Nelson Bradley M. Form 4 November 21, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nelson Bradley M.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

OSHKOSH CORP [OSK]

(Check all applicable)

C/O OSHKOSH

(Street)

(State)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 11/19/2018

Filed(Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title

below)

SVP & Pres. Commercial Segment

CORPORATION, 2307 OREGON **STREET** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

(City)

(City)	(State)	(Zip) Tabl	le I - Non-l	Derivative S	ecurit	ties Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities oner Dispose (Instr. 3, 4	d of (Ľ	<b>O</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/20/2018		M	798.558	A	<u>(1)</u>	18,102.932	D	
Common Stock	11/20/2018		F	245	D	\$ 65.29	17,857.932	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Nelson Bradley M. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Options (2)	\$ 66.09	11/19/2018		A	6,000		<u>(3)</u>	11/19/2028	Common Stock	6
Restricted Stock Units	<u>(4)</u>	11/19/2018		A	3,650		<u>(5)</u>	(5)	Common Stock	3
Restricted Stock Units	(1)	11/20/2018		M		798.558	11/20/2018	(6)	Common Stock	79

# **Reporting Owners**

Reporting Owner Name / Address				<b>.</b>	
	Director	10% Owner	Officer		Other

Nelson Bradley M. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

SVP & Pres. Commercial Segment

Relationships

## **Signatures**

Ignacio A. Cortina, for Bradley M.
Nelson

11/21/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Unit Award granted pursuant to the Company's Stock Plan.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/19/2019.
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/19/2019.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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