#### LOWES COMPANIES INC

Form 4 April 04, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31, Expires:

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** MCCANLESS ROSS W |             |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol        | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|---|-------------|----------|---|---|--|--|
| (Last)  | (First)     | (Middle) | LOWES COMPANIES INC [LOW] 3. Date of Earliest Transaction | (Check all applicable)  |  |  |
| 1000 LOWE'S BOULEVARD                                       |             |          | (Month/Day/Year)<br>04/01/2017                            | Director 10% OwnerX Officer (give title Other (specify below)  CLO, Secretary, & CCO              |  |  |
| (Street)  |             |          | 4. If Amendment, Date Original Filed(Month/Day/Year)      | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |
| MOORESV   | ILLE, NC 28 | 8117     |   | Form filed by More than One Reporting Person  |  |  |
| (City)  | (State)     | (Zip)    | Table I - Non-Derivative Securities Acc                   | uired Disnosed of or Reneficially Owner   |  |  |

| ,      | City) | (State) (2          | Table              | I - Non-De | erivative Securities  | Acquired, Disposed | of, or Beneficia | lly Owned    |
|--------|-------|---------------------|--------------------|------------|-----------------------|--------------------|------------------|--------------|
| 1.Titl | e of  | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securities         | 5. Amount of       | 6. Ownership     | 7. Nature of |
| Secur  | rity  | (Month/Day/Year)    | Execution Date, if | Transactio | onAcquired (A) or     | Securities         | Form: Direct     | Indirect     |
| (Instr | . 3)  |                     | any                | Code       | Disposed of (D)       | Beneficially       | (D) or           | Beneficial   |
|        |       |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)   | Owned              | Indirect (I)     | Ownership    |
|        |       |                     |                    |            |                       | Following          | (Instr. 4)       | (Instr. 4)   |
|        |       |                     |                    |            | (4)                   | Reported           |                  |              |
|        |       |                     |                    |            | (A)                   | Transaction(s)     |                  |              |
|        |       |                     |                    | Code V     | or<br>Amount (D) Pr   | (Instr. 3 and 4)   |                  |              |
| Com    | mon   |                     |                    |            | 2,560                 |                    |                  |              |
|        |       | 04/01/2017          |                    | A          | $^{2,300}_{(1)}$ A \$ | 0 20,174           | D                |              |
| Stoc   | K     |                     |                    |            | (1)                   |                    |                  |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: LOWES COMPANIES INC - Form 4

| 1. Title of Derivative Security (Instr. 3) |  | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and A Underlying S (Instr. 3 and | Securit                   |
|--|--|---|---|--|---|---|--|--------------------|---|---------------------------|
|  |  | Derivative<br>Security                      |   |  |   | or Disposed of (D) (Instr. 3, 4, and 5)           |  |                    |   |                           |
|  |  |   |   |  | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title                                     | Amo<br>or<br>Num<br>of Sh |
| Sto  | n-Qualified<br>ock Option<br>ght to buy) | \$ 82.21                                    | 04/01/2017                              |  | A                                       | 10,860  | (2)  | 04/01/2027         | Common<br>Stock                           | 10,8                      |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCCANLESS ROSS W 1000 LOWE'S BOULEVARD MOORESVILLE, NC 28117

CLO, Secretary, & CCO

## **Signatures**

By: /s/ Sandra Felton by power of attorney For: Ross W.

McCanless

04/04/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted pursuant to 2006 Long-Term Incentive Plan. The shares will fully vest on April 1, 2020.
- (2) The option vests in three annual installments beginning on April 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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