

Edgar Filing: NEON SYSTEMS INC - Form 4

NEON SYSTEMS INC

Form 4

February 08, 2002

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| FORM 4 |
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[ ] Check this box if
    no longer subject
    to Section 16.
    Form 4 or Form 5
    obligations may
    continue. See
    Instruction 1(b).
    STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
    Filed pursuant to Section 16(a) of the Securities
    Exchange Act of 1934, Section 17(a) of the
    Public Utility Holding Company Act of 1935 or
    Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

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1. Name and Address of Reporting Person*

    Schaeffer                Peter
    -----
    (Last)                (First)                (Middle)

    2918 Autumn Run Circle
    -----
    (Street)

    Sugar Land                TX                77479
    -----
    (City)                (State)                (Zip)

2. Issuer Name and Ticker or Trading Symbol    NEON Systems, Inc. (NESY)
    -----

3. I.R.S. Identification Number of Reporting Person, if an entity
   (voluntary)
   -----

4. Statement for Month/Year    January 2002
    -----

5. If Amendment, Date of Original (Month/Year)
    -----

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

[x] Director    ___ Officer    ___ 10% Owner    ___ Other
    (give title below)                (specify below)
    -----

7. Individual or Joint/Group Filing (Check Applicable Line)

[x] Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

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Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a
			Code	V	Amount	(A) or (D)	
Common Stock	1/18/02	G		V	15,000	D	379,335

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	
			Code	V

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Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Deriv- ative Secur- ity (Instr. 5)	9. Number of De ative Secur ities Bene- ficia Owned at En of Month (Inst
Date      Expira- Exer-      tion cisable      Date	Title      Amount or Number of Shares		

## Explanation of Responses:

/s/ Peter Schaeffer      02/08/2002  
-----  
\*\*Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If this form is filed by more than one reporting person, see Instruction 4(b) (v) .

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

