

KANSAS CITY SOUTHERN
Form 3
October 02, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Arvidson Scott E
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/01/2007

3. Issuer Name and Ticker or Trading Symbol
KANSAS CITY SOUTHERN [KSU]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

KANSAS CITY
SOUTHERN, PO BOX 219335

(Street)

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer ____ Other
(give title below) (specify below)
Executive Vice President & CIO

KANSAS CITY, MO 64121

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

45,031

D

Arvidson

Common Stock

3,098.32

I

By ESOP

Common Stock

9,326.159

I

By 401(k) & P/S Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Employee Stock Option (Right to Buy) <u>(1)</u>	11/17/1999	11/16/2008	Common Stock	450	\$ 2.6604	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	450	\$ 2.6604	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	07/13/2003	07/12/2010	Common Stock	17,400	\$ 5.75	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	17,400	\$ 5.75	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	07/13/2001	07/12/2010	Common Stock	92,000	\$ 5.75	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	92,000	\$ 5.75	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	02/27/2001	02/26/2011	Common Stock	122	\$ 14.34	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	122	\$ 14.34	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	02/06/2002	02/05/2012	Common Stock	130	\$ 13.42	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	130	\$ 13.42	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	01/16/2003	01/15/2013	Common Stock	500	\$ 12.55	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	500	\$ 12.55	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	01/16/2008	01/15/2013	Common Stock	15,000	\$ 12.55	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	15,000	\$ 12.55	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	01/02/2005	01/01/2014	Common Stock	6,000	\$ 14.6	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	6,000	\$ 14.6	D	Â
Employee Stock Option (Right to Buy) <u>(1)</u>	02/09/2004	02/08/2014	Common Stock	606	\$ 14.53	D	Â
LSAR <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	606	\$ 14.53	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Arvidson Scott E KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121	À	À	À Executive Vice President & CIO	À

Signatures

Brian P. Banks,
Attorney-in-fact

10/02/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Limited Stock Appreciation Rights ("LSARs") are granted in tandem with stock options. LSARs become exercisable only following a
(1) change-in-control of the Company in lieu of related options and are exercisable only for cash. LSARs terminate when the related options are exercised or terminated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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