

GLAXOSMITHKLINE PLC

Form 6-K

April 16, 2019

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934

For period ending 16 April 2019

GlaxoSmithKline plc

(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS

(Address of principal executive offices)

Indicate by check mark whether the registrant files or
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F ☒ Form 40-F

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Indicate by check mark whether the registrant by furnishing the
information contained in this Form is also thereby furnishing the
information to the Commission pursuant to Rule 12g3-2(b) under the
Securities Exchange Act of 1934.

Yes No ☒

GlaxoSmithKline plc (the 'Company')

Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')

- a) Name Ms E Walmsley
Chief Executive Officer
- b) Position/status

- c) Initial notification/amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- Ordinary shares of 25 pence each ('Ordinary Shares')
- a) Description of the financial instrument ISIN: GB0009252882

- b) Nature of the transaction Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.

- | | Price(s) | Volume(s) |
|---------------------------|----------|-----------|
| c) Price(s) and volume(s) | £15.6560 | 908.000 |
| | £15.6560 | 260.000 |
| | £15.6560 | 912.000 |
| | £15.6560 | 260.000 |

Aggregated information

- d) Aggregated volume 2340.000
Price £15.6560
- e) Date of the transaction 2019-04-12
- f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')

- a) Name Dr H Barron
Chief Scientific Officer and President, R&D
- b) Position/status

- c) Initial notification/amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
 - a) Name GlaxoSmithKline plc
 - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
 - a) Description of the financial instrument American Depositary Shares ('ADSs')
ISIN: US37733W1053
 - b) Nature of the transaction Increase in interest in American Depositary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on American Depositary Shares held in the Company's Deferred Annual Bonus Plan.
 - c) Price(s) and volume(s)

Price(s)	Volume(s)
\$ 40.9400	538.000
 - d) Aggregated information Aggregated volume Price n/a (single transaction)
 - e) Date of the transaction 2019-04-12
 - f) Place of the transaction New York Stock Exchange (XNYS)

1. Details of PDMR/person closely associated with them ('PCA')
 - a) Name Mr R G Connor
 - b) Position/status President, Global Vaccines
 - c) Initial notification/amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
 - a) Name GlaxoSmithKline plc
 - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
 - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')
ISIN: GB0009252882
 - b) Nature of the transaction Increase in interest in Ordinary Shares following the re-investment of

dividends paid to
shareholders on 11 April
2019 on Ordinary Shares held
in the Company's Deferred
Annual Bonus Plan.

	Price(s)	Volume(s)
	£15.6560	238.000
c) Price(s) and volume(s)	£15.6560	135.000
	£15.6560	193.000
	£15.6560	135.000

Aggregated information

d)	Aggregated volume	701.000
	Price	£15.6560
e)	Date of the transaction	2019-04-12
f)	Place of the transaction	London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a)	Name	Mr S P Dingemans
b)	Position/status	Executive Director
c)	Initial notification/amendment	Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a)	Name	GlaxoSmithKline plc
b)	LEI	5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

	Ordinary shares of 25 pence each ('Ordinary Shares')
a)	Description of the financial instrument
	ISIN: GB0009252882

b)	Nature of the transaction	Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.
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	Price(s)	Volume(s)
	£15.6560	649.000
c) Price(s) and volume(s)	£15.6560	236.000
	£15.6560	645.000
	£15.6560	236.000

d) Aggregated information

Aggregated volume	
Price	1766.000 £15.6560
e) Date of the transaction	2019-04-12
f) Place of the transaction	London Stock Exchange (XLON)
1. Details of PDMR/person closely associated with them ('PCA')	
a) Name	Mr J Ford
b) Position/status	SVP and General Counsel
c) Initial notification/amendment	Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a) Name	GlaxoSmithKline plc
b) LEI	5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted	
a) Description of the financial instrument	American Depositary Shares ('ADSs') ISIN: US37733W1053
b) Nature of the transaction	Increase in interest in American Depositary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on American Depositary Shares held in the Company's Deferred Annual Bonus Plan.
c) Price(s) and volume(s)	Price(s) Volume(s) \$ 40.9400 46.000
Aggregated information	n/a (single transaction)
d) Aggregated volume	
Price	
e) Date of the transaction	2019-04-12
f) Place of the transaction	New York Stock Exchange (XNYS)
1. Details of PDMR/person closely associated with them ('PCA')	
a) Name	Mr N Hirons
b) Position/status	SVP, Global Ethics & Compliance
c) Initial notification/amendment	Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- a) Name GlaxoSmithKline plc
b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')
ISIN: GB0009252882

- b) Nature of the transaction Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.

