Hall Kathleen Form 4 August 15, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person <u>*</u> Hall Kathleen

(First)

C/O FARO TECHNOLOGIES,

2. Issuer Name **and** Ticker or Trading Symbol

FARO TECHNOLOGIES INC

[FARO]
3. Date of Earliest Transaction

(Month/Day/Year) 08/13/2018

INC., 250 TECHNOLOGY PARK
(Street) 4. If Amendment, Date

(Middle)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

____ Director _____ 10% Owner _____ Officer (give title _____ Other (specify below)

Chief Operating Officer

4. If Amendment, Date Original6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year)Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

LAKE MARY, FL 32746

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/13/2018		M	5,921	A	\$ 38.57	15,030	D	
Common Stock	08/13/2018		S	5,921	D	\$ 67.5356 (1)	9,109	D	
Common Stock	08/14/2018		M	19,948	A	\$ 38.57	29,057	D	
Common Stock	08/14/2018		S	19,948	D	\$ 67.5051	9,109	D	

Edgar Filing: Hall Kathleen - Form 4

Common Stock	08/15/2018	M	383	A	\$ 38.57	9,492	D
Common Stock	08/15/2018	S	383	D	\$ 66.4	9,109	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 38.57	08/13/2018		M	5,921	(3)	07/15/2020	Common Stock	5,921
Employee Stock Option (right to buy)	\$ 38.57	08/14/2018		M	19,948	(3)	07/15/2020	Common Stock	19,948
Employee Stock Option (right to buy)	\$ 38.57	08/15/2018		M	383	(3)	07/15/2020	Common Stock	383

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Hall Kathleen Chief Operating Officer

C/O FARO TECHNOLOGIES, INC.

2 Reporting Owners

250 TECHNOLOGY PARK LAKE MARY, FL 32746

Signatures

/s/ Jody S. Gale, Attorney-in-Fact for Kathleen J. Hall (power of attorney previously filed)

08/15/2018

**Signature of Reporting Person

Issuer, full information regarding the number of shares sold at each price within the range.

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the sale of 5,921 shares in multiple transactions ranging in price from \$67.50 to \$67.80 per share, resulting in a weighted average sale price of \$67.5356. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- Represents the sale of 19,948 shares in multiple transactions ranging in price from \$67.50 to \$67.55 per share, resulting in a weighted average sale price of \$67.5051. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the
- (3) The options vested in three equal installments on 7/15/14, 7/15/15 and 7/15/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3