May Marek W. Form 4 May 22, 2018

# FORM 4

Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person \* May Marek W.

Symbol

OSHKOSH CORP [OSK]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 05/21/2018

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

10% Owner

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director

C/O OSHKOSH CORPORATION, 2307 OREGON **STREET** 

> (Street) 4. If Amendment, Date Original

X\_ Officer (give title Other (specify below) Senior VP, Operations

6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

(City)	(State) (	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	on(A) or D	ecurities Acquired or Disposed of (D) r. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	05/21/2018		Code V	Amount 2,108	(A) or (D)	Price \$ 66.89	Transaction(s) (Instr. 3 and 4) 12,549.58 (1)	D	
Common Stock	05/21/2018		S	2,108	D	\$ 79.21	10,441.58	D	
Common Stock	05/21/2018		S	5,000	D	\$ 79.22 (2)	5,441.58	D	
Common Stock	05/21/2018		S	71.51 (3)	D	\$ 79.39	5,370.07	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. l De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 66.89	05/21/2018	M	2,108	<u>(5)</u>	11/21/2023	Common Stock	2,108

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

May Marek W. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

Senior VP, Operations

## **Signatures**

Plan.

Marek W. May 05/22/2018

\*\*Signature of Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amount includes a total of 71.51 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 5/22/2018.

  (1) Between 9/19/2017 and 5/22/2018, the reporting person acquired .40 shares under the Oshkosh Corporation Employee Stock Purchase
- (2) Represents weighted average price. Individual sales by lot ranged from \$79.17 to \$79.30. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- (3) Reporting Person sold shares from his Oshkosh Corporation Employee Stock Purchase Plan account.

Reporting Owners 2

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- (4) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (5) Options vest in one-third (1/3) annual increments commencing on 11/21/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.