Edgar Filing: AG Mortgage Investment Trust, Inc. - Form 4

AG Mortgage Investment Trust, Inc. Form 4 January 03, 2017

January 03, 2	017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSI									OMB APPROVAL			
-	Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287		
Check this if no longe	-r	x										
subject to Section 16 Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Expires: Estimated a burden hou	rs per			
Form 4 or Form 5 obligations may continue.response0.5See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5												
(Print or Type R	esponses)											
1. Name and Address of Reporting Person * BERGER ANDREW L2. Issuer Symbol			er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer					
AG M [MIT				tgage Inv	estment	Trust	, Inc.	. (Check all applicable)				
(Last) (First) (Middle) 3. Date of 1 (Month/Da				Earliest Transaction				_X_ Director 10% Owner Officer (give title Other (specify				
C/O ANGEL L.P., 245 PA FLOOR	017				below)	below)						
	(Street)		ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)					
NEW YORK, NY 10167								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securi onAcquirec Disposec (Instr. 3,	l (A) o l of (D 4 and (A))	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	01/01/2017			Code V A	Amount 437	or (D) A	Price \$ 0 (1)	(Instr. 3 and 4) 16,821	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Security (Instr. 3)	or Exercise Price of Derivative Security	(11011117243), 1044)	(Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Secu	rlying rities : 3 and 4)	Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners											
Repo	orting Owner Name / Address	R	elationship								
		Director 10%	Owner	Officer O	Other						
C/O ANG 245 PARI		DON & CO., L.F E, 26TH FLOOR	. х								
Signa	tures										
/s/ Raul H	E. Moreno, A	Attorney-in-Fact 1	for Andrew L.		01/03/20	017					

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4.

5.

6. Date Exercisable and

7. Title and

Amount of

8. Price of

Derivative

9. Nt

Deriv Secu Bene Own Follo Repo Trans (Insti

Berger

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

3. Transaction Date 3A. Deemed

Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares were issued to Mr. Berger as compensation for services provided to AG Mortgage Investment Trust, Inc. (the "Company") in (1) accordance with the Company's Equity Incentive Plan and subject to the terms and restrictions contained in the award agreement entered into by and between the Company and Mr. Berger.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

1. Title of 2.