10% Owner

☐ Other (specify below)

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Executive Vice President and Chief Operating Officer

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF,  
OR BENEFICIALLY OWNED

[illegible]

(1) Total number of Class A Common Stock beneficially owned at the end of the month includes 37,500 shares restricted stock granted to the reporting person pursuant to the 2001 Stock Incentive Plan which vest in 36 equal monthly installments commencing November 1, 2002, conditioned upon the reporting person continuous employment with Charter Communications, Inc.

(2) Barford Family Trust.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Over)

\* If the Form is filed by more than one Reporting Person, see

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Instruction 4(b) (v) .

FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

=====

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Exer-<br>cisable Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares | 8.<br>Pr<br>of<br>De<br>at<br>Se<br>it<br>(I<br>5) |
|--------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
|                                                        |                                                                                                 |                                                            |                                                                    |                                                                                                                                    |                                                                                                                                |                                                                                                                              |                                                    |
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|                                                        |                                                                                                 |                                                            |                                                                    |                                                                                                                                    |                                                                                                                                |                                                                                                                              |                                                    |

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal  
Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If  
space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained  
in this form are not required to respond unless the form displays a currently  
valid OMB Number.

/s/ David G. Barford

July 3, 2002

David G. Barford

\*\*Signature of Reporting Person

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