

FUNKE JAMES H
Form 4
August 23, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FUNKE JAMES H

2. Issuer Name and Ticker or Trading Symbol
TETRA TECHNOLOGIES INC [TTI]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
24955 INTERSTATE 45 N
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/21/2018

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
VP

THE WOODLANDS, TX 77380
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/21/2018		M		35,000	A	\$ 3.78
Common Stock	08/21/2018		S		35,000	D	5.0131
Common Stock							14,036
Common Stock							47,161

by 401(k) Plan ⁽²⁾
By Funke Family Living Trust ⁽³⁾

Edgar Filing: FUNKE JAMES H - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3.78	08/21/2018		M	35,000	<u>(4)</u> 02/12/2019	Common Stock	35,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

FUNKE JAMES H
24955 INTERSTATE 45 N
THE WOODLANDS, TX 77380

VP

Signatures

Kimberly M. O'Brien, attorney
in fact

08/23/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.98 to \$5.041 inclusive. The reporting person undertakes to provide to TETRA Technologies, Inc., any security holder of TETRA Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(2) Rounded. Reflects shares held for the Reporting Person's account by the Trustee of TETRA Technologies, Inc. 401(k) Retirement Plan as of June 30, 2018.

(3)

Edgar Filing: FUNKE JAMES H - Form 4

These shares are held in a trust for the benefit of the reporting person and the reporting person's spouse and children. The reporting person and his spouse are co-trustees of the trust..

- (4) Vests 11,666 share(s) on 12-Feb-2010, 972 share(s) on 12-Mar-2010, 973 share(s) on 12-Apr-2010, 972 share(s) on 12-May-2010, 972 share(s) on 12-Jun-2010, 972 share(s) on 12-Jul-2010, 973 share(s) on 12-Aug-2010, 972 share(s) on 12-Sep-2010, 972 share(s) on 12-Oct-2010, 972 share(s) on 12-Nov-2010, 972 share(s) on 12-Dec-2010, 973 share(s) on 12-Jan-2011, 972 share(s) on 12-Feb-2011, 972 share(s) on 12-Mar-2011, 972 share(s) on 12-Apr-2011, 973 share(s) on 12-May-2011, 972 share(s) on 12-Jun-2011, 972 share(s) on 12-Jul-2011, 972 share(s) on 12-Aug-2011, 973 share(s) on 12-Sep-2011, 972 share(s) on 12-Oct-2011, 972 share(s) on 12-Nov-2011, 972 share(s) on 12-Dec-2011, 972 share(s) on 12-Jan-2012, 973 share(s) on 12-Feb-2012

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.