Edgar Filing: NEON SYSTEMS INC - Form 3

NEON SYSTEMS INC Form 3 August 09, 2002

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|-----|--|---|--|---|--|--|--|--|--|
| F | INITIAI Filed pursuant Section 17(a) | U.S. SECURITIES AND WASHINGTON, STATEMENT OF BENEFI to Section 16(a) of of the Public Utili ion 30(f) of the Inve | D.C. 20549 CIAL OWNERSHIP OF SE the Securities Excha ty Holding Company A | CURITIES nge Act of 1934, ct of 1935 or | | | | | |
| 1. | Name and Address of Reporting Person(1) Madha Abraham | | | | | | | | |
| | (Last) | (Middle) | | | | | | | |
| | Sugar Land | 77478 | | | | | | | |
| | (City) | (St | ate) | (Zip) | | | | | |
| 2. | Date of Event B | Requiring Statement (| Month/Day/Year) | 7/2/2002 | | | | | |
| 3. | IRS Identification Number of Reporting Person if an Entity (Voluntary) | | | | | | | | |
| 4. | Issuer Name and Ticker or Trading Symbol NEON Systems, Inc. (NEON) | | | | | | | | |
| 5. | Director | Reporting Person(s) _X_ Officer (give title bel ce President, Product | 10% Owner ow) | | | | | | |
| 6. | If Amendment, Date of Original (Month/Day/Year) | | | | | | | | |
| 7. | Individual or Joint Group Filing (Check Applicable Line) _X Form Filed by One Reporting Person Form filed by More than One Reporting Person TABLE INON-DERIVATIVE SECURITIES BENEFICIALLY OWNED | | | | | | | | |
| 1. | | 2. Amount of Securities Bene- ficially Owned (Instr. 4) | Direct (D) or Indirect (I) | Indirect Bene- ficial Ownership | | | | | |
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| (1) |) If the form if filed by more than one Reporting Person, see Instruction 5(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Responses) (Over) | | | | | |
|-----|---|---|-----------------|--------------|----------------------------------|--|
| | TABLE IIDERIV | | _ | | s) | |
| 1. | Title of Derivative Security (Instr. 4) | cisable and Expiration Date (Month/Day/ Year) | | | | or Exercise Price of Derivativ |
| | | Date Exer- cisable | Expira- tion | Title | Amount or Number of Shares | Security |
| | Stock Options (Right to Buy) (1) | (1) | 1/1/2012 | Common Stock | 134,000 | \$8.21 |
| | | | | | | |
| | lanation of Responses: | | | | | |

(1) Granted under the Issuer's 1999 Long Term Incentive Plan and vesting in (1/4) of total shares on first anniversary date, and (1/16) of total shares vesting each quarter for (12) additional quarters.

> 08/08/2002 /s/ Abraham Madha _______ **Signature of Reporting Person Date

(1) Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.