

TACKETT SHANE R
Form 3
August 31, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â TACKETT SHANE R

(Last) (First) (Middle)

C/O ALASKA AIRLINES,
INC.,Â 19300
INTERNATIONAL BLVD

(Street)

SEATTLE,Â WAAÂ 98188

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/22/2017

3. Issuer Name **and** Ticker or Trading Symbol
ALASKA AIR GROUP, INC. [ALK]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
SR VP REV/E-COMMERCE

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

COMMON STOCK ⁽¹⁾

3,380

D

Â

COMMON STOCK 401(K) ⁽²⁾

2,807

I

ESOP TRUST

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of
Indirect Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
EMPLOYEE STOCK OPTION (RT TO BUY)	02/11/2018	02/11/2024	COMMON STOCK	770	\$ 38.755	D	Â
EMPLOYEE STOCK OPTION (RT TO BUY)	02/10/2018 ⁽³⁾	02/10/2025	COMMON STOCK	925	\$ 65.37	D	Â
EMPLOYEE STOCK OPTION (RT TO BUY)	02/09/2017 ⁽⁴⁾	02/09/2026	COMMON STOCK	1,750	\$ 65.63	D	Â
RESTRICTED STOCK UNITS	02/10/2018	02/10/2025	COMMON STOCK	2,090	\$ 0	D	Â
RESTRICTED STOCK UNITS	02/09/2019	02/09/2026	COMMON STOCK	730	\$ 0	D	Â
RESTRICTED STOCK UNITS	02/14/2020	02/14/2027	COMMON STOCK	810	\$ 0	D	Â
RESTRICTED STOCK UNITS	03/07/2018 ⁽⁵⁾	03/07/2027	COMMON STOCK	1,670	\$ 0	D	Â
RESTRICTED STOCK UNITS	08/03/2020	08/03/2027	COMMON STOCK	330	\$ 0	D	Â
EMPLOYEE STOCK OPTION (RT TO BUY)	02/14/2018 ⁽⁶⁾	02/14/2027	COMMON STOCK	1,860	\$ 96.3	D	Â
EMPLOYEE STOCK OPTION (RT TO BUY)	08/03/2018 ⁽⁷⁾	08/03/2027	COMMON STOCK	780	\$ 84.99	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TACKETT SHANE R C/O ALASKA AIRLINES, INC. 19300 INTERNATIONAL BLVD SEATTLE, WA 98188	Â	Â	Â SR VP REV/E-COMMERCE	Â

Signatures

JEANNE E GAMMON, ATTORNEY IN FACT FOR SHANE R. TACKETT

08/31/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) TOTAL HELD IN COLUMN 5 INCLUDES SHARES ACQUIRED UNDER THE ISSUER'S EMPLOYEE STOCK PURCHASE PLAN.
- (2) COMMON SHARES HELD IN THE ALASKA AIR GROUP, INC. EMPLOYEE STOCK OWNERSHIP 401(K) PLAN TRUST AS OF 08/31/2017.
- (3) OPTIONS WILL VEST AS FOLLOWS: 462 ON 02/10/2018 AND 463 ON 02/10/2019.
- (4) 437 OPTIONS VESTED ON 02/09/2017; REMAINING OPTIONS WILL VEST AS FOLLOWS: 438 ON 02/09/2018; 437 ON 02/09/2019 AND 438 ON 02/09/2020.
- (5) STOCK UNITS VEST AS FOLLOWS: 556 ON 03/07/2018; 557 ON 03/07/2019; AND 557 ON 03/07/2020.
- (6) OPTIONS WILL VEST AS FOLLOWS: 465 ON 02/14/2018; 465 ON 02/14/2019; 465 ON 02/14/2020; AND 465 ON 02/14/2021.
- (7) OPTIONS WILL VEST AS FOLLOWS: 195 ON 8/3/2018; 195 ON 8/3/2019; 195 ON 8/3/2020; AND 195 ON 8/3/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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