#### Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 4

#### RAYMOND JAMES FINANCIAL INC

Form 4

December 06, 2004

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Estimated average response...

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12,300

burden hours per

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

Stock

Stock

Common

Common

12/03/2004

12/03/2004

(Print or Type Responses)

1. Name and Address of Reporting Person ** RIESS RICHARD K	2. Issuer Name and Ticker or Trading Symbol RAYMOND JAMES FINANCIAL	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle) 880 CARILLON PARKWAY	INC [RJF] 3. Date of Earliest Transaction (Month/Day/Year) 12/03/2004	Director 10% Owner Specify below) Executive Vice President			
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ST. PETERSBURG, FL 33716		Form filed by More than One Reporting Person			
(City) (State) (Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned			
(Instr. 3) any	emed 3. 4. Securities Acquired on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)  //Day/Year) (Instr. 8)  (A) or Code V Amount (D) Price	5. Amount of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Indirect (I) (Instr. 4)  Reported (Instr. 4)  Transaction(s) (Instr. 3 and 4)			
Common Stock		6,864 D			
Common		37,776 I ESOP			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

S

200

12,300 D

Spouse

Spouse

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year	6. Date Exercisable and Expiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 13.75					11/18/2002	01/18/2005	Common Stock	750	
Employee Stock Option (right to buy)	\$ 13.75					11/18/2002	01/18/2005	Common Stock	9,000	
Employee Stock Option (right to buy)	\$ 21.33					11/28/2004	01/28/2007	Common Stock	6,150	
Employee Stock Option (right to buy)	\$ 21.33					11/28/2004(1)	01/28/2007	Common Stock	8,850	
Employee Stock Option (right to buy)	\$ 25.2					12/04/2006(2)	02/04/2009	Common Stock	12,000	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RIESS RICHARD K Executive 880 CARILLON PARKWAY Vice ST. PETERSBURG, FL 33716 President

# **Signatures**

Richard K. 12/03/2004

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options currently exercisable 2,850, Options Becoming exercisable 3,000 on 11/28/2005 and 3,000 on 11/28/2006
- (2) Options Becoming exercisable 1,200 on 12/04/2006, 3,600 on 01/04/2007, 3,600 on 01/04/2008 and 3,600 on 01/04/2009

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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