

Engle Robb William
Form 4
July 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engle Robb William

2. Issuer Name and Ticker or Trading Symbol
SONO TEK CORP [SOTK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
164 LUCAS AVENUE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/29/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President

KINGSTON, NY 12401

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or (D) Price | | |
| Sono-Tek Corp Common Stock | 06/29/2018 | | M | | 10,000 (1) \$ 0.61 | 15,896 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | | F | | 3,211 (2) \$ 1.9 | 12,685 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | | M | | 11,000 (3) \$ 0.48 | 23,685 | D |

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| | | | | | | | |
|----------------------------|------------|---|-----------------------|---|---------|--------|---|
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>2,779</u> (4) | D | \$ 1.9 | 20,906 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | M | <u>12,000</u> (5) | A | \$ 1.05 | 32,906 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>6,632</u> (6) | D | \$ 1.9 | 26,274 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | M | <u>14,400</u> (7) | A | \$ 1.19 | 40,674 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>9,019</u> (8) | D | \$ 1.9 | 31,655 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | M | <u>30,000</u> (9) | A | \$ 0.91 | 61,655 | D |
| Sono-Tek Corp Common Stock | 06/29/2018 | F | <u>14,368</u> (10) | D | \$ 1.9 | 47,287 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

| | | | | | | | | |
|-----------------------|---------|------------|---|-----------------------|----------------------------|------------|----------------------------|-----|
| Sono-Tek Corp Options | \$ 0.61 | 06/29/2018 | M | 10,000 ⁽¹⁾ | 11/08/2015 | 11/08/2022 | Sono-Tek Corp Common Stock | 10, |
| Sono-Tek Corp Options | \$ 0.48 | 06/29/2018 | M | 11,000 ⁽³⁾ | 01/24/2016 | 01/24/2023 | Sono-Tek Corp Common Stock | 11, |
| Sono-Tek Options | \$ 1.05 | 06/29/2018 | M | 12,000 ⁽⁵⁾ | 02/20/2015 | 02/20/2024 | Sono-Tek Corp Common Stock | 12, |
| Sono-Tek Options | \$ 1.19 | 06/28/2018 | M | 14,400 ⁽⁷⁾ | 02/19/2016 | 02/19/2025 | Sono-Tek Corp Common Stock | 14, |
| Sono-Tek Options | \$ 0.91 | 06/29/2018 | M | 30,000 ⁽⁹⁾ | 07/21/2016 ⁽¹¹⁾ | 07/20/2026 | Sono-Tek Corp Common Stock | 30, |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Engle Robb William 164 LUCAS AVENUE KINGSTON, NY 12401 | | | Vice President | |

Signatures

Robb William
Engle 07/03/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person exercised 10000 options.
- (2) Reporting person surrendered 3211 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (3) Reporting person exercised 11000 options.
- (4) Reporting person surrendered 2779 shares of Sono-Tek Corp Common stock as payment for the exercise of vested options.
- (5) Reporting person exercised 12000 options.
- (6) Reporting person surrendered 6632 shares of Sono-Tek Corp Common stock as payment for the exercise of vested options.
- (7) Reporting person exercised 14400 options.

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- (8) Reporting person surrendered 9019 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (9) Reporting person exercised 30000 options.
- (10) Reporting person surrendered 14368 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (11) Subject to vesting schedule.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.