

VAGELOS P ROY

Form 4

August 23, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
VAGELOS P ROY

(Last) (First) (Middle)

777 OLD SAW MILL RIVER  
ROAD

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
REGENERON  
PHARMACEUTICALS INC  
[REGN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/21/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |
| Common<br>Stock                       | 08/21/2017                              |                                                             | S                                    |                                                                         | 22,118                                                                                                             | D                                                                       | \$<br>470.23<br>(1)                                               |
| Common<br>Stock                       | 08/21/2017                              |                                                             | S                                    |                                                                         | 1,951                                                                                                              | D                                                                       | \$<br>471.09<br>(2)                                               |
| Common<br>Stock                       | 08/21/2017                              |                                                             | S                                    |                                                                         | 200                                                                                                                | D                                                                       | \$<br>472.16<br>(3)                                               |

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|                 |            |   |       |   |                      |         |   |                   |
|-----------------|------------|---|-------|---|----------------------|---------|---|-------------------|
| Common<br>Stock | 08/22/2017 | S | 400   | D | \$<br>470.64<br>(4)  | 361,087 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 600   | D | \$<br>471.63<br>(5)  | 360,487 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 900   | D | \$<br>472.67<br>(6)  | 359,587 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 200   | D | \$<br>473.65<br>(7)  | 359,387 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 2,400 | D | \$<br>474.64<br>(8)  | 356,987 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 5,610 | D | \$<br>475.49<br>(9)  | 351,377 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 5,744 | D | \$<br>476.46<br>(10) | 345,633 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 3,473 | D | \$<br>477.52<br>(11) | 342,160 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 1,600 | D | \$<br>478.51<br>(12) | 340,560 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 600   | D | \$<br>479.63<br>(13) | 339,960 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 5,250 | D | \$<br>480.62<br>(14) | 334,710 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 4,661 | D | \$<br>481.37<br>(15) | 330,049 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 400   | D | \$<br>482.72         | 329,649 | D |                   |
| Common<br>Stock | 08/22/2017 | S | 106   | D | \$ 483               | 329,543 | D |                   |
| Common<br>Stock |            |   |       |   |                      | 2,300   | I | By 401(k)<br>Plan |
|                 |            |   |       |   |                      | 150,285 | I | by CLAT           |

Common  
StockCommon  
Stock

83,652

I

by Spouse  
as TrusteeCommon  
Stock

1,203

I

by trust  
for  
grandch  
(16)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>of<br>Derivative<br>Securities<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 6) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                                                     |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships                    |
|---------------------------------------------------------------------|----------------------------------|
|                                                                     | Director 10% Owner Officer Other |
| VAGELOS P ROY<br>777 OLD SAW MILL RIVER ROAD<br>TARRYTOWN, NY 10591 | X Chairman of the Board          |

## Signatures

/s/\*\*P. Roy  
Vagelos 08/22/2017  
\_\_\_\_\_  
\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents volume-weighted average price of sales of 22,118 shares of Company stock on August 21, 2017 at prices ranging from \$470.00 to \$470.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 21, 2017 at each separate price.
- (2) Represents volume-weighted average price of sales of 1,951 shares of Company stock on August 21, 2017 at prices ranging from \$471.00 to \$471.86. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 21, 2017 at each separate price.
- (3) Represents volume-weighted average price of sales of 200 shares of Company stock on August 21, 2017 at prices ranging from \$472.08 to \$472.24. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 21, 2017 at each separate price.
- (4) Represents volume-weighted average price of sales of 400 shares of Company stock on August 22, 2017 at prices ranging from \$470.50 to \$470.84. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (5) Represents volume-weighted average price of sales of 600 shares of Company stock on August 22, 2017 at prices ranging from \$471.16 to \$471.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (6) Represents volume-weighted average price of sales of 900 shares of Company stock on August 22, 2017 at prices ranging from \$472.38 to \$472.97. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (7) Represents volume-weighted average price of sales of 200 shares of Company stock on August 22, 2017 at prices ranging from \$473.55 to \$473.75. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (8) Represents volume-weighted average price of sales of 2,400 shares of Company stock on August 22, 2017 at prices ranging from \$474.00 to \$474.91. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (9) Represents volume-weighted average price of sales of 5,610 shares of Company stock on August 22, 2017 at prices ranging from \$475.00 to \$475.94. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (10) Represents volume-weighted average price of sales of 5,744 shares of Company stock on August 22, 2017 at prices ranging from \$476.01 to \$476.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (11) Represents volume-weighted average price of sales of 3,473 shares of Company stock on August 22, 2017 at prices ranging from \$477.00 to \$477.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (12) Represents volume-weighted average price of sales of 1,600 shares of Company stock on August 22, 2017 at prices ranging from \$478.10 to \$478.93. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (13) Represents volume-weighted average price of sales of 600 shares of Company stock on August 22, 2017 at prices ranging from \$479.00 to \$479.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (14) Represents volume-weighted average price of sales of 5,250 shares of Company stock on August 22, 2017 at prices ranging from \$480.06 to \$480.97. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (15) Represents volume-weighted average price of sales of 4,661 shares of Company stock on August 22, 2017 at prices ranging from \$481.00 to \$481.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on August 22, 2017 at each separate price.
- (16) By a trust for the benefit of certain grandchildren of the reporting person, of which the reporting person and/or the spouse of the reporting person is trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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