

Edgar Filing: DIACRIN INC /DE/ - Form 5

DIACRIN INC /DE/  
Form 5  
January 30, 2003

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OMB APPROVAL  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

Form 3 Holdings Reported

Form 4 Transactions Reported

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1. Name and Address of Reporting Person\*

Fraser Thomas H.  
-----  
(Last) (First) (Middle)

c/o Diacrin, Inc.  
Building 96 13th Street

-----  
(Street)

Boston MA 02129

-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Diacrin, Inc. (DCRN)

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3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

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4. Statement for Month/Year

December, 2002  
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5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
  
President and CEO

7. Individual or Joint/Group Filing  
(check applicable line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Trans-<br>action<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if<br>any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |       |
|---------------------------------------|--|---|---|--|------------------|-------|
|                                       |  |   |   | Amount   | (A)<br>or<br>(D) | Price |
| Common Stock<br>\$.01 Par Value       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |
|                                       |  |   |   |  |                  |       |

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\* If the form is filed by more than one reporting person, see instruction 4(b)(v).

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(mm/dd/<br>yy) | 3A.<br>Deemed<br>Execut-<br>ion<br>Date if<br>any<br>(mm/dd/<br>yy) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|---|---|---|--|--|
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)       | \$2.08  | 1/14/02  |   | A   | 16,250  | 1/14/03 1/14/12  | Common<br>Stock 16,2   |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)       | \$2.08  | 1/14/02  |   | A   | 16,250  | 1/14/04 1/14/12  | Common<br>Stock 16,2   |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)       | \$2.08  | 1/14/02  |   | A   | 16,250  | 1/14/05 1/14/12  | Common<br>Stock 16,2   |
| Employee<br>Stock<br>Option<br>(Right to<br>Rights)    | \$2.08  | 1/14/02  |   | A   | 16,250  | 1/14/06 1/14/12  | Common<br>Stock 16,2   |

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Explanation of Responses:

/s/ Thomas H. Fraser

January 30, 2003

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\*\*Signature of Reporting Person

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Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.