RODRIGUEZ ROLANDO B

Form 4 March 12, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SECURITIES

OMB APPROVAL OMB 3235-0287

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Number: January 31, Expires:

subject to Section 16. Form 4 or Form 5

obligations

2005 Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Addr RODRIGUEZ	•	~ -	2. Issuer Name and Ticker or Trading Symbol MARCUS CORP [MCS]	5. Relationship of Reporting Person(s) to Issuer			
(Last) THE MARCUS CORPORATIO WISCONSIN A	ON, 100 E.	(Middle) E 1900	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2019	(Check all applicable) Director 10% OwnerX_ Officer (give title Other (specify below) Pres. & CEO - Marcus Theatres			
(Street) MILWAUKEE, WI 53202			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	^(Zip) Tabl	e I - Non-E	Derivative	Secui	rities Acq	uired, Disposed o	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or		5. Amount of Securities Ownership Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/15/2019		Code V F	Amount 637	(D) D	Price \$ 40.13	(Instr. 3 and 4) 42,000	D	
Common Stock							9,732	I	By Rodriguez Living Trust
Common Stock							591.51 <u>(1)</u>	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr. :
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34					<u>(2)</u>	07/29/2024	Common Stock	6,770	
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26					<u>(2)</u>	07/28/2025	Common Stock	19,222	
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68					<u>(2)</u>	03/01/2026	Common Stock	12,500	
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2					<u>(2)</u>	02/28/2027	Common Stock	20,000	
Stock Option (right to	\$ 27					(3)	02/27/2028	Common Stock	34,000	

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buy)
(granted
2/27/18)

Stock
Option
(right to buy)
(granted

02/26/2029 Common Stock 29,500

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RODRIGUEZ ROLANDO B THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202

Pres. & CEO - Marcus Theatres

(3)

Signatures

2/26/19)

/s/ Steven R. Barth, Attorney-in-Fact for Rolando B. Rodriguez

03/12/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (2) The options granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.
- (3) The options originally granted vest and become exercisable as follows: 50% after 2nd anniversary of the date of grant; 75% after 3rd anniversary; and 100% after 4 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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