Edgar Filing: Liu Tony - Form 4

Lin Tam

Form 4											
December 27	, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
	Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				GES IN I SECUR		CIAI	L OW	NERSHIP OF	Expires: Estimated a burden hou response	irs per	
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section 1	7(a) of the		ility Hold	ling Com	pany	Act of	e Act of 1934, f 1935 or Section 40	·		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u></u> <u>Liu Tony</u>			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	Cellular Biomedicine Group, Inc. [CBMG]										
(Last) (First) (Middle) 19925 STEVENS CREEK BLVD., SUITE 100			3. Date of Earliest Transaction (Month/Day/Year) 12/22/2017				Director 10% Owner Officer (give title Other (specify below) CEO, CFO CFO				
				If Amendment, Date Original led(Month/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
CUPERTIN	O, CA 95014							Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial			
Common Stock	12/22/2017			Code V P	Amount 29,167 (1)	(D) A	Price \$12	(Instr. 3 and 4) 139,167 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships							
		Director	10% Owner	Officer	Other				
Liu Tony 19925 STEVENS CREEK SUITE 100 CUPERTINO, CA 95014	BLVD.			CEO, CFO					
Signatures									
/s/Bizuo (Tony) Liu	12/27/2	017							
<u>**</u> Signature of	Date								

<u>Signature of</u> Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares were purchased pursuant to that certain Share Purchase Agreement, dated December 15, 2017, by and among the issuer, the
 (1) reporting person and two other officers of the issuer. The issuance of the shares was made in reliance on the exemption from registration pursuant to Section 4(a)(2) of the Securities Act of 1933, as amended.
- (2) The amount does not include shares of common stock granted as part of the Restricted Stock Units under the Cellular Biomedicine Group, Inc. 2014 Stock Incentive Plan, which grant has been previously reported on the reporting person's Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.