

SWIFT ENERGY CO
Form 4
April 26, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Banks Robert J.

(Last) (First) (Middle)
17001 NORTHCHASE DR., STE. 100
(Street)

HOUSTON, TX 77060-6098

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SWIFT ENERGY CO [NONE]

3. Date of Earliest Transaction (Month/Day/Year)
04/22/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
EVP AND COO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/22/2016		A ⁽¹⁾	295 ⁽¹⁾ A \$ 0 ₍₁₎	295	D	
Common Stock	04/22/2016		A ⁽¹⁾	298 ⁽¹⁾ A \$ 0 ₍₁₎	298	I	GRAT
Common Stock	04/22/2016		A ⁽¹⁾	5 ⁽¹⁾ A \$ 0 ₍₁₎	5	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	1,581 (2)	04/22/2016	04/22/2019	Common Stock	1,581
Warrants for Common Stock	\$ 86.18	04/22/2016		A ⁽²⁾	1,581 (2)	04/22/2016	04/22/2019	Common Stock	1,581
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	1,598 (2)	04/22/2016	04/22/2019	Common Stock	1,598
Warrants for Common Stock	\$ 86.18	04/22/2016		A ⁽²⁾	1,598 (2)	04/22/2016	04/22/2020	Common Stock	1,598
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	27 (2)	04/22/2016	04/22/2019	Common Stock	27
Warrants for Common Stock	\$ 86.18	04/22/2016		A ⁽²⁾	27 (2)	04/22/2016	04/22/2020	Common Stock	27

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Banks Robert J.
17001 NORTHCHASE DR., STE. 100
HOUSTON, TX 77060-6098

EVP AND COO

Signatures

/s/ Christopher M. Abundis, POA for Robert J.
Banks

04/26/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received on the Emergence Date after shares of SFYWQ were cancelled, pursuant to the Plan of Reorganization approved by the Board of Directors and filed with the bankruptcy court.
 - (2) Received on the Emergence Date in connection with the receipt of the new common stock of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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