- c) Price(s) and volume(s)
- | Price(s) | Volume(s) |
|----------|-----------|
| £15.6560 | 99.000 |
| £15.6560 | 85.000 |
| £15.6560 | 101.000 |
| £15.6560 | 85.000 |

Aggregated information

- d) Aggregated volume 370.000
Price £15.6560
e) Date of the transaction 2019-04-12
f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')

- a) Name Mr B McNamara
CEO, GSK Consumer
b) Position/status Healthcare

- c) Initial notification/amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- a) Name GlaxoSmithKline plc
b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- a) Description of the financial instrument American Depositary Shares ('ADSs')
ISIN: US37733W1053

b) Nature of the transaction	Increase in interest in American Depositary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on American Depositary Shares held in the Company's Deferred Annual Bonus Plan.	
	Price(s)	Volume(s)
	\$ 40.9400	90.000
c) Price(s) and volume(s)	\$ 40.9400	98.000
	\$ 40.9400	96.000

Aggregated information

d) Aggregated volume	284.000
Price	\$ 40.9400
e) Date of the transaction	2019-04-12
f) Place of the transaction	New York Stock Exchange (XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name	Mr L Miels
b) Position/status	President, Global Pharmaceuticals
c) Initial notification/amendment	Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name	GlaxoSmithKline plc
b) LEI	5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument	Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882
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b) Nature of the transaction	Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.	
	Price(s)	Volume(s)
	£15.6560	253.000
c) Price(s) and volume(s)	£15.6560	183.000

Aggregated information

- d) Aggregated volume 436.000£15.6560
Price
- e) Date of the transaction 2019-04-12
- f) Place of the transaction London Stock Exchange
(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

- a) Name Mr D Redfern
- b) Position/status Chief Strategy Officer
- c) Initial notification/amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')
ISIN: GB0009252882

- b) Nature of the transaction Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.

- | | Price(s) | Volume(s) |
|---------------------------|----------|-----------|
| c) Price(s) and volume(s) | £15.6560 | 225.000 |
| | £15.6560 | 107.000 |
| | £15.6560 | 187.000 |
| | £15.6560 | 107.000 |

Aggregated information

- d) Aggregated volume 626.000
Price £15.6560
- e) Date of the transaction 2019-04-12
- f) Place of the transaction London Stock Exchange
(XLON)

1. Details of PDMR/person closely associated with them ('PCA')

- a) Name Mr R Simard

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- b) Position/status President, Pharmaceuticals
Supply Chain
- c) Initial notification/amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793
- Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
3. Ordinary shares of 25 pence each ('Ordinary Shares')
ISIN: GB0009252882
- a) Description of the financial instrument
- b) Nature of the transaction Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.
- c) Price(s) and volume(s)
- | Price(s) | Volume(s) |
|----------|-----------|
| £15.6560 | 81.000 |
- Aggregated information n/a (single transaction)
- d) Aggregated volume
Price
- e) Date of the transaction 2019-04-12
- f) Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
- a) Name Ms K Terrell
Chief Digital & Technology Officer
- b) Position/status
- c) Initial notification/amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793
- Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
3. American Depositary Shares ('ADSS')
- a) Description of the financial instrument

ISIN: US37733W1053

b) Nature of the transaction	Increase in interest in American Depositary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on American Depositary Shares held in the Company's Deferred Annual Bonus Plan.	
c) Price(s) and volume(s)	Price(s)	Volume(s)
	\$ 40.9400	114.000
	\$ 40.9400	32.000

Aggregated information

d) Aggregated volume	146.000
Price	\$ 40.9400
e) Date of the transaction	2019-04-12
f) Place of the transaction	New York Stock Exchange (XNYS)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name	Mr P C Thomson
b) Position/status	President, Global Affairs

c) Initial notification/ amendment	Initial notification
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2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name	GlaxoSmithKline plc
b) LEI	5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument	Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882
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b) Nature of the transaction	Increase in interest in Ordinary Shares following the re-investment of dividends paid to shareholders on 11 April 2019 on Ordinary Shares held in the Company's Deferred Annual Bonus Plan.
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	Price(s)	Volume(s)
c) Price(s) and volume(s)	£15.6560	131.000
	£15.6560	102.000
	£15.6560	126.000
Aggregated information		
d) Aggregated volume	359.000	
	Price £15.6560	
e) Date of the transaction	2019-04-12	
f) Place of the transaction	London Stock Exchange (XLON)	

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc
(Registrant)

Date: April 16, 2019

By: VICTORIA WHYTE

Victoria Whyte
Authorised Signatory for and on
behalf of GlaxoSmithKline plc