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If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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ITEM 2.02 RESULTS OF OPERATION AND FINANCIAL CONDITION.

On July 23, 2018, Pzena Investment Management, Inc. (the “Company”) issued a press release reporting its financial results for the second quarter of 2018. Copies of the press release and related attachments are furnished as Exhibit 99.1 to this Current Report.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS.

(d) Exhibits

99.1 Press release, dated July 23, 2018, of Pzena Investment Management, Inc.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PZENA INVESTMENT MANAGEMENT, INC.

Dated: July 23, 2018 By: /s/ Gary J. Bachman

Name: Gary J. Bachman

Title: Chief Operating Officer

(Acting Principal Financial and Accounting Officer)

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EXHIBIT INDEX

Exhibit No. Document

99.1 Press release, dated July 23, 2018, of Pzena Investment Management, Inc.