

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-A

November 13, 2012

PAGE 1  
000 A000000 08/31/2012  
000 C000000 0000859796  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 JAPAN SMALLER CAPITALIZATION FUND INC.  
001 B000000 811-008002  
001 C000000 2126671858  
002 A000000 TWO WORLD FINANCIAL CENTER, BUILDING B  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10281  
002 D020000 1712  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
007 C011100 11  
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007 C012100 21  
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007 C012400 24  
007 C012500 25  
007 C012600 26  
007 C012700 27  
007 C012800 28  
PAGE 2  
007 C012900 29  
007 C013000 30  
008 A000001 NOMURA ASSET MANAGEMENT USA INC.  
008 B000001 A  
008 C000001 801-12129  
008 D010001 NEW YORK

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008 D020001 NY  
008 D030001 10281  
008 D040001 1712  
008 A000002 NOMURA ASSET MANAGEMENT CO., LTD.  
008 B000002 S  
008 C000002 801-24357  
008 D010002 TOKYO  
008 D050002 JAPAN  
008 D060002 103-8260  
008 A000004 NOMURA ASSET MANAGEMENT HONG KONG LIMITED  
008 B000004 S  
008 C000004 801-57909  
008 D010004 HONG KONG  
008 D050004 HONG KONG  
010 A000001 NOMURA ASSET MANAGEMENT USA INC  
010 B000001 801-12129  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10281  
010 C040001 1712  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A  
012 B000001 85-11340  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3078  
013 A000001 ERNST AND YOUNG, LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
014 A000001 NOMURA SECURITIES INTERNATIONAL , INC.  
014 B000001 8-15255  
014 A000002 NOMURA SECURITIES CO., LTD.  
014 B000002 8-0000  
015 A000001 BROWN BROTHERS HARRIMAN AND CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 C040001 3661  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 9  
019 C000000 NOMURAASSE  
PAGE 3  
020 A000001 MIZUHO SECURITIES  
020 C000001 25  
020 A000002 UBS SECURITIES  
020 C000002 22  
020 A000003 DAIWA SECURITIES  
020 C000003 17  
020 A000004 CITIGROUP GLOBAL MARKETS  
020 C000004 7  
020 A000005 JP MORGAN  
020 C000005 4  
020 A000006 BARCLAYS SECURITIES JAPAN LTD  
020 C000006 3  
020 A000007 CREDIT SUISSE SECURITIES  
020 C000007 2  
020 A000008 MITSUBISHI UFJ SECURITIES  
020 C000008 1

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|     |         |                         |  |
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| 048 | J020000 | 0.000  |
| 048 | K010000 | 425000 |
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049 000000 N  
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051 000000 N  
052 000000 N  
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054 B000000 Y  
054 C000000 Y  
054 D000000 N  
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054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
PAGE 6  
054 K000000 N  
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054 M000000 Y  
054 N000000 N  
054 O000000 N  
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055 B000000 N  
056 000000 Y  
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066 D000000 N  
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066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 Y  
069 000000 N  
070 A010000 Y

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|     | PAGE    | 7 |        |
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| 070 | G020000 | N |        |
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| 070 | I020000 | N |        |
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| 070 | J020000 | N |        |
| 070 | K010000 | Y |        |
| 070 | K020000 | N |        |
| 070 | L010000 | Y |        |
| 070 | L020000 | Y |        |
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|       |         |        |
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086 B020000 0  
086 C010000 0  
086 C020000 0  
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086 E010000 0  
086 E020000 0  
086 F010000 0  
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088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N

SIGNATURE ROBERT KLEINMAN  
TITLE TREASURER