



Edgar Filing: Pzena Investment Management, Inc. - Form 8-K

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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ITEM 2.02 RESULTS OF OPERATION AND FINANCIAL CONDITION.

On October 18, 2018, Pzena Investment Management, Inc. (the “Company”) issued a press release reporting its financial results for the third quarter of 2018. Copies of the press release and related attachments are furnished as Exhibit 99.1 to this Current Report.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS.

(d) Exhibits

99.1 Press release, dated October 18, 2018, of Pzena Investment Management, Inc.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PZENA INVESTMENT MANAGEMENT, INC.

Dated: October 18, 2018 By: /s/ Jessica R. Doran

Name: Jessica R. Doran

Title: Chief Financial Officer

(Principal Financial and Accounting Officer)

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EXHIBIT INDEX

Exhibit No. Document

99.1 Press release, dated October 18, 2018, of Pzena Investment Management, Inc.