

KING ROBERT F
Form 4
January 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KING ROBERT F

(Last) (First) (Middle)

C/O AMTECH SYSTEMS INC, 131
SOUTH CLARK DRIVE

(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction (Month/Day/Year)

01/18/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018		M ⁽¹⁾		2,000	A	\$ 2.95 10,200	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018		M ⁽¹⁾		1,666	A	\$ 6.15 11,866	D

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Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 7.01	13,866	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	5,000	A	\$ 11.05	18,866	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	1,033	A	\$ 7.98	19,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 9.98	21,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 5.25	23,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>M</u> (1)	2,000	A	\$ 5.75	25,899	D
Amtech Systems, Inc. \$.01 par value common stock	01/18/2018	<u>S</u> (1)	17,699	D	\$ 11.25	8,200	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Title	Amount or Number of Shares
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 2.95	01/18/2018		M		2,000		Amtech Systems, Inc. \$.01 par value common stock	2,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 6.15	01/18/2018		M		1,666		Amtech Systems, Inc. \$.01 par value common stock	1,666
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 7.01	01/18/2018		M		2,000		Amtech Systems, Inc. \$.01 par value common stock	2,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 11.05	01/18/2018		M		5,000		Amtech Systems, Inc. \$.01 par value common stock	5,000
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 7.98	01/18/2018		M		1,033		Amtech Systems, Inc. \$.01 par value common stock	1,033
	\$ 9.98	01/18/2018		M		2,000			2,000

Amtech Systems, Inc. Non-Employee Director Stock Option									Amtech Systems, Inc. \$.01 par value common stock
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 5.25	01/18/2018	M	2,000	11/17/2016	11/17/2025			Amtech Systems, Inc. \$.01 par value common stock
Amtech Systems, Inc. Non-Employee Director Stock Option	\$ 5.75	01/18/2018	M	2,000	09/18/2017	03/17/2027			Amtech Systems, Inc. \$.01 par value common stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KING ROBERT F C/O AMTECH SYSTEMS INC 131 SOUTH CLARK DRIVE TEMPE, AZ 85281	X			

Signatures

Robert T. Hass on behalf of Bob King by Power of Attorney 01/19/2018

__Signature of Reporting Person
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option exercise and sales are pursuant to a previously disclosed 10b5-1 plan.

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