LUCE MICHAEL D

Form 4

March 05, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * HARBERT MANAGEMENT CORP |                  |          | 2. Issuer Name and Ticker or Trading Symbol AK STEEL HOLDING CORP [AKS] | 5. Relationship of Reporting Person(s) to<br>Issuer (Check all applicable) |  |  |  |
|---|------------------|----------|---|--|--|--|--|
| (Last)  ONE RIVERO SOUTH,   | (First) HASE PAR | (Middle) | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>03/03/2008       | DirectorX 10% Owner Officer (give title below) Other (specify below)       |  |  |  |
|   | (Street)         |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                    | 6. Individual or Joint/Group Filing(Check Applicable Line)                 |  |  |  |

BIRMINGHAM, AL 35244

Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

|                                      |   |   |  |  |        |            | 1 015011   |  |   |
|--------------------------------------|---|---|--|--|--------|------------|--|--|---|
| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-I                            | Derivative S                                       | ecurit | ies Acq    | uired, Disposed o  | f, or Beneficia  | lly Owned   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securitie or(A) or Disp (Instr. 3, 4 and Amount | osed c | of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)   |
| Common<br>Stock                      | 03/03/2008                              |   | P                                      | 166,667  |        | \$<br>52.3 | 8,820,697 (1)  | I  | By<br>Harbinger<br>Capital<br>Partners<br>Master<br>Fund I,<br>Ltd. |
| Common<br>Stock                      | 03/04/2008                              |   | P                                      | 420,000  | A      | \$<br>53.3 | 9,240,697 (1)  | I  | By<br>Harbinger   |

Capital Partners

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|   |            |   |         |   |            |                      |   | Master<br>Fund I,<br>Ltd.   |
|---|------------|---|---------|---|------------|----------------------|---|---|
| Common<br>Stock   | 03/03/2008 | P | 83,333  | A | \$<br>52.3 | 4,410,348 <u>(2)</u> | I | By<br>Harbinger<br>Capital<br>Partners<br>Special<br>Situations<br>Fund, L.P. |
| Common<br>Stock   | 03/04/2008 | P | 210,000 | A | \$<br>53.3 | 4,620,348 <u>(2)</u> | I | By<br>Harbinger<br>Capital<br>Partners<br>Special<br>Situations<br>Fund, L.P. |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |            |   |         |   |            |                      |   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| <ol> <li>Title of</li> </ol> | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | isable and | 7. Titl | le and   | 8. Price of | 1 |
|------------------------------|-------------|---------------------|--------------------|-------------|------------|---------------|------------|---------|----------|-------------|---|
| Derivative                   | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Da | ate        | Amou    | int of   | Derivative  |   |
| Security                     | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)      | Under   | lying    | Security    |   |
| (Instr. 3)                   | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |            | Secur   | ities    | (Instr. 5)  | į |
|                              | Derivative  |                     |                    |             | Securities |               |            | (Instr. | 3 and 4) |             |   |
|                              | Security    |                     |                    |             | Acquired   |               |            |         |          |             | 1 |
|                              | ·           |                     |                    |             | (A) or     |               |            |         |          |             | 1 |
|                              |             |                     |                    |             | Disposed   |               |            |         |          |             | , |
|                              |             |                     |                    |             | of (D)     |               |            |         |          |             |   |
|                              |             |                     |                    |             | (Instr. 3, |               |            |         |          |             |   |
|                              |             |                     |                    |             | 4, and 5)  |               |            |         |          |             |   |
|                              |             |                     |                    |             | ,          |               |            |         |          |             |   |
|                              |             |                     |                    |             |            |               |            |         | Amount   |             |   |
|                              |             |                     |                    |             |            | Date          | Expiration |         | or       |             |   |
|                              |             |                     |                    |             |            | Exercisable   | Date       | Title   | Number   |             |   |
|                              |             |                     |                    |             |            | LACICISADIC   | Duic       |         | of       |             |   |
|                              |             |                     |                    | Code V      | (A) (D)    |               |            |         | Shares   |             |   |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |
| HARBERT MANAGEMENT CORP        |               | X         |         |       |  |  |
| ONE RIVERCHASE PARKWAY SOUTH   |               |           |         |       |  |  |

Reporting Owners 2

BIRMINGHAM, AL 35244

FALCONE PHILIP 555 MADISON AVE 16TH FLOOR

X

X

NEW YORK, NY 10022

HARBERT RAYMOND J

ONE RIVERCHASE PARKWAY SOUTH X

BIRMINGHAM, AL 35244

LUCE MICHAEL D

ONE RIVERCHASE PARKWAY SOUTH

BIRMINGHAM, AL 35244

## **Signatures**

Harbert Management Corporation, By: /s/ William R. Lucas, Jr. 03/05/2008

\*\*Signature of Reporting Person Date

/s/ Philip Falcone 03/05/2008

\*\*Signature of Reporting Person Date

/s/ Raymond J. Harbert 03/05/2008

\*\*Signature of Reporting Person Date

/s/ Michael D. Luce 03/05/2008

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are owned by Harbinger Capital Partners Master Fund I, Ltd. (the "Master Fund"). These securities may be deemed to be beneficially owned by Harbert Management Corporation ("HMC"), Philip Falcone, Raymond J. Harbert and Michael D. Luce. HMC serves as managing member of the managing member of the investment manager of the Master Fund. Philip Falcone is the portfolio

- (1) manager of the Master Fund and a shareholder of HMC. Raymond J. Harbert and Michael D. Luce are shareholders of HMC. Each such Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
  - These securities are owned by Harbinger Capital Partners Special Situations Fund, L.P. (the "Special Situations Fund"). These securities may be deemed to be beneficially owned by HMC, Philip Falcone, Raymond J. Harbert and Michael Luce. HMC wholly owns the managing member of the Special Situations Fund's general partner. Philip Falcone is the portfolio manager of the Special Situations Fund
- (2) and is a shareholder of HMC. Raymond J. Harbert and Michael D. Luce are shareholders of HMC. Each such Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